

At-Large ICANN Draft Five-Year Operating Plan Workspace

Comment Close Date	Statement Name	Status	Assignee (s)	Call for Comments Open	Call for Comments Close	Vote Announcement	Vote Open	Vote Reminder	Vote Close	Date of Submission	Staff Contact and Email
12.12.2014	ICANN Draft Five-Year Operating Plan For translated versions, please check this page	<div>ADOPTED</div> 15Y, 0N, 0A	Leon Sanchez Rafid Fatani Glenn McKnight Holly Raiche Vanda Scartezini Beran Dondeh Gillen	17.12.2014	22.12.2014 23:59 UTC	05.01.2015 23:59 UTC	05.01.2015 23:59 UTC	11.01.2015	12.01.2015 23:59 UTC	13.01.2015	Carole Cornell Carole Cornell carole.cornell@icann.org

For information about this PC, please click [here](#) >>

- [Comments Forum](#)

Brief Overview

In line with ICANN's commitment to the multi-stakeholder model, the [ICANN Draft Five-Year Operating Plan \(Version 1 FY2016-FY2020\)](#) [PDF, 1.04 MB] is provided for community discussion and public comment. ICANN is seeking general feedback, as well as input Planning Calendar - community roles and responsibilities; Goal level - Key Performance Indicators (KPIs), Dependencies and phasing activities from FY16-FY20 plus the Five Year Financial Model. Your responses will help inform ICANN's Five-Year Operating Plan and ICANN's annual operating plans and budget.

Comment Period: 11 Nov 2014- 12 Dec 2014

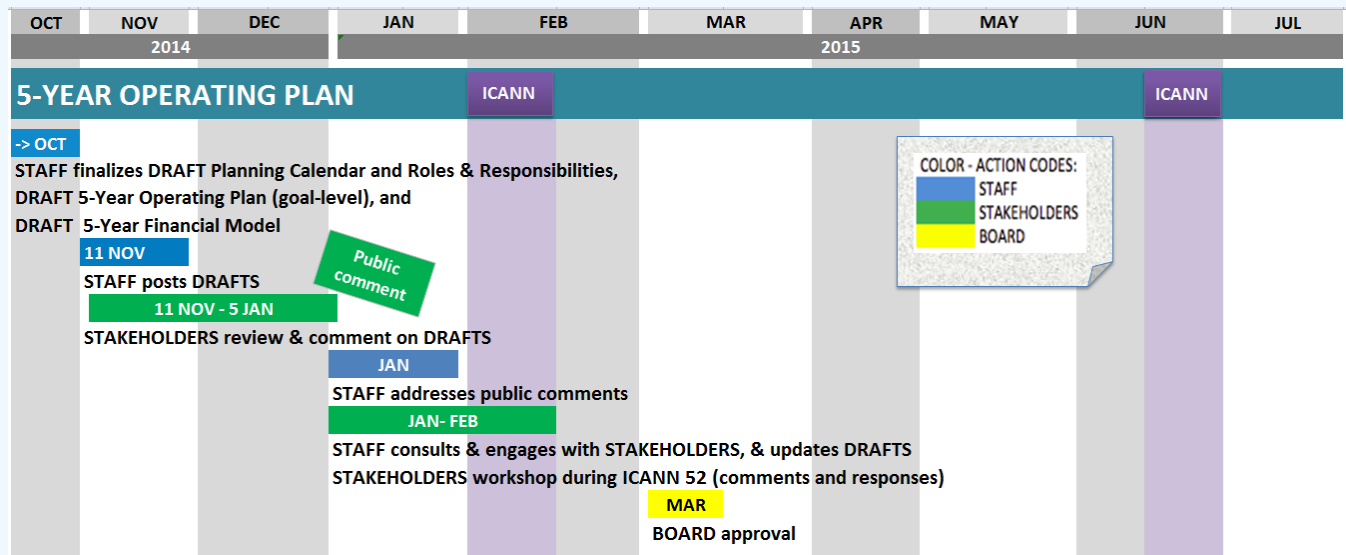
Reply Period: 13 Dec 2014- 5 Jan 2015

Section I: Description, Explanation, and Purpose

We are seeking input on the **Draft Five-Year Operating Plan** which contains the following:

Five-year planning calendar; strategic goals with corresponding key performance indicators, dependencies, five-year phasing and list of portfolios; and five year financial model. Your responses will help inform ICANN's Five-Year Operating Plan and ICANN's annual Operating Plan and Budget.

Below is the calendar for the ICANN Five Year Operating Plan development:



Version 1 - as of 10 November 2014. All dates are preliminary and subject to change.

Section II: Background

The ICANN planning process is continuous and allows for an overlapping of its three components:

- Strategic Plan, which is developed with community input and is updated every five years. This Strategic Plan will shape our priorities, inform our budget and drive our activities. ICANN's Strategic Plan for FY2016-FY2020 was approved by the Board and published on 14 October 2014.
- Five-Year Operating Plan, which is developed with community input and is updated yearly. Containing Five Year Planning Calendar, at the Goal level: corresponding Key Performance Indicators, Risks, Dependencies, and their 5-Year Phasing, and a list of Portfolios plus a Financial Model.
- Annual Operating Plan and Budget, which is derived from the Five-Year Operating Plan along with input from the community.

Section III: Relevant Resources

- Planning Process: <https://www.icann.org/resources/pages/planning-2012-02-25-en>
- Approved Strategic Plan: <https://www.icann.org/strategicplan> [PDF, 1.64 MB]
- Financial Information: <https://www.icann.org/resources/pages/financials-2012-02-25-en>

Section IV: Additional Information

A session on Strategic and Operating Planning held at the ICANN 51 in Los Angeles – see <http://la51.icann.org/en/schedule/wed-strategic-planning> for further details.

Fadi Chehade's (President and CEO) opening address at ICANN 51: <https://www.icann.org/presidents-corner>

Staff Contact

Carole Cornell

Relevant Links

Webinar Recording: ICANN Draft Five-Year Operating Plan & FY16 Operating Plan and Budget Calendar

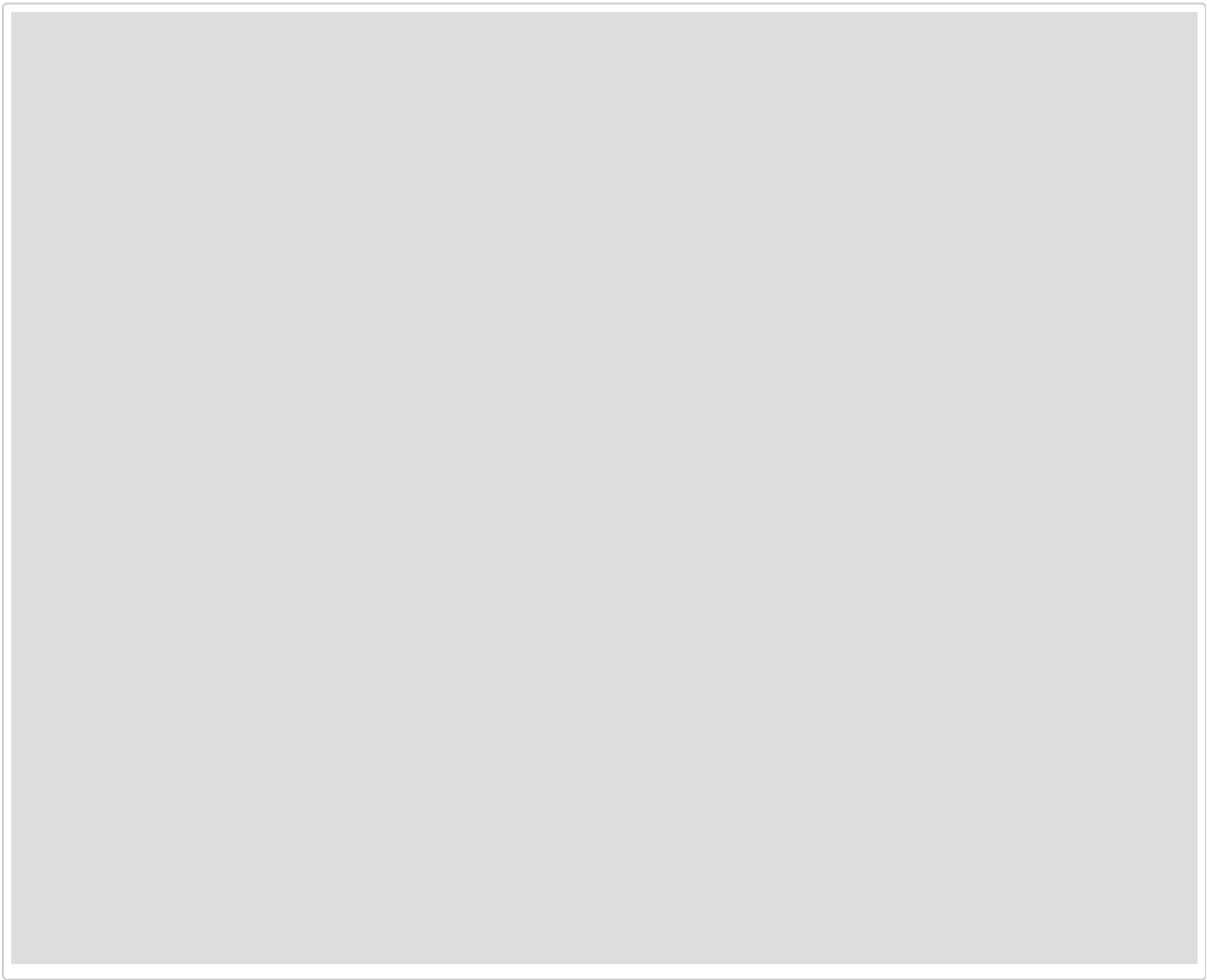
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PDF AL-ALAC-ST-0115-01-01-EN.pdf	1 12, 2015 by Ariel Liang
PDF Webinar- FiveYearOps PlanFY16 OpsPlancalendar20141125.pdf	12 11, 2014 by Ariel Liang
PDF proposed-opplan-budget-2016-2020-10nov14-en.pdf	11 20, 2014 by Ariel Liang



FINAL VERSION TO BE SUBMITTED IF RATIFIED

Please click [here](#) to download a copy of the pdf document below.



FINAL DRAFT VERSION TO BE VOTED UPON BY THE ALAC

The ALAC welcomes the opportunity to comment on the Draft Five-Year Operating Plan for FY16-FY20 and hereby submits its comments:

- We are concerned that the document does not take into account the possible impact, if any, that the IANA stewardship transition may have in ICANN's operations. Therefore, we recommend that an assessment be made of the possible impact that the IANA stewardship transition may have in ICANN's operations.
- The document seems to suggest that stakeholder engagement is to be encouraged by staff only. In this regard, our vision is that stakeholder engagement must be a task carried out by the community, including staff, but not just by staff. We therefore recommend that the wording in the document refers to the wider ICANN community, in general, and not only to staff.
- Several goals are suggested to be measured by metrics in which the only indicator refers to documents that deal with planning but not with implementation. We therefore recommend that metrics focus not only on planning but also on implementing and that implementation metrics be included in those strategic objectives or goals where fit. We recommend the metrics to be developed based on SMART criteria (i.e. specific, measurable, assignable, realistic, and time-related).
- We have repeatedly recommended that awareness and participation fostering efforts must be done at local, regional and international levels, especially with underrepresented stakeholders, regardless of their economic strength or development. We therefore recommend that engagement is encouraged with these communities and at these three levels, establishing metrics that reflect the scope of action not only with international organizations but also with regional and local entities.
- The document states that "Comprehensive regional engagement plans and strategies covering most ICANN regions" will be established in phase 1 for FY16. While we welcome the elaboration of regional engagement plans, we would like to emphasize that these plans should be made for all ICANN regions as opposed to most as stated in the document. We therefore recommend reviewing the wording in order to accommodate the suggested wording and be able to cover all ICANN regions.

The ALAC trusts that these comments will be taken into account to define the final version of ICANN's Five-Year Operating Plan.

FIRST DRAFT SUBMITTED

The ALAC welcomes the opportunity to comment on the Draft Five-Year Operating Plan for FY16-FY20 and hereby submits its comments:

- We are concerned that the document does not take into account the possible impact, if any, that the IANA stewardship transition may have in ICANN's operations. Therefore, we recommend that an assessment of the possible impact that the IANA stewardship transition may have in ICANN's operations.
- The document seems to suggest that stakeholder engagement is to be encouraged by staff only. In this regard, our vision is that stakeholder engagement must be a task carried out by the community, including staff, but not just by staff. We therefore recommend that the wording in the document refers to the wide ICANN community, in general, and not only to staff.
- Several goals are suggested to be measured by metrics in which the only indicator refers to documents that deal with planning but not with implementation. We therefore recommend that metrics focus not only in planning but also in implementing and that implementation metrics be included in those strategic objectives or goals where fit. A clear usage SMART results based charts would be recommended.
- We have repeatedly recommended that awareness and participation fostering efforts must be done at local, regional and international levels, especially with under represented stakeholders, regardless of their economic strength or development. We therefore recommend that engagement is encouraged with these communities and at these three levels, establishing metrics that reflect the scope of action not only with international organizations but also with regional and local entities.

The ALAC trusts that these comments will be taken into account to define the final version of ICANN's Five-Year Operating Plan.