

# **ALAC- Finance and Budget Subcommittee**

**ICANN Finance Department**

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Shani Quidwai, ICANN Director of Finance

3 December 2018



# Presenters



**Becky Nash**  
ICANN VP Finance



**Shani Quidwai**  
ICANN Director of Finance

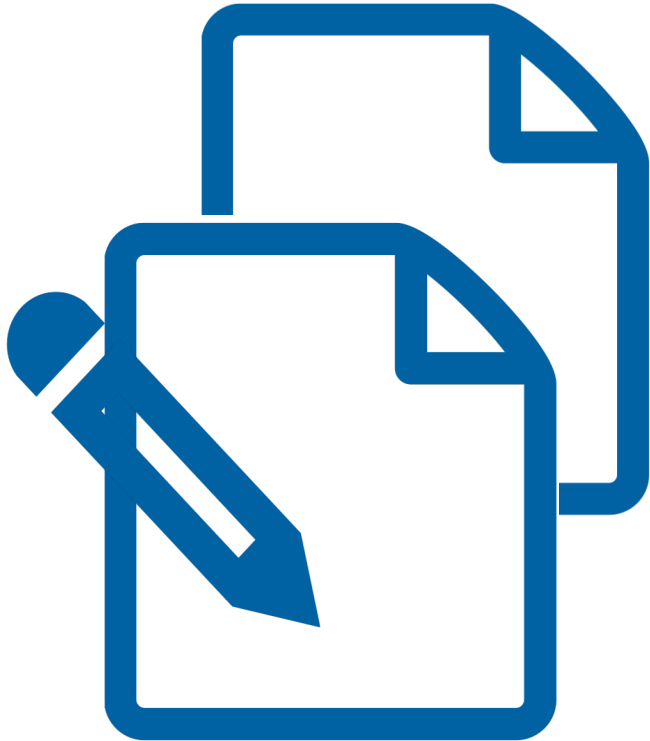
# Agenda

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- FY20 Operating Plan and Budget
  - Process and Overview
  - Planning Structure
  - FY20 Timeline
- Additional Budget Request (ABR)
  - Process and Overview
  - Timeline

# FY20 Operating Plan and Budget

# Process Overview: Deliverables



- ICANN consults the community on its draft plans every year. The delivered plans are:
  - Strategic Plans (ICANN and IANA/ PTI)
  - Five Year Operating Plans (ICANN and IANA/PTI)
  - Annual Update to the Five Year Operating Plan
  - Annual Operating Plans and Budgets (ICANN, IANA/PTI)

# Planning Structure

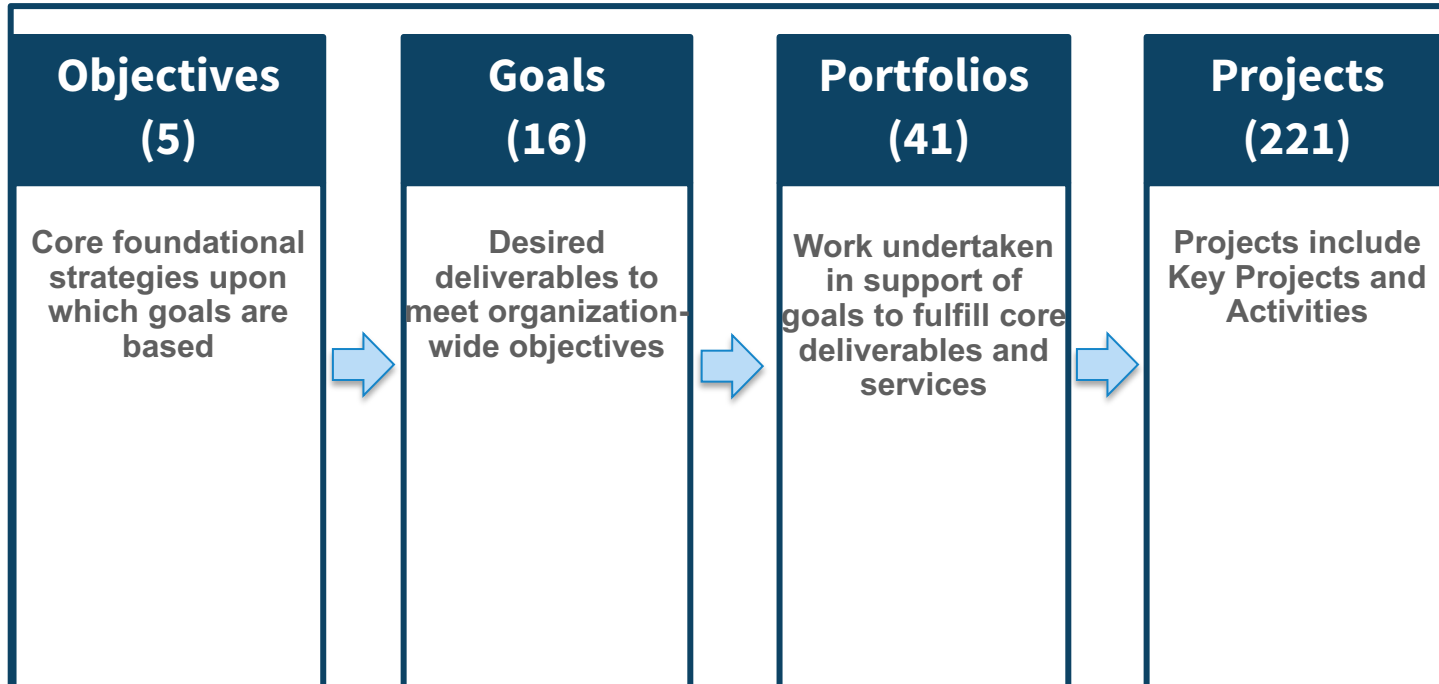
Community input



ICANN Org



## ICANN's Work Structure



# Total ICANN FY20 Planning Timeline – Key Dates

Step	Dates	Action By	Note	Complete
FY 20 Planning Kickoff Webinar	1 Aug/2 Aug 2018	ICANN org	FY20 Planning Process and Timeline	✓
ICANN 63 Budget Working Group	25 October 2018	ICANN org/Community		✓
ICANN Public Comment Period	17 Dec 2018 – 08 Feb 2019	ICANN BFC	54 Days including 7 days for Year End	
Submit Clarifying Questions	17 Dec 2018 – 11 Jan 2019	Community	26 Days including 7 days for Year End	
ICANN Staff to Publish Clarifying Questions Report	29 Jan 2019	ICANN org	Staff Report Published	
ICANN Staff Report on Public Comment Published	18 Mar 2019	ICANN Board	Staff Report Published	
ICANN Publishes Proposed for Adoption Budget Draft	22 Apr 2019	ICANN org		
ICANN Board Adopts	6 May 2019	ICANN Board	Target to allow for EC Review Period	

# Additional Budget Request



# FY20 SO/AC ABR Process

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The SO/AC ABR process for the Fiscal Year ending June 2020 is as follows:

1. The ICANN.org Finance Team will accept and process requests for resources from Community leaders or delegates from 5 November 2018 until 25 January 2019. Please see a list of key dates and deadlines below.
2. Once your community has developed a request for resources, please complete the FY20 Budget Request Template (template) (one for each individual request) and submit it via email to [planning@icann.org](mailto:planning@icann.org).
3. ICANN.org will be using the Community Wiki Finance Working space (<https://community.icann.org/display/projfinadhocws/Community+Group+Workspace>) to post publicly the submitted special budget requests.
4. A member of the ICANN Finance Team will confirm each template submission and issue an application number within 48 hours of receiving your request. Within 5 business days the complete templates will be posted on the wiki workspace as a validation and start of the assessment process.
5. All requests will be closely examined. Templates will be processed in the order they are received: the earlier your requests enter the process, the more opportunity we collectively will have for review, dialogue and consideration. The Wiki workspace will allow ICANN.org and community members to post comments/questions and utilize the interactive nature of the platform to strengthen the application process.
6. A placeholder "additional requests" envelope will be included in the Draft FY20 Operating and Budget for a total of \$292,735 USD for all requests. Being a placeholder, the envelope may change for additional requests dependent upon what conclusions the selection process reaches.
7. All approved requests will be implemented in accordance with ICANN policies (procurement, reimbursements, travel, etc.).

# FY20 SO/AC ABR Timeline

The FY20 SO/AC additional budget request process pertains to a dedicated part of the overall ICANN annual budget that is set aside to fund specific requests from the community for activities that are not already included in the recurring ICANN budget.

The following timeline of the SO/AC ABR timeline is provided below.

The timeline and deliverables for the process are as follows:

Timeline	Start	End
Kick off and Submission period	11/05/2017	1/25/2019
<b>Submissions Due – send to <a href="mailto:planning@icann.org">planning@icann.org</a></b>	1/25/2019	1/25/2019
Preliminary review of requests by <a href="https://www.icann.org">ICANN.org</a>	1/28/2019	3/08/2019
SO/AC consultations at ICANN 64 (by request, during Constituents' Day)	3/09/2019	3/15/2019
Final assessments and recommendations by <a href="https://www.icann.org">ICANN.org</a>	3/18/2019	3/31/2019
ICANN Board Finance Committee Review and recommendation for approval to the Board	4/15/2019	4/19/2019
ICANN Board review and approval at May Board meeting	4/22/2019	5/03/2019

# How can I participate



**Attend** Budget Working Group or Finance Related sessions at **ICANN Public Meetings**.  
Visit [meetings.icann.org](https://meetings.icann.org)



Find and participate in an ICANN community group.

Visit [icann.org/community](https://icann.org/community)



Subscribe to the [community-finance@icann.org](mailto:community-finance@icann.org) email list for regular updates on planning activities.



View current year plans for reference online.

Visit [icann.org/planning](https://icann.org/planning)



Review plans and budgets available for public comment.

[icann.org/public-comments](https://icann.org/public-comments)



Work with your group, or submit your own comments about changes you would like to see.  
[icann.org/public-comments](https://icann.org/public-comments)



## Thank You and Questions

Visit us at [icann.org/planning](https://icann.org/planning)  
Email: [planning@icann.org](mailto:planning@icann.org)



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# Appendix

# PTI FY20 Planning Timeline – Key Dates

Step	Dates	Action By	Note	Complete
PTI & IANA OP&B DRAFT Submitted to PTI Board & ICANN BFC	26 Sep 2018	PTI Board	At Least 9 Months Before Next Fiscal Year (Input to ICANN OP&B Per Bylaws)	✓
Submit Clarifying Questions	28 Sep– 5 October 2018	Community	8 days	✓
PTI & IANA Public Comment Period	28 Sep – 12 Nov 2018	Community	50 Days including 7 days for ICANN 63	✓
ICANN Staff to Publish Clarifying Questions Report	12 October 2018	ICANN org		✓
PTI & IANA Staff Report on Public Comment Published	3 Dec 2018	ICANN org		
PTI OP&B Adopted	19 Dec 2018	PTI Board		

# Planning Documents Structure

## DRAFT ICANN FY20 Planning Documents Structure

Document Name	Description of Contents
Budget Highlights and Overview	This document provides an overview of the key elements of the draft FY19 plans and information on key cross-functional projects and activities planned for FY20.
Total ICANN Budget	This document offers a high-level summary of the draft FY19 budget.
FY20 Operating Plan	This document provides: <ul style="list-style-type: none"><li>- a summary of 6 modules of work planned for FY19 in the first section,</li><li>- a breakdown of the budget by strategic goal in the second section.</li></ul>
Five-Year Operating Plan Update	This document provides a high-level five-year perspective on the operations ICANN undertakes to implement its strategic plan.
Excel Spreadsheet	This spreadsheet provides a detailed breakdown of the budget for each project.