

Sign-Up Sheet for Task 8 - Possible Requirements List

Per [RDS PDP WG Call 24 May, 2016](#), **Action item #3**, below please find the latest sign-up list allowing WG members to volunteer to review documents and identify possible requirements.

Instructions: This [wiki sign-up sheet](#) is to be used by RDS PDP WG members to volunteer to extract *possible requirements for gTLD registration data or directory services* (see <https://community.icann.org/x/8waOAww>). To volunteer, sign up for a key input document that is familiar to you by picking an unassigned document from the sign-up sheet (below). You may volunteer to review multiple documents, but please sign up for only one document at a time to give all a chance to contribute in parallel.

Sign up for a document by either

(a) adding your name in the Volunteer column to just one unassigned document below, or

(b) sending email to gnso-rds-pdp-wg@icann.org and staff will add your name to this sign-up sheet.

Please do not modify any other part of this sign-up sheet.

How to submit possible requirements: Send all possible requirements via email to gnso-rds-pdp-wg@icann.org. For each possible requirement:

- Identify the associated charter question(s);
- Succinctly quote or paraphrase a possible requirement, focusing on phase 1 policy requirements;
- Cite the source document by title and hyperlink. Possible requirements may also be submitted from new sources not already listed below.
- Many examples can be found in the latest draft of the WG list of possible requirements:
 - [Draft 5: RDS PDP Initial List of Possible Requirements for gTLD registration data and directory services \(28 October 2016\) \[PDF\]](#) and [\[DOC\]](#)
 - An Excel workbook version is also available for filtering: [PRSSpreadsheets-D5Triage-28October.xlsx](#)
 - (Hint: Set all column filters to "All" to view all rows)

History:

Draft 3 of this document was originally attached to [Outreach Message #2](#) and provided to SO/AC/SG/Cs so that those groups could suggest any needed additions. WG members then extracted further possible requirements from additional documents (as recorded below) and from other sources for inclusion in Draft 4.

Draft 3 was also organized into grid format for triage into phases and groups - see [RDS PDP List of Possible Requirements D3 - TriageInProgress - 13 July.docx](#).

Draft 4 included all possible requirements submitted since the original publication of Draft 3, in triaged grid format, along with keyword and coding definitions - see [Draft 4: RDS PDP Initial List of Possible Requirements for gTLD registration data and directory services \(11 September 2016\)](#)

Next Steps:

All WG member submissions of possible requirements for registration data and directory services through 28 October 2016 have now been incorporated into:

- [Draft 5: RDS PDP Initial List of Possible Requirements for gTLD registration data and directory services \(28 October 2016\)](#)

This new draft:

- includes all possible requirements submitted since the original publication of Draft 4,
- deletes or moves possible requirements identified by the WG as having questionable relevance to the RDS,
- refines the code values initially mapped from keywords, and
- orders code and keyword values alphabetically for easier filtering.

Triage will continue as the WG incorporates additional possible requirements (see pending assignments below) and reviews and refines codings.

The WG will now begin deliberation using subsets drawn from Draft 5, considering the possible requirements themselves.

All WG member submissions to date can be found by clicking on "Y" in the "Done" column below.

Key Input Document – Title and Hyperlink	Volunteer	Done
EWG Report		
EWG Final Report, FAQs, and Research (2014)	Chuck Gomes	Y
WHOIS Task Force & Policy Review Team Reports		
WHOIS Policy Review Team Final Report (2012)	Susan Kawaguchi Kathy Kleiman	Y Y

WHOIS Task Force Final Report (2007) [HTML] and [PDF]		
WHOIS Task Force Final Report (2003)		
WHOIS Studies Documents		
GNSO Council Recommendation on Further Studies of WHOIS (2008)	Richard Padilla	Y
WHOIS Misuse Study and Final Study Report (2014)	Richard Padilla	d5 (partial)
WHOIS Registrant Identification Study and Final Study Report (2013)		
WHOIS Privacy and Proxy Services Abuse Study and Final Study Report (2014)		
WHOIS Privacy and Proxy Relay/Reveal Survey and Final Survey Results (2012)		
NORC WHOIS Accuracy Study (2010)		
NORC Study on the Prevalence of Domain Names Registered Using A Privacy or Proxy Service Among the Top 5 gTLDs (2010)		
US GAO Prevalence of False Contact Information for Registered Domain Names Study (2005)		
GNSO WHOIS Technical Requirements Survey (2013)		
SSAC Reports about WHOIS		
SAC061, SSAC Comment on ICANN's Initial Report from the Expert Working Group (2013)	Greg Aaron	Y
SAC058, Report on Domain Name Registration Data Validation (2013)	Maryan Rizinski	Y
SAC055, WHOIS: Blind Men and an Elephant (September 2012)	Greg Aaron	Y
SAC054, Report on Domain Name Registration Data Model (June 2012)	Holly Raiche	Y
SAC051, Report on Domain Name WHOIS Terminology (2011)	Jim Galvin	Y
Registry & Registrar Agreements		
2013 Registrar Accreditation Agreement (RAA), including RAA WHOIS requirements for Registrants (2013)	Sara Bockey	Y
2014 New gTLD Registry Agreement , including Specification 4 Registration Data Publication Services (2014)	Vlad Dinculescu	Y
WHOIS Program Improvement Documents		
Accuracy Reporting System (ARS) Pilot Study Report (2014)		
ICANN Blog: Phase 1 of the ARS		
ARS Phase 1 Validation Criteria (2015)	Greg DiBiase	Y
ICANN WHOIS Portal Knowledge Center Q&A: What is WHOIS data used for?		
WHOIS Information Portal and Consolidated WHOIS Lookup Tool		
WHOIS Primer		
Annual Report on WHOIS Improvements (2014)		
WHOIS Implementation Report (2015)		
Final Report from the Working Group on Internationalized Registration Data (2015)	Jim Galvin, Amr Elsadr	reassigned Aug 13
Final Report from the Expert Working Group on Internationalized Registration Data (2015)	Jim Galvin, Amr Elsadr	reassigned Aug 13

ICANN Memorandum (Legal Review) to the IRT on Thin to Thick WHOIS Transition - Final Memorandum and Scope (2014-2015)		
RDAP Operational Profile for Registries and Registrars (2016)		
Related GNSO PDP Reports		
GNSO PDP on Thick WHOIS and Final Report (2013)	Steve Metalitz	Y
GNSO PDP on Privacy & Proxy Services Accreditation Issues (PPSAI) , Final Report , and GNSO Council Recommendations to Board (2015)	Susan Kawaguchi	d5
GNSO PDP on Translation/Transliteration of Contact Information and Final Report (2015)	Jim Galvin, Amr Elsadr	reassigned Aug 13
Procedure for Handling Conflicts with National Laws		
GNSO Policy underlying current ICANN Procedure (2006)		
ICANN Procedure For Handling WHOIS Conflicts with Privacy Law (2008)		
Review of the ICANN Procedure for Handling WHOIS Conflicts with Privacy Law (2014)	Nathalie Coupet	Y
2013 RAA's Data Retention Specification Waiver and Discussion Document (2014)	Greg Mounier	Y
Other GNSO WHOIS Consensus Policies		
WHOIS Uniform Domain Name Dispute Resolution Policy and Rules for Uniform Domain Name Dispute Resolution Policy	Ankur Raheja	Y
WHOIS New gTLD URS Policy and Rules for URS Policy	Ankur Raheja Greg Aaron	Y Y
WHOIS Expired Domain Deletion Policy	Daniel Nanghaka	Y
WHOIS Inter-Registrar Transfer Policy	Vlad Dinculescu	Y
GAC Communiqués regarding WHOIS		
Marrakech GAC Communiqué (March 2016)	Maryan Rizinski	Y
Singapore GAC Communiqué (11 February 2015)	Maryan Rizinski	Y
Los Angeles GAC Communiqué (16 October 2014)	Maryan Rizinski	Y
London GAC Communiqué (25 June 2014)	Maryan Rizinski	Y
Singapore GAC Communiqué (27 March 2014)	Maryan Rizinski	Y
Beijing GAC Communiqué (11 April 2013)		
GAC 44 Prague Communiqué (28 June 2012)		
GAC Principles regarding gTLD WHOIS Services (28 March 2007)	Tjabbe Bos	Y
Law Enforcement Due Diligence Recommendations for ICANN (2010)	Vicky Sheckler	Y
GAC Comments to Initial Report on the PPSAI PDP (Sep 2015)	Vicky Sheckler	Y

GAC Public Comments to 2013 RAA WHOIS Accuracy Specification Review	Vicky Sheckler	Y
GAC Comments to New gTLD Program Safeguards Against DNS Abuse Report (19 May 2016)	Vicky Sheckler	Y
Article 29 Data Protection Working Party Letters and Documents		
Article 29 WP statement on the data protection impact of the revision of the ICANN RAA (2013-2014) https://www.icann.org/en/system/files/correspondence/namazi-to-kohnstamm-25mar14-en.pdf https://www.icann.org/en/system/files/correspondence/kohnstamm-to-jeffrey-08jan14-en.pdf https://www.icann.org/en/system/files/correspondence/jeffrey-to-kohnstamm-20sep13-en.pdf https://www.icann.org/en/system/files/correspondence/kohnstamm-to-crocker-chehade-06jun13-en.pdf	Vicky Sheckler	Y
Article 29 WP comments on the data protection impact of the revision of the ICANN RAA concerning accuracy and data retention of WHOIS (2012) https://www.icann.org/en/system/files/correspondence/kohnstamm-to-crocker-atallah-26sep12-en.pdf https://www.icann.org/en/news/correspondence/chehade-to-kohnstamm-09oct12-en		
Article 29 WP on ICANN Procedure for Handling WHOIS Conflicts with Privacy Law (2007) http://gnso.icann.org/en/correspondence/cerf-to-schaar-24oct07.pdf https://www.icann.org/en/system/files/files/cerf-to-schaar-15mar07-en.pdf https://www.icann.org/en/correspondence/schaar-to-cerf-12mar07.pdf	Fabricio Vayra	Y
Article 29 WP on ICANN's WHOIS Database Policy (2006) https://www.icann.org/en/system/files/files/schaar-to-cerf-22jun06-en.pdf https://www.icann.org/en/correspondence/lawson-to-cerf-22jun06.pdf https://www.icann.org/en/correspondence/parisse-to-icann-22jun06.pdf https://www.icann.org/en/system/files/files/fingleton-to-cerf-20jun06-en.pdf		
Article 29 WP 76 Opinion 2/2003	Fabricio Vayra	Y
Article 29 WP 5 Recommendation 2/1997		
Article 29 WP 20 Opinion 3/1999		
Article 29 WP 33 Opinion 5/2000	Vicky Sheckler	Y
Article 29 WP 41 Opinion 4/2001	Vicky Sheckler	done
Article 29 WP 56 Working Document 5/2002	Vicky Sheckler	done
Article 29 WP Opinion 1/2010		
Article 29 WP 203 Opinion 3/2013	Kathy Kleiman	Y
Article 29 WP 217 Opinion 4/2014	Richard Padilla	Y
Council of Europe Declaration		
Declaration of the Committee of Ministers on ICANN, human rights and the rule of law (3 June 2015)		
Council of Europe's Treaty 108 on Data Protection (1985)	Kathy Kleiman	Y
European Parliament		
News: Data protection reform – Parliament approves new rules fit for the digital era (April 2016)		
Final Regulation (EU) 2016/679 of the European Parliament and of the Council (27 April 2016)	Greg Shatan, other volunteers TBD	reconfirmed Sep 27
European Data Protection Directive (1995)	Sana Ali	Y

EDPS Correspondence regarding Registration Data		
Opinion of the European Data Protection Supervisor: Europe's role in shaping the future of Internet Governance (23 June 2014)		
ICANN's public consultation on 2013 RAA Data Retention Specification Data Elements and Legitimate Purposes for Collection and Retention (17 April 2014)	Beth Allegretti	Y
European Commission Website		
Obligations of Data Controllers	Kathy Kleiman	Y
Definition of Data Controllers	Kathy Kleiman	Y
European Commission EU-US Privacy Shield-related Documents		
European Commission News Announcement: EU-US Privacy Shield		
Judgement of the Court (Grand Chamber) - Maximillian Schrems v Data Protection Commissioner		
EU-U.S. Privacy Shield draft (full text, February 2016)		
Opinion 01/2016 on the EU-U.S. Privacy Shield draft adequacy decision of the Article 29 WP 238	Sana Ali	Y
Africa Union Documents		
Africa Union Convention on Cybersecurity and Personal Data Protection	Grace Mutung'u	Y
International Working Group on Data Protection in Telecommunications and Media Documents		
Common Position relating to Reverse Directories (Hong Kong, 15.04.1998)		
Common Position on Privacy and Data Protection aspects of the Registration of Domain Names on the Internet (Crete, 4./5.05.2000)	Stephanie Perrin	d5
Common Position on Privacy and Data Protection aspects of the Publication of Personal Data contained in publicly available documents on the Internet (Crete, 4./5.05.2000)		
Common Position on Incorporation of telecommunications-specific principles in multilateral privacy agreements: Ten Commandments to protect Privacy in the Internet World (Berlin, 13/14.09.2000)		
Common Position on data protection aspects in the Draft Convention on cyber-crime of the Council of Europe (Berlin, 13/14.09.2000)		
United Nations Human Rights Council		
Human Rights Council - Report by the UN Special Rapporteur on the right to privacy (2016)	Ayden Ferdeline	Y
U.S. Department of Commerce, National Telecommunications and Information Administration (NTIA)		
Green Paper: Improvement of Technical Management of Internet Names and Addresses (1998)	Farell Folly	Y
White Paper: Management of Internet Names and Addresses, Statement of Policy (2012)	Farell Folly	Y
Affirmation of Commitments (AoC)	Farell Folly	Y
National Laws or Court Rulings that may possibly apply to gTLDs, including		
U.S. Anticybersquatting Consumer Protection Act (ACPA), 15 USC §1125		

Judgement on preliminary ruling under Article 267 TFEU from Audiencia Nacional (Spain)		
U.S. Supreme Court Case - McIntyre v. Ohio Elections Commission, 514 U.S. 334 (1995)	Kathy Kleiman	Y
The Constitution of the State of California (USA): Article 1, Section 1	Kathy Kleiman	Y
Massachusetts (USA) Right of Privacy, MGL c.214, s.1B	Kathy Kleiman	Y
U.S. Judicial Redress Act of 2015		
U.S. Federal Communications Commission Proposed Rule FCC 16-39: Protecting the Privacy of Customers of Broadband and Other Telecommunications Services	Carlton Samuels	Y
Ghana Protection Act, 2012	Grace Mutung'u	Y
South Africa's Act No. 4 of 2013: Protection of Personal Information Act	Grace Mutung'u	Y
IETF RFCs		
Legacy WHOIS protocol (RFC 3912) (2004)	Scott Hollenbeck	Y
Registration Data Access Protocol (RDAP - RFC 7480) (2015) See also related RFCs 7481, 7482 and the RDAP Operational Profile under WHOIS Improvements above	Scott Hollenbeck Ayden Ferdeline	Y & Y Y
Extensible Provisioning Protocol (EPP - RFC 5730) (2009) See also related RFCs 5731, 5732, 5733	Scott Hollenbeck	Y
Inventory and Analysis of WHOIS Registration Objects (RFC 7485) (2015)		
Privacy Considerations for Internet Protocols (RFC 6973) (2013)	Stephanie Perrin	reconfirmed Sep 30
Other Industry Expert Publications identified by PDP WG sub-teams thus far		
Privacy & Information Security Law Blog: Article 29 Working Party Clarifies Purpose Limitation Principles		
Anti-Phishing Working Group Advisory on Utilization of Whois Data For Phishing Site Take Down (2008)		
Book: Global Tables of Data Privacy Laws and Bills (Greenleaf, 4rd Edition, January 2015)	Kathy Kleiman	Y
Article: Global data privacy laws 2015: 109 countries, with European laws now a minority (Greenleaf)		
WorldLII Database of National Data Privacy Legislation		
Blog: The EU General Data Protection Regulation - GDPR (Simon Stickley - Capco, 12 May 2016)		
Pew Research Center Surveys on Privacy: Anonymity, Privacy, and Security Online (2013) What Americans Think About Privacy (2014) Teens, Social Media, and Privacy (2013)		
eMarketer Article: Who Do Great Britain's Internet Users Trust with Data? (2016)		
EWG Member Individual Statements and Blogs: (2014)		
Dissenting Report from Stephanie Perrin [PDF, 108 KB] by Stephanie Perrin, EWG Member	Ayden Ferdeline	Y
How to Improve WHOIS Data Accuracy, by Lanre Ajayi, EWG Member	Qi Chao	Y
Where Do Old Protocols Go To Die?, by Scott Hollenbeck, EWG Member	Stacie Walsh	Y
Some Thoughts on the ICANN EWG Recommended Registration Directory Service (RDS), by Rod Rasmussen, EWG Member	Qi Chao	Y

Building a Better WHOIS for the Individual Registrant, by Carlton Samuels, EWG Member	Stacie Walsh	Y
Public Comments on RDS PDP Issue Report		
https://community.icann.org/display/gTLDRDS/Public+Comments+on+Issue+Report Any referenced public comment document can be added here		

Note:

Additional possible requirements have been submitted to the WG list from unpublished sources. Although these do not correspond to input documents and so are not listed above, those additional possible requirements can be found in the WG email archive and either have or will be incorporated into the draft initial possible requirements list. These additions include possible requirements gathered during the Cross-Community Session on RDS in Helsinki (see <http://mm.icann.org/pipermail/gnso-rds-pdp-wg/2016-June/000933.html>) and provided by SO/AC/SG/Cs in response to Outreach Message #2 (see [SG/C/SO/AC Input](#)).