

Subgroup	Rapporteur	Members	Status	Questions	Estimated Complexity (1=low, 5=high)	Estimated Workload (1=low, 5=high)	Estimate of Ideal Size	Briefings Requested	Materials Requested	Interviews	Independent Expert
WHOIS1 Rec #1 - Strategic Priority	Cathrin	Cathrin, Carlton, Volker	DOCX	Yes	2	2	1; 2	• Briefing on how the WHOIS as a strategic priority has been integrated into the organizational objectives and on the impact that this integration has had in practice, as compared to the approach before 2012	• Information on incentivization measures for ICANN Org staff including CEO • Information on Board/CEO Committee on WHOIS including minutes, work plan, objectives and outputs • Any materials that can provide responses to the questions outlined above	To be determined	None needed
WHOIS1 Rec #2: Single WHOIS Policy	Carlton	Cathrin, Carlton, Thomas	DOCX	Yes	3	3	3	None	• Any published analog document titled "WHOIS Policy" or other approximation • WHOIS1 Implementation Report & Action Plan • SAC055 Report	• WHOIS1 Implementation Team Leader • SSAC liaison	None needed
WHOIS1 Rec #3: Outreach	Alan	Alan, Carlton, Erika	DOCX	Yes	2; 3	2; 3	3		Consider the various efforts on reaching out to registrants and providing information: • Registrant Right & responsibilities: http://www.icann.org/en/registrars/registrant-rights-responsibilities-en.htm (Required by RAA 3.16) • Registrant Benefits and Responsibilities: https://www.icann.org/resources/pages/benefits-2013-09-16-en and https://www.icann.org/resources/pages/approved-with-specs-2013-09-17-en , Section 9 (required by RAA 3.7.10, 3.12.7) https://www.icann.org/resources/pages/registrars-06-2012-02-25-en • https://whois.icann.org/en • WHOIS Information portal • Registrant's Benefits and Responsibilities		None needed
WHOIS1 Rec #4: Compliance	Susan	Erika, Susan, Thomas	DOCX	Yes	3	4	3	• Compliance Team briefing on impact and challenges, how RAA or RA is interpreted for compliance actions. • Registrar audits.	Review all relevant reports provided by staff on the wiki and determine if there are other reports not listed that should be reviewed.	• Senior Executive • Interview all management on compliance team that manage WHOIS related actions • Interview team members who work on WHOIS related actions • Interview Board subcommittee	TBD after investigation
WHOIS Rec #5-9: Data Accuracy	Lili	Cathrin, Dmitry, Lili	DOCX	Yes	3	4	5 (LEAs, Registrar, Registry, Registrant, ICANN implementation team should all be represented)			• ICANN's contractual compliance team • WHOIS Accuracy Reporting System (ARS) project team	
WHOIS Rec #10: Privacy/Proxy Services	Volker	Cathrin, Stephanie, Susan, Volker	DOCX	Yes	3	3	4; 5			Speak with Caitlin Tubergen and Jen Gore – staff leaving the IRT. Compliance team to discuss how they will ramp up to manage the compliance	
WHOIS Rec #11: Common Interface	Volker	Alan, Susan, Volker	⊘								
WHOIS Rec #12-14: Internationalized Domain Names	Dmitry	Alan, Dmitry, Lili	DOCX	Yes	3	2	3				
WHOIS Rec #15-16: Plan & Annual Reports	Lili	Alan, Chris, Lili	DOCX	Yes	1	2	3	None	2016 WHOIS Annual Reports (provided)	None	None needed
Anything New	Stephanie	Alan, Stephanie, Susan	⊘								
Law Enforcement Needs	Thomas	Cathrin, Chris, Thomas	⊘								
Consumer Trust	Erika	Dmitry, Erika, Stephanie, Susan	DOCX	Yes	4	3	4	Update about the lack of data as reported in the relevant WHOIS reports mentioned above	• Short compilation of data to understand current use of new gTLDs in relation to alternative identities (FB etc). • Short compilation of data between legacy TLDs and gTLDs to understand the acceptance rate of gTLDs. The acceptance rate might be an important factor in valuing consumer trust	GDD	None needed
Safeguard Registrant Data	Alan	Alan, Dmitry, Stephanie, Volker	DOCX	Yes	1	1	2				
Compliance (to be incorporated into WHOIS1 Rec #4: Compliance)	Susan	Carlton, Chris, Erika, Susan	DOCX	Yes	3	4	5	• Overview of the inaccuracy report process – What is the flow for managing these reports, what discretion does staff have to change the process? • Other compliance responsibilities related to the WHOIS	• Compliance reports relating to WHOIS • CCT Review report • Report on all inaccuracy reports received and resolution (request to be fleshed out during interviews) • Review of any registrars that have failed to adhere to the inaccuracy report process (request to be fleshed out during interviews)	• What would the compliance team like to improve? • Ask community what improvements they would like to see in the compliance dept. • Data gaps? • Has accuracy improved with the additional accuracy requirements imposed on registrants? (email validation, others) • Review of registrar up time in Port 43 access • Gather input from Community on issues with WHOIS record and inaccuracy reporting process	None needed