

Privacy and Proxy Service Provider Accreditation Program IRT

Accreditation Process Discussion



IRT Meeting
19 September

Agenda

- ⦿ Recap of Discussion to Date
- ⦿ Draft Accreditation Criteria
- ⦿ Proposed Program Fee Structure

Background

- ⦿ Proposed Privacy/Proxy accreditation process is modeled on registrar accreditation process
- ⦿ IRT advised that process should be streamlined wherever possible for affiliates
- ⦿ Updates to Applicant Guide from v1:
 - Streamlined process for affiliates
 - Fees proposal added
- ⦿ IRT feedback is requested on all elements of this document

IRT Feedback to Date on Draft v2

- ◉ Initial application window process may be too complicated/cumbersome
- ◉ More details needed to evaluate accreditation criteria
- ◉ Document markups sent to list included recommendations to:
 - Revert to definitions of “privacy” and “proxy” used in Final Report
 - Reduce fees
 - Replace essay-type policy/contract questions with “confirm that applicant will comply”/check the box-type questions

Proposed Changes to Process (Initial Window)

- ⦿ Eliminate “expression of interest” step and allow one-step application submission
- ⦿ Consult materials already available for affiliated providers
 - This is already taken into account during evaluation stage—see streamlined application for affiliates
- ⦿ Make requirement that registrars not knowingly accept registrations from unaccredited providers effective **3 months after completion of initial application phase**
- ⦿ Other IRT suggestions?

IRT Feedback to Date on Accreditation Criteria

○ **Proposed criteria:**

- Clear compliance check for any affiliated contracted parties;
 - What does this mean?
- Have at least one current employee who has completed educational program;
- Clear all due diligence checks;
 - Reduce list of background check criteria and time period reviewed
- Demonstrate understanding of policy and contractual requirements;
 - This isn't needed for affiliates
- Demonstrate access to adequate capital;
 - What is “adequate”?

IRT Feedback to Date on Accreditation Criteria

- ⊙ **Proposed criteria:**
 - Demonstrate adequate technical infrastructure;
 - What is “adequate”? Is this needed at all?
Datacenter/Tier III requirement should be eliminated
 - Demonstrate adequate planning for occurrence of security breaches, natural disasters, infrastructure and/or business failure
 - What does this mean?
- ⊙ **Any additional IRT feedback today?**
- ⊙ **ICANN will provide more detail on criteria shortly for additional discussion**

IRT Feedback on Affiliate Categories

- ⦿ **Types of affiliate relationship:**
 - No affiliation with any contracted party
 - Applicant affiliated with gTLD registry operator only
 - Applicant affiliated with ICANN-accredited registrar
 - Applicant affiliated with ICANN-accredited PP Provider (only during program maintenance phase)
- ⦿ No IRT feedback on categories themselves to date. Any additional feedback on categories?
- ⦿ IRT feedback on criteria by category:
 - Some IRT members said too much is being asked of affiliates;
 - Other IRT members said application cut too much for affiliates.

Summary: Application for Non-Affiliate

- Draft application for non-affiliates includes:
 - General information (name, address, primary contact information);
 - Background screening questions;
 - Completion of educational program;
 - Questions about data escrow processes, transition planning in event of de-accreditation;
 - Operational, policy and contractual questions;
 - Documentation of corporate status/good standing;
 - Financial statement; and
 - Datacenter certification documentation.

Summary: Application for Registrar Affiliates

- Draft application for registrar affiliates includes:
 - General information (name, address, primary contact information);
 - Background screening questions;
 - Completion of educational program;
 - Questions about data escrow processes, transition planning in event of de-accreditation;
 - Documentation of corporate status/good standing;

Proposed Program Fee Structure

- ⊙ **Application Fees:**
 - \$3,500 for non-affiliates
 - \$2,000 for affiliates

- ⊙ **Annual Accreditation Fee:** \$4,000 for all providers

- ⊙ **No transaction-based or variable fees**

Next Steps

- IRT feedback on applicant guide requested by **25 Sept**
- **Any additional requests for Accreditation Agreement discussion items should be sent to the list by 25 Sept**
- ICANN is updating draft contract per IRT feedback
- Known topics to revisit:
 - Data escrow;
 - De-accreditation process;
 - LEA framework (possible, depending on further input from PSWG);
 - Policy document

Engage with ICANN – Thank You and Questions



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