

The following list of examples is intended to be illustrative of the types of projects that **MAY** be considered eligible to be funded by new gTLD Auction Proceeds. This list is expected to help inform the subsequent implementation process that will follow the selection of the mechanism for fund allocation. The CCWG is not endorsing any of these examples specifically – these are merely provided for illustrative purposes. Any project funded with new gTLD Auction Proceeds are expected to be in service of ICANN’s mission as well as meeting legal and fiduciary requirements that have been established.

Example Project		Draft CCWG Conclusion
1	A coalition of organizations working on remote participation tools and content receive a long-term grant to support localization efforts for local languages not covered under the existing ICANN’s framework. This encourages local and national conversations that feed into the regional and global processes. (As an example of potential impact/benefit of this project: 45 leaders from more diverse backgrounds and expertise feel empowered to participate.)	The CCWG considers this type of project consistent with ICANN’s mission as it enables participation in ICANN’s MSM of communities that are not served by existing participation tools.
2	A reputable organization receives a grant to design, implement and cover the cost of business development targeted to ccTLDs and gTLDs administrators in developing countries to improve their management and operations. (As an example of potential impact/benefit of this project: The entity produces a report and analysis useful for others not directly benefiting from the mentoring / courses).	The CCWG considers this type of project consistent with ICANN’s mission as it can be considered in service of the mission, promoting stability and resiliency, but does not consider it a priority for fund allocation. <u>There should not be discrimination of gTLDs over ccTLDs Bpth should quality. No single organization should be identified or given preference.</u>
3	The development of capacity building, education and	The CCWG considers this type of project consistent with ICANN’s

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	<p>qualification-related programmes specifically targeting <u>underserved</u> populations in developing countries, that:</p> <ul style="list-style-type: none"> * include primary, <u>secondary and higher education</u> school programmes about the internet and internet security issues, as well as about the DNS system and its related functions, that will develop an early understanding of the need for such knowledge * incorporate specific internet and DNS training and development subjects into secondary school qualification programmes to encourage students to enter this area as a career * build business and technical capacity for locally trained and qualified registrars and other appropriate personnel * build general community understanding about the development of the internet and its required security, and the DNS and its related functions, and therefore are in local languages wherever possible * and that these programmes, while requiring the consultation of technical experts, are developed by educational and training specialists from developing countries; and are coordinated within ICANN Learn or within an external organisation set up for this purpose 	<p>mission as it can be considered in service of the ICANN mission, as long as the focus is on topics that are considered consistent with ICANN's mission.</p>
4	<p><u>A reputable organization received a large grant to implement a "Leadership and Career Development program" in service of ICANN's mission. Women and men from around the world receive full scholarships at different universities to conduct PhD studies on key technical and related policy issues around Internet infrastructure development. They participate at ICANN meetings during the course of their studies and are required to share volunteer to spread their knowledge</u></p>	<p>The CCWG considers this type of project consistent with ICANN's mission as it can be considered in service of the ICANN mission, as long as the focus is on topics that are considered consistent with ICANN's mission.</p>

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	<p><u>across the community. Their research is shared with the community. As a result of their successful involvement on this program, the recipients fully support ICANN's growth and development and continue to actively contribute to the community.</u></p> <p>(As an example of potential impact/benefit of this project: <u>his may result in a growing interest and later selection for the ICANN board years later. Others go on to serve at high ranking posts across their government and the private sector.</u></p>	
5	<p>Small and medium enterprises owned or led by women and youth, indigenous and other excluded communities can be effectively enabled to participate in the global economic community by "demand aggregators" and "supply aggregators" and other "economic-connectors". Examples are Siam Organic https://www.asiaforgood.com/siam-organic and Cambodian - Color Silk http://colorsilkcommunity.wixsite.com/colorsilk-cambodia/color-silk-enterprise</p>	<p>Although a noble cause, the CCWG does not consider this type of project consistent with ICANN's mission.</p>
6		<p><u>Comment: why is this not a core assigned work item internal to ICANN itself?</u></p>
7	<p>A global program to support disaster preparedness/management for Internet infrastructure organizations is structured with support from international organizations, following best practices and</p>	<p>The CCWG considers this type of project consistent with ICANN's mission as long as support is focused on services directly related to IP/DNS operations.</p>

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Commented [2]: Board's comment: The direct awarding of individual scholarship grants would impose additional regulatory requirements on ICANN to remain in compliance with applicable laws and regulations. If the recommendation is to develop a specific scholarship or fellowship program through which scholarships could be awarded to individuals, that too would impose additional program development costs. [2]

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Commented [8]: It might be, but independent analysis is what the example is focusing on.

	<p>encouraging collaboration among the community.</p> <p>As an example of potential impact/benefit of this project: A disaster hits 3 African nations. The ccTLD, ISPs, and other technical community organizations in the country have mechanisms in place to manage the disaster. They are well coordinated and able to have the Internet up and running very quickly to support first responders to do their work. The <u>participants of the program are able to coordinate that assistance is provided</u> to technical community organizations (not eligible under humanitarian provisions) to <u>access the support they need to keep the Internet in that affected area running on a temporary basis</u>.</p>	
8	<u>A donation is given to a standards development organization to strengthen their work in relation to the Internet's unique identifier systems.</u>	The CCWG considers this type of project consistent with ICANN's mission as it is in direct support of the Internet's unique identifier systems.
9	<u>A donation is given to an organization to support BIND development and maintenance.</u>	The CCWG considers this type of project consistent with ICANN's mission.
10	<u>A reputable organization receives a 5 year grant support the development and strengthening of community events/forums that from a multistakeholder approach, facilitate understanding of issues around the Internet's unique identifier systems and how those are linked to discussions around Internet governance. The grant targets 100 locations at local, national, regional and global level which increases participation at ICANN processes by 35%.</u>	The CCWG considers this type of project consistent with ICANN's mission.
11	<u>Projects that can improve ease of registration of generic and country code domain names in developing countries, (registration in their own language, payment in local</u>	The CCWG considers this type of project consistent with ICANN's mission.

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	currency, for example) in view of the scarcity of local ICANN accredited registrars in many of these nations.	
12	Internet Exchange Points (IXPs) can make a crucial difference in strengthening a city or country's Internet along with the potential to improve performance and decrease costs <u>while increasing the potential community benefit. In developing countries, IXPs are a critical part of building the region's capacity. Projects that support capacity development and engagement with the IXP community are a key element to advance stability and scalability of the Internet as well as its sustainability.</u>	The CCWG considers this type of project consistent with ICANN's mission.
13	Support work done by <u>open standards developing organizations that are of common interest such as:</u> <ul style="list-style-type: none"> ● enhanced <u>online</u> security and privacy, ● work on handling JDN and Universal acceptance issues, ● more guidelines and tools for Internet users, ● better education programs on Open Standards, ● more open APIs for mobile apps and social network platform to ensure a strong hyperlink paradigm, ● more involvement in Open standard advocacy, and in solving IPR issues, ● more resources for testing standards - critical to providing an open environment. <u>(Note, any such work should be in service of ICANN's mission).</u>	The CCWG considers this type of project consistent with ICANN's mission.
14	Global DNS Root Service: Operations <ul style="list-style-type: none"> ● The operation of global DNS root service needs sustainable funding. Access to funding should be 	The CCWG considers this type of project consistent with ICANN's mission.

- Commented [19]:** Board's comment: on projects to improve ease of registration of generic domain names in developing countries, ICANN's role is to ensure stability and security of the DNS by facilitating the allocation of names at the top level and to coordinating policy development and implementation. Registration systems development may well facilitate use of the DNS in developing countries, which is clearly worthwhile, but specific support for this may be beyond our mission. We have similar concerns with Example 12 on the development of IXPs. Question: in light of the Board's comment should this [19]
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	developed such that it preserves the autonomy and independence of the root server operator organizations in architecting and delivering the service with adherence to standards and service expectations.	
15	Global DNS Root Service: Emergency Fund <ul style="list-style-type: none"> The exponential growth of the Internet and proliferation of complex attack vectors call for access to emergency funding should the need arise. 	The CCWG considers this type of project consistent with ICANN's mission.
16	Global DNS Root Service: Research and Development <ul style="list-style-type: none"> As with all technologies, DNS technology will experience an evolution over time. Technology advancement should be funded for research, development, and testing. 	The CCWG considers this type of project consistent with ICANN's mission.
17	ICANN Scholarship endowment fund <ul style="list-style-type: none"> For example, X amount of the AP fund allocated to this fund and the incurred interest to support 50 - 100 DNS / IP engineers <u>OR POLICY DEVELOPMENT EXPERTS</u> under 35 years old. These people will propose research topics and be approved by an ICANN-sponsored academic committee. <u>All resulting research findings will be shared publicly.</u> 	The CCWG considers this type of project consistent with ICANN's mission.
18	Investment in long term sustainability of the DNS <ul style="list-style-type: none"> Ensure long-term usability and sustainability of DNS across the globe and various existing and future networks (i.e. IoT, blockchain, inter-planetary network, etc.) 	The CCWG considers this type of project consistent with ICANN's mission.

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Commented [25]: I recommend not to broaden this example too much. To include 'policy development experts' is too vague.

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Commented [26]: There is another example focusing on scholarships. We should merge these 2 examples, they are more or less the same (except for the age limit on this one).

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Commented [27]: Board's comment: long term sustainability of the DNS could be within ICANN's mission, however projects would have to be carefully crafted. Question: any additions or clarifications needed to address the Board's comment?

Commented [28]: I understand the comment from the board, but I don't see how to "frame" the example if the whole idea is to leave space for future development that is not that clear yet.

Examples to be further considered by CCWG – certain parts may be consistent while others may not.

(Previously #12)	<p>Projects that <u>educate users, about what a website is and how they can obtain a unique identifier, -- without prejudice to gTLD or country code.</u> This may be of particular interest to small and medium businesses or farms, and entrepreneurs. <u>Projects should avoid "marketing" any particular option, but help to highlight how the DNS works, and how to use a domain name, generally.</u></p>	<p>Although a noble cause, the CCWG does not consider this type of project consistent with ICANN's mission.</p> <p>Notes from 16 November 2017 meeting:</p> <ul style="list-style-type: none"> ▪ unclear whether this is within the mission or not ▪ we should not be too narrow in our understanding of the mission statement ▪ inappropriate use of the funds, smells too much like marketing ▪ Marketing new gTLDs is up to the new gTLDs, this would be outside of our scope. ▪ ICANN engaging in marketing, would be negatively viewed. A legal investigation is needed, whether this is within scope. Are we violating ICANN's integrity? ▪ No support to promote branding, but awareness raising regarding names is important. Information sharing as such would be fine. ▪ The AGB used it as an example for the use of those funds, so why would it not be within ICANN's mission? "grants to support new gTLD applications" is contained in the Applicant Guidebook as a potential use of auction proceeds -- again let's not look at the specific merits of an example, but whether the category might be ok
<u>#new</u>	<p><u>Support to preserve the source code of the historical software infrastructure that made the Internet and the Web what they are today.</u></p>	

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Deleted: alert Internet users (particularly in developing countries) of the availability of generic TLDs that can equip them with a unique online identity, not a "co-branded" identity such as FB or Instagram (for example) provide.

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Page 3: [1] Deleted **Sylvia Cadena** **5/10/18 1:01:00 AM**

They all support ICANN's growth and development and continue to actively contribute to the community.[1][2][3][4] However, the purpose of the grant should be on the analysis and research, not on promising a Board position.

Page 3: [2] Commented [2] **Marika Konings** **4/4/18 3:31:00 PM**

Board's comment: The direct awarding of individual scholarship grants would impose additional regulatory requirements on ICANN to remain in compliance with applicable laws and regulations. If the recommendation is to develop a specific scholarship or fellowship program through which scholarships could be awarded to individuals, that too would impose additional program development costs. Further work and detail would have to be done to assure alignment of a scholarship program with ICANN's mission.

Question: based on the Board's feedback, should this example be removed, or should it be pointed out that any such program would come with additional program development cost?

Page 3: [3] Commented [4] **Sylvia Cadena** **5/10/18 12:58:00 AM**

Do not remove the example. The example was NOT about individual scholarships but about an scholarship program so I have added a bit of clarity on that topic. As Judith says.. The mechanism could fund a grant from an organization to manage such program(s). It will not be for the "mechanism" to manage each scholarship separately. That is how scholarship programs are managed.

Page 2: [4] Commented [6] **Erika Mann** **5/10/18 9:03:00 AM**

I recommend to delete the whole last paragraph ... this point is policy and not project oriented and should not serve as an example

Page 3: [5] Deleted **Marilyn Cade** **5/9/18 4:12:00 PM**

A collection of datasets from the new gTLDs is reviewed and analysed and data visualizations and maps help to understand market growth. (As an example of potential impact/benefit of this project: Combined data sets from other Internet measurements tools are discussed at policy sessions to support infrastructure development.

Page 3: [6] Deleted **Marilyn Cade** **5/9/18 4:12:00 PM**

The CCWG considers this type of project consistent with ICANN's mission as it can be considered in service of the ICANN mission

Page 4: [7] Commented [9] **Marika Konings** **4/4/18 3:38:00 PM**

Board's comment: on supporting Internet infrastructure organizations through the provision of generators, chargers, and equipment to maintain local access to the Internet, while it is a very meaningful goal, is likely outside of ICANN's mission. There may be other forms of assistance or education that could be provided that are appropriately funded in alignment with ICANN's mission.

Question: should this example move to the list of examples not considered consistent with ICANN's mission or can it be rewritten in such a way that it can be considered consistent?

Page 4: [8] Commented [10] **Judith Hellerstein** **5/6/18 8:17:00 PM**

so is the problem the purchasing of technical infrastructure? if it is re-written to focus on capacity building than it would be within the mission?

Page 4: [9] Commented [11] **Sylvia Cadena** **5/10/18 1:09:00 AM**

There is absolutely not mention about purchasing any equipment on the example, only on the potential impact described (to put things into perspective). The example focuses on best practices and collaboration. I have added wording to provide that clarification.

Page 4: [10] Deleted **Marika Konings** **4/4/18 3:41:00 PM**

The IETF endowment fund receives a donation (unrestricted gift) to support

Page 4: [11] Commented [12] **Marika Konings** **4/4/18 3:42:00 PM**

Board's comment: Examples 8 and 9 name specific entities as part of the examples. The entities may not be only actors or organizations in their field. To the extent that examples name specific entities, there must be mechanisms to allow for fair distribution among similarly situated entities.

Question: does the proposed generalization of the example sufficiently address the Board's comment?

Page 4: [12] Deleted **Marika Konings** **4/4/18 3:43:00 PM**

ISC to receive a donation from the auction pool (unrestricted gift)

Page 4: [13] Commented [13] **Marika Konings** **4/4/18 3:44:00 PM**

Board's comment: Examples 8 and 9 name specific entities as part of the examples. The entities may not be only actors or organizations in their field. To the extent that examples name specific entities, there must be mechanisms to allow for fair distribution among similarly situated entities.

Question: does the proposed generalization of the example sufficiently address the Board's comment?

Page 4: [14] Deleted **Marika Konings** **4/4/18 3:44:00 PM**

[5][6]Although ISC conducts commercial activities to guarantee the development of BIND, the organization is a non-profit one, and revenue is used for sustainability of BIND.

Page 4: [15] Commented [15] **Erika Mann** **5/10/18 9:05:00 AM**

I recommend to delete the last part, an increase of 35% is not a relevant target.

Page 4: [16] Commented [16] **Marika Konings** **4/4/18 3:45:00 PM**

Board's comment: on grants to support the development of NGOs and Internet Governance Forums, whereas ICANN participates in an supports wider Internet Governance (IG) development as it relates to our mission, it is well beyond ICANN's mission to heavily invest in IG activities.

Question: in light of the Board's comment should this example be removed or added to the list of examples that are not considered in service of ICANN's mission?

Page 4: [17] Commented [17] **Judith Hellerstein** **5/6/18 8:21:00 PM**

I do not see this as heavily investing, just capacity building. Many IGFs have a difficult time to raise money which enables more people to be brought to an IGF and increases capacity building

Page 4: [18] Commented [18] **Sylvia Cadena** **5/10/18 2:10:00 AM**

I have added wording to provide clarification on this regard.

Page 5: [19] Commented [19] **Marika Konings** **4/5/18 12:24:00 PM**

Board's comment: on projects to improve ease of registration of generic domain names in developing countries, ICANN's role is to ensure stability and security of the DNS by facilitating the allocation of names at the top level and to coordinating policy development and implementation. Registration systems development may well facilitate use of the DNS in developing countries, which is clearly worthwhile, but specific support for this may be beyond our mission. We have similar concerns with Example 12 on the development of IXPs.

Question: in light of the Board's comment should this example be removed or added to the list

of examples that are not considered in service of ICANN's mission?

Page 5: [20] Commented [20]	Sylvia Cadena	5/10/18 2:12:00 AM
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Supporting projects like this will certainly be in support of ICANN's mission. It will be a way to support ecosystem development in support of ICANN's work.

Page 5: [21] Commented [21]	Marika Konings	4/5/18 12:25:00 PM
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Board's comment: on projects to improve ease of registration of generic domain names in developing countries, ICANN's role is to ensure stability and security of the DNS by facilitating the allocation of names at the top level and to coordinating policy development and implementation. Registration systems development may well facilitate use of the DNS in developing countries, which is clearly worthwhile, but specific support for this may be beyond our mission. We have similar concerns with Example 12 on the development of IXPs.

Question: in light of the Board's comment should this example be removed or added to the list of examples that are not considered in service of ICANN's mission?

Page 5: [22] Commented [22]	Sylvia Cadena	5/10/18 2:25:00 AM
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I have removed further references to the web alone to make the list more neutral

Page 5: [23] Deleted	Marika Konings	4/5/18 12:26:00 PM
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[7] W3C on areas of common interest. **Horizontal activities** are broadly recognized as an important part of the value of W3C. The following endeavors could be undertaken with more means:

Page 5: [24] Commented [23]	Marika Konings	4/5/18 12:28:00 PM
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Board's comment: specifically regarding supporting the work of the W3C on areas of common interest, there may be projects anticipated that are within ICANN's mission and others that are outside of the mission. The Board also reiterates its note that it is not in a position to consider at this time whether any individual organization may appropriately receive funds in alignment with the legal and fiduciary constraints provided to the CCWG-AP previously.

Question: does the proposed generalization of the example sufficiently address the Board's comment?