Status of Draft Documents and Consensus Calls

Timeline: GNSO Review Gantt-Rev 21 June 2018.pdf

Implementation Progress Report: GNSO2 Review Implementation Progress Report FINAL 10 November 2017.pdf

Brief Update to GNSO Council, 02 March 2018: GNSO Review Working Group Brief Progress and Implementation Status Report November 2017-February 2018.pdf

Brief Update to GNSO Council, 24 May 2018: GNSO Review Working Group Update 24 May 2018 FINAL.pdf

Implementation Progress Report: GNSO2 Review Implementation Progress Report FINAL 14 June 2018.pdf

Implementation Final Report (Submitted to the GNSO Council on 30 July 2018): GNSO2 Review Implementation Final Report 30 July 2018.pdf; Slides for 16 August GNSO Council meeting

Brief Statistics:

Phase	Recommendations	Approved	Consensus Calls	Under Review/Pending	Staff to Draft
1	13	100%	0%	0%	0%
2	7	100%	0%	0%	0%
3	14	100%	0%	0%	0%

Total	Approved	Consensus Calls	Under Review/Pending	Staff to Draft
34	100%	0%	0%	0%

Implementation Plans Completed and Approved by Consensus (34 = 100%):

IMPLEMENTED-GNSO Review Charter Recs 1-2-3 24 May 2018.pdf – Implementation Completed per Consensus as of 24 May 2018

IMPLEMENTED-GNSO Review Charter Rec 4 08 January 2018.pdf – Implementation Completed per Consensus as of 08 January 2018

IMPLEMENTED-GNSO Review Charter Recs 5-9-17 08 January 2018.pdf – Implementation Completed per Consensus as of 08 January 2018

IMPLEMENTED-GNSO Review Charter Recs 6-33-35-36 21 June 2018.pdf – Implementation Completed per Consensus as of 21 June 2018

IMPLEMENTED-GNSO Review Charter Recs 7&12 27 April 2018.pdf – Implementation Completed per Consensus as of 27 April 2018.

IMPLEMENTED-GNSO Review Charter Rec 8 04 May 2017.pdf – Implementation Completed per Consensus as of 04 May 2017

IMPLEMENTED-GNSO Review Charter Rec 10-11 09 November 2017.pdf – Implementation Completed per Consensus as of 09 November 2017

IMPLEMENTED-GNSO Review Charter Rec 13 27 July 2017.pdf – Implementation Completed per Consensus as of 27 July 2017

IMPLEMENTED-GNSO Review Charter Recs 14&15 04 May 2017.pdf – Implementation Completed per Consensus as of 04 May 2017

IMPLEMENTED-GNSO Review Charter Rec 16 29 May 2017.pdf – Implementation Completed per Consensus as of 29 May 2017

IMPLEMENTED-GNSO Review Charter Rec 18 09 November 2017.pdf – Implementation Completed per Consensus as of 09 November 2017

IMPLEMENTED-GNSO Review Charter Rec 19 21 August 2017.pdf – Implementation Completed per Consensus as of 21 August 2017

IMPLEMENTED-GNSO Review Charter Recs 20-21 24 May 2018.pdf – Implementation Completed per Consensus as of 24 May 2018

IMPLEMENTED-GNSO Review Charter Rec 22 29 March 2018.pdf – Implementation Completed per Consensus as of 29 March 2018

IMPLEMENTED-GNSO Review Charter Rec 24-25 10 July 2017.pdf – Implementation Completed per Consensus as of 10 July 2017

IMPLEMENTED-GNSO Review Charter Recs 26-27-28-29 07 June 2018.pdf – Implementation Completed per Consensus as of 07 June 2018

IMPLEMENTED-GNSO Review Charter Rec 30 31 August 2017.pdf – Implementation Completed per Consensus as of 31 August 2017

Detailed Status:

Phase 1: Work Already Underway – 13 Recommendations All Deemed Implemented By Consensus

Recommendation 8: That Working Groups should have an explicit role in responding to implementation issues related to policy they have developed.

Recommendations 10/11 10 – That the GNSO Council develop criteria for Working Groups to engage a professional facilitator/moderator in certain situations. 11 – That the face-to-face PDP Working Group pilot project be assessed when completed. If the results are beneficial, guidelines should be developed and support funding made available. *Status: Implemented by consensus on 09 November 2017.*

Recommendation 13: That the GNSO Council evaluate and, if appropriate, pilot a technology solution (such as Loomio or similar) to facilitate wider participation in Working Group consensus-based decision making.

Recommendation 14 & 15: 14 – That the GNSO further explores PDP 'chunking' and examines each potential PDP as to its feasibility for breaking into discrete stages. 15 – That the GNSO continues current PDP Improvements Project initiatives to address timeliness of the PDP.

Recommendation 16: That a policy impact assessment (PIA) be included as a standard part of any policy process.

Recommendations 24 & 25: 24 – That the GNSO Council and Stakeholder Groups and Constituencies adhere to the published process for applications for new Constituencies. That the ICANN Board in assessing an application satisfy itself that all parties have followed the published process, subject to which the default outcome is that a new Constituency is admitted. That all applications for new Constituencies, including historic applications, be published on the ICANN website with full transparency of decision-making. 25 – That the GNSO Council commission the development of, and implement, guidelines to provide assistance for groups wishing to establish a new Constituency.

Recommendation 18: That the GNSO Council evaluate post implementation policy effectiveness on an ongoing basis (rather than periodically as stated in the current GNSO Operating Procedures); and that these evaluations are analyzed by the GNSO Council to monitor and improve the drafting and scope of future PDP Charters and facilitate the effectiveness of GNSO policy outcomes over time.

Recommendation 19: As strategic manager rather than a policy body the GNSO Council should continue to focus on ensuring that a Working Group has been properly constituted, has thoroughly fulfilled the terms of its charter and has followed due process.

Recommendation 30: That the GNSO develop and implement a policy for the provision of administrative support for Stakeholder Groups and Constituencies; and that Stakeholder Groups and Constituencies annually review and evaluate the effectiveness of administrative support they receive.

Recommendation 31: That the GAC-GNSO Consultation Group on GAC Early Engagement in the GNSO Policy Development Process continue its two work streams as priority projects. As a part of its work it should consider how the GAC could appoint a non-binding, non-voting liaison to the Working Group of each relevant GNSO PDP as a means of providing timely input.

Phase 2: High Priority Recommendations – 7 Recommendations (moved to Phase 3)

Recommendations Deemed Implemented by Consensus (4):

*R*ecommendations 26, 27, 28, 29: 26 – **That GNSO Council members, Executive Committee members of Stakeholder Groups and Constituencies and members of Working Groups complete and maintain a current, comprehensive Statement of Interest on the GNSO website. Where individuals represent bodies or clients, this information is to be posted. If not posted because of client confidentiality, the participant's interest or position must be disclosed. Failing either of these, the individual not be permitted to participate. 27 – That the GNSO establish and maintain a centralized publicly available list of members and individual participants of every Constituency and Stakeholder Group (with a link to the individual's Statement of Interest where one is required and posted). 28 – That section 6.1.2 Membership of Chapter 6.0 Stakeholder Groups and Constituencies: Operating Principles and Participation Guidelines of the GNSO Operating Procedures be revised to clarify that key clauses are mandatory rather than advisory, and to institute meaningful sanctions for non-compliance where appropriate. 29 – That Statements of Interest of GNSO Council Members and Executive Committee members of all Stakeholder Groups and Constituencies include the total number of years that person has held leadership positions in ICANN.** *Status: Draft charter sent out for review on 17 August; discussed on 28 September. Staff provided a revised charter on 28 November for discussion at the meeting on 30 November. Working Group reviewed a revised version that included new text relating to compliance with GDPR. Final Draft Charter sent for Consensus Call on 24 May 2018 to close on 07 June 2018. See: CONSENSUS CALL - GNSO*

Review Implementation Charter Recs 26-27-28-29 24 May 2018.pdf. **Upon close of the Consensus Call the charter was deemed implemented by Consensus. See above.**

Out for Consensus Call (3):

Recommendation 6: That the GNSO record and regularly publish statistics on Working Group participation (including diversity statistics). *Status : Further staff revisions on 28 August, discussed on 31 August – combine with Recommendations 33 and 36 that also relate to diversity.*

Recommendation 33: That Stakeholder Groups, Constituencies, and the Nominating Committee, in selecting their candidates for appointment to the GNSO Council, should aim to increase the geographic, gender and cultural diversity of its participants, as defined in ICANN Core Value 4. *Status: Combine with Recommendations 6 and 36 that also relate to diversity.*

(Phase 3) Recommendation 35: That the GNSO Council establish a Working Group, whose membership specifically reflects the demographic, cultural, gender and age diversity of the Internet as a whole, to recommend to Council ways to reduce barriers to participation in the GNSO by non- English speakers and those with limited command of English.

Recommendation 36: That, when approving the formation of a PDP Working Group, the GNSO Council requires that its membership represent as far as reasonably practicable the geographic, cultural and gender diversity of the Internet as a whole. Additionally, that when approving GNSO Policy, the ICANN Board explicitly satisfy itself that the GNSO Council undertook these actions when approving the formation of a PDP Working Group.

Status: Final Draft Charter sent for Consensus call on 07 June 2018 to close on 21 June 2018. See: CONSENSUS CALL - GNSO Review Implementation Charter Recs 6-33-35-36 07 June 2018.pdf

Phase 3: Medium and Low Priority Recommendations – 14 Recommendations

Recommendations Deemed Implemented by Consensus (13):

Recommendation 4: That the GNSO Council introduce nonfinancial rewards and recognition for volunteers. *Status: Approved by Consensus on 08 January 2018*

Recommendations 5, 9, and 17: 5 – That, during each Working Group self-assessment, new members be asked how their input has been solicited and considered. 9 – That a formal Working Group leadership assessment program be developed as part of the overall training and development program. 17 – That the practice of Working Group self-evaluation be incorporated into the PDP; and that these evaluations should be published and used as a basis for continual process improvement in the PDP. *Status: Approved by Consensus on 08 January 2018*

Recommendations 7 and 12 – 7: *Status: Approved by Consensus on 27 April 2018.*

Recommendation 22: That the GNSO Council develop a competency-based framework, which its members should use to identify development needs and opportunities. Status: Working Group is reviewing the revised draft charter. *Status: Approved by Consensus on 29 March 2018.*

Recommendation 34: That PDP Working Groups rotate the start time of their meetings in order not to disadvantage people who wish to participate from anywhere in the world. *Status: Approved by consensus on 18 January 2018.*

Recommendations 1, 2, and 3: 1 – That the GNSO develop and monitor metrics to evaluate the ongoing effectiveness of current outreach strategies and pilot programs with regard to GNSO Working Groups. 2 – That the GNSO develop and fund more targeted programs to recruit volunteers and broaden participation in PDP Working Groups, given the vital role volunteers play in Working Groups and policy development. 3 – That the GNSO Council reduce or remove cost barriers to volunteer participation in Working Groups. See: CONSENSUS CALL - GNSO Review Implementation Charter Recs 1-2-3 10 May 2018.pdf

Recommendation 20: That the GNSO Council should review annually ICANN's Strategic Objectives with a view to planning future policy development that strikes a balance between ICANN's Strategic Objectives and the GNSO resources available for policy development. Recommendation 21: That the GNSO Council should regularly undertake or commission analysis of trends in gTLDs in order to forecast likely requirements for policy and to ensure those affected are well-represented in the policy-making process. See: CONSENSUS CALL - GNSO Review Implementation Charter Recs 20-21 10 May 2018.pdf

Out for Consensus Call (1):

Recommendation 35 (see above – combined with 6, 33, and 36: That the GNSO Council establish a Working Group, whose membership specifically reflects the demographic, cultural, gender and age diversity of the Internet as a whole, to recommend to Council ways to reduce barriers to participation in the GNSO by non- English speakers and those with limited command of English.

Status: Final Draft Charter sent for Consensus call on 07 June 2018 to close on 21 June 2018. See: CONSENSUS CALL - GNSO Review Implementation Charter Recs 6-33-35-36 07 June 2018.pdf