

1. On AWIP and ERRP, what does compliance audit for these policies, and what compliance issues has compliance encountered?

The [Additional Whois Information Policy \(AWIP\)](#) requires registrars and registry operators to include certain information in their WHOIS output, including EPP status codes, URLs to descriptions of the EPP status codes and a link for more information about EPP status codes. ICANN Contractual Compliance tests these requirements in its Registrar Accreditation Agreement and Registry Agreement audit programs, as well as addresses them when reviewing WHOIS output format issues during processing of third party complaints or prior to issuing enforcement notices. If the required information is missing or incomplete, as shown in the WHOIS output for each contracted party, the contracted party is asked to take remedial steps to come into compliance with the policy.

The [Expired Registration Recovery Policy \(ERRP\)](#) requires registrars to take certain actions leading up to and after a domain name's expiration, as well as to post certain information on their websites regarding domain renewal and expiration. These requirements are also included in ICANN Contractual Compliance's audit program and are assessed during processing of Domain Renewal complaints received by third parties. Additionally, the web-posting obligations imposed by the ERRP are reviewed for all registrars prior to issuing an enforcement notice against that particular registrar. The team relies on WHOIS information regarding the sponsoring registrar, the registered name holder information, the domain name's expiration date, and the domain name's EPP status code to help assess compliance with these requirements. The most common areas of concern during ICANN Contractual Compliance's review of ERRP requirements include whether the registrar is sending the required renewal reminders to the registered name holder at the required intervals, if an expired domain name's DNS Resolution Path is interrupted within the required timeline and with associated renewal instructions, whether the required renewal and redemption fees are posted on the registrar's website and in the registrar's registration agreement with the registered name holder. If any noncompliance is found, the contracted party is asked to take remedial steps to come into compliance with the policy.