WHOIS Related Requirements

Note: This document provides a summary of registrar requirements related to the WHOIS as stated in the links set out below. The summarization of terms within this document do not override or replace the terms set forth within the stated policy. Please refer to the full text of the policy to review and understand the requirements for registrars.

This section covers the following:

- 2013 RAA Registration Data Directory Service (WHOIS) Specification
- WHOIS Advisory: Clarifications to the Registry Agreement, and the 2013 Registrar Accreditation Agreement (RAA) regarding applicable Registration Data Directory Service (WHOIS) Specifications
- 2013 RAA WHOIS Accuracy Program Specification (WAPS)
- WHOIS Advisory: Clarifications to the 2013 RAA WHOIS Accuracy Specification
- Additional WHOIS Information Policy (AWIP)

2013 RAA Registration Data Directory Service (WHOIS) Specification

https://www.icann.org/resources/pages/approved-with-specs-2013-09-17-en#WHOIS

Basic Requirements

- Registrar must operate a port 43 WHOIS service for TLDs operated by thin registry.
- Registrar must operate a web-based WHOIS service, free for public query-based access.
- Registrar is not required to provide port 43 WHOIS service for domain names that are not sponsored by the registrar.
- Both WHOIS services need to be accessible via both IPv4 and IPv6 networks.

Defined Standardized WHOIS Output Format

Domain Name: EXAMPLE.TLD Registry Domain ID: D1234567-TLD Registrar WHOIS Server: WHOIS.example-registrar.tld Registrar URL: http://www.example-registrar.tld Updated Date: 2009-05-29T20:13:00Z Creation Date: 2000-10-08T00:45:00Z Registrar Registration Expiration Date: 2010-10-08T00:44:59Z Registrar: EXAMPLE REGISTRAR LLC Registrar IANA ID: 5555555 Registrar Abuse Contact Email: email@registrar.tld Registrar Abuse Contact Phone: +1.1235551234 Reseller: EXAMPLE RESELLER1 Domain Status: clientDeleteProhibited2 Domain Status: clientRenewProhibited Domain Status: clientTransferProhibited Registry Registrant ID: 5372808-ERL3 Registrant Name: EXAMPLE REGISTRANT4 Registrant Organization: EXAMPLE ORGANIZATION Registrant Street: 123 EXAMPLE STREET Registrant City: ANYTOWN Registrant State/Province: AP5 Registrant Postal Code: A1A1A16 Registrant Country: AA Registrant Phone: +1.5555551212 Registrant Phone Ext: 12347 Registrant Fax: +1.5555551213 Registrant Fax Ext: 4321 Registrant Email: EMAIL@EXAMPLE.TLD Registry Admin ID: 5372809-ERL⁸ Admin Name: EXAMPLE REGISTRANT ADMINISTRATIVE Admin Organization: EXAMPLE REGISTRANT ORGANIZATION Admin Street: 123 EXAMPLE STREET Admin City: ANYTOWN Admin State/Province: AP Admin Postal Code: A1A1A1 Admin Country: AA Admin Phone: +1.5555551212 Admin Phone Ext: 1234 Admin Fax: +1.5555551213 Admin Fax Ext: 1234 Admin Email: EMAIL@EXAMPLE.TLD Registry Tech ID: 5372811-ERL⁹ Tech Name: EXAMPLE REGISTRANT TECHNICAL Tech Organization: EXAMPLE REGISTRANT LLC Tech Street: 123 EXAMPLE STREET Tech City: ANYTOWN Tech State/Province: AP Tech Postal Code: A1A1A1 Tech Country: AA Tech Phone: +1.1235551234 Tech Phone Ext: 1234 Tech Fax: +1.5555551213 Tech Fax Ext: 93 Tech Email: EMAIL@EXAMPLE.TLD Name Server: NS01.EXAMPLE-REGISTRAR.TLD¹⁰ Name Server: NS02.EXAMPLE-REGISTRAR.TLD DNSSEC: signedDelegation URL of the ICANN WHOIS Data Problem Reporting System: http://wdprs.internic.net/ >>> Last update of WHOIS database: 2009-05-29T20:15:00Z <<<

Defined WHOIS Service Level Agreement Matrix

	Parameter	SLR (monthly basis)
RDDS	RDDS availability	less than or equal to 864 min of downtime
	RDDS query RTT	less than or equal to 4000 ms, for at least 95% of the queries
	RDDS update time	less than or equal to 60 min, for at least 95% of the probes

WHOIS Advisory: Clarifications to the Registry Agreement, and the 2013 Registrar Accreditation Agreement (RAA) regarding applicable Registration Data Directory Service (WHOIS) Specifications

[Effective 31 January 2016]

https://www.icann.org/resources/pages/registry-agreement-raa-rdds-2015-04-27-en

Note: This Advisory included clarification for both Registry and Registrars. For the matter of relevance, this section will only include clarified requirements for registrars.

Optional Fields and Requirements

- List of the optional fields
 - a. Updated Date (if the domain name has not been updated since registration)
 - b. Registrant/Admin/Tech Organization
 - c. Registrant/Admin/Tech State/Province
 - d. Registrant/Admin/Tech Postal Code
 - e. Registrant/Admin/Tech Phone Ext
 - f. Registrant/Admin/Tech Fax
 - g. Registrant/Admin/Tech Fax Ext
- If data exist for a given optional field, the key (field name) and the value with the data MUST be shown. •
 - If no data exists in these optional fields, registrar MUST implement either of:
 - a. the key (field name) MUST be shown with no information in the value section of the field; or
 - b. no field MUST be shown.

Example:

Tech Email: EMAIL@EXAMPLE.TLD Name Server: DNSSEC: unsigned . . .

or

Tech Email: EMAIL@EXAMPLE.TLD DNSSEC: unsigned

. . .

Name Server

Requirements on "Required " Fields

- Except "Optional" fields, all other fields listed in RDDS Specification Section 1.4.2 of 2013 RAA are "Required".
- Noteworthy requirements:
 - a. Registrar is only REQUIRED to show WHOIS information for domain names for which the Registrar is the Sponsoring Registrar
 - b. Non-US-ASCII characters SHOULD be encoded in UTF-8.
 - c. IP address(es) for name servers SHOULD NOT be shown in WHOIS output.
 - d. Do not add JavaScript or other client-side script code to the port 43 WHOIS output, and do not add data that could be wrongfully interpreted as markup language in web-based WHOIS.
 - e. All field names are case sensitive and it MUST be shown as defined in section 1.4.2 of the RDDS specification of the 2013 RAA.

Fields may be in Different Places

• IDN Domain Name (U-Label), two acceptable places:

- a. Immediately following the field "Domain Name", or
 b. As an additional field, immediately after the "URL of the ICANN WHOIS Data Problem Reporting System" field.

Example:

Domain Name: xncaf-dma.example	
Internationalized Domain Name: café.example	
or	
DNSSEC: signedDelegation	
URL of the ICANN WHOIS Data Problem Reporting System: http://wdprs.internic.net/	
Internationalized Domain Name: café.example	

• Abuse Contact, two acceptable places:

- Registrar Abuse Contact Email
- Registrar Abuse Contact Phone
- a. Following the "Registrar IANA ID" field; or
 b. Immediately before the last field ("URL of the ICANN WHOIS Data Problem Reporting System")

Example:

Registrar IANA ID: 8888
Registrar Abuse Contact Email: example@example.com
Registrar Abuse Contact Phone: +1.1231231234
Or
DNSSEC: unsigned
Registrar Abuse Contact Email: example@example.com
Registrar Abuse Contact Phone: +1.1231231234
URL of the ICANN WHOIS Data Problem Reporting System:
http://wdprs.internic.net/
>>> Last update of WHOIS database: 2015-07-01T9:00:00Z <<<

• Billing Contact, two acceptable places:

a. Immediately after the field "Technical Contact", orb. As additional fields that follows the last field "URL of the ICANN WHOIS Data Problem Reporting System"

Example:

Tech Phone Ext:
Tech Fax: +1.1231231234
Tech Fax Ext:
Tech Email: example@example.com
Billing Name: Billing name
Billing Organization: Example company
Billing Street: 123 EXAMPLE STREET
Or
URL of the ICANN WHOIS Data Problem Reporting System: <u>http://wdprs.internic.net/</u>
Billing Name: Billing name
Billing Organization: Example company
Billing Street: 123 EXAMPLE STREET

>>> Last update of WHOIS database:2014-03-19T07:48:59Z<<<

Other Requirements

The following items' numbering conform with the numbering in the Advisory:

4. If the registrar decides to provide translation to field names, the translation must be in parenthesis (U+0028 and U+0029), with Solidus between different translations, spaces should conform with the below example:

Domain Name (/): foo.example

5. All domain name labels in WHOIS (except the IDN Field), should display its ASCII form (A-Label), e.g. NS Record with an IDN domain name:

Name Server: ns1.xn--caf-dma.example

8. The date and time shown in the footer

">>> Last update of WHOIS database: <date and time> <<<"

is the date and time in which the RDDS database was updated from the SRS database. In a case where the registrar is querying its own database, and therefore, using real-time data, this date and time will show the timestamp of the response to the query.

10. "DNSSEC: signedDelegation" MUST be shown when the domain name has one or more DS or DNSKEY records. "DNSSEC: unsigned" MUST be shown if the domain name is not signed.

17. There SHOULD NOT be empty lines between the last data field and the footer ">>> Last update of WHOIS database: <date and time> <<<". If shown, no more than three (3) empty lines are allowed.

18. WHOIS (port-43) queries for domain name objects SHOULD return only one record per query (i.e., no partial match or other search capabilities, only exact match lookup).

24/25. Except the following fields below, the other fields MUST NOT appear multiple times:

- a. Domain Status
- b. Name Server
- c. Registrant/Admin/Tech/Billing Street (EPP RFC 5733)

26. When a queried object does not exist, registrar SHOULD return the key "The queried object does not exist."

- a. optionally followed by a registry-defined text message (the value) that provides more information about the non-existence of the object.
- b. No other fields MUST be shown.
- c. The "last update" footer, blank line, and legal disclaimer MUST follow as with other WHOIS queries.

46. The field "Registry Admin/Tech/Billing/Registrant ID:", this information MUST be shown if available from the Registry. If this information is not available from the Registry (e.g., a "thin" registry), the string "Not Available From Registry" SHOULD be shown instead.

50. The following fields are not specified in the EPP RFCs: 5730-5734, or 3915, and MUST follow the below format specifications:

- a. "Registrar Abuse Contact Email" (RFC 5322)
- b. "Registrar Abuse Contact Phone" (ITU-T E.164)
- c. "Reseller" is defined as token (Extensible Markup Language 1.1)
- d. "Registrar WHOIS Server" value is defined as a hostname and is the server name of the (port-43) WHOIS server of the sponsoring Registrar
- e. "Registrar URL" value is defined as a URL (see RFC3986) and MUST show the website of the sponsoring registrar, more specifically, the URL of the web-WHOIS of the queried object, or at least the URL of the web-WHOIS service of the registrar
- f. "Registrar IANA ID" value must be a positive decimal integer.
- g. "Registrar" value is defined as token (Extensible Markup Language 1.1).

Links to the definition of "Token" : http://www.w3.org/TR/xmlschema11-2/#token

51. The value section of the "Reseller" field SHOULD be shown, but MAY be left blank or the whole field MAY not be shown at all. If shown, the value of the field MUST be the name of organization, or a natural person name.

2013 RAA WHOIS Accuracy Program Specification (WAPS)

https://www.icann.org/en/resources/registrars/raa/approved-with-specs-27jun13-en.htm#WHOIS-accuracy

Section 1(a) to (d) - Validation: Ensure format of WHOIS data is consistent with standards	Section 1(f) - Verification: Confirm accuracy of WHOIS data	
-> Registrar must perform validation within 15 calendar days of a/an:	-> Registrar must verify RNH's email address or telephone number within 15 calendar days of a /an:	
 New registration Inbound transfer Change of RNH (Registered Name Holder) Change to RNH or account holder contact data 	 New registration Inbound transfer Change of RNH Change to RNH or account holder contact data 	
-> Required fields must be completed and formatted properly	-> Registrar must also verify RNH's email address within 15 days of:	
-> Validate that the format of the data conforms to standards (links included):	 Information suggesting WHOIS data is incorrect -> Affirmative response from RNH and account holder is required 	
 Email (RFC 5322) Telephone (ITU-T E.164) Postal Address Standard (UPU, S42, or other standard format for the applicable territory) 	-> Non-response from RNH requires registrar to perform manual verification or suspend the registration. Non-response from account holder only requires manual verification.	

- A domain name can be suspended and/or canceled, if RNH meets below conditions:
 - Section 5 of WAPS:
 - Willfully provide inaccurate or unreliable information
 - Failed to respond for over fifteen (15) days to inquiries by Registrar concerning the accuracy of contact details associated with the RNH.
- Section 3.2.2 in 2013RAA:
 - Willfully failed to update information provided to Registrar within seven (7) days of any change.

WHOIS Advisory: Clarifications to the 2013 RAA WHOIS Accuracy Specification

[Published: 16 November 2015]

https://www.icann.org/resources/pages/raa-WHOIS-accuracy-2015-11-16-en

- 1. Registrar is not required to validate or verify RNH (and, if different, Account Holder) contact information when a domain name is renewed solely because the registration renewed.
 - If contact information is materially changed or if another circumstance requiring validation or verification applies, the registrar must perform the required action(s).
- In cases where a domain name is transferred to a gaining registrar, and in the course of the transfer, the gaining registrar obtains consent to the transfer via the FOA from the Registered Name Holder or Account Holder via means that would fulfill the verification requirements of section 1(f) (i) of the Specification, the gaining registrar does not need to repeat the verification process on the contact data if there are no material changes to that contact data.
- 3. WHOIS-related complaints that are processed by ICANN as a "data format" issues (as opposed to "data accuracy" issues) do not invoke an obligation for the registrar to validate or verify WHOIS information. In such cases, the registrar is required to correct the data formatting issue but is not required to contact the RNH to verify the formatting correction.
- 4. Registrars can engage third parties (e.g. Resellers) to perform data validation and verification as required, but registrars are ultimately responsible for ensuring compliance with the Specification's requirements.

Additional WHOIS Information Policy (AWIP)

[Effective 31 January 2016]

http://www.icann.org/resources/pages/policy-awip-2014- 07-02-en

- Registrars who include domain name registration statuses in WHOIS output:
 - must only refer to the statuses by using EPP codes, AND provide a link/URL next to the EPP code directing to an ICANN page
 - explaining the code's meaning
 must include in the WHOIS output: "For more information on WHOIS status code, please visit https://icann.org/epp" Or the longer form of the link is also acceptable: https://www.icann.org/resources/pages/epp-status-codes-list-2014-06-18-en."
- Registries must include the ICANN-issued Globally Unique Registrar Identification number (GURID or IANA ID) in their WHOIS output

AWIP Examples:

	Registrar Whois (AWIP)	
Domain Name: EXAMPLE.TLD		
	Registry Domain ID: D1234567-TLD	
	Registrar WHOIS Server: whois.example-registrar.tld	
	Registrar URL: http://www.example-registrar.tld	
	Updated Date: 2009-05-29T20:13:00Z	
	Creation Date: 2000-10-08T00:45:00Z	
	Registrar Registration Expiration Date: 2010-10-08T00:44:59Z	
	Registrar: EXAMPLE REGISTRAR LLC	
<	Registrar IANA ID: 555555	
	Registrar Abuse Contact Email@registrar.tld	
	Registrar Abuse Contact Phone: +1.1235551234	
	Reseller: EXAMPLE RESELLER	
	Domain Status: clientDeleteProhibited http://icann.org/epp#clientDeleteProhibited	
	Domain Status: clientRenewProhibited http://icann.org/epp#clientRenewProhibited	
_		
	Domain Status: clientTransferProhibited http://icann.org/epp#clientTransferProhibited	
	Registry Registrant iD: 5372808 ERL	
	Registrant Name: EXAMPLE REGISTRANT	
	Admin Phone: +1.555551212 Admin Phone Ext: 1234 Admin Fax: +1.5555551213 Admin Fax Ext: 1234 Admin Fax Ext: 1234 Admin Exall@EXAMPLE.TLD Registry Tech ID: 5372811-ERL Tech Name: EXAMPLE REGISTRANT TECHNICAL Tech Organization: EXAMPLE STREET Tech Street: 123 EXAMPLE STREET Tech City: ANYTOWN Tech State /Province: AP Tech Pone: +1.1235551234 Tech Phone: +1.1235551234 Tech Phone: ext: 1355551213 Tech Fax Ext: 93 Tech EXAMPLE EXAMPLE.TLD	
	Tech Email: EMAIL@EXAMPLE.ILD Name Server: NS01.EXAMPLE-REGISTRAR.TLD	
	Name Server: NS02.EXAMPLE-REGISTRAR.TLD	
	DNSSEC: signedDelegation	
	URL of the ICANN WHOIS Data Problem Reporting System: http://wdprs.internic.net/ >>> Last update of WHOIS database: 2009-05-29T20:15:00Z <<<	