

# At-Large Cross Community Working Group on Enhancing ICANN Accountability (CCWG-Accountability) - Proposed Accountability Enhancements (Work Stream 1) Workspace

Comment Close Date	Statement Name	Status	Assignee (s)	Call for Comments Open	Call for Comments Close	Vote Open	Vote Close	Date of Submission	Staff Contact and Email	Statement Number
12.06.2015	<a href="#">Cross Community Working Group on Enhancing ICANN Accountability (CCWG-Accountability) - Proposed Accountability Enhancements (Work Stream 1)</a>	<b>ADOPTED</b> 15Y, 0N, 0A	<a href="#">Alan Greenberg</a>	01.06.2015	06.06.2015 23:58 UTC	06.06.2015 23:59 UTC	11.06.2015 23:59 UTC	11.06.2015	Accountability Staff <a href="mailto:acct-staff@icann.org">acct-staff@icann.org</a>	AL-ALAC-ST-0615-01-00-EN

For information about this Public Comment, please click [here](#) >>

- [Comments Forum](#)

## Translated Documents

Language Versions		Español	Français	Portugueses	P	
Executive Summary	<a href="#">Executive Summary-ar.pdf</a>	<a href="#">Executive Summary-es.pdf</a>	<a href="#">Executive Summary-fr.pdf</a>	<a href="#">Executive Summary-pt.pdf</a>	<a href="#">Executive Summary-ru.pdf</a>	<a href="#">Executive Summary-zh.pdf</a>
Draft Report Visuals	<a href="#">Draft Report Visuals-ar.pdf</a>	<a href="#">Draft Report Visuals-es.pdf</a>	<a href="#">Draft Report Visuals-fr.pdf</a>	<a href="#">Draft Report Visuals-pt.pdf</a>	<a href="#">Draft Report Visuals-ru.pdf</a>	<a href="#">Draft Report Visuals-zh.pdf</a>
Draft Report with Annexes	<a href="#">Draft Report with Annexes-ar.pdf</a>	<a href="#">Draft Report with Annexes-es.pdf</a>	<a href="#">Draft Report with Annexes-fr.pdf</a>	<a href="#">Draft Report with Annexes-pt.pdf</a>	<a href="#">Draft Report with Annexes-ru.pdf</a>	<a href="#">Draft Report with Annexes-zh.pdf</a>

## Brief Overview

The CCWG-Accountability requests community feedback on the proposed enhancements to ICANN's accountability framework it has identified as essential to happen or be committed to before the IANA Stewardship Transition takes place (Work Stream 1). Your input will help the CCWG-Accountability to improve its proposal and carry on with next steps, including Chartering Organizations' endorsement of the CCWG-Accountability output before it is submitted to the ICANN Board. This comment period is an opportunity for you to make your voice heard and to shape the outcome of the CCWG-Accountability work.

In order to have time to analyze and process community feedback before ICANN53 (21-25 June), and considering the key dependencies Work Stream 1 has with the work related to the IANA Stewardship Transition, the CCWG-Accountability determined that the maximum time that could be allotted to this comment period is 30 days. The CCWG-Accountability Work Stream 1 proposals are not final: a second Public Comment period is planned for July, and will be a full 40-day period. Because this (first) Public Comment period is less than the required 40-day minimum, it has been approved by two ICANN Global Leaders.

## Section I: Description, Explanation, and Purpose

The following questions provide a framework to assist with preparing and providing feedback to the CCWG-Accountability. Using this framework in helping respond to the Public Comment proceeding will facilitate the CCWG-Accountability's processing of input received.

## Public Comment Input Framework

Do you believe the set of Work Stream 1 proposals in this interim report, if implemented or committed to, would provide sufficient enhancements to ICANN's accountability to proceed with the IANA Stewardship transition? If not, please clarify what amendments would be needed to the set of recommendation.

Do you have any general feedback or suggestion on the interim Work Stream 1 proposals?

## Revised Mission, Commitments & Core Values

1. Do you agree that these recommended changes to ICANN's Mission, Commitments and Core Values would enhance ICANN's accountability?
2. Do you agree with the list of requirements for this recommendation? If not, please detail how you would amend these requirements.

### **Fundamental Bylaws**

1. Do you agree that the introduction of Fundamental Bylaws would enhance ICANN's accountability?
2. Do you agree with the list of requirements for this recommendation, including the list of which Bylaws should become Fundamental Bylaws? If not, please detail how you would recommend amending these requirements.

### **Independent Review Panel Enhancement**

1. Do you agree that the proposed improvements to the IRP would enhance ICANN's accountability? Do you agree with the list of requirements for this recommendation? If not, please detail how you would recommend amending these requirements.

### **Reconsideration Process Enhancement**

1. Do you agree that the proposed improvements to the reconsideration process would enhance ICANN's accountability? Do you agree with the list of requirements for this recommendation? If not, please detail how you would recommend amending these requirements. Are the timeframes and deadlines proposed herein sufficient to meet the community's needs? Is the scope of permissible requests broad / narrow enough to meet the community's needs?

### **Mechanism to empower the Community**

1. What guidance, if any, would you provide to the CCWG-Accountability regarding the proposed options related to the relative influence of the various groups in the community mechanism? Please provide the underlying rationale in terms of required accountability features or protection against certain contingencies.

### **Power: reconsider/reject budget or strategy/operating plans**

1. Do you agree that the power for the community to reject a budget or strategic plan would enhance ICANN's accountability? Do you agree with the list of requirements for this recommendation? If not, please detail how you would recommend amending these requirements.

### **Power: reconsider/reject changes to ICANN "standard" Bylaws**

1. Do you agree that the power for the community to reject a proposed Bylaw change would enhance ICANN's accountability? Do you agree with the list of requirements for this recommendation? If not, please detail how you would recommend amending these requirements.

### **Power: approve changes to "Fundamental" Bylaws**

1. Do you agree that the power for the community to approve any fundamental Bylaw change would enhance ICANN's accountability? Do you agree with the list of requirements for this recommendation? If not, please detail how you would recommend amending these requirements.

### **Power: Recalling individual ICANN Directors**

1. Do you agree that the power for the community to remove individual Board Directors would enhance ICANN's accountability? Do you agree with the list of requirements for this recommendation? If not, please detail how you would recommend amending these requirements.

### **Power: Recalling the entire ICANN Board**

1. Do you agree that the power for the community to recall the entire Board would enhance ICANN's accountability? Do you agree with the list of requirements for this recommendation? If not, please detail how you would recommend amending these requirements.

### **Incorporating the Affirmation of Commitments into the ICANN Bylaws**

1. Do you agree that the incorporation into ICANN's Bylaws of the Affirmation of Commitments principles would enhance ICANN's accountability? Do you agree with the list of requirements for this recommendation? If not, please detail how you would recommend amending these requirements.
2. Do you agree that the incorporation into ICANN's Bylaws of the Affirmation of Commitments reviews would enhance ICANN's accountability? Do you agree with the list of requirements for this recommendation? If not, please detail how you would recommend amending these requirements.

### **Bylaws changes suggested by Stress Tests**

1. Do you agree that the incorporation into ICANN's Bylaws of the above changes, as suggested by stress tests, would enhance ICANN's accountability? Do you agree with the list of requirements for this recommendation? If not, please detail how you would recommend amending these requirements.

### **Items for Consideration in Work Stream 2**

The CCWG-Accountability seeks input from the community regarding its proposed work plan for the CCWG-Accountability Accountability Work Stream 2? If need be, please clarify what amendments would be needed.

## Section II: Background

On 14 March 2014 the National Telecommunications and Information Administration (NTIA) [announced](#) its intent to transition its stewardship of the Internet Assigned Numbers Authority (IANA) [functions](#) and related root zone management to the global multistakeholder community. NTIA asked ICANN to convene a multistakeholder process to develop a proposal for the transition. The [IANA Stewardship Transition Coordination Group](#) (ICG) was formed in July 2014 to assemble and deliver through the ICANN Board to NTIA a transition proposal consistent with the key principles outlined in the NTIA announcement.

As initial discussions of the IANA Stewardship Transition were taking place, the ICANN community raised the broader topic of the impact of the transition on ICANN's current accountability mechanisms. From this dialogue, the Enhancing ICANN Accountability process was developed to provide assurance that ICANN remains accountable in the absence of its historical contractual relationship with the U.S. Government, which has been perceived as a backstop with regard to ICANN's organization-wide accountability since 1998. Following public comment periods and discussions on accountability, a final [Revised Enhancing ICANN Accountability: Process and Next Steps](#) was published in October 2014. It includes considering how ICANN's broader accountability mechanisms should be strengthened in light of the transition, including a review of existing accountability mechanisms such as those within the [ICANN Bylaws](#) and the [Affirmation of Commitments](#).

A Cross Community Working Group on Enhancing ICANN Accountability ([CCWG-Accountability](#)) was convened, designed and approved by five ICANN Supporting Organizations and Advisory Committees. The CCWG-Accountability was chartered to deliver proposals that would enhance ICANN's accountability towards all stakeholders.

As indicated in the [CCWG-Accountability Charter](#), the CCWG-Accountability has divided its work into two Work Streams:

- **Work Stream 1:** focuses on mechanisms enhancing ICANN accountability that must be in place or committed to within the time frame of the IANA Stewardship Transition;
- **Work Stream 2:** focuses on addressing accountability topics for which a timeline for developing solutions and full implementation may extend beyond the IANA Stewardship Transition.

## Section III: Relevant Resources

The CCWG-Accountability Draft Report is the Document on which the CCWG-Accountability seeks your input and feedback. For ease of reading in this Public Comment period, the Draft Report is available in two document styles:

- CCWG-Accountability Draft Report (without annexes): <https://www.icann.org/en/system/files/files/cwg-accountability-draft-proposal-without-annexes-04may15-en.pdf> [PDF, 1.72 MB]
- CCWG-Accountability Draft Report (with annexes): <https://www.icann.org/en/system/files/files/cwg-accountability-draft-proposal-with-annexes-04may15-en.pdf> [PDF, 2.05 MB]

**As a note for all comments submitted via the Public Comment proceeding: once a comment is submitted, please be on the lookout for a confirmation email (to confirm that the submission is posted to the proceeding). This is an automatically generated email to prevent spam. You must confirm your submission in order for it to appear in the proceeding archive.**

In addition, along with the CCWG-Accountability Draft Report, the group has developed a set of visuals and graphics to accompany its work, available here: (TBD). The graphics accompanying this document support the main recommendations of the CCWG-Accountability proposal, summarizing the community mechanism, the five main community powers and the new Independent Review Process. The concepts presented in the graphics do not represent consensus of the CCWG-Accountability, but are presented to facilitate understanding of the report. Reviewing the whole report and the sections where the topics of the graphics are presented in detail is encouraged.

To view CCWG-Accountability draft documents, mailing-list exchanges, meeting archives etc., please refer to the [CCWG-Accountability Work Space](#). See [CCWG-Accountability Charter](#) for more information on the CCWG-Accountability mandate.

## Section IV: Additional Information

The CCWG-Accountability invites you to join them for a webinar to provide you with an overview of their work and answer any questions you may have. These webinars will be identical and held at different times to facilitate participation across time zones:

- **11 May from 11:00 – 12:30 UTC** (time zone converter [here](#))
- **11 May from 19:00 – 20:30 UTC** (time zone converter [here](#))

For further details, please see: [here](#).

For more information on the IANA Stewardship Transition, please go to:

- [High Level Overview of the IANA Stewardship Transition and Enhancing ICANN Accountability Processes Graphic](#) [PDF, 909 KB]
- [IANA Stewardship Transition and Enhancing ICANN Accountability Microsite](#)

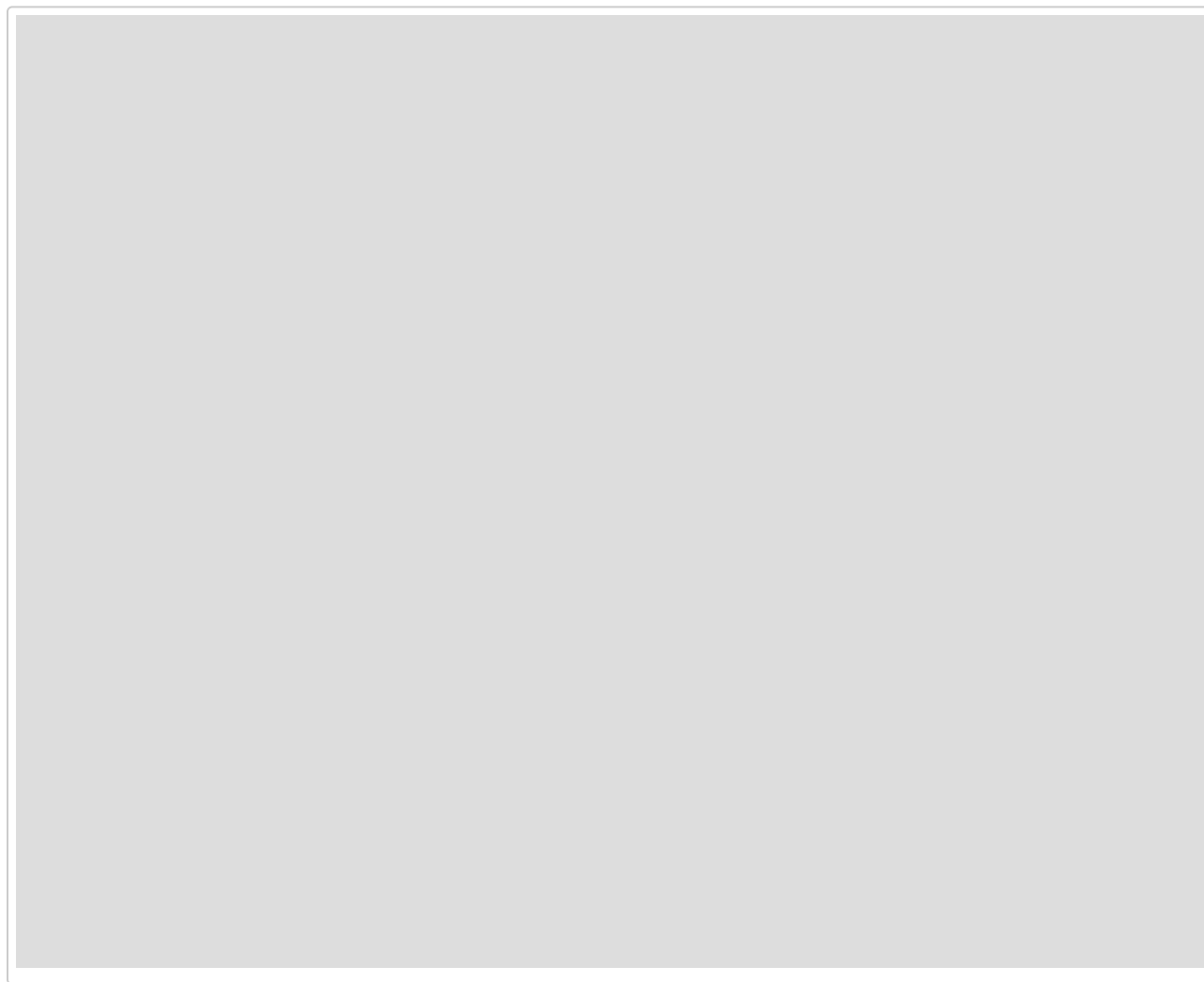
## Section V: Reports

### Staff Contact

CCWG-Accountability Staff  
[acct-staff@icann.org](mailto:acct-staff@icann.org)

## **FINAL VERSION TO BE SUBMITTED IF RATIFIED**

*Please [click here](#) to download the PDF document below.*



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## **FINAL DRAFT VERSION TO BE VOTED UPON BY THE ALAC**

**FINAL DRAFT - REVISED - For Approval - 05 June 22:44 UTC**

**[Final Draft - Revised - Clean](#)**

**[Final Draft - Revised - Redline](#)**

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**[Revised version for ALAC Approval - 03 June 2015 23:50 UTC](#)**

**[Redline from Previous Draft](#)**

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## **FIRST DRAFT SUBMITTED**

**Revised version posted 03 June 2015 05:30 UTC**

**[Revised PDF Version - 03 June 2015 - Redline](#)**

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**Revised version posted 02 June 2015 04:24 UTC**

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