

ICANN FY20 Planning

Process and Timeline Overview

Financial Planning and Analysis

Webinar

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INTRODUCTION TO PLANNING TEAM



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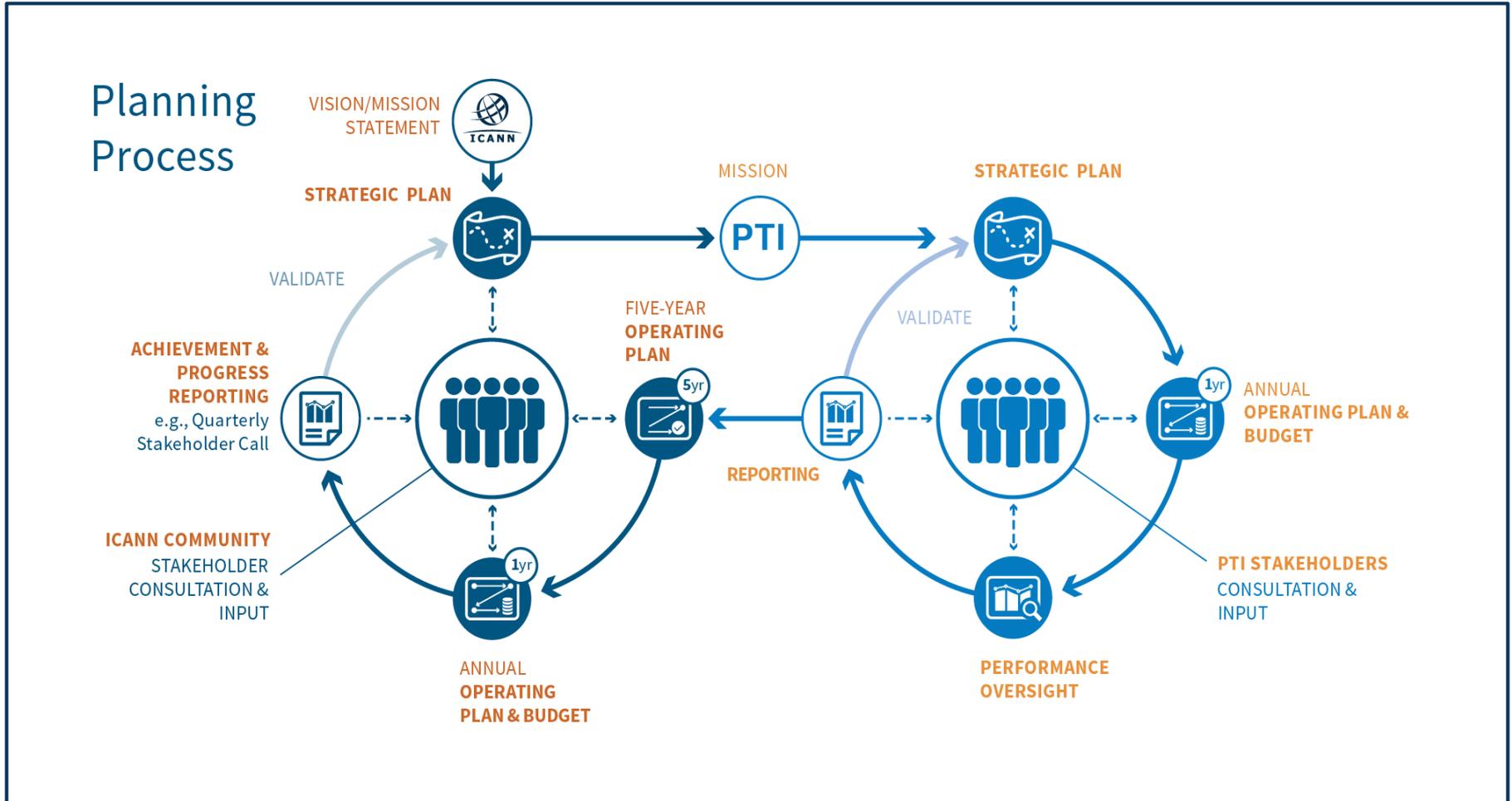
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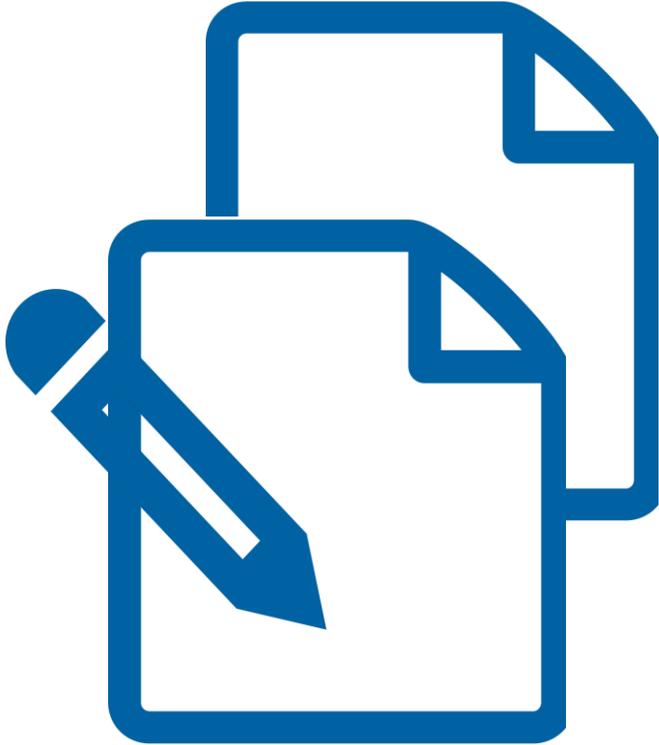
FY21 Planning and
FY21-FY25 Strategic
Planning

Process Overview

Process Overview: Planning Process Steps



Process Overview: Deliverables



- ICANN consults the community on its draft plans every year. The delivered plans are:
 - Strategic Plans (ICANN and IANA/ PTI)
 - Five Year Operating Plans (ICANN and IANA/PTI)
 - Annual Update to the Five Year Operating Plan
 - Annual Operating Plans and Budgets (ICANN, IANA/PTI)

Planning Structure

Planning Structure

Community input



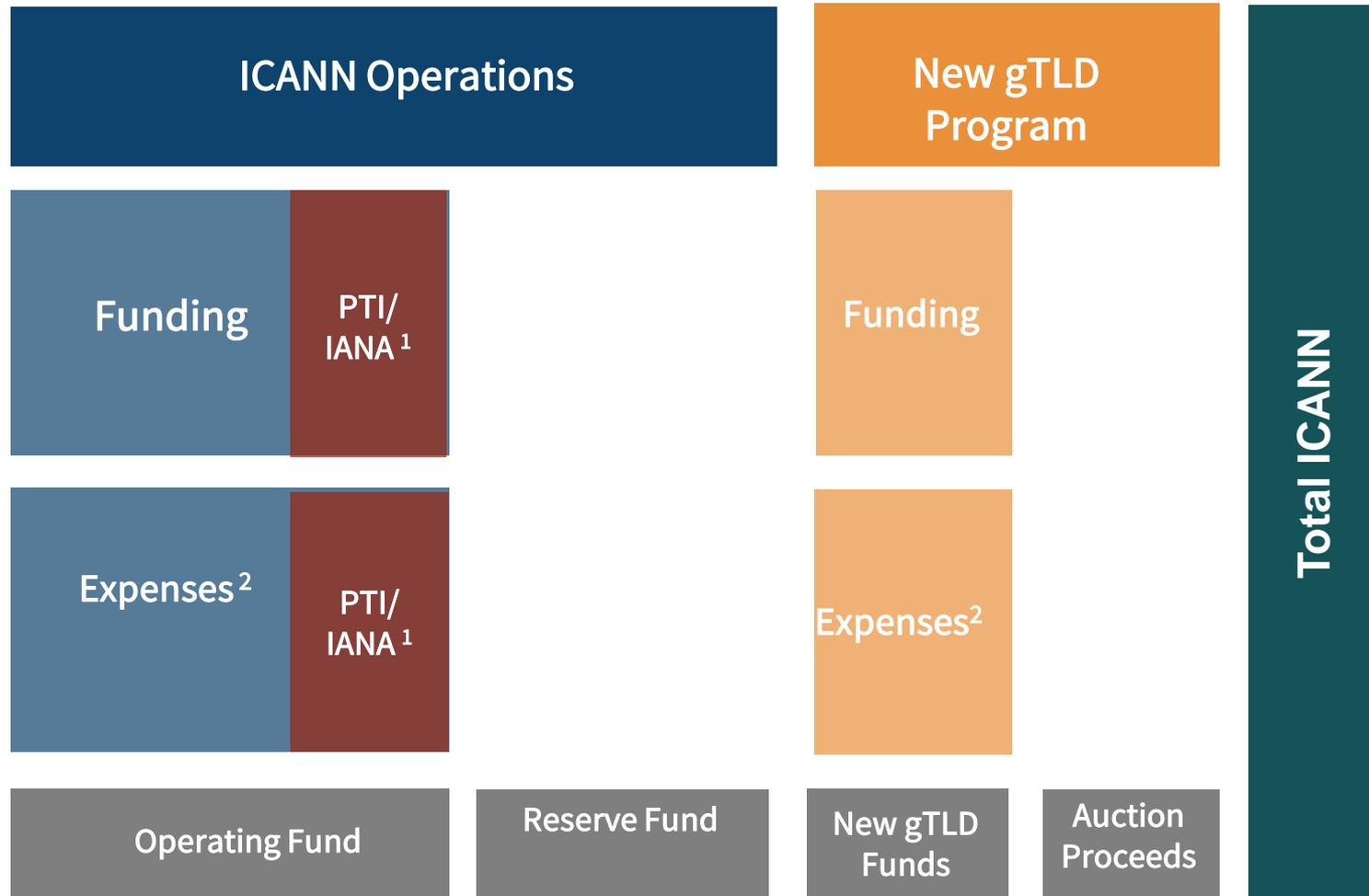
ICANN Org



ICANN's Work Structure



ICANN Organization Reporting Structure



¹ IANA Services includes ICANN's and PTI's IANA Expenses

² Excludes depreciation and bad debt expenses

Process Improvements and Changes

Process Improvement

Existing Strengths

- ⦿ Cross-functional planning
- ⦿ Strong community participation
- ⦿ Improved documents with more transparency and structure

How Are We Improving

- ⦿ Community understanding
- ⦿ Develop and implement process enhancements so ICANN Board can adopt in April
- ⦿ Project structure improvements

Changes in the Process

- ⦿ Revised timeline
 - The adoption of the ICANN Budget is targeted one month earlier than FY19
 - To permit Board approval well in advance of the next fiscal year
 - Provides time for the Empowered community process (28 days) before the start of the next fiscal year
- ⦿ Timing of Approval for SO/AC Additional Budget Requests
 - Current process results in approval at the same time as overall Board approval
 - Timing of submitting requests under review
- ⦿ Multi Year Planning Process
 - Planning for the remaining year of the Five Year Strategic Plan (2016-2020)
 - Alignment with the next Five Year Strategic Plan (2021- 2025)
 - Designing a 2 year planning process

What FY20 documents do we publish?

PTI and IANA



PTI Operating Plan & Budget



IANA Budget

ICANN



ICANN Operating Plan and Budget



5 Year Operating Plan Update



Project and Portfolio Spreadsheets

Document Overview

Document Name	Description of Contents
FY19 Budget Introduction & Highlights	Overview of the key elements of the draft FY19 plans
FY19 Total ICANN Budget	High-level review of the draft FY19 budget
FY19 Key Projects & Activities	Information on key cross-functional projects and activities planned for FY19
FY19 Operating Plan	Section 1: Summary of 6 modules of work planned for FY19 Section 2: Breakdown of the operating plan with the budget by strategic goal
FY19 Five-Year Operating Plan Update	High-level five-year perspective on the operations ICANN undertakes to implement its strategic plan
FY19 Excel Spreadsheet	Detailed breakdown of the budget for each portfolio and each project

- **Feedback Received**

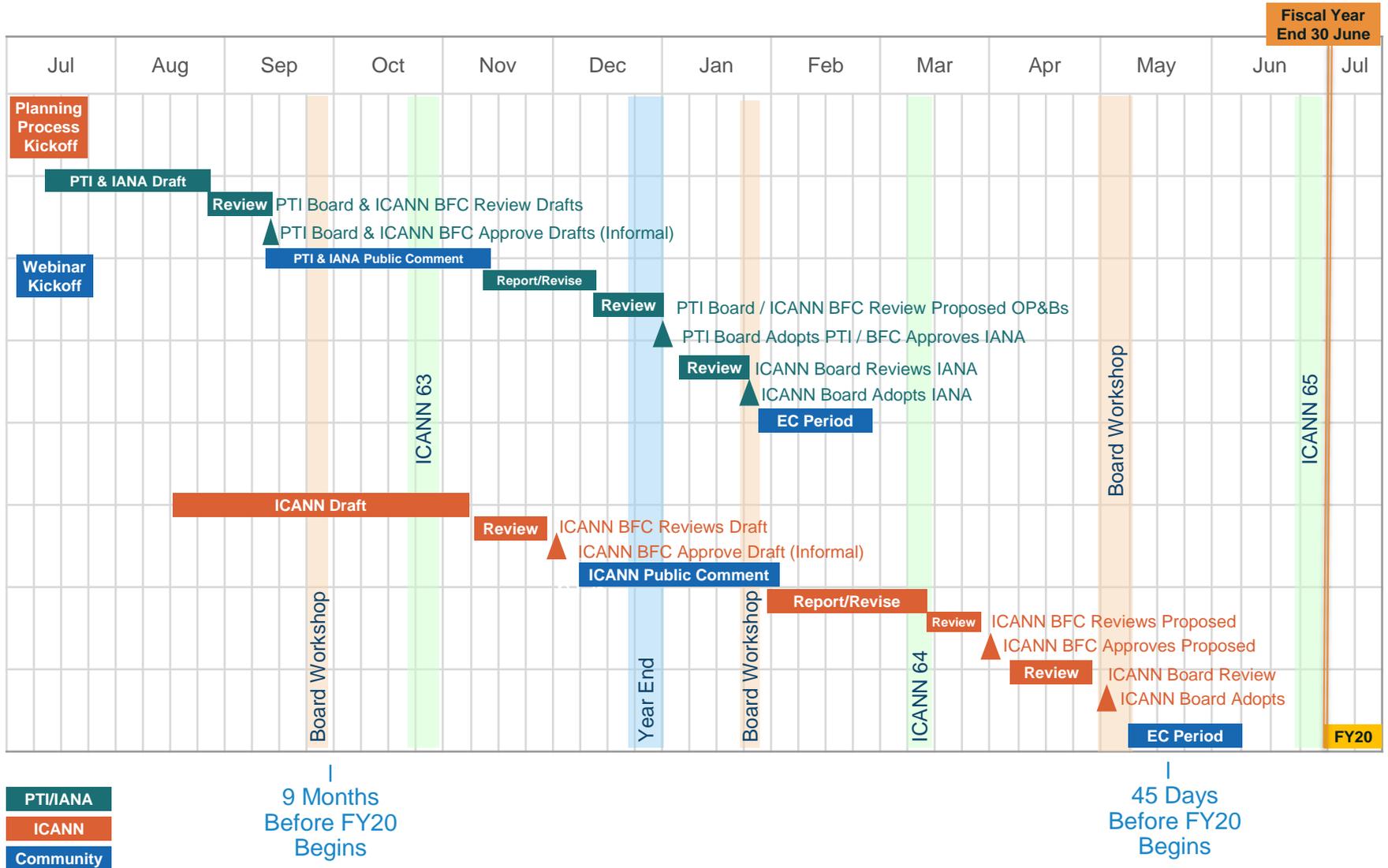
- Positive Public Comments received
- Request for consistency of format and structure
- Increase Fiscal year comparisons analysis

- **Recommendation**

- Combine Key Projects and Activities with Budget Introduction and Highlights
- Keep all other documents structured the same and consistent
- Enhance fiscal year comparison analysis

Timeline and Next Steps

FY20 Planning Proposed Timeline

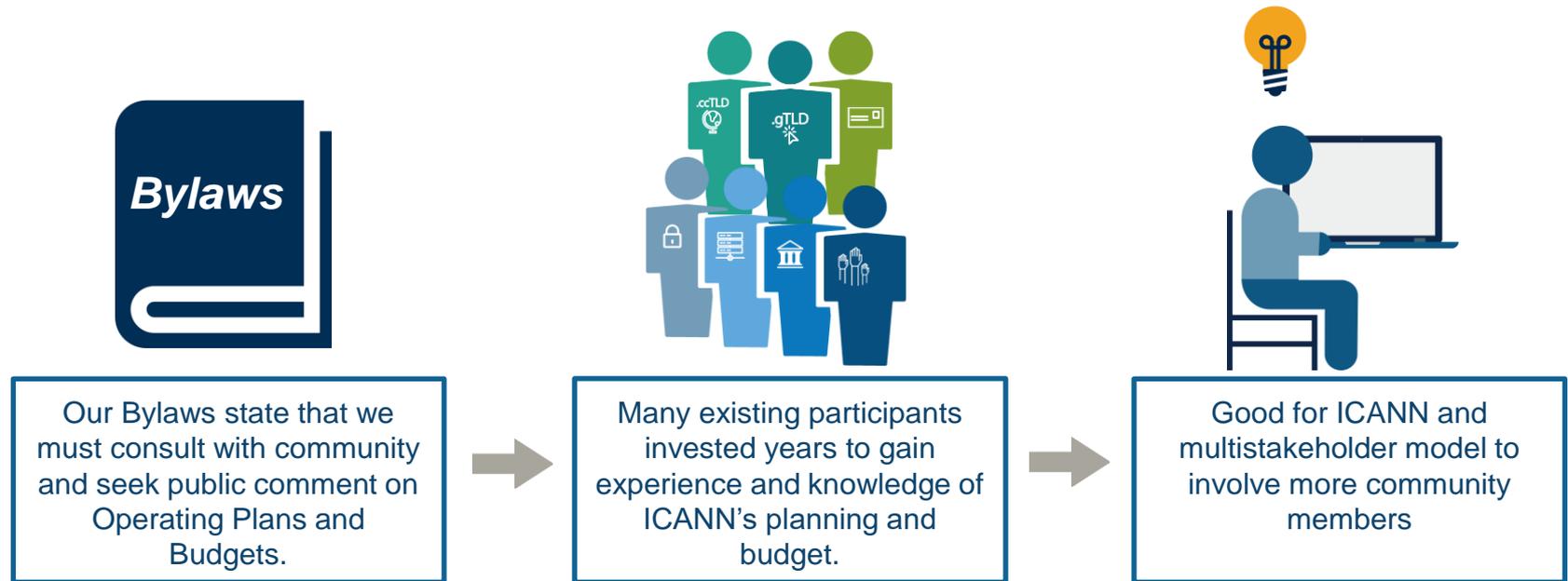


Total ICANN FY20 Proposed Planning Timeline – Key Dates

Step	Dates	Action By	Note
FY 20 Planning Kickoff Webinar	1 Aug/2 Aug 2018	ICANN org	FY20 Planning Process and Timeline
PTI & IANA OP&B DRAFT Submitted to PTI Board & ICANN BFC	4 Sep 2018	PTI Board	At Least 9 Months Before Next Fiscal Year (Input to ICANN OP&B Per Bylaws)
PTI & IANA Public Comment Period	14 Sep – 02 Nov 2018	Community	50 Days including 7 days for ICANN 63
PTI & IANA Staff Report on Public Comment Published	26 Nov 2018	ICANN org	
PTI OP&B Adopted	6 Dec 2018	PTI Board	
ICANN Public Comment Period	10 Dec 2018 – 01 Feb 2019	ICANN BFC	54 Days including 7 days for Year End
ICANN Staff Report on Public Comment Published	11 Mar 2019	ICANN Board	Staff Report Published
ICANN Proposed OP&B Submitted to ICANN Board	15 Apr 2019	ICANN Board	At Least 45 Days Before Next Fiscal Year (Per Bylaws)
ICANN Board Adopts	29 Apr 2019	ICANN Board	Target to allow for EC Review Period

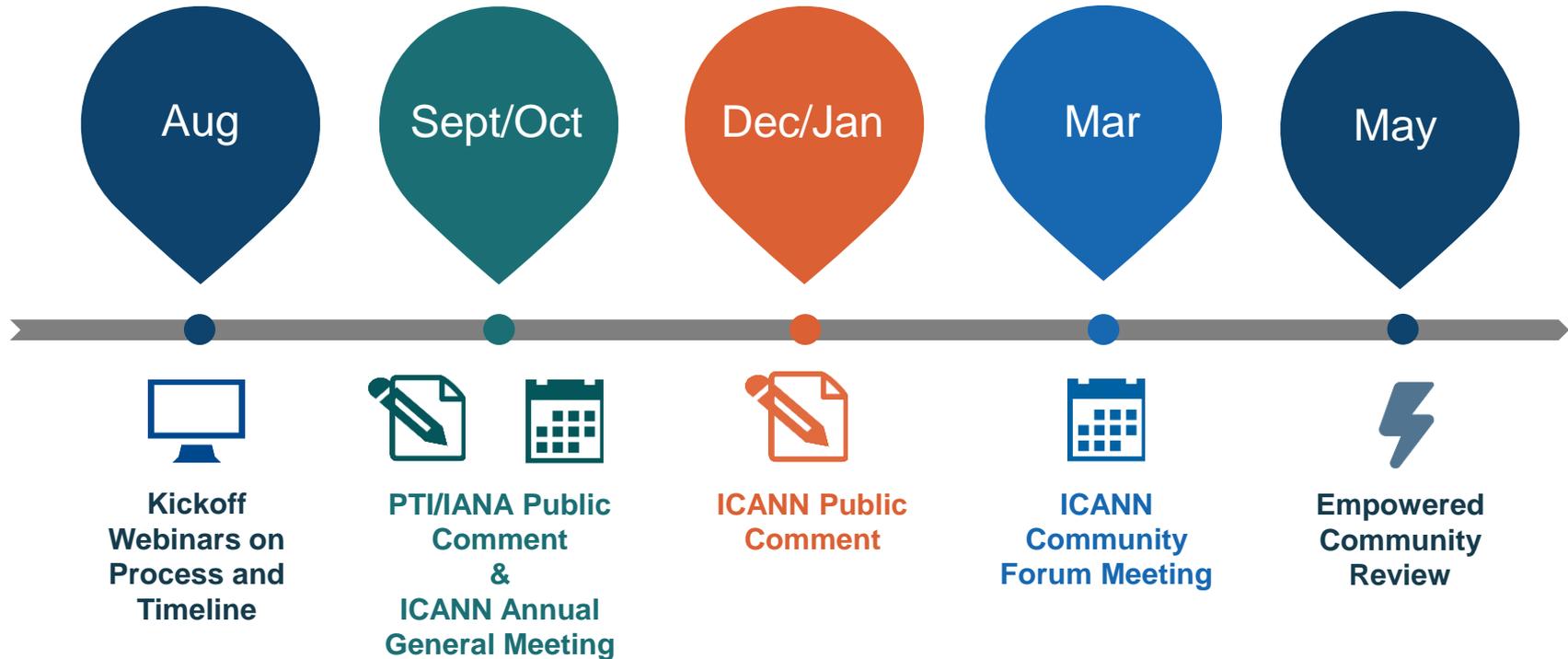
Community Involvement in the FY20 Planning Process

Why Engage on ICANN's Planning and Budgeting?



Our objective is to help build capacity to enable participation.

When can community members participate?



We are looking for your input on Projects, New Activities, Measurements, and Phasing

How can I participate



Attend Budget Working Group or Finance Related sessions at **ICANN Public Meetings**.
Visit meetings.icann.org



Find and participate in an ICANN community group.
Visit icann.org/community



Subscribe to the community-finance@icann.org email list for regular updates on planning activities.



View current year plans for reference online.
Visit icann.org/planning



Review plans and budgets available for public comment.
icann.org/public-comments



Work with your group, or submit your own comments about changes you would like to see.
icann.org/public-comments

FY21 Planning and FY21-FY25 Strategic Planning

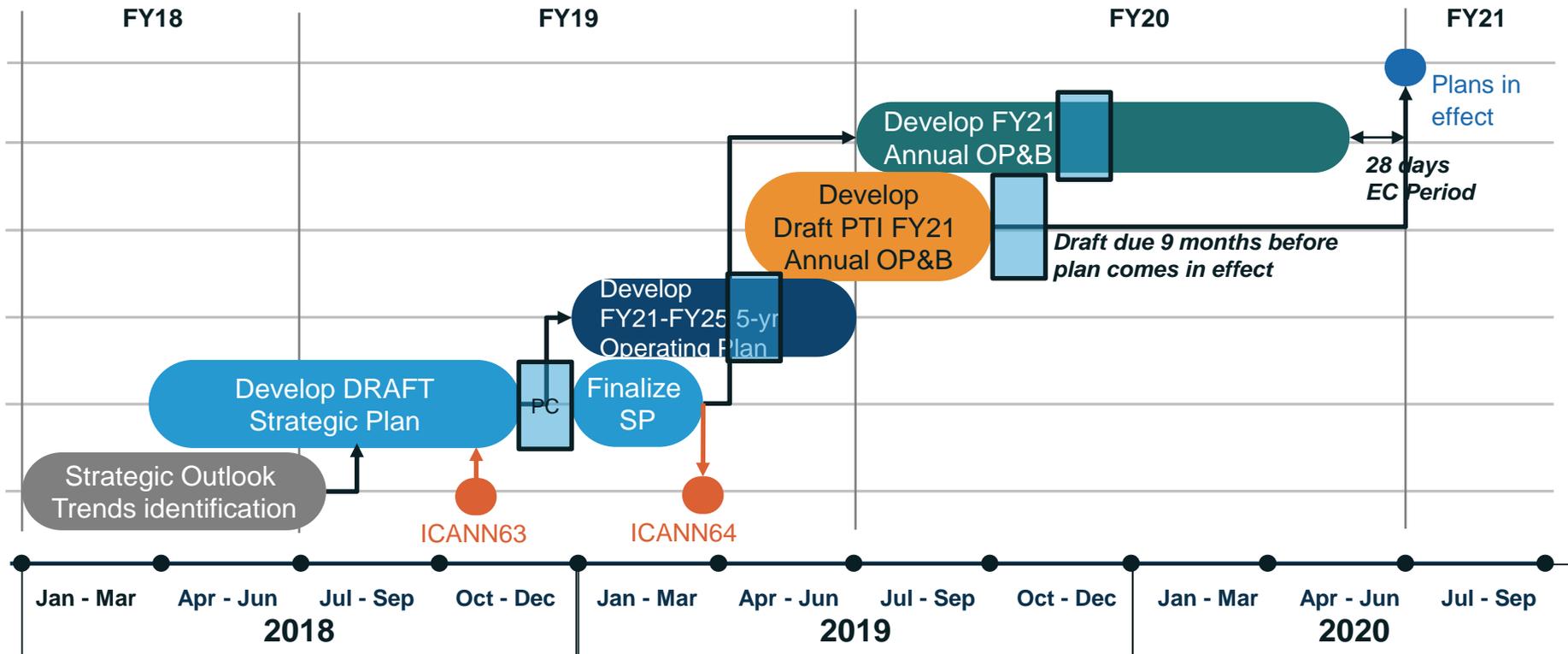
Strategic Planning Bylaws Mandate



Bylaws Section 22.5(b):
*(i) At least 45 days prior to the commencement of each **five fiscal year period, with the first such period covering fiscal years 2021 through 2025, ICANN staff shall prepare and submit to the Board a proposed strategic plan of ICANN for the next five fiscal years (the "Strategic Plan"),***

Bylaws Section 22.5(a):
*(i) At least 45 days prior to the commencement of each fiscal year, ICANN staff shall prepare and submit to the Board a proposed **operating plan of ICANN for the next five fiscal years** (the "Operating Plan"), which shall be posted on the Website.*

Timing constraints and dependencies



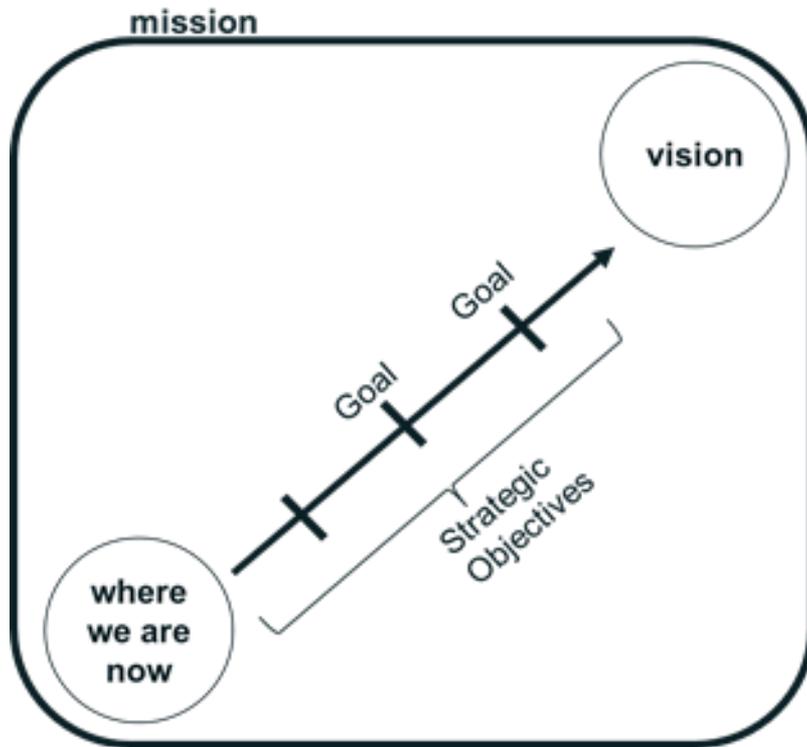
To Summarize

- The trends identified through the Strategic Outlook exercise serve as input into the next iteration of the Strategic Plan (FY21-FY25).
- Work on the next 5-yr Operating Plan cannot start until a Strategic Plan is drafted.
- Strategic Plan must be finalized to start work on Annual OP&B.
- **Target date for finalization of Strategic Plan: March 2019**

Strategic Planning Framework

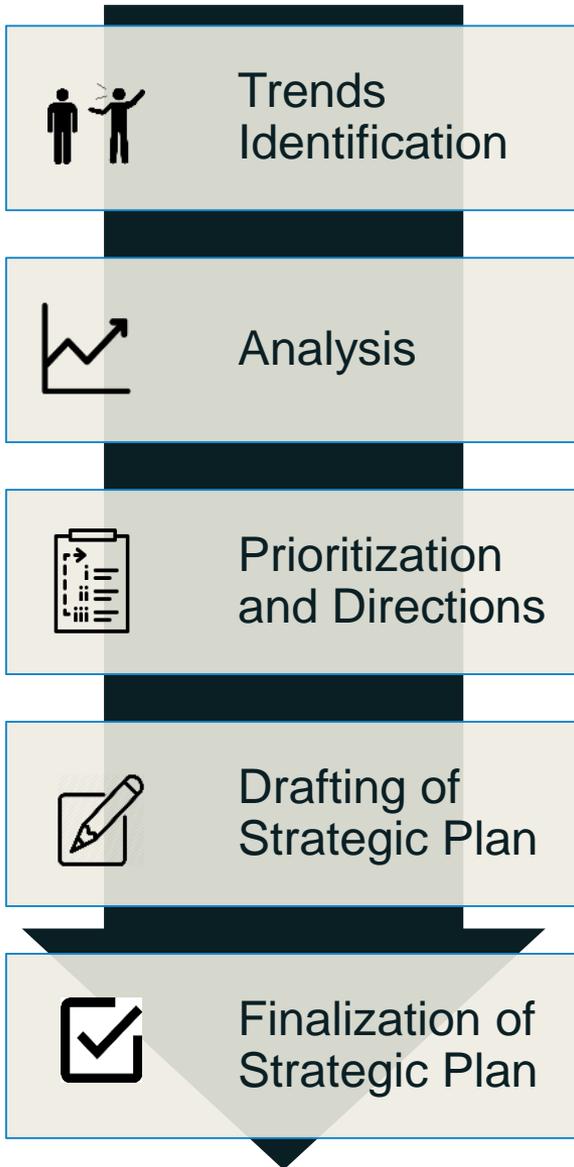
The Strategic Plan will be reformulated to focus on strategic prioritization, scope specificity and resource allocation.

The framework of the Strategic Plan will remain mostly unchanged:



- Mission
- Vision
- Strategic objectives (*reformulated to be more outcomes focused*)
 - Overview Narrative (*strengthened through the Strategic Outlook work*)
 - Strategic goals (*reformulated to more clearly indicate associated activities*)
 - Targeted outcomes
 - Risks
- Strategic funding allocations (*new*)

Steps towards next Strategic Plan



- Community, Board and Org participate in trend sessions
- Org consolidates results
- **Community reviews summaries of trend sessions (mailing list)**
- Org sends consolidated trends to Board
- Board finalizes top-trends
- **Community informed of top-trends via webinars & wiki**
- Org conducts research and gathers data on priority trends
- Board performs analysis of trends to inform vision.
- **Community provided w/ findings via webinars & wiki**
- **Community provides input to Board and Org to help work through prioritization and directions.**
- Board defines new objectives & goals for Strategic Planning
- Org drafts five year (2021-2025) Strategic Plan
- Board is consulted via workshop and reviews draft Strategic Plan
- **Community is consulted via meeting sessions & public comment**
- **Community and the Board provide input to the Org**
- Org revises plans based on input
- Board finalizes and adopts five-year (2021-2025) Strategic Plan

Community Engagement through the SP development

	Board / Board Caucus Group (BCG)	Community Engagement	Org
Jan-June 2018	Trends Identification (Board session)	Trends identification (Community sessions) Informed of results (mailed to each group)	Trends Identification (Departmental sessions) Consolidate results
Apr-Sep 2018	Analysis and Work on vision	Consulted on findings (webinars)	(Execs) Consulted (Board sessions) Consolidate results
Jul-Sep 2018	Work on prioritization and directions, leading to new objectives and goals	Consulted on prioritization and directions (webinars)	(Execs) Work on prioritization and directions, leading to new objectives and goals
Oct 18-Mar 19	Develop Draft Strategy Concept Paper, including new vision statement, new objectives and goals <i>External Strategy expert review of Draft Strategy Concept Paper</i>	Public consultation	Drafting of Strategic Plan
Jan-Jun 2019	Consulted (Board sessions)	Public consultation	Drafting of 5-Yr Operating Plan, and annual OP&B



Thank You and Questions

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