

Activities regarding Key Action Items 1-7 are the following:

- Review, analyze and summarize relevant documentation
- Categorize and prioritize the outcome of the analysis
- Conduct relevant interviews and relevant site visits
- Write summary paragraphs for interview findings
- Document initial recommendations
- Draft summary notes of key observations

1. Perform a comprehensive assessment of ICANN's **Information Security Management System**. This includes, but is not limited to the following domains:

- Leadership, roles, and responsibilities
- Resources, competence, awareness, and communication
- Access control and Cryptography
- Physical and environmental security
- Operational security
- System acquisition, development and maintenance
- Supplier relationships

Responsible sub group member: Boban, James

2. Perform a comprehensive assessment of ICANN's **Business Continuity Management System**. This includes, but is not limited to the following domains:

- Business Continuity Objectives and Plans
- Operational planning and control
- Business Continuity Strategies
- Prioritized Activity Recovery Strategy
- Resource Recovery Strategy
- BC Procedures - Incident Response Structure
- Business Continuity Plans (BCP)
- Evaluation of Business Continuity Procedures

Responsible sub group member: N.N, N.N

3. Perform a comprehensive assessment of ICANN's **Risk Management Methodology and Framework**. This includes, but is not limited to the following processes:

- Documented Risk Assessment Process
- Risk Management and Risk Treatment
- Risk Acceptance Criteria and Criteria for Risk Assessment?

Responsible sub group member: N.N, N.N

4. Perform an assessment how effectively ICANN has implemented its **Security Incident Management and Response Processes** to reduce (pro-active and reactive) the probability of DNS-related incidents. This includes, but is not limited to the following processes:

- Security Incident Management Process
- Security Incident Response Process relating to a global incident (DNS-related)
- ICANN operational responsibilities (L-Root)

Responsible sub group member: N.N, N.N

5. Perform a comprehensive assessment of internal security, stability and resiliency of **ICANN's operation processes and services**. This includes, but is not limited to the following scope:

- Global Domain Division Operations (GDD Operations)
- Centralized Zone Data Service (CZDS)
- SLA Monitoring System (SLAM)
- Statistical Analysis of DNS Abuse in gTLDs (SADAG)
- Domain Abuse Activity Reporting (DAAR)

Responsible sub group member: N.N, N.N

6. Perform an assessment how effectively ICANN has implemented its **processes around vetting registry operators and services concerning the New gTLD Delegation and Transition process**. This includes, but is not limited to the following scope:

- New gTLD Registry Agreement (Registry Operator)
- Back-End Registry Operator (BERO)
- Emergency Back-End Registry Operator (EBERO)
- Registry Data Escrow (RyDE) - Data Escrow Agent (DEA)

Responsible sub group member: Boban, James

7. Perform an assessment how effectively ICANN has implemented its **processes to ensure compliance regarding registrar agreements and the consensus policies**. This includes, but is not limited to the following scope:

- WHOIS Accuracy Reporting System (ARS)
- WHOIS Accuracy Program Specification (WAPS)
- Expired Domain Deletion Policy (EDDP), Expired Registration Recovery Policy (ERRP):
- Uniform Domain Name Dispute Resolution Policy (UDRP):
- Registrar Data Escrow (RDE)
- Abuse Reports
- Transfer Policy

Responsible sub group member: N.N, N.N