Work Plan – new gTLD Auction Proceeds Cross Community Working Group – updated 20 September 2017

The draft work plan below outlines a proposed sequence as well as approach with regards to addressing the CCWG charter questions, based on the initial discussions of the CCWG. Note that the outline below is for discussion purposes only and will be updated to reflect the input from the CCWG. The current outline is a best case scenario approach –additional time may need to be built in to address the different charter questions and/or consult with CCWG and/or external experts. The CCWG may want to discuss what date it would like to set as a target for the publication of its Initial Report to determine whether to extend the overall timeline or consider other ways to speed up the work such as an increase in meetings (e.g. change from meeting every two weeks to meeting every week or increasing the duration of the call from 90 to 120 minutes). Note, there is no specific deadline that is expected to be met, either as the result of external events or charter organizations directions, so it is up to the CCWG to determine the pace and duration of its deliberations.

Meeting / Target Date	Proposed Topics	Whom	Status / Notes
CCWG Conference Call – 23	Review CCWG expertise	CCWG	
February 2017	Review draft work plan		
	High level review of charter questions		
	& determine order to address		
	questions		
CCWG Conference Call – 2 March	Briefing on legal & fiduciary constraints	Samantha Eisner / CCWG	
2017	as well as ICANN's mission		
	Review updated work plan		
CCWG F2F Meeting at ICANN58 –	Continue briefing on legal & fiduciary	CCWG	
15 March 2017	constraints as well as ICANN's mission		
	Review responses to survey		
CCWG Conference Call – 30	Continue review of survey responses	CCWG	
March 2017	Discuss proposed approach for tackling		
	charter questions		
CCWG Conference Call – 13 April	Phase 1: Initial run through of charter	CCWG	
2017	questions 1 and 3		
CCWG Conference Call – 27 April	Phase 1: Initial run through of charter	CCWG	
2017	questions 5 and 7		

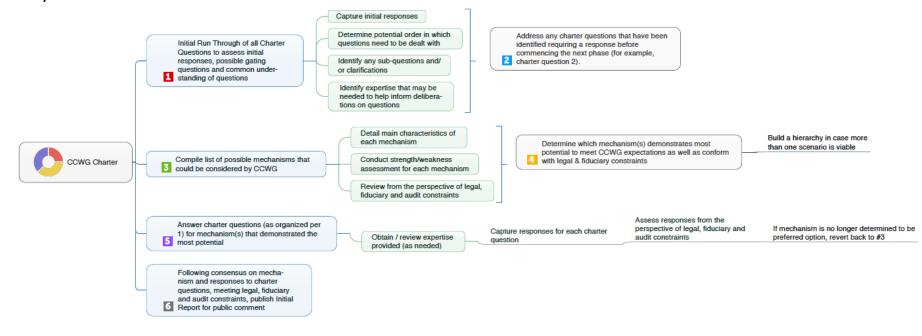
Meeting / Target Date	Proposed Topics	Whom	Status / Notes
CCWG Conference Call – 11 May	Phase 1: Initial run through of charter	CCWG	
2017	questions 11 and 2		
CCWG Conference Call – 25 May	Phase 1: Initial run through of charter	CCWG	
2017	questions 4, 6 and 8		
CCWG Conference Call – 8 June	Phase 1: Initial run through of charter	CCWG	
2017	questions 9 and 10		
CCWG Conference Call – 15 June	Briefing on audit requirements by	CCWG/Xavier Calvez	
2017	Xavier Calvez		
ICANN59 / 26-29 June – CCWG	Phase 2 : Address any charter questions	CCWG	Meeting scheduled for Tuesday 27
meeting	that have been identified requiring a		June from 13.30 – 15.00 local time.
	response before commencing the next		
	phase		
CCWG Conference Call - 13 July	Phase 2: Address any charter questions	CCWG	
2017	that have been identified requiring a		
	response before commencing the next		
	phase		
CCWG Conference Call - 27 July	Phase 2: Address any charter questions	CCWG	
2017	that have been identified requiring a		
	response before commencing the next		
	phase		
CCWG Conference Call - 10	Phase 2: Address any charter questions		
August 2017	that have been identified requiring a		
	response before commencing the next		
	phase		
CCWG Conference Call - 24	Phase 2: Address any charter questions		Preliminary agreement reached on
August 2017	that have been identified requiring a		charter question #4
	response before commencing the next		
	phase		

Meeting / Target Date	Proposed Topics	Whom	Status / Notes
CCWG Conference Call - 7 September 2017	Phase 2: Address any charter questions that have been identified requiring a response before commencing the next phase		Preliminary Agreement reached on charter question #2
CCWG Conference Call - 21 September 2017	Phase 2 : Address any charter questions that have been identified requiring a response before commencing the next phase		Consider input from ICANN Finance/Legal re. internal/external option to inform deliberations re. charter question #7 Consider input from ICANN Board re. potential use of auction proceeds to replenish reserve fund to help inform deliberations re. charter question #10 Finalize review of examples & definition of Open Internet
CCWG Conference Call – 5 October 2017	Phase 3: Compile list of possible mechanisms that could be considered by CCWG	CCWG	 Work on this could potentially commence in parallel with phase 2? This stage would include: Detail main characteristics of each mechanism Conduct strength / weakness assessment for each mechanism Review from the perspective of legal, fiduciary and audit constraints Consultation with identified experts to obtain input / briefing on different mechanisms and confirm

Meeting / Target Date	Proposed Topics	Whom	Status / Notes
			whether list compiled is complete and accurate
CCWG Conference Call - 19 October 2017	Phase 3 : Compile list of possible mechanisms that could be considered by CCWG	CCWG	
ICANN60 – 28 October – 3 November 2017	Phase 3 : Compile list of possible mechanisms that could be considered by CCWG		Depending on time available and members / participants able to participate, additional topics could be covered during the F2F meeting at ICANN60. Currently, F2F meeting time has been planned for Thursday from 10.30 – 12.00 and 13.30 – 15.00.
CCWG Conference Call - 16 November 2017	Phase 3 : Consultation with identified experts to obtain input / briefing on different mechanisms and confirm whether list compiled is complete and accurate	CCWG	Depending on # of experts identified (see current <u>list</u> of possible experts to consult) as well as their availability additional time may need to be allocated.
CCWG Conference Call – 30 November 2017	Phase 4: Determine which mechanism(s) demonstrates most potential to meet CCWG expectations as well as conform with legal & fiduciary constraints	CCWG	
CCWG Conference Call – 14 December 2017	Phase 4 : Determine which mechanism(s) demonstrates most potential to meet CCWG expectations as well as conform with legal & fiduciary constraints		

Meeting / Target Date	Proposed Topics	Whom	Status / Notes
Meeting / Target Date CCWG Conference Call – 11 January 2018	Proposed Topics Phase 5: Answer charter questions (as organized per 1) for mechanism(s) that demonstrated the most potential	CCWG	Status / NotesDuration of phase 5 will be dependent on # of mechanisms that have demonstrated the most potential. As this work is iterative, it is also possible that CCWG may need to go back to phase 4 if it is determined that the mechanism(s) initially identified for this phase are not suitable based on the charter
			question responses.
CCWG Conference Call – 25 January 2018	Phase 5 : Answer charter questions (as organized per 1) for mechanism(s) that demonstrated the most potential	CCWG	
CCWG Conference Call – 8 February 2018	Phase 5 : Answer charter questions (as organized per 1) for mechanism(s) that demonstrated the most potential	CCWG	
CCWG Conference Call – 22 February 2018	Phase 5 : Answer charter questions (as organized per 1) for mechanism(s) that demonstrated the most potential	CCWG	
Prior to ICANN61	Phase 6 : Following consensus on mechanism and responses to charter questions, meeting legal, fiduciary and audit constraints, publish draft Initial Report for CCWG Review	Staff	Exact timing dependent on duration of phase 5.
ICANN61 – 10 March – 15 March 2018	Phase 6 : CCWG F2F meeting to review draft Initial Report and finalize report for publication	CCWG	
Publish Initial Report for Public Comment 23 March 2018	Phase 6 : Publish Initial Report for public comment	CCWG	

Proposed Approach for Dealing with Charter Questions (dated 18 April 2017)



ARTER QUESTION APPROA	СН
	Stage 2 - Address any charter questions that have been identified requiring a response before commancing the next phase
	# 2. As part of this framework, what will be the limitations of fund allocation, factoring in that the funds need to be used in line with ICANN's mission while at the same time recognising the diversity of communities that ICANN's serves? This should include recommendations on how to assess whether the proposed use is aligned with ICANN's Mission. Furthermore consideration is expected to be given to what sateguards, if any, need to be in place.
	#4. What aspects should be considered to define a timeframe, if any, for the funds allocation mechanism to operate as well as the disbursements of funds? E.g. The timeframe for the operation of this new mechanism may provide the opportunity for long term support, or for funding to be released in tranches linked to milestone achievements, single or multiple disbursements.
	47. Should ICANN oversee the solicitation and evaluation of proposals, or delegate to or coordinate with another entity, including, for example, a foundation created for this purpose?
	Stage 3 - Complie list of possible mechanisms that could be considered by CCWG
	Detail main characteristics of each mechanism Conduct strength/weakness assessment for each mechanism
	Review from the perspective of legal, fiduciary and audit constraints
	Consultation with identified experts to obtain input / briefing on different mechanisms and confirm whether compiled is complete and accurate
	#1. What transwork (structure, process and/or partnership) should be designed and implemented to allow for the disbursement of new gTLD Auction Proceeds, taking into account the legal and fiduciary constraints outlined above as well as the existing memory on legal and fiduciary principles? As many details as possible should be provided, including any implementation guidance the CCWG may have in milation to the establishment of this framework as well as criteria for the selection / ranking of potential funding requests. Stage 4 - Determine which mechanism(e) demonstrates most potential to meet CCWG
	expectations as well as conform with legal & fiduciary constraints
	Stage 5 - Answer charter questions (as organized per 1) for mechanism(s) that demonstrated the most potential
	#3. What safeguards are to be put in place to ensure that the creation of the tramework, as well as its execution and operation, respect the legal and fiduciary constraints that have been outlined in this memo?
	45. What conflict of interest provisions and procedures need to be put in place as part of this framework for fund allocations?
	#11. Should a review mechanism be put in place to address possible adjustments to the transevork following the completion of the CCWGs work and implementation of the transevork should changes occur that affect the original recommendations (for example, changes to legal and fiduciary requirements and/ or changes to ICANN's mission)?
	48. What aspects should be considered to determine an appropriate level of overhead that supports the principles outlined in this charter?
	49. What is the governance tramework that should be followed to guide distribution of the proceeds? The issues addressed by a governance tramework could include (but does not have to be limited to):
	a. What are the specific measures of success that should be reported upon? b. What are the oritoria and mechanisms for measuring success and performance?
I	c. What level of evaluation and reporting should be implemented to keep the community informed.
	about how the funds are ultimately used?