



# ICANN Review Operating Standards

Lars Hoffmann | Webinar | 21 February 2017

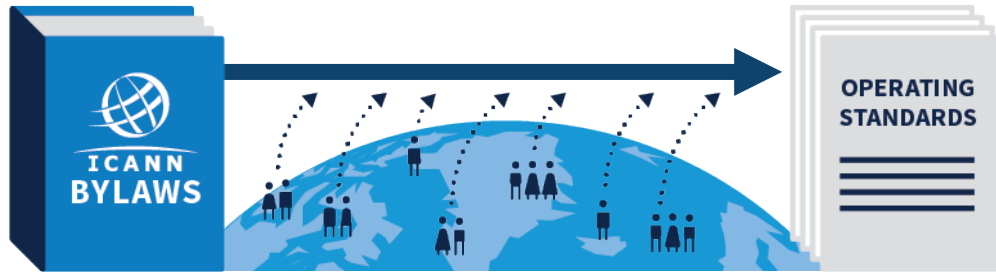
# Agenda

- ⦿ Expectation-setting for this webinar
- ⦿ What are Review Operating Standards?
- ⦿ Progress since ICANN57: Strawman on Organizational Reviews
- ⦿ Community Consultation to Support Drafting Process from here on out
- ⦿ Questions and Answers

# Purpose of this webinar

1. Provide update on progress
2. Align outreach plans depending on community input
3. Agree on next steps

# Operating Standards



Aligned with  
ICANN Bylaws

Community  
collaboration

System for efficient  
and effective reviews



Support review of  
processes and practices  
and lead to continuous  
improvement



Guidance and tools



Apply lessons learned  
and best practices

## Roadmap



Informal  
discussions  
about drafting  
process



Community  
Session and  
informal process-  
related discussion  
with OEC



Webinar to review  
progress to date  
and review future  
consultation steps



Community  
discussion on  
progress and  
next steps



Formalized  
continued  
community  
consultation



Refine  
through  
consultation



Publish  
Draft for  
Public  
Comment

# Strawman: Organizational Reviews

- Strawman is based on Community instruction provided in Hyderabad
- Strawman expands on : Organizational Reviews
- Contains: summary of existing processes and best practices- serve as a starting point for community discussion and substantive input

## Structure:

1. Introduction to Organizational and Specific Reviews
2. Organizational Reviews
  - 2.1 Overview
  - 2.2 Independent Examiner
  - 2.3 Scope-setting
  - 2.4 Review Working Party

# Strawman: Organizational Reviews

## 1. Introduction to Organizational and Specific Reviews

### Values

The focus of Reviews is to assess ICANN's fulfillment of its commitment to accountability, transparency, and to be a principal mechanism to deliver on its mission and core values, as documented in the Bylaws. Reviews are central to ICANN's continuous improvement, helping to evolve its multistakeholder model of governance, by:

- Supporting a culture of continuous improvement;
- Improving accountability and transparency;
- Evaluating how ICANN delivers on its mission and commitments.

To assure that Reviews are conducted productively, they shall, wherever possible, adhere to the following standards:

- Efficiency: make prudent use of volunteer time and ICANN budget;
- Effectiveness: result in recommendations that are specific, measurable, attainable, realistic, and time-aware;
- Timeliness: establish and adhere to meaningful time boundaries for review activities.



# Strawman: Organizational Reviews

## 2. Organizational Reviews

### 2.1 Overview

List of organizations that required organizational review per Bylaws

Specifically, the Bylaws prescribe that: ‘The Board shall cause a periodic review of the performance and operation of each Supporting Organization, each Supporting Organization Council, each Advisory Committee (other than the Governmental Advisory Committee), and the Nominating Committee [...] by an entity or entities independent of the organization under review’ (Section 4.4 (a)). The Bylaws also prescribe that these reviews shall be conducted no fewer than every five years.

# Strawman: Organizational Reviews

## 2. Organizational Reviews

### 2.2 Independent Examiner

Notwithstanding the oversight functions of the ICANN Board and its Organizational Effectiveness Committee (OEC), Organizational Reviews are conducted by consultants who are independent of the entity under review and are impartial and objective in the conduct of their work, namely the Independent Examiner.

- Procurement
- Management of the Independent Examiner
- Methodology
- Deliverables
- Consultation with Review Working Party and wider Community



# Strawman: Organizational Reviews

## 2. Organizational Reviews

### 2.3 Scope-setting

Organizational Review shall determine ‘(i) whether that organization, council or committee has a continuing purpose in the ICANN structure, (ii) if so, whether any change in structure or operations is desirable to improve its effectiveness and (iii) whether that organization, council or committee is accountable to its constituencies, stakeholder groups, organizations and other stakeholders’ (section 4.4 of the Bylaws).

In addition, the ICANN Board, through the overseeing OEC and in consultation with the Review Working Party (RWP), may refine the scope and provide any additional guidelines or priorities to the Independent Examiner.

# Strawman: Organizational Reviews

## 2. Organizational Reviews

### 2.4 Review Working Party

Each Organizational Review shall be supported by a Review Working Party (RWP), composed of members from the organization/committee under review. The Working Party provides a liaison function between the Independent Examiner, the OEC, the ICANN Organization, and the organization/committee under review. Additionally, the Working Party shall assist the Independent Examiner as appropriate to help ensure that the analysis of the review is based on adequate sources and that any recommendations put forward by the Independent Examiner are constructive, implementable, and, wherever possible, measurable.

- Formation and Size
- Chair and Co-Chair(s)
- Meetings
- Mailing Lists
- Wiki Page

# Next Steps: Specific Reviews through Community Input

## 2. Specific Reviews

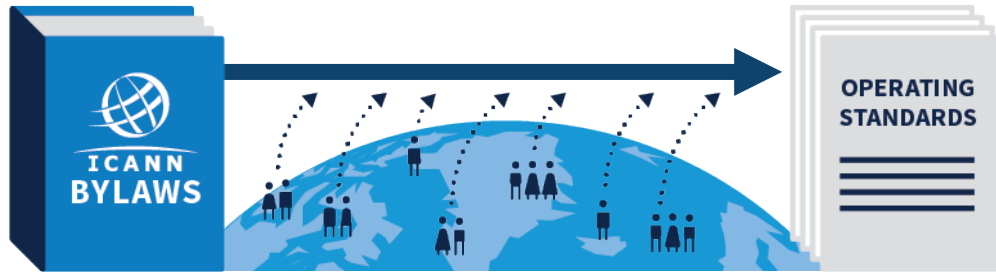
- Accountability and Transparency
- Competition, Consumer Trust, and Consumer Choice
- Security, Stability, and Resiliency
- Registration Data Services (formerly: WHOIS)

The Specific Reviews (formally AoC Reviews) are now mandated by the Bylaws. A number of new issues have arisen, meaning no existing processes or best practices exist.

Community input is therefore sought especially on:

- Selection Process for Review Teams
- Role of Review Team Observers
- Confidentiality requirements for Review Team members
- Scope of the review - in addition to what is prescribed in the Bylaws
- Amendment process of the Operating Standards once in place

# Operating Standards



Aligned with  
ICANN Bylaws

Community  
collaboration

System for efficient  
and effective reviews



Support review of  
processes and practices  
and lead to continuous  
improvement



Guidance and tools



Apply lessons learned  
and best practices

## Roadmap



Informal  
discussions  
about drafting  
process



Community  
Session and  
informal process-  
related discussion  
with OEC



Webinar to review  
progress to date  
and review future  
consultation steps



Community  
discussion on  
progress and  
next steps



Formalized  
continued  
community  
consultation



Refine  
through  
consultation



Publish  
Draft for  
Public  
Comment

A world map where the continents are defined by a complex network of white dots and thin white lines, resembling a network or data visualization. The background is a solid teal color.

# Questions?