REVIEW OF THE AT-LARGE COMMUNITY 2016 -17

DRAFT REPORT FOR PUBLIC COMMENT

WEBINAR

27 FEB 2017

ITEMS INTERNATIONAL

ICANN

Purpose & Scope of Review

Purpose

**ICANN Bylaws
(Section 4.4, Paragraph a)**

The Board shall cause a periodic review of the performance and operation of each Supporting Organization, each Supporting Organization Council, and each Advisory Committee […] by an entity or entities independent of the organization under review.

The goal of the review, to be undertaken pursuant to such criteria and standards as the Board shall direct, shall be to determine

(i) whether that organization, council or committee has a continuing purpose in the ICANN structure,

(ii) if so, whether any change in structure or operations is desirable to improve its effectiveness, and

(iii) whether that organization, council or committee is accountable to its constituencies, stakeholder groups, organizations and other stakeholders.

Scope

Extract from contract between ICANN and ITEMS International (Exhibit B):

Contractor shall conduct an independent review of the At-Large Community ("At-Large"), as mandated by ICANN Bylaws to assess the effectiveness of:

1) improvements resulting from the recommendations from the previous Review

2) components of the At-Large Community - ALAC, Regional At-Large Organisations (RALOs) and At-Large Structures (ALSes) in accordance with the ICANN-provided objective and quantifiable criteria.

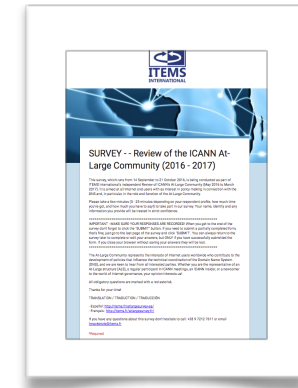The last review focused primarily on the ALAC.

While the current Review will cover all components of the At-Large Community, the primary focus will be on the structures not covered by the last review - RALOs and ALSes
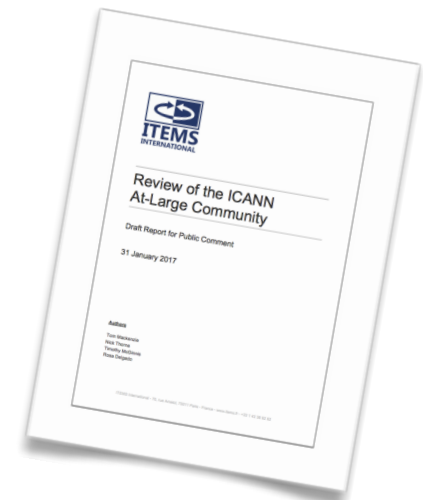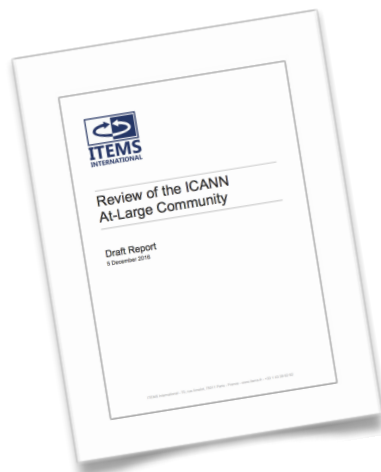
Review process so far...

Interviews: 100+

Global Survey: 240+ responses

Draft Report for Review Working Party Consideration
5 December 2016

Draft Report for Public Comment
31 January 2017

This takes extensive account of comments
from the the RWP

Documentary research
Taking into account lessons learnt

What we have heard…
Strongly held, often opposing views

At-Large is <u>not</u> accountable to the global community of Internet end-users

At Large may not perfect, but it's much better than what it was!

At Large is the **soul** of ICANN

At-Large is an organisation that was "**designed to fail**"

At-Large plays a vital role in the ICANN multi-stakeholder system

At-Large is a merry-go-round among a self-appointed elite.

Too much volunteer time is spent on procedural matters

At-Large is Broken

At Large "advice" is abundant, but not always relevant

Without At-Large there would be no body within ICANN to represent end user interests

The At Large organisational structure is excessively complex for ordinary end users

Questions and Hypotheses:

Has At-Large underperformed?
If so, what external or internal factors
may have prevented At-Large from performing better?

1) ICANN Mission
ICANN's narrow technical remit in connection with the DNS, and the legal and technical complexity of the issues that are discussed within ICANN may, in part, explain the low level of effective end-user engage-ment within At-Large.

2) People, Power & Politics
At-Large has not attracted higher levels of end-user participation because established leaders have monopolised positions of power, blocking rotation, and severely limiting the introduction of fresh thinking.

3) At-Large Organisational Structure
The At-Large organisa-tional structure become too complex. It has become a barrier to effective end-user involvement. Is the current ALS / RALO / ALAC model fit for purpose?
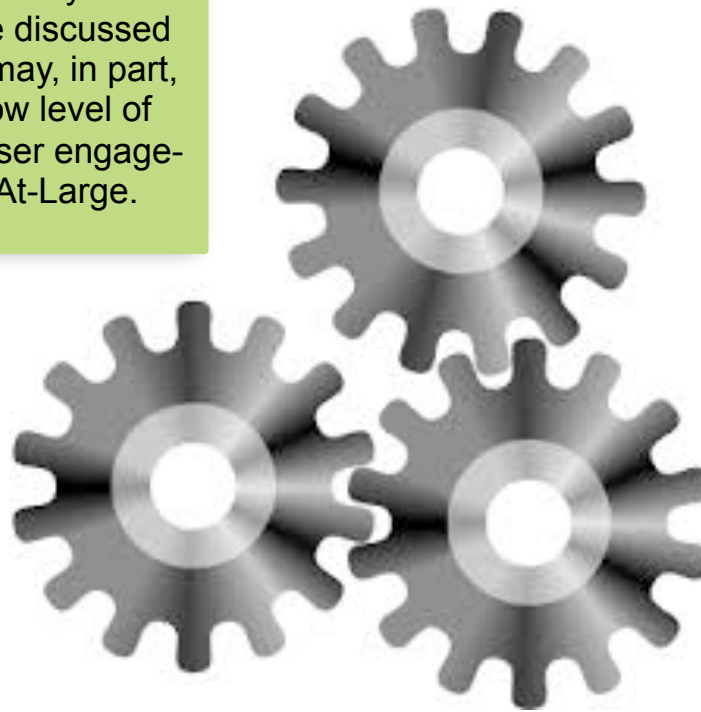
Our view:
Most likely a combination of all three. Hence our Review focuses on organisational, mission-related and, structural aspects of the At-Large Community

What we have concluded
Much has been achieved, but much still need to be done

Our view

1. Does the At-Large Community have a **continuing purpose** in the ICANN Structure?

   ✓

2. Is any **change in structure or operations** desirable to improve the effectiveness of At-Large?

   YES

3. **Is At-Large accountable** to its constituencies, stakeholder groups, organisations and other stakeholders?

   WORK IN PROGRESS

   Not yet

16 Recommendations + EMM
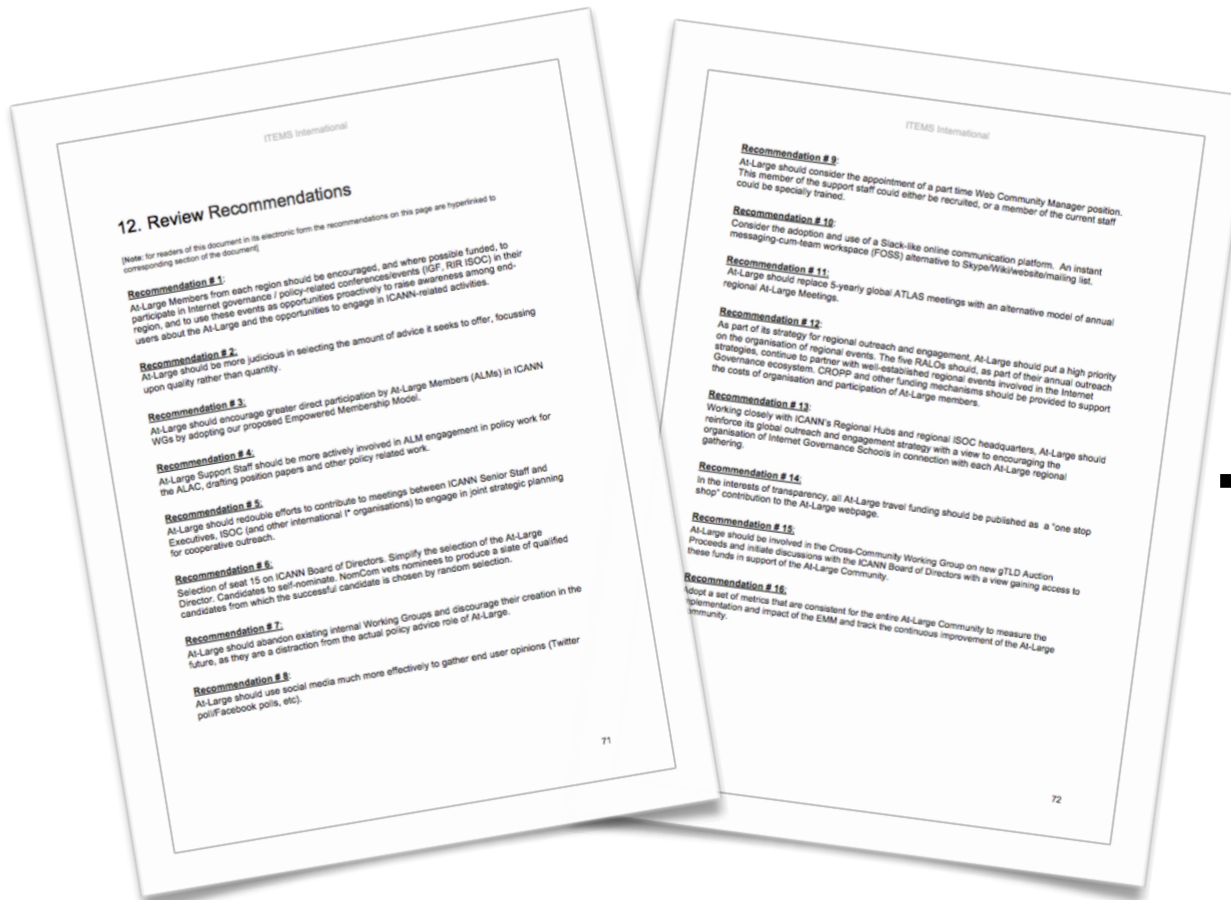
Empowered Membership Model

EMM

+

Recommendation # 7
Abandon internal Working Groups

Recommendation # 7: At-Large should abandon existing internal Working Groups and discourage their creation in the future as they are a distraction from the actual policy advice role of At-Large.

Recommendation # 11
ATLAS meeting format & schedule

Recommendation # 11: At-Large should replace 5-yearly global ATLAS meetings with an alternative model of annual regional At-Large Meetings.

Recommendation # 12
Regional meeting strategy

Recommendation # 12: As part of its strategy for regional outreach and engagement, the At-Large Community should continue to put a high priority on the organisation of external regional events. The five RALOs should continue, as part of their annual outreach strategies to partner with well-established regional events involved in the Internet Governance ecosystem. CROPP and other funding mechanisms should be provided to support the costs of organisation and participation of At-Large members.

Recommendation # 15
New Funding mechanism for At-Large

Recommendation # 15: At-Large should be involved in the Cross-Community Working Group on new gTLD Auction Proceeds and initiate discussions with the ICANN Board of Directors with a view gaining access to these funds in support of the At-Large Community.

Outreach
Recommendation yet to be drafted

Considerable resources have already been devoted by ICANN to "outreach and engagement". Survey comment indicates the need for more work to be done, particularly in relation to the overall "two-way street" mandate of At-Large. In our final report to the board we shall make recommendations on the role of At Large in a coordinated ICANN outreach program.

Empowered Membership Model

Empowered Membership Model

EMM

A holistic model of engagement for At-Large.

Designed to in crease and empower end-users by:

- **Simplifying membership criteria.**
- Simplifying the organisational structure of At-Large by **combining RALO and ALAC** roles.
- Providing active end-users with **access to travel funding** (Rapporteur mechanism).
- Providing end-users that are active in policy work or outreach & engagement activities with certain **voting rights**.
- Providing active end-users with a fast-track route to positions of responsibility within the At-Large Community.
- Ensuring faster turnover of At-Large leadership positions.

Empowered Membership Model
Same basic organisational structure

Current At-Large Community

Empowered Membership Model

ICANN Board of Directors

4	AF	AP	EUR	LAC	NA	NC

ALAC

3	AF	AP	EUR	LAC	NA

RALO

2

ALS

1

ALM

ICANN Board of Directors

AF | AP | EUR | LAC | NA | **NC**   2

1

Current At-Large: 4 functional levels

EMM: 2 functional levels
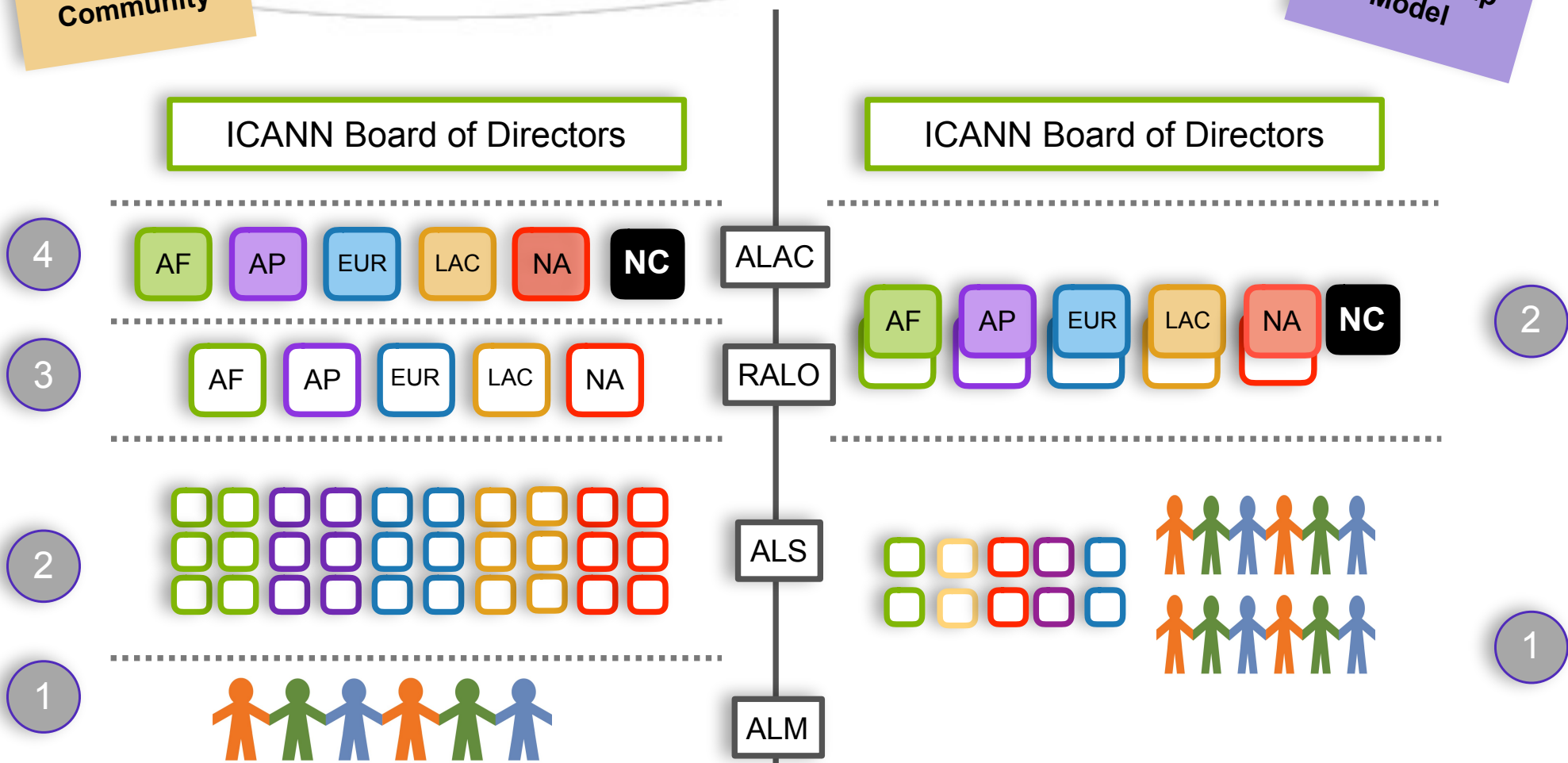
Empowered Membership Model
Basic functional aspects

The role of the RALO / ALAC is to provide ALMs with information about active ICANN Working Groups **or** opportunities to become engaged in "outreach and engagement" activities.

4

Active "policy" ALMs may volunteer to become a **Rapporteur** for their ICANN WG.

Policy WGs

AF AP EUR LAC NA   RALO ALAC

Any end user or association of end-users (ALS) can become a an **At-Large Member (ALM)**.

1

Active ALM earn right to vote in elections for At-Large leadership positions.

3

2

"Outreach and Engagement"

Empowered Membership Model
Rapporteur: Policy advice workflow

Policy Working Groups
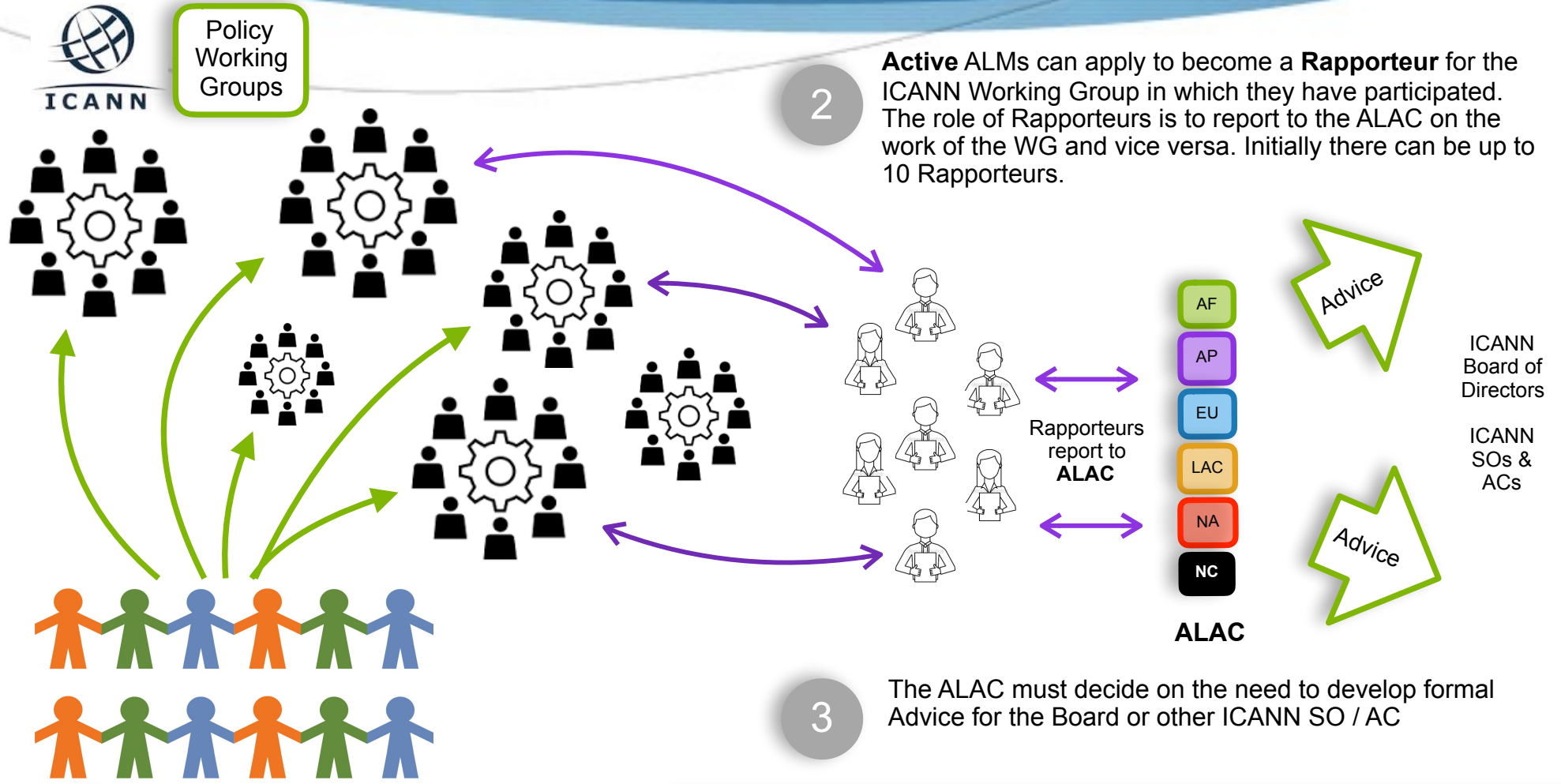
Active ALMs can apply to become a **Rapporteur** for the ICANN Working Group in which they have participated. The role of Rapporteurs is to report to the ALAC on the work of the WG and vice versa. Initially there can be up to 10 Rapporteurs.

2

Rapporteurs report to **ALAC**

AF
AP
EU
LAC
NA
NC

ALAC

Advice

Advice

ICANN Board of Directors

ICANN SOs & ACs

3 The ALAC must decide on the need to develop formal Advice for the Board or other ICANN SO / AC

1 Any ALM can participate in the ICANN WG of his / her choice.

Note: If there is more than one volunteer for a **Rapporteur** position a random selection mechanism should be used to designate the person for the position.

Empowered Membership Model
Use of Random Selection for elections

The EMM includes a radical proposal to use a **Random Selection** mechanism for the appointment of certain leadership roles within the At-Large Community.

The objective is to:

- Rule out the possibility of national or commercial interest groups within At-Large or the wider ICANN system having any influence over the results of elections.
- **Prevent the gaming of elections** and ensuring that leadership positions are not filled by individuals that are predominantly influential, well-connected, affluent or from the Anglo-Saxon West.
- **Simplify and speed up electoral processes** within At-Large.
- Increase the **democratic accountability** of the At-Large Community.

RFC 3797
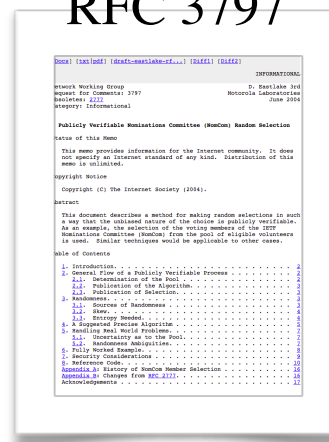
1) Appointment of ICANN WG **Rapporteurs.**

If there is more than one volunteer for a an ICANN WG Rapporteur position random selection will be used to designate the position.

2) Appointment of the At-Large representative on the ICANN **Board of Directors.**

Candidates for the ICANN BoD should be nominated or self-nominated. NomCom to establish slate of candidates. Random selection to be used to designate the position.

Empowered Membership Model
How travel funding will be affected

Current At-Large: All travel 27 slots used up by ALAC and RALO leadership

AF AF AP AP EU EU LA LA NA NA NC NC NC NC NC AF AF AP AP EU EU LA LA NA NA ▢ ▢

ALAC x10

ALAC (NocmCom) x 5

RALO leadership x 10

* X2
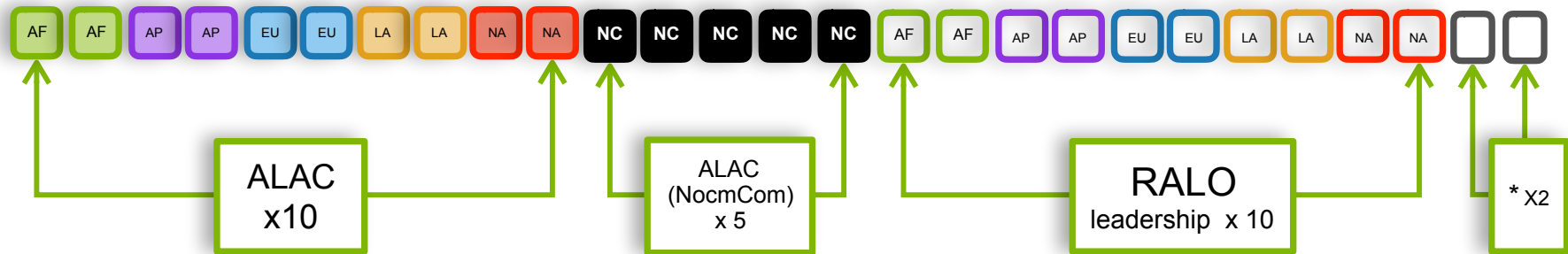
EMM: In future the ALAC will be populated by 10 RALO Representatives + 5 NomCom Appointees.
At least 10 travel slots reserved for ICANN WG Rapporteurs

AF AF AP AP EU EU LA LA NA NA NC NC NC NC NC R1 R2 R3 R4 R5 R6 R7 R8 R9 R10 ▢ ▢

ALAC x10

ALAC (NocmCom) x 5

ICANN-WG Rapporteurs x 10

Elders X2
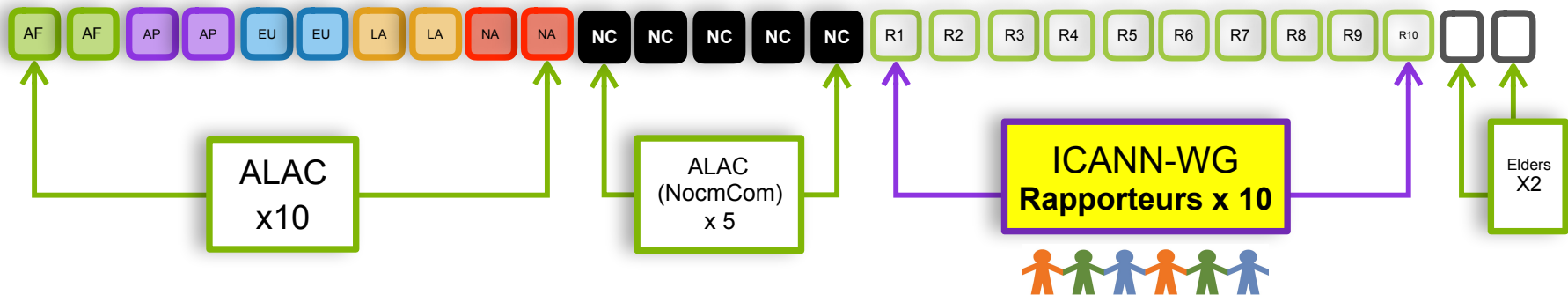
* invariably allocated to established members of At-Large leadership

Empowered Membership Model
Anticipated impact

AF AP EUR LAC NA

1) Lowering barriers to entry will result in higher rates of participation and faster renewal of ALAC / RALO leadership positions

2) Incentives including voting rights for active members will energise the membership base involved in "**outreach and engagement**" and or "**policy advice**" work.

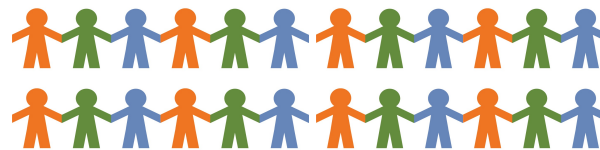3) The universal implementation of term limits will encourage an upward and outward movement of At-Large members.

4) The integration of **RALO and ALAC functions** will remove a unnecessary functional layer in the current At-Large structure

5) The new **Rapporteur** function will create incentives for active ALMs in ICANN policy work.

6) Faster access to ICANN **Working Groups** will result in higher rates of engagement and faster rate of renewal of active community members

Draft Report & Contacts
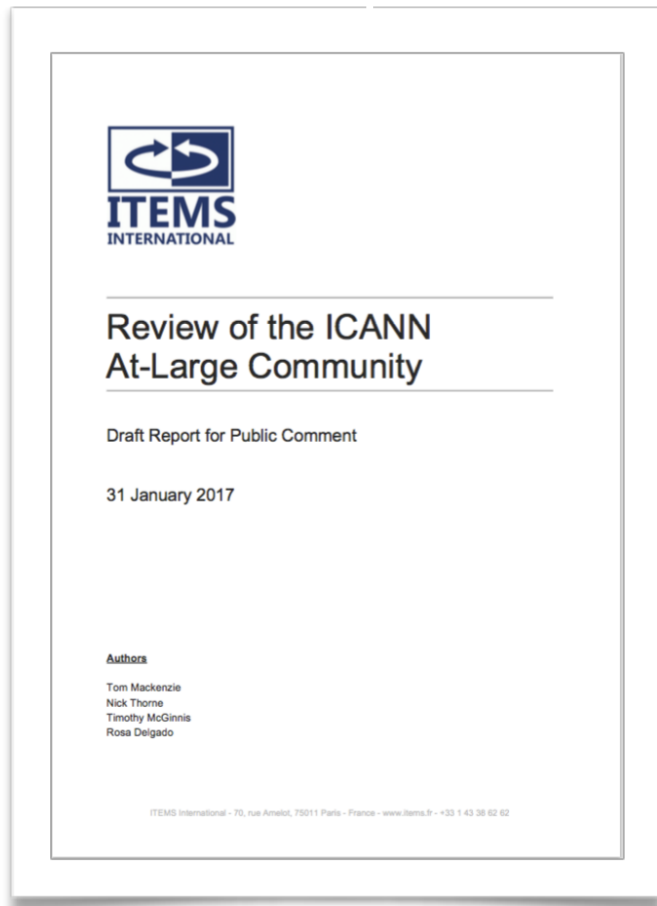
ITEMS International's Draft Report for Public Comment can be accessed via the At-Large website at:

https://www.icann.org/en/system/files/files/atlarge-review-draft-report-31jan17-en.pdf

Authors

Tom Mackenzie
Nick Thorne
Tim McGinnis
Rosa Delgado

Contact

ITEMS International
70, rue Amelot - 75011 Paris
Tel: +33 6 7428 6392
www.items.fr

ITEMS INTERNATIONAL

Review of the ICANN At-Large Community

Draft Report for Public Comment

31 January 2017

Authors

Tom Mackenzie
Nick Thorne
Timothy McGinnis
Rosa Delgado

ITEMS International - 70, rue Amelot, 75011 Paris - France - www.items.fr - +33 1 43 38 62 62