

Safeguards & Trust

Members:

- Laureen Kapin (Subteam Chair)
- Carlton Samuels
- Carlos Raul Gutierrez
- Gao Mosweu
- Fabro Stiebel
- Jamie Hedlund
- Drew Bagley
- David Taylor
- Calvin Browne

Mandate: This sub team was created to explore two key areas of the CCT Review as outlined in section 9.3 of the Affirmation of Commitments: "...the extent to which the introduction or expansion of gTLDs has promoted ... consumer trust ... as well as effectiveness of ... safeguards put in place to mitigate issues involved in the introduction or expansion."

⚠ Documents are drafts and should not be considered consensus language.

Assignments/Ownership Post Vienna Meeting

TOPIC 1 - Has New gTLD Program put mechanisms in place to improve trustworthiness in the DNS?		
Background of History of GAC Safeguards and new Registry Agreement (template 2)	Laureen	• v6 • v5 • v1
Description of Technical Safeguards and Implementation (template 2)	Calvin	• v6 • v5 • v1
Description of Safeguards Applicable to all gTLDs and Implementation (template 2)	Laureen	• v6 • v5 • v1
Description of Safeguards Applicable to sensitive /highly regulated gTLDs and Implementation (template 2)	Laureen	• v6 • v5 • v1
Description of Voluntary Public Interest Commitments (template 6, new paper)	Laureen /Drew /Jamie	• v3 • v2 • v1
Description of Rights Protection Mechanisms (templates 7, 8)	David	• v2 • v1
TOPIC 3 - Have these efforts had an impact on public perception of the DNS?		
What is the level of consumer awareness of the new gTLDs?		
For consumers (template 9)	Carlton	• v4 (Nielsen review) • v3

Useful Links

S&T Action Items
S&T Call Schedule & Archives
S&T Mailing-List Archives
Plenary Drafts/Documents
Recommendations tool

Latest documents:

- **Outline of individual assessments - DOC**
- **Revised template - DOC**
- **Model issue paper - DOC-PDF**

Where can I find sources and information?

CCT Metrics
CCT Data Resources Spreadsheet
CCT Background Material
DNS Abuse Reading List/Sources
Impact of Safeguards & PICs Reading List/Sources
Consumer/End-User Behavior Reading List/Sources
Procedures Reading List/Sources

High level findings
v1

TOPIC 2 - Has the New GTLD Program put sufficient mechanisms in place to mitigate risks to the trustworthiness of the DNS?		
Have the Safeguards been Implemented in a Manner that Promotes Effective Enforcement?		
Technical Safeguards (template 2)	Calvin	• v4 • v3 • v2 • v1
Implemented GAC Safeguards (templates 2,4,5,11)	Laureen	• v6 • v5 • v4 • v3 • v2 • v1
Voluntary PICs (template 6, new paper)	Drew	• v2 • v1
RPMS	David	• v2 • v1
Have the New gTLD Operators Complied with:		
Technical Safeguards (templates 4,7)	Fabro	
GAC Implemented Safeguards (templates 4, 7)	Fabro	
RPMS (templates 7, 8)	David	• v1
What was the Impact of the New Safeguards on DNS Abuse?		

For registrants (template 9)	Gao	• v2 • v1
Have consumers expressed trust in the new gTLD program efforts?		
For consumers (template 10)	Laureen	• v3 (revised version) • v2 (Nielsen review) • v1
For registrants (template 10)	Laureen	
Has consumer trust in the DNS improved overall since the introduction of new gTLDs?		
(new template)	Jamie	• v3 • v2 • v1

(template 1, 3, 4, 5)	D rew	
Did the New Rights Protection Mechanisms Mitigate Certain Risks Involved with the Expansion of the gTLD program? (templates 7, 8)	D a vid	- v2 - v1

Effectiveness of Procedures to Enforce Safeguards		
Are the new procedures effective in enforcing safeguards?	Carlton	- v2 - v1

Template #s - (Discussion papers FOR VIENNA MEETING)		Google drive
DNS Abuse		
1. Is there more or less DNS Abuse in the new gTLDs Drew (lead), Fabro, Calvin - (g-doc)		
Document	File	
Impact of Safeguards & PICs (Versions in red are latest)		
- Technical Category/DNS Abuse		
BRAINSTORMING DOCUMENT		
2. Have the safeguards been fully implemented? Laureen (lead), Carlos, Calvin (g-doc)		
v1 (23 Feb)	DOC - PDF	
3. What role did the new gTLD safeguards play in preventing DNS abuse? Drew (lead), Fabro, Calvin (g-doc)		
v2/3 (3 March)	DOC - PDF	
4. Have new gTLD registry operators complied with the safeguards? Fabro (lead), Carlton, David (g-doc)		
v4 (4 March)	DOC - PDF - G-doc	
- Specification 11		
v5 (10 March)	DOC - PDF - G-doc	
5. How was Specification 11 implemented by new gTLD registry operators Paper? Laureen (lead), Carlos, Calvin (g-doc)		
Priority list	DOC - PDF - Priority list G-doc	
6. Did the use of PICs help prevent DNS abuse? Drew (lead), Carlos, Gao, Fabro (g-doc)		
v6 (31 March)	DOC - PDF - G-doc	
- Rights Protection Mechanisms		
v7 (28 April)	PDF	
7. Did the use of Rights Protection Mechanisms mitigate the risks involved with the expansion of the gTLD program? David (lead), Jamie, Fabro (g-doc)		
v8 (7 June)	DOC - PDF - G-doc	
8. Have the new rights resolution mechanisms reduced trademark infringement? (TBD) David (lead), Jamie, Carlos (g-doc)		
SAFEGUARDS ANALYSIS CHART		
Consumer End User Behavior		
v1 (6 June)	DOC - PDF	
9. Are consumers in the global space aware of new gTLDs? Carlton (lead), Gao, Calvin (g-doc)		
v2 (6 June)	DOC - PDF	
10. Do consumers trust new gTLDs? Laureen (lead), Gao, Carlos (g-doc)		
v3 (10 June)	DOC - PDF	
Effectiveness of Procedures to Enforce Safeguards		
v4 (10 June)	DOC - PDF	
11. Are the new procedures effective in enforcing safeguards? Carlton (lead), Jamie, Laureen (g-doc)		
v1 (1 August)	G-doc	
DISCUSSION PAPERS - TOPIC LIST		
v1 (12 July)	DOC - PDF	
v2 (20 July)	DOC - PDF - gDOC	
SCOPE & MANDATE WITH SUBQUESTIONS		

v1 (28 August)	DOC - PDF
v2 (29 August)	DOC - PDF
v3 (29 August)	DOC - PDF
v4 (30 August)	DOC - PDF
v5 (6 September)	DOC - PDF
v6 (18 September)	DOC - PDF
VOLUNTARY PICS	
v1 (13 July)	DOC - PDF
OTHER	
Regulated and sensitive strings delegated (29 April 2016)	XLS - PDF
ARCHIVES - DOCUMENTS NO LONGER APPLICABLE 	
WORK PLAN	
v1 (3 May)	DOC - PDF
v2 (2 June)	DOC
v3 (23 June)	DOC - PDF
	 No longer applicable