ccNSO Review:
timeline, self-assessment
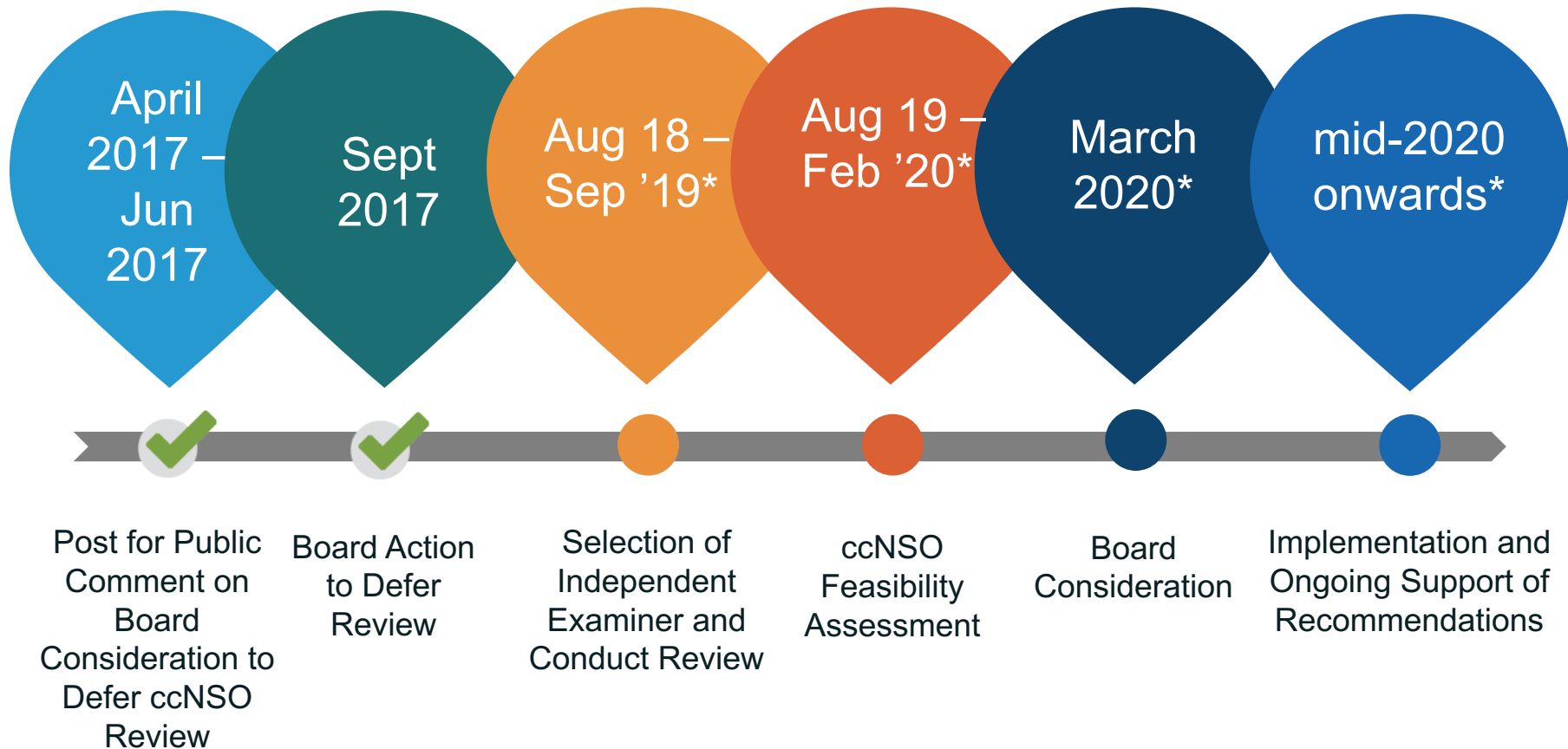
Operating Standards:
an overview

Larisa Gurnick, Lars Hoffmann
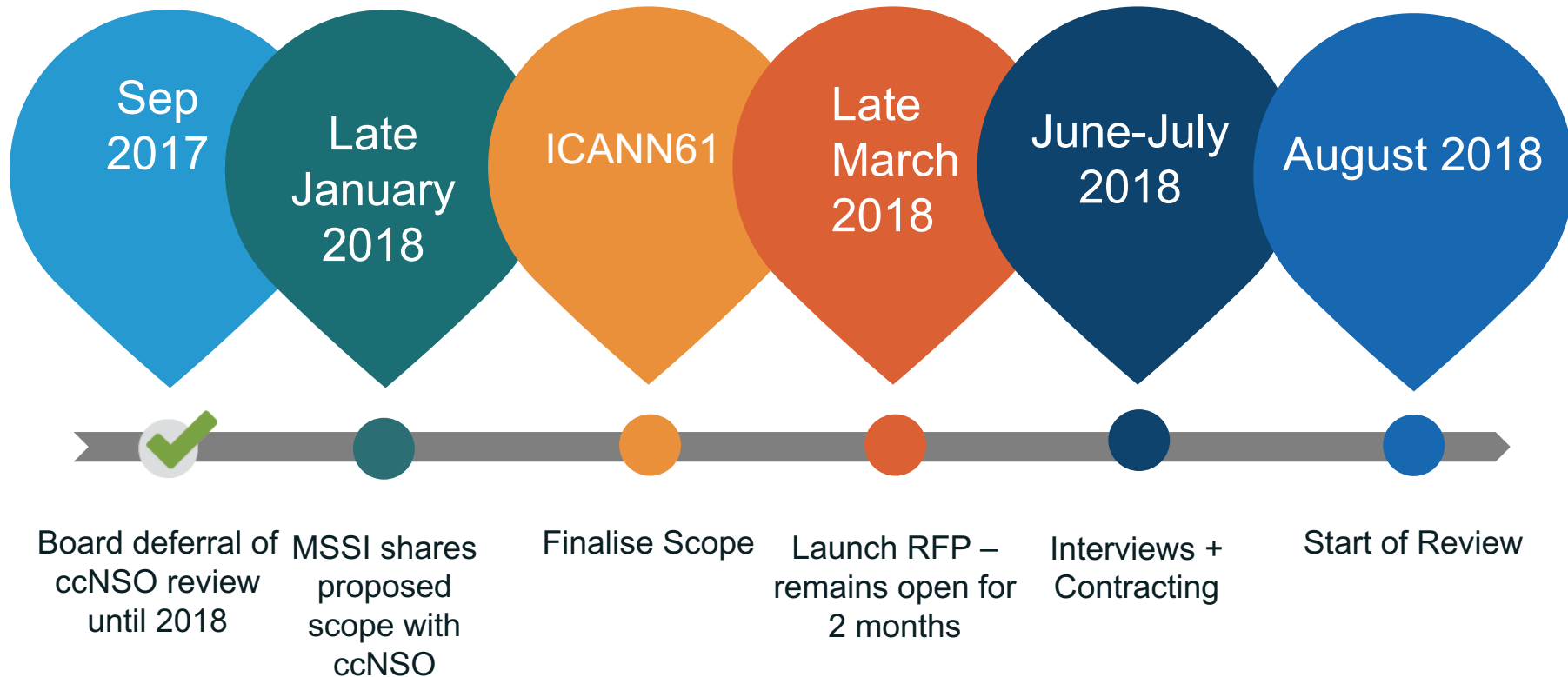
ICANN60 – Abu Dhabi
29 October 2017

ICANN

ccNSO Review: Big Picture

April 2017 – Jun 2017

Sept 2017

Aug 18 – Sep '19*

Aug 19 – Feb '20*

March 2020*

mid-2020 onwards*

Post for Public Comment on Board Consideration to Defer ccNSO Review

Board Action to Defer Review

Selection of Independent Examiner and Conduct Review

ccNSO Feasibility Assessment

Board Consideration

Implementation and Ongoing Support of Recommendations

ccNSO Review: from now until start of review

Sep 2017 — Board deferral of ccNSO review until 2018

Late January 2018 — MSSI shares proposed scope with ccNSO

ICANN61 — Finalise Scope

Late March 2018 — Launch RFP – remains open for 2 months

June-July 2018 — Interviews + Contracting

August 2018 — Start of Review

Roles and Responsibilities of the contributors to the review

Roles and Responsibilities

Review Working Party	Independent Examiner	OEC	ICANN Org.
• Liaison • Conduct self-assessment • Help tailor review methodology • Input into review scope, deliverables and selection criteria • Community outreach support • Input into data collection – online assessment and interviews • Provide clarification and factual corrections • Assess usefulness & feasibility of recommendations	• Assess effectiveness of prior review improvements • Review of documents, records • Observe proceedings • Develop and conduct online surveys • Conduct interviews • Factual observations and implementable recommendations • Engage with stakeholders for clarification and correction • Prepare report(s)	• Oversight • Scope of review • Confirm Independent Examiner • Accept Report* • Approve Implementation Plans* • Monitor progress of implementations *Prepare Recommendations for Board Action	• Prepare RFP, run competitive bidding process • Monitor timeline and resources • Support review • Support outreach and engagement • Manage report and public comment process • Assist with preparation of Review Implementation Plan

ccNSO self-assessment

If conducted – should be completed before August 2018

Self-Assessment

⊙ Encouraged – but optional

⊙ Goal: prepare organization for forthcoming review, provide independent examiner with useful information, improve efficiency of review once under way.

⊙ MSSI can provide guidelines and best practices but it is an internal, self-driven process

⊙ Outcome of self-assessment should be published, but ownership lies with ccNSO

⊙ Usually performed by 'Review Working Party' – group of ccNSO members that will also help support the review itself

Self-Assessment: Scope

- At a high level, scope of self-review may include:
  - The three issues that the Bylaws mandate to form the core of any organizational review (Section 4.4):
    - whether [the ccNSO] has a continuing purpose in the ICANN structure;
    - whether any change in structure or operations is desirable to improve [the ccNSO's] effectiveness;
    - whether [the ccNSO] is accountable to its constituencies, stakeholder groups, organizations and other stakeholders.
  - Continued accuracy / adherence of ccNSO's structure and processes in relation to Article 10 of the Bylaws (describing the role of the ccNSO).
  - Assessment of the outcome of the previous review

Self-Assessment: possible questions

- Which recommendations from the last review were implemented and how? Were any not implemented? If so, why not?

- Of the recommendations implemented, have they brought about the desired results? How is this measured or what are the proof points?

- Were all issues identified in the final report addressed? If so, how? If not, why not?

- Have periodic updates on implementations been provided to members of the ccNSO, the ICANN Board, or the wider ICANN community?

- Is the ccNSO's charter current, based on how the organization operates today?

Draft Operating Standards

Agenda Item #6

Operating Standards

Aligned with ICANN Bylaws

Community collaboration & OEC oversight

System for efficient and effective reviews

Support Organizational and Specific Reviews by providing consistent and transparent processes and practices

Community-wide reference tool

Living document that applies lessons learned and best practices

Roadmap

ICANN 58	ICANN 59	Oct 2017	ICANN 60	Dec 2017
Provided status update on Operating Standards (OS)	Interactive session to gather community feedback; presenting options on Specific Reviews for: scope setting, selection of review team, role of observers, etc.	Draft OS published for public comment; community outreach via webinars etc.	Cross-Community Session, provide overview of draft OS, status of public comment, and SO/AC Chairs and OEC to discuss their views	Updated draft OS, based on public comment and ICANN60 feedback to be published

Current Draft: How Did We Get Here?

- ⊙ CCWG-Accountability Workstream1: Annex 09, Recommendation #09
  - ○ "To support the common goal of improving the efficiency and effectiveness of reviews, ICANN will publish operational standards to be used as guidance by the community, ICANN staff, and the Board in conducting future reviews."
  - ○ Reference to the Operating Standards in Section 4.6 of the new Bylaws

- ⊙ Community engagement sessions:
  - ○ ICANN57
  - ○ ICANN58
  - ○ Webinar in February 2017

- ⊙ ICANN organization (MSSI)
  - ○ Collating best practices and adapting similar processes from within ICANN
  - ○ Depth of knowledge from supporting all past specific reviews.

Status Quo

- Draft Operating Standards was posted for an extended public comment on 17 October 2017
  - the public comment period remains open for 90 days

- This webinar will be posted on the wiki page for the operating standard, where you can also find other relevant information: https://community.icann.org/display/OSFR/19+October+2017

- There will be a cross-community session to discuss the draft Operating Standards during ICANN60:
  - Monday 30 October 15:15-16:45 local time.

Example From Current Draft: Selecting Review Team

⊙ Overview

- ○ ICANN org issues call for volunteers, applicants indicate which SO/AC they seek nomination from.*

- ○ ICANN org distributes applications to the SO/AC from which the applicants seek nomination, including a non-binding diversity and skill analysis of each candidate.

- ○ SO/ACs, according to own procedures, selects up to seven candidates*, indicating, if they nominate more than three, three preferred candidates**

- ○ Once all SO/ACs have nominated, SO/AC chairs meet: nominees from SO/AC that have nominated three or fewer candidates are automatically selected to be on the review team*, as are those preferred nominees by SO/ACs that have nominated more than three.**

- ○ The SO/AC chairs have the option to fill any spare seats on the review team (maximum of 21)* by selected additional candidates from those SO/AC that have nominated more than three*/**

- ○ Once the SO/AC chairs have made their initial selection, ICANN org circulates a non-binding diversity and skill analysis on the entire team to the chairs

- ○ The chairs are free to revisit or maintain their decision and/or confer with the SO/AC before making their final selection.

*mandated in the Bylaws; **best practice from RDS/WHOIS2 and SSR2

Example From Current Draft: Selecting Review Team

⊙ Rationale

- ○ Only candidates who are nominated by the So/ACs can be selected by the SO/AC chairs*
- ○ The diversity of some SO/ACs means that they are keen to indicate preferences of their nominees**
- ○ Not certain that the SO/AC chairs should be given the power to overrule the indicated preferences of individual SO/ACs
- ○ The overall diversity and skillset of the review team is the responsibility of the SO/AC chairs*
- ○ ICANN org, by providing a non-binding diversity and skill analysis, based on the same date that was initially shared with the SO/ACs prior to their nomination process, allows the chairs to assess the overall composition of the review team, providing them with the option to confirm their decisions with the SO/ACs before finalizing their decision.
- ○ ICANN org has not role to influence the outcome of the selection process*/**

*mandated in the Bylaws; **best practice from RDS/WHOIS2 and SSR2

Example From Current Draft: Setting the Scope

⊙ Overview

  ○ Up-to two members from each SO/AC form scope drafting team 12-months prior to review start, to be concluded before call for volunteers for review team is issued

  ○ Proposed scope has to go to public comment and be approved by Board to confirm conformity with ICANN's Bylaws and mission

  ○ Review team maintains the ability to amend the scope while the review is underway

  ○ Based on the GNSO charter-drafting process for PDP WGs

⊙ Rationale

  ○ Community concerns that the scope should be set before the review team is assembled, see letter from ccNSO Councl Chair

  ○ Precedent within ICANN is that scope is set before community work gets underway: WS1, WS2, GNSO PDPs

  ○ Scope setting takes time out of review conduct: ATRT only has 12 months to complete its work.

Public comment

Potential Issues to Consider during public comment

⊙ Are there any questions about the content of the current draft?

⊙ Does the proposal of setting the scope prior to the selection of the review team have support?

⊙ How could the SO/AC chairs be better empowered to assure skillset and diversity of the review team as a whole?

⊙ The decision-making procedure is based on the GNSO PDP Working Group Guidelines, are there any concerns to adapt this to review teams?

⊙ Are there any gaps/issues that have been overlooked in the current draft?

Thank You and Questions