

Introducing the ccNSO Policy Gap Analysis Working Group (PGA WG)

Webinar
3 July 2024

Agenda

1. Background
2. Purpose of the PGA WG
3. Scope of Activities
4. Membership
5. How to apply and what to expect?

Introducing the Policy gap analysis work

- **Starting point** - The ccNSO is the policy authority for the global policy framework that defines how IANA relates to ccTLDs in the rootzone
- **ICANN78 Hamburg** – Council Workshop inspired by the creation of a “Caretaker Operations” designation for a ccTLD (June 2023)
- Small group of Councillors to consider and explore these and other matters, to prepare for broad community engagement on what to do next (ICANN79 & ICANN 80 sessions)
- Considered three key questions - all sensitive and complex. Should the ccNSO work to:
 - Consolidate existing policy?
 - Identify gaps in existing policy?
 - Explore ways to deal with future unknowns?

The Policy Gap Analysis Working Group - Purpose

1. Complete preparation of an overview of ccNSO policies and guidance related to ccTLDs and present the overview at ICANN81.
2. Provide details to Council and ccTLD community on any of the gaps in the current policies, guidance or practices pertaining to governing the delegation, transfer, revocation and retirement of ccTLDs, and other related IANA Naming Function Services for ccTLDs (hereafter: Gaps, or Gap).
3. Advise the ccNSO Council if, the order and how these Gaps need to be addressed by the ccNSO.

Complete overview of policies and guidance

2. Selection of country code Top Level Domains

| Topic | Document | ccNSO | ICANN Board | Implementation |
|--|--|-----------|---|--|
| Use of ISO 3166-1 standard list two-letter country code as ccTLD | RFC 1591 - March 1994 | N/A | | Qualifying top-level domain strings |
| Use of some Exceptionally reserved codes as ccTLD (.eu) | Board resolution | N/A | Board decision 2000 Re EU | Qualifying top-level domain strings |
| Selection of IDN country codes TLD | IDN Working Group Board Proposal | June 2008 | June 2008 | Fast Track Implementation Plan (2009), latest version 2019 |

Pending (under development/to be adopted)

| Topic | Document | ccNSO | ICANN Board | Implementation |
|--|---------------------|-------|-------------|----------------|
| (De-)Selection of IDN country codes TLD and their variants | Final Report ccPDP4 | | | |
| Variants in Rootzone | | | | |
| | | | | |

4. Dispute resolution ccTLD Manager/PTI (=IANA Function Operator)

| Topic | Source Document | ccNSO | ICANN Board | Implementation |
|--|---|-------|--------------|---|
| IANA Complaint Procedure Customer Complaint Resolution Process | IANA Naming Services Contract section 8.1 (a) | N/A | October 2016 | https://www.iana.org/help/complaint-procedure |
| Mediation procedure direct customer - PTI | IANA Naming Services Contract section 8.1 (c) | N/A | October 2016 | — |
| ICANN Ombudsman | ICANN Bylaws | N/A | | Ombudsman Website |

Pending (under development/to be adopted)

| Topic | Document | ccNSO | ICANN Board | Implementation |
|-------------------------------|---|-----------|-------------|----------------|
| ccNSO Policy Review Mechanism | Board Report ccPDP3 Review Mechanism | June 2023 | Pending | |
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The PGA WG's scope of activities

- In close cooperation with the PTI, analyse those topics and Gaps relevant from a ccTLD and/or ccNSO perspective.
 - Build on work of the ad-hoc group and relevant staff papers, and
 - Propose an order to address those Gaps.
- Identify, and further detail the various methods to address Gaps, and propose to the ccNSO Council and community which method to use to address a specific Gap.
- Organise a ccTLD-focused working session during ICANN81
 - to introduce the Gaps,
 - the order to address them,
 - and related proposed methods.
- Undertake any other activity deemed necessary by the WG to achieve the objective of the WG.

Explore and detail Gaps in the current policies, guidance or practices

- Detail and analyse outcome discussions ICANN79 and 80 sessions on:
 - Transfer or change of ccTLD management
 - Data accuracy
 - Purpose of public Records
 - Eligibility assessment before making changes to ccTLD management
 - Enforcement and/or graduated compliant options
- Explore and detail additional Gaps
 - Local Presence requirements
 - Other to be identified

Identify and details methods to address issues

| Process method | Policy Development Process | IETF ? | WG with members approval and public consultations (Fol WG) | Regular Working Group/ Study Group | Formal Exchange of Letters |
|---|--|---|--|--|--|
| Topic | | | | | |
| Consolidation of Policy Principles | ccNSO Policy developing body | RFC 1591 developed through IETF. Agreement IETF and ICANN all naming policies ICANN | Not formal policy | No formal policy, buy-in community through consultation, no formal public consultation | No formal policy, buy-in community through consultation, no formal public consultation |
| Address identified issues/gaps in existing policies | Depends: if issue is NOT operational, nor omission in implementation | NA | Depends on issue/ need for clarification | Depends on issue, however may be too light weight | May address how issues will be adressed procedurally |
| Address future issues/small scale issues/Generic principle ? | PDP to develop general principles | NA | Requires policy if introduces general principle, may address ad-hoc issues | Requires policy if general principle is to be introduced | |

Membership

- open to all ccTLD managers (whether members of the ccNSO or not)
- At least one of the WG members should be a ccNSO Councillor
- It is expected that IANA staff will be involved with this work
- ccTLD regional organisations may nominate a liaison
- Other members of the broader community may attend meetings of the WG that are designated as “open” as Observer

How to apply and what to expect?

- Submit your application by **Tuesday, 16 July 2024 (23:59 UTC)** by completing <https://forms.gle/tocS8AYFUyaBT5HN6>
 - You are expected to submit - or refer to an already submitted - ccNSO Statement of Interest (SOI)
 - Agree that your data will be processed according to ICANN's Privacy Policy and agree to abide by the website Terms of Service
- **Time Commitment** - The committee will meet bi-weekly for 60-90 minutes, and you are expected to prepare
- Members are appointed by the ccNSO Council

Questions?

References

- Announcement call for volunteers
<https://ccnso.icann.org/en/announcements/announcement-2-28jun24-en.htm>
- Charter of PGA WG
<https://ccnso.icann.org/en/en/workinggroups/charter-pga-wg-13jun24-en.pdf>