

**CCWG AP Questions for Sarah Berg**  
**30 July 2018**

1. The CCWG is expected to define principles to guide the development of safeguards at the project oversight level. Can you share any best practices on the development of safeguards at the project oversight level? Do you have any examples of how other organizations have approached this issue, perhaps drawing parallels to the four mechanisms the CCWG is considering?
2. The CCWG is expected to define principles regarding the division of labor between ICANN and any external organization(s) for mechanisms 2 and possibly 3 & 4. Can you share any best practices about how to divide responsibilities between different entities? Can you provide examples of how other organizations have approached this issue, perhaps drawing parallels to the four mechanisms the CCWG is considering?
3. The CCWG has noted that very small grants may get disbursed in a single payment, rather than in a series of payments over time and may define what small grant means in this context. The CCWG may also define a rational lower limit on grants to make sure that there is a reasonable relationship between the costs to perform diligence over and analyze the application and the ultimate value of the grant. Can you provide best practices on minimum grant size for comparable organizations and/or considerations for what may be a grant small enough to disburse in a single payment?
4. The CCWG is expected to develop guiding principles for the development of a review mechanism. Do you have any guidance on how review mechanisms may differ for the different models? Can you provide examples of how other organizations have approached this issue, perhaps drawing parallels to the four mechanisms the CCWG is considering?
5. The CCWG is considering how implementation of the governance framework requirements may differ between the different mechanisms. Can you provide any best practices, for example, drawing from ways other organizations have approached this issue, perhaps drawing parallels to the four mechanisms the CCWG is considering?
6. The CCWG is considering what roles governance committees, steering committees, oversight councils, and/or advisory boards might play in each model and whether an advisory board might be needed to handle potential conflicts. Can you provide best practices, drawing on ways other organizations have approached this issue, perhaps drawing parallels to the four mechanisms the CCWG is considering?
7. The CCWG is considering how closely ICANN would need to be involved in elements of the governance framework for the different mechanisms. Can you provide best practices, for example, drawing on ways other organizations have approached this issue, perhaps drawing parallels to the four mechanisms the CCWG is considering?
8. Your initial analysis of the different models indicated that there is low cost and low start up time for mechanisms 1 and 2. What is the basis for this conclusion?
9. The CCWG is considering two possible mechanisms (1 and 2) where some or all of the work is completed by an internal ICANN department. What safeguards should be considered to ensure the creation of internal firewalls and separation of services?
10. How did you determine that the Board of ICANN was “qualified/competent” to engage in reviewing grants, and how do you propose that the process would work for using existing staff, and Board members?
11. Have you worked with organizations that carried out human rights assessments related to fund distribution? Can you share any insights about why and how they did so? (for Sarah Berg)
12. What considerations should be taken into account when defining a sunset period?
13. The CCWG is considering whether the fund should be divided into different "baskets." e.g. should each call for applications allocate funds to different topical (priority) baskets and/or

small/larger grants? Are there best practices you can share in this regard? Do you have any examples of other organizations that considered similar questions? How did they determine whether to divide the fund?

14. Can you share some examples about how other organizations have approached managing issues related to Conflicts of Interest?
15. Are certain mechanisms more or less conducive to co-investment and investment by external investors? What restrictions may apply under the different models?