Work Plan – new gTLD Auction Proceeds Cross Community Working Group – updated 9 January 2017

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The draft work plan below outlines a proposed sequence as well as approach with regards to addressing the CCWG charter questions, based on the initial discussions of the CCWG. Note that the outline below is for discussion purposes only and will be updated to reflect the input from the CCWG. The current outline is a best case scenario approach –additional time may need to be built in to address the different charter questions and/or consult with CCWG and/or external experts. The CCWG may want to discuss what date it would like to set as a target for the publication of its Initial Report to determine whether to extend the overall timeline or consider other ways to speed up the work such as an increase in meetings (e.g. change from meeting every two weeks to meeting every week or increasing the duration of the call from 90 to 120 minutes). Note, there is no specific deadline that is expected to be met, either as the result of external events or charter organizations directions, so it is up to the CCWG to determine the pace and duration of its deliberations.

Meeting / Target Date	Proposed Topics	Whom	Status / Notes
CCWG Conference Call – 23 February 2017	Review CCWG expertise Review draft work plan High level review of charter questions & determine order to address questions	CCWG	
CCWG Conference Call – 2 March 2017	Briefing on legal & fiduciary constraints as well as ICANN's mission Review updated work plan	Samantha Eisner / CCWG	
CCWG F2F Meeting at ICANN58 – 15 March 2017	Continue briefing on legal & fiduciary constraints as well as ICANN's mission Review responses to survey	CCWG	
CCWG Conference Call – 30 March 2017	Continue review of survey responses Discuss proposed approach for tackling charter questions	CCWG	
CCWG Conference Call – 13 April 2017	**Phase 1**: Initial run through of charter questions 1 and 3	CCWG	
CCWG Conference Call – 27 April 2017	**Phase 1**: Initial run through of charter questions 5 and 7	CCWG	

Meeting / Target Date	Proposed Topics	Whom	Status / Notes
CCWG Conference Call – 11 May 2017	**Phase 1**: Initial run through of charter questions 11 and 2	CCWG	
CCWG Conference Call – 25 May 2017	**Phase 1**: Initial run through of charter questions 4, 6 and 8	CCWG	
CCWG Conference Call – 8 June 2017	**Phase 1**: Initial run through of charter questions 9 and 10	CCWG	
CCWG Conference Call – 15 June 2017	Briefing on audit requirements by Xavier Calvez	CCWG/Xavier Calvez	
ICANN59 / 26-29 June – CCWG meeting	**Phase 2**: Address any charter questions that have been identified requiring a response before commencing the next phase	CCWG	Meeting scheduled for Tuesday 27 June from 13.30 – 15.00 local time.
CCWG Conference Call - 13 July 2017	**Phase 2**: Address any charter questions that have been identified requiring a response before commencing the next phase	CCWG	
CCWG Conference Call - 27 July 2017	**Phase 2**: Address any charter questions that have been identified requiring a response before commencing the next phase	CCWG	
CCWG Conference Call - 10 August 2017	**Phase 2**: Address any charter questions that have been identified requiring a response before commencing the next phase		
CCWG Conference Call - 24 August 2017	**Phase 2**: Address any charter questions that have been identified requiring a response before commencing the next phase		Preliminary agreement reached on charter question #4

Meeting / Target Date	Proposed Topics	Whom	Status / Notes
CCWG Conference Call - 7 September 2017	**Phase 2**: Address any charter questions that have been identified requiring a response before commencing the next phase		Preliminary Agreement reached on charter question #2
CCWG Conference Call - 21 September 2017	**Phase 2**: Address any charter questions that have been identified requiring a response before commencing the next phase		Consider input from ICANN Finance/Legal re. internal/external option to inform deliberations re. charter question #7 Consider input from ICANN Board re. potential use of auction proceeds to replenish reserve fund to help inform deliberations re. charter question #10 Finalize review of examples & definition of Open Internet
CCWG Conference Call – 5 October 2017	**Phase 3**: Compile list of possible mechanisms that could be considered by CCWG	CCWG	Work on this could potentially commence in parallel with phase 2? This stage would include: • Detail main characteristics of each mechanism • Conduct strength / weakness assessment for each mechanism • Review from the perspective of legal, fiduciary and audit constraints • Consultation with identified experts to obtain input / briefing on different mechanisms and confirm

Meeting / Target Date	Proposed Topics	Whom	Status / Notes
			whether list compiled is complete and accurate
CCWG Conference Call - 19 October 2017	**Phase 3**: Compile list of possible mechanisms that could be considered by CCWG	CCWG	
ICANN60 – 28 October – 3 November 2017	**Phase 3**: Compile list of possible mechanisms that could be considered by CCWG		Depending on time available and members / participants able to participate, additional topics could be covered during the F2F meeting at ICANN60. Currently, F2F meeting time has been planned for Thursday from 10.30 – 12.00 and 13.30 – 15.00.
CCWG Conference Call - 16 November 2017	**Complete phase 2** **Continue phase 3:** Compile list of possible mechanisms that could be considered by CCWG		Finalise review of examples and open and interoperable Internet description Review and refine questions for experts
CCWG Conference Call – 30 November 2017	**Phase 3:** Review list for experts Small group of volunteers formed to develop proposed approach and finalise questions for external experts		
~~CCWG~~ Conference Call – 14 December 2017	**Phase 3:** Meeting of small group of volunteers to develop proposed approach and finalise questions for external experts.		
CCWG Conference Call – 11 January 2018	**Phase 3:** Presentation of proposed approach and questions for external experts		

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Meeting / Target Date	Proposed Topics	Whom	Status / Notes
By 19 January 2018	**Phase 3:** Outreach messages to be sent to external experts identified		
CCWG Conference Call – 25 January 2018 (TBC)	**Phase 3**: Consultation with identified experts to obtain input / briefing on different mechanisms and confirm whether list compiled is complete and accurate (Category D Experts – TBC)	CCWG	
CCWG Conference Call – 8 February 2018	CANCELLED (?)		
CCWG Conference Call – week of 19 Feb	**Phase 3**: Consultation with identified experts to obtain input / briefing on different mechanisms and confirm whether list compiled is complete and accurate (Category A Experts – TBC)		Dependent on availability of experts
CCWG Conference Call – week of 19 Feb	**Phase 3**: Consultation with identified experts to obtain input / briefing on different mechanisms and confirm whether list compiled is complete and accurate (Category B Experts – TBC)		Dependent on availability of experts
CCWG Conference Call – week of 19 Feb	**Phase 3**: Consultation with identified experts to obtain input / briefing on different mechanisms and confirm whether list compiled is complete and accurate (Category C Experts – TBC)		Dependent on availability of experts
CCWG Conference Call – week of 26 Feb	**Phase 3**: Consultation with identified experts to obtain input / briefing on different mechanisms and confirm whether list compiled is complete and accurate (Category E Experts – TBC)		Dependent on availability of experts

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Meeting / Target Date	Proposed Topics	Whom	Status / Notes
CCWG Conference Call – week of 26 Feb 2018	**Phase 3**: Consultation with identified experts to obtain input / briefing on different mechanisms and confirm whether list compiled is complete and accurate (Category F Experts – TBC)		Dependent on availability of experts
Week of 5 March 2018	**Phase 3:** Leadership team to summarise input obtained from external experts and identify whether any gaps remain and/or further follow up is needed. Prep for F2F meeting and community session at ICANN61.		
ICANN61 – 10 March – 15 March 2018 – F2F meeting (10 March)	**Phase 4**: Based on input provided by external experts, determine which mechanism(s) demonstrates most potential to meet CCWG expectations as well as conform with legal & fiduciary constraints		
CCWG Conference Call – 29 March 2018	**Phase 4**: Determine which mechanism(s) demonstrates most potential to meet CCWG expectations as well as conform with legal & fiduciary constraints	CCWG	
CCWG Conference Call – 12 April	**Phase 4**: Determine which mechanism(s) demonstrates most potential to meet CCWG expectations as well as conform with legal & fiduciary constraints		

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Meeting / Target Date	Proposed Topics	Whom	Status / Notes
CCWG Conference Call – 26 April 2018	**Phase 5**: Answer charter questions (as organized per 1) for mechanism(s) that demonstrated the most potential	CCWG	Duration of phase 5 will be dependent on # of mechanisms that have demonstrated the most potential. As this work is iterative, it is also possible that CCWG may need to go back to phase 4 if it is determined that the mechanism(s) initially identified for this phase are not suitable based on the charter question responses.
CCWG Conference Call – 10 May 2018	**Phase 5**: Answer charter questions (as organized per 1) for mechanism(s) that demonstrated the most potential	CCWG	
CCWG Conference Call – 24 May 2018	**Phase 6**: Following consensus on mechanism and responses to charter questions, meeting legal, fiduciary and audit constraints, publish draft Initial Report for CCWG Review	Staff	Exact timing dependent on duration of phase 5.
CCWG Conference Call – 7 June 2018	**Phase 6**: CCWG F2F meeting to review draft Initial Report and finalize report for publication	CCWG	
Publish Initial Report for Public Comment 15 June 2018	**Phase 6**: Publish Initial Report for public comment	CCWG	
ICANN62 – 25 – 29 June 2018 Policy Forum	Present Initial Report for community input		If time is available on the schedule as there will be limited time for non-policy topics.

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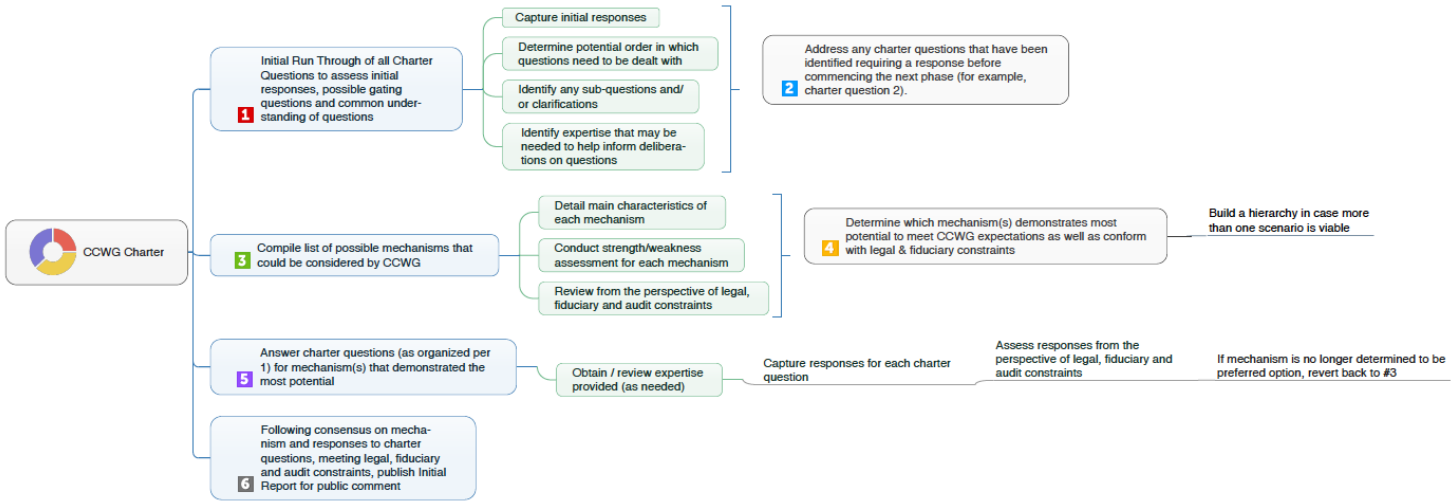
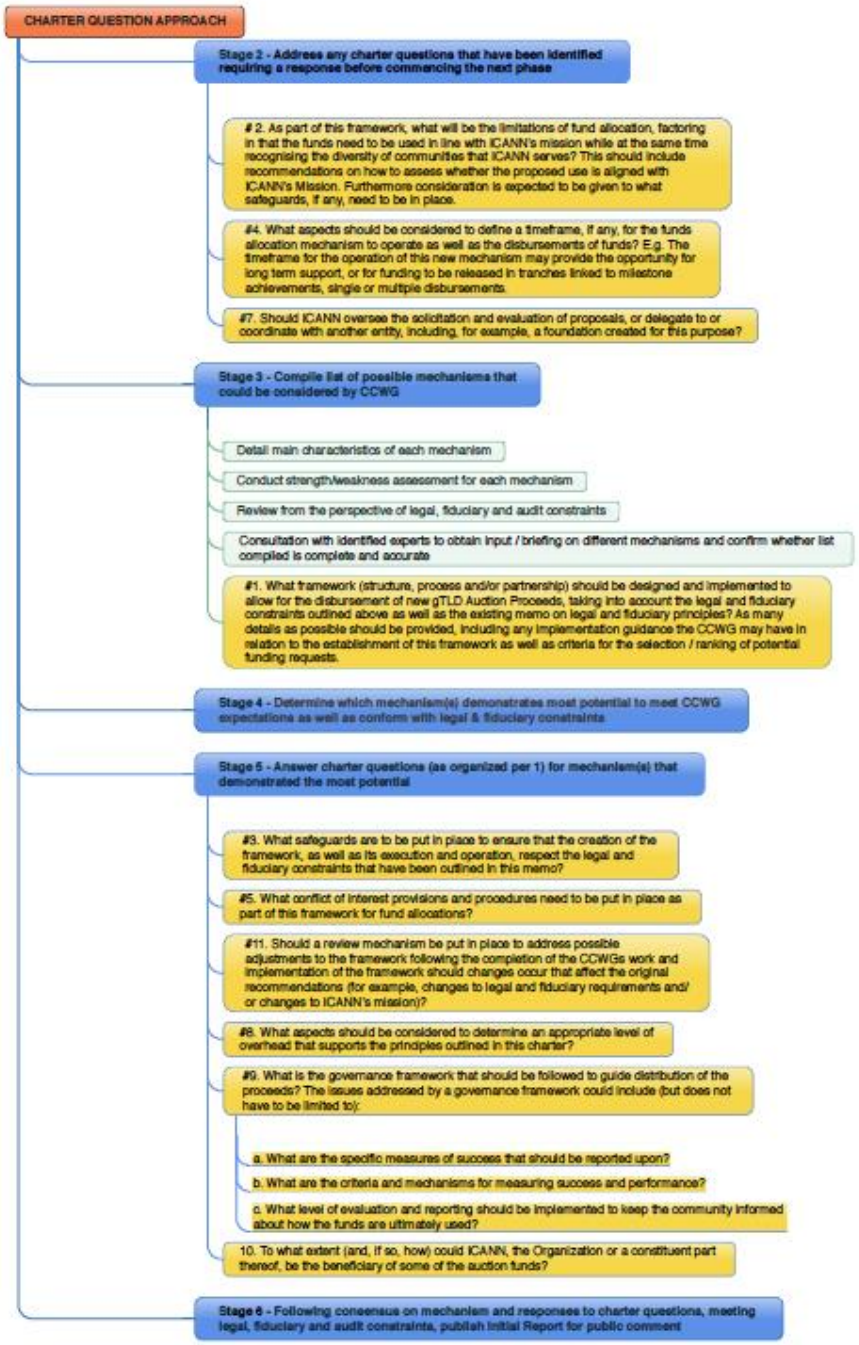
Proposed Approach for Dealing with Charter Questions (dated 18 April 2017)




CHARTER QUESTION APPROACH
Stage 2 - Address any charter questions that have been identified requiring a response before commencing the next phase
2. As part of this framework, what will be the limitations of fund allocation, factoring in that the funds need to be used in line with ICANN's mission while at the same time recognising the diversity of communities that ICANN serves? This should include recommendations on how to assess whether the proposed use is aligned with ICANN's Mission. Furthermore consideration is expected to be given to what safeguards, if any, need to be in place.
#4. What aspects should be considered to define a timeframe, if any, for the funds allocation mechanism to operate as well as the disbursements of funds? E.g. The timeframe for the operation of this new mechanism may provide the opportunity for long term support, or for funding to be released in tranches linked to milestone achievements, single or multiple disbursements.
#7. Should ICANN oversee the solicitation and evaluation of proposals, or delegate to or coordinate with another entity, including, for example, a foundation created for this purpose?
Stage 3 - Compile list of possible mechanisms that could be considered by CCWG
Detail main characteristics of each mechanism
Conduct strength/weakness assessment for each mechanism
Review from the perspective of legal, fiduciary and audit constraints
Consultation with identified experts to obtain input / briefing on different mechanisms and confirm whether list compiled is complete and accurate
#1. What framework (structure, process and/or partnership) should be designed and implemented to allow for the disbursement of new gTLD Auction Proceeds, taking into account the legal and fiduciary constraints outlined above as well as the existing memo on legal and fiduciary principles? As many details as possible should be provided, including any implementation guidance the CCWG may have in relation to the establishment of this framework as well as criteria for the selection / ranking of potential funding requests.
Stage 4 - Determine which mechanism(s) demonstrates most potential to meet CCWG expectations as well as conform with legal & fiduciary constraints
Stage 5 - Answer charter questions (as organized per 1) for mechanism(s) that demonstrated the most potential
#3. What safeguards are to be put in place to ensure that the creation of the framework, as well as its execution and operation, respect the legal and fiduciary constraints that have been outlined in this memo?
#5. What conflict of interest provisions and procedures need to be put in place as part of this framework for fund allocations?
#11. Should a review mechanism be put in place to address possible adjustments to the framework following the completion of the CCWGs work and implementation of the framework should changes occur that affect the original recommendations (for example, changes to legal and fiduciary requirements and/ or changes to ICANN's mission)?
#8. What aspects should be considered to determine an appropriate level of overhead that supports the principles outlined in this charter?
#9. What is the governance framework that should be followed to guide distribution of the proceeds? The issues addressed by a governance framework could include (but does not have to be limited to):
a. What are the specific measures of success that should be reported upon?
b. What are the criteria and mechanisms for measuring success and performance?
c. What level of evaluation and reporting should be implemented to keep the community informed about how the funds are ultimately used?
10. To what extent (and, if so, how) could ICANN, the Organization or a constituent part thereof, be the beneficiary of some of the auction funds?
Stage 6 - Following consensus on mechanism and responses to charter questions, meeting legal, fiduciary and audit constraints, publish Initial Report for public comment