



# CCWG – Accountability

## Workstream 2 – Activity Dashboard\*

### June 2017

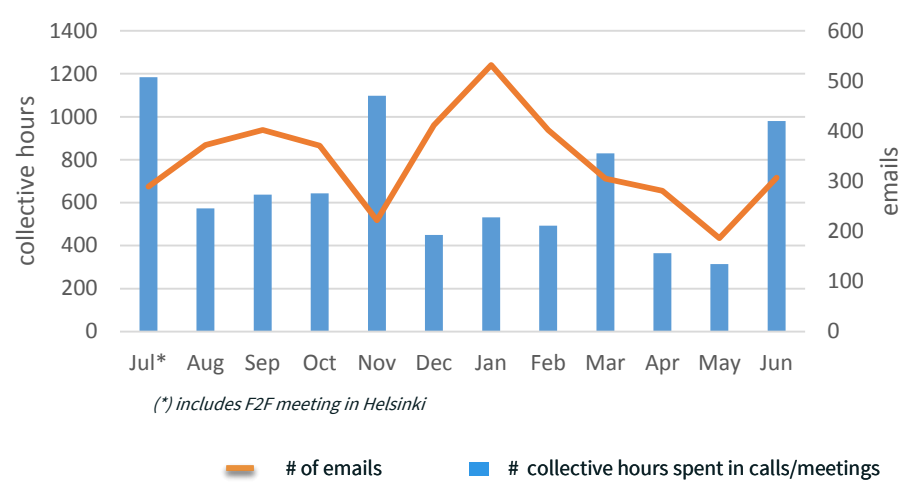
*(\*) Produced on behalf of the CCWG, and approved by the CCWG co-chairs. All status updates found in this report are provided by subgroups rapporteurs. Statistics are based on data available from the WS2 wiki.*

# WS2 overall report

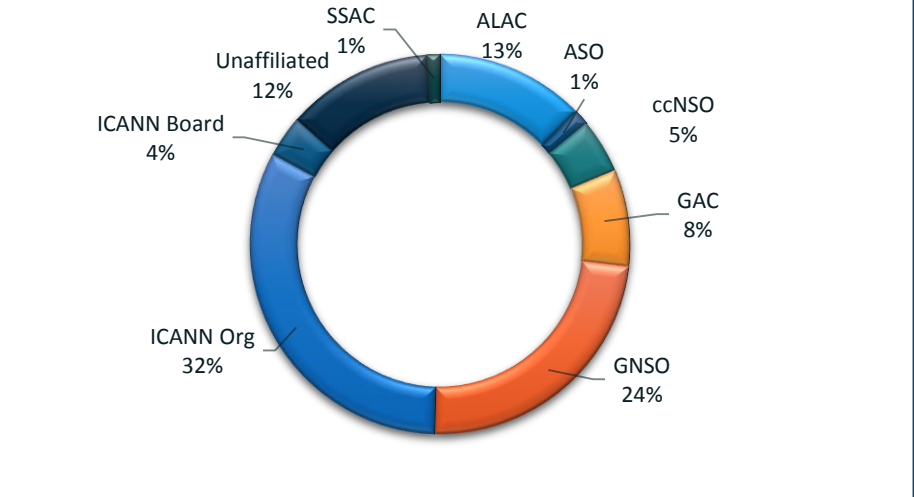
# WS2 Monthly Activity Update

Reporting Period:  
**JUN 17**

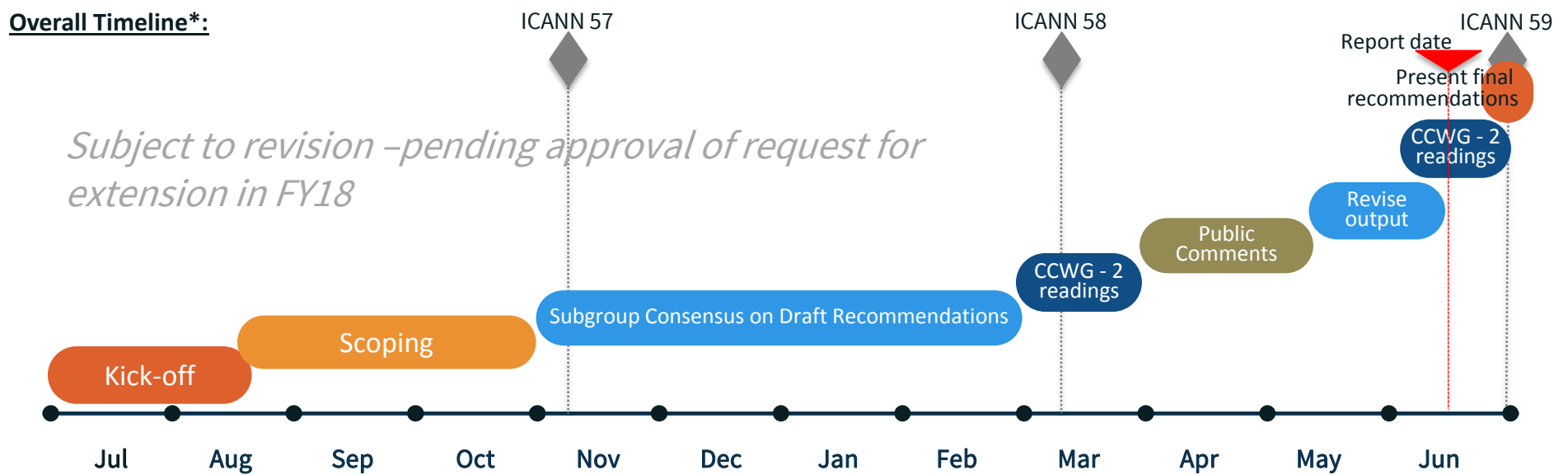
## Monthly Activity (plenaries & subgroups):



## May + June Participation by Affiliation:



## Overall Timeline\*:



Based on data available from the WS2 wiki – this is a high level summary of the work underway. (\*) Work plans may vary by subgroups.

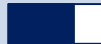

















Updated on  
05 July 17



# Subgroups Progress Update

Progress:  51%

Reporting Period:  
JUNE 17

Topic	Meetings this month	Progress	Status	Updates	Focus / Concerns / Risks
Transparency	1	 70% (+ 0 %)		<ul style="list-style-type: none"> <li>Public consultation closed on 10 Apr 17.</li> <li>13 comments posted.</li> <li>Analyzing public comments received.</li> </ul>	<ul style="list-style-type: none"> <li>The sub-group has lost one of its two co-rapporteurs.</li> <li>On-going discussions w/ICANN Org on comments posted by ICANN Org.</li> </ul>
Guidelines for Good Faith...	0	 70% (+ 0 %)		<ul style="list-style-type: none"> <li>Public consultation closed on 24 Apr 17.</li> <li>5 comments posted.</li> <li>Analyzing public comments received.</li> </ul>	<ul style="list-style-type: none"> <li>Subgroup is looking to meet with ASO to address their comments.</li> </ul>
SO/AC Accountability	0	 70% (+ 0 %)		<ul style="list-style-type: none"> <li>Public consultation closed on 26 May.</li> <li>10 comments posted.</li> <li>Staff produced an initial summary of comments received.</li> </ul>	
Human Rights	0	 70% (+ 10 %)		<ul style="list-style-type: none"> <li>Draft Framework of Interpretation for Human Rights was posted for public comments.</li> <li>Public consultation closed on 16 Jun 17.</li> </ul>	
Staff Accountability	3	 50% (+20 %)		<ul style="list-style-type: none"> <li>Subgroup produced draft recommendations.</li> </ul>	<ul style="list-style-type: none"> <li>Presentation of recommendations to CCWG-Plenary (1<sup>st</sup> reading) at ICANN 59 face-to-face meeting.</li> </ul>
Diversity	3	 40% (+ 5 %)		<ul style="list-style-type: none"> <li>7 responses to questionnaire received from SO / ACs.</li> <li>Subgroup analyzing responses and working on v3 of draft recommendations.</li> </ul>	
Ombuds Office	2	 30% (+ 5 %)		<ul style="list-style-type: none"> <li>External evaluator produced its evaluation report.</li> <li>Subgroup reviewed report and provided feedback and inputs.</li> </ul>	<ul style="list-style-type: none"> <li>Presentation of External Evaluation report to CCWG-Plenary at ICANN 59 face-to-face meeting.</li> </ul>
Review of the CEP	2	 30% (+ 5 %)		<ul style="list-style-type: none"> <li>Compiled analysis of interviews conducted.</li> <li>Developed a brief document for presentation at ICANN 59 to get feedback and directions from the Plenary.</li> </ul>	
Jurisdiction	3	 25% (+ 5 %)		<ul style="list-style-type: none"> <li>Working on completing review and evaluation of questionnaire responses.</li> <li>Working on completing the analysis of ICANN's current &amp; past litigations.</li> </ul>	<ul style="list-style-type: none"> <li>Continued discussions on the topic of jurisdiction of incorporation. Decision taken by CCWG co-chairs for subgroup to take Californian jurisdiction as a baseline for all its recommendations.</li> </ul>



Based on data available from the WS2 wiki – this is a high level summary of the work underway

# Plenary Reviews and Public Comment Periods Schedule

	CCWG Plenary 1 <sup>st</sup> reading	CCWG Plenary 2 <sup>nd</sup> reading	Public Comments
Jurisdiction (Questionnaire)	✓ 12/14/16	✓ 01/11/17	✓ <a href="#">02/09/17 – 04/17/17</a> (closed)
Transparency	✓ 01/11/17	✓ (02/08/17)	✓ <a href="#">02/21/17 – 04/10/17</a> (closed)
Good Faith Conduct	✓ (02/08/17)	✓ (02/22/17)	✓ <a href="#">03/07/17 – 04/24/17</a> (closed)
SO/AC Accountability	✓ 03/10/17	✓ 03/29/17	<a href="#">04/14/17 – 05/26/17</a> (closed)
Diversity (Questionnaire)	✓ 03/10/17	✓ 03/29/17	<a href="#">04/21/17 – 06/01/17</a> (closed)
Human Rights	✓ 04/12/17	✓ 04/26/17	<a href="#">05/05/17 – 06/16/17</a> (open)
Staff Accountability	06/25/17	TBD	TBD
Diversity	TBD	TBD	TBD
Jurisdiction	TBD	TBD	TBD
Review of the CEP	TBD	TBD	TBD
Ombudsman	TBD	TBD	TBD

*TBD: to be determined*

*tbc: to be confirmed*

# WS2 Budget Update

Reporting Period: January 2017 YTD – Ten months  
(July 1, 2016 – April 30, 2017)

Amounts in USD Thousands (000s)	April 2017 YTD - Ten months July 1, 2016 - April 30, 2017							% Spend To-Date	2017 Budget Remaining
	Staff	Travel & Meetings	Telecom & Language Support	Legal Services	US Gov't Affairs (Lobbying)	Other Professional Services	Total		
<b>Cross Community Working Group Support</b>									
IRP Phase 2	\$ -	\$ -	\$ -	\$ 126	\$ -	\$ -	\$ 126	24%	\$ 393
Accountability WS2	-	89	-	-	-	-	89	5%	1,811
<b>Total Costs - Cross Community Working Group Support</b>	<b>-</b>	<b>89</b>	<b>-</b>	<b>126</b>	<b>-</b>	<b>-</b>	<b>215</b>	<b>9%</b>	<b>2,204</b>
<b>ICANN Support</b>									
IRP Phase 2	-	-	-	26	-	-	26	6%	374
Accountability WS2	598	26	55	46	-	308	1,034	88%	148
Transition Implementation	716	22	9	1,561	-	729	3,037	83%	609
General Project Activities	546	8	-	-	255	51	859	74%	305
<b>Total Costs - ICANN Support</b>	<b>1,860</b>	<b>56</b>	<b>64</b>	<b>1,633</b>	<b>255</b>	<b>1,088</b>	<b>4,956</b>	<b>78%</b>	<b>1,436</b>
<b>Total IANA Transition Project</b>									
IRP Phase 2	-	-	-	152	-	-	152	17%	767
Accountability WS2	598	115	55	46	-	308	1,123	36%	1,959
Transition Implementation	716	22	9	1,561	-	729	3,037	83%	609
General Project Activities	546	8	-	-	255	51	859	74%	305
<b>Total Costs - IANA Transition Project</b>	<b>\$ 1,860</b>	<b>\$ 144</b>	<b>\$ 64</b>	<b>\$ 1,759</b>	<b>\$ 255</b>	<b>\$ 1,088</b>	<b>\$ 5,171</b>	<b>59%</b>	<b>\$ 3,639</b>

Total Budget by Cost Category	\$ 2,708	\$ 552	\$ 830	\$ 3,330	\$ 250	\$ 1,140	\$ 8,810
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% Spend To-Date vs. Budget by Cost Category      69%      26%      8%      53%      102%      95%      59%

## F2F Meeting (ICANN59):

# of airfares funded: 7  
# of hotel nights: 28  
# per diems: 28

# of participants: xx  
• on-site: xx  
• remote: xx

## Notes:

- Total spend after 10 months represents 59% of annual budget as compared to a year-to-date budget of 83%..
- Community Support represents 9% of the budget due to delays in WS2 activities. Spend year to date represents legal analyses supporting the IRP work and community travel expenses for the CCWG meeting at ICANN meetings.
- ICANN Support represented 78% of the annual spend after 10 months, with a majority of the spend related to the Transition implementation work for the NTIA contract expiration.

# Subgroups Reports

## Updates:

- [Public consultation](#) closed on 10 Apr 2017. 13 comments posted.
- Subgroup started the analysis of public comments received.
- The sub-group lost one of its two co-rapporteurs.

## Upcoming Activities:

- Continue discussions w/ICANN Org on comments posted by ICANN Org.
- Continue analysis of public comments, and assess changes to be made to the recommendations in light of the public comments filed.

## Open Items:

**Rapporteurs:** Michael Karanicolas

**# of signed-up Active Participants:** 36

**# of signed-up Observers:** 28

## Useful links:

- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

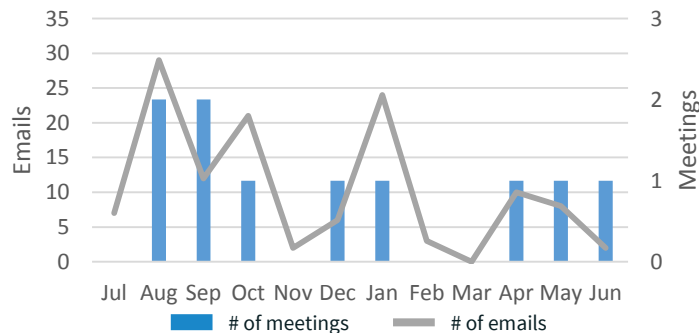
## Description / Scope:

Our research focuses on the following three areas:

1. Enhancements to ICANN's existing Documentary Information Disclosure Policy (DIDP).
2. Proactive Disclosure Policies (including interactions with governments and Board deliberations).
3. Improvements to the existing whistleblower policy.

## Activity:

Total # of meetings:	10
Total # of emails:	124
Collective hours on calls:	159



## Work Plan:

Start work	Aug	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Nov-Jan	✓
Produce draft for CCWG	Feb	✓
Produce draft for PC	March	✓
Public Comment	Apr	✓
Revise draft	May-Jun	
CCWG approval	tbd	



# Guidelines for Good Faith Conduct

Reporting Period:  
JUNE 17

Progress:  70%

Status: on-track (revised schedule)

## Updates:

- [Public consultation](#) closed on 24 Apr 17.
- 5 comments were posted.
- Subgroup started the analysis of public comments received.

## Upcoming Activities:

- Subgroup is looking to meet with ASO to address their comments.
- Continue analysis of public comments, and assess changes to be made to the recommendations in light of the public comments filed.

## Open Items:

**Rapporteurs:** Lori Schulman

**# of signed-up Active Participants:** 12

**# of signed-up Observers:** 18

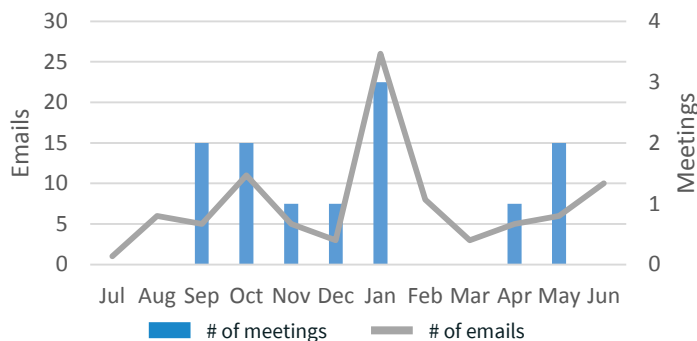
## Useful links:

- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

**Description / Scope:** Decisional Participants of the Empowered Community (EC) have the right to appoint and remove individual Directors. In the event that a Decisional Participant endeavors to remove an individual board member, his actions are indemnified provided the Decisional Participant has acted in “good faith”. The purpose of this sub-team is to draft guidelines for conduct that would be considered good faith actions on the part of the Decisional Participants in order for the indemnification to apply.

## Activity:

Total # of meetings:	12
Total # of emails:	89
Collective hours on calls:	129



## Work Plan:

Start work	Aug	✓
Document questions to answer	Mid-Sep	✓
Document work to do	Sep	✓
Produce draft for subgroup	Oct	✓
Produce draft for CCWG	Feb	✓
Produce draft for PC	March	✓
Public Comment	Apr	✓
Revise draft	May-Jul	
CCWG approval	tbd	



Based on data available from the WS2 wiki – this is a high level summary of the work underway .

- On-track
- ▲ Behind schedule, but recovery still possible

- Not started
- Target will be missed
- Completed

Updated on  
05 July 17

## Updates:

- [Public consultation](#) closed on 26 May 17.
- 10 comments posted.
- Staff produced an initial summary of comments received.

## Upcoming Activities:

- Analyze public comments, and assess changes to be made to the recommendations in light of the public comments filed.

## Open Items:

**Rapporteurs:** Cheryl Langdon-Orr, Farzaneh Badii, Steve DelBianco

**# of signed-up Active Participants:** 41

**# of signed-up Observers:** 40

### Useful links:

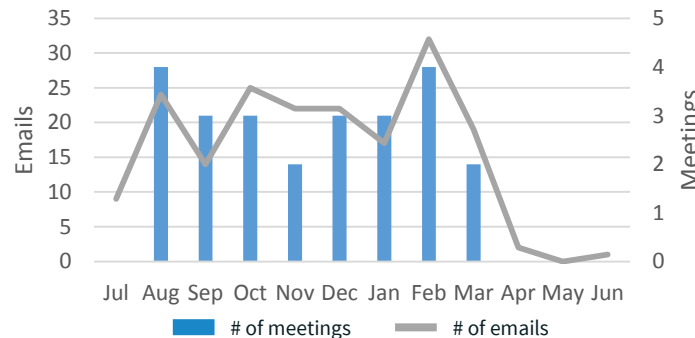
- [Wiki](#)
- [Meetings schedule](#)
- [Mailing List archive](#)

**Description / Scope:** Survey, Analyze, Assess and Recommend any Improvements to ICANN’s SO and AC Accountability.

- Track 1: Review and develop recommendations to improve SO/AC processes for accountability, transparency, & participation that are helpful to prevent capture.
- Track 2: Evaluate the proposed “Mutual Accountability Roundtable” to assess its viability and, if viable, undertake the necessary actions to implement it.
- Track 3: Assess whether the IRP would also be applicable to SO & AC activities.

## Activity:

Total # of meetings:	24
Total # of emails:	187
Collective hours on calls:	438



## Work Plan:

Start work	Aug	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Nov-Jan	✓
Produce draft for CCWG	Feb	✓
Produce draft for PC	March	✓
Public Comment	Apr-May	✓
Revise draft	Jun	
CCWG approval	tbd	

# Human Rights

Reporting Period:  
JUNE 17

Progress:  70%

Status: on-track (revised schedule)

## Updates:

- Draft Framework of Interpretation for Human Rights was posted for public comments.
- [Public Consultation](#) ran from 05 May to 16 Jun 17.
- 5 comments posted.

## Upcoming Activities:

- Analyze public comments, and assess changes to be made to the recommendations in light of the public comments filed.

## Open Items:

**Rapporteurs:** Niels ten Oever

**# of signed-up Active Participants:** 71

**# of signed-up Observers:** 53

## Useful links:

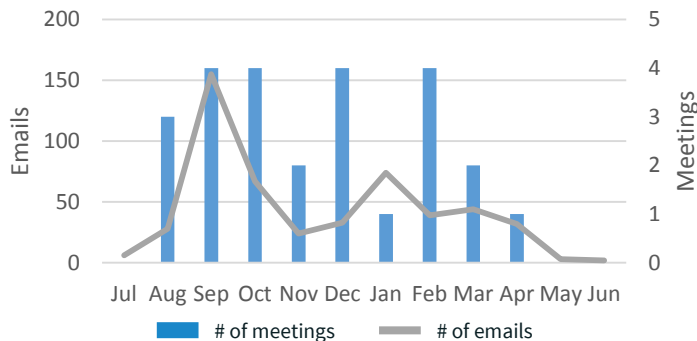
- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

## Description / Scope:

Develop a framework of interpretation for human rights bylaw, (“FOI-HR”), which was adopted in Work Stream 1. (Bylaws Section 1.2(b)(viii) )

## Activity:

Total # of meetings:	25
Total # of emails:	507
Collective hours on calls:	699



## Work Plan:

Start work	Aug	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Nov-Mar	✓
Produce draft for CCWG	Apr	✓
Produce draft for PC	May	✓
Public Comment	May/June	✓
Revise draft	June-July	
CCWG approval	tbd	

# Staff Accountability

Reporting Period:  
JUNE 17

Progress:  50%

Status: on-track (revised schedule)

## Updates:

- Subgroup produced draft recommendations.
- Targeting to present draft recommendations to CCWG-Plenary for 1st reading at ICANN 59 face-to-face meeting.

## Upcoming Activities:

- Reach CCWG-Plenary consensus on draft recommendations.

## Open Items:

**Rapporteurs:** Avri Doria

**# of signed-up Active Participants:** 24

**# of signed-up Observers:** 18

## Useful links:

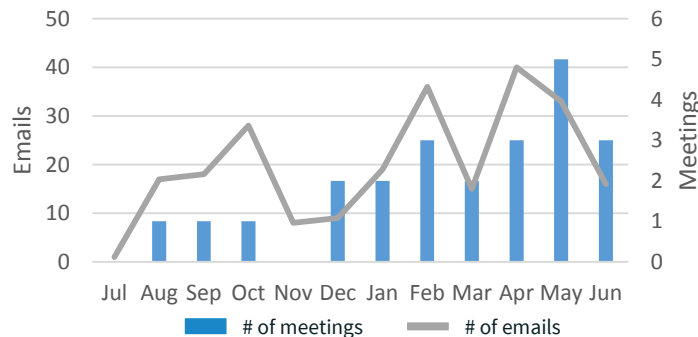
- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

**Description / Scope:** (Annex 12 from CCWG report & ATRT 9.3, 9.4)

- Describe the role of ICANN staff vis-à-vis the ICANN Board and the ICANN community.
- Consider a Code of Conduct, transparency criteria, training, and KPIs to be followed by staff in relation to their interactions with all stakeholders .
- Establish regular independent (internal and community) surveys and audits to track progress and identify areas that need improvement, and establish appropriate processes to escalate issues.

## Activity:

Total # of meetings:	23
Total # of emails:	240
Collective hours on calls:	245



## Work Plan:

Start work	Aug	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Nov-Apr	✓
Produce draft for CCWG	May-Jun	✓
Produce draft for PC	After ICANN59	
Public Comment	tbd	
Revise draft	TBD	
CCWG approval	TBD	



Based on data available from the WS2 wiki – this is a high level summary of the work underway .

- On-track
- ▲ Behind schedule, but recovery still possible

- Not started
- Target will be missed
- Completed

Updated on  
05 July 17



## Updates:

- 7 responses to [questionnaire](#) received from SO / ACs.
- Subgroup analyzing responses and working on v3 of draft recommendations.
- Live interpretation is currently being provided on all Diversity calls in Spanish, French and English.

## Upcoming Activities:

- Finalize draft recommendations for presentation to the CCWG-Plenary.
- Analyze responses to questionnaire, and update recommendations in light of inputs received.

## Open Items:

**Rapporteurs:** Fiona Asonga, Rafik Dammak

**# of signed-up Active Participants:** 51

**# of signed-up Observers:** 43

## Useful links:

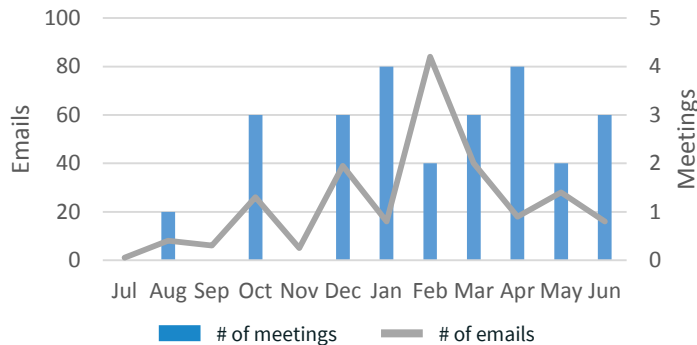
- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

## Description / Scope:

With reference to recent discussion the group is looking at diversity mechanisms that enhance accountability within ICANN (Leadership, staff and community).

## Activity:

Total # of meetings:	25
Total # of emails:	287
Collective hours on calls:	471



## Work Plan:

Start work	Aug	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	Apr	✓
Produce draft for CCWG	Jun	
Produce draft for PC	After ICANN59	
Public Comment	tbd	
Revise draft	tbd	
CCWG approval	tbd	

## Updates:

- External reviewer (Cameron Ralph LLC) produced its evaluation report.
- Subgroup reviewed report and provided feedback and inputs.

## Upcoming Activities:

- Evaluation report will be presented to CCWG-Plenary at ICANN 59 face-to-face meeting.
- External Reviewer will finalize its report on basis of inputs received from CCWG.
- Subgroup will start working on draft recommendations once evaluation report is finalized.

## Open Items:

- Coordination with other sub-groups:
  - Transparency
  - Staff Accountability
  - Human Rights
  - Diversity
  - Accountability SO/AC
- A new timeline needs to be setup (extending after ICANN 59).

**Rapporteurs:** Sebastien Bachollet

**# of signed-up Active Participants:** 23

**# of signed-up Observers:** 22

## Useful links:

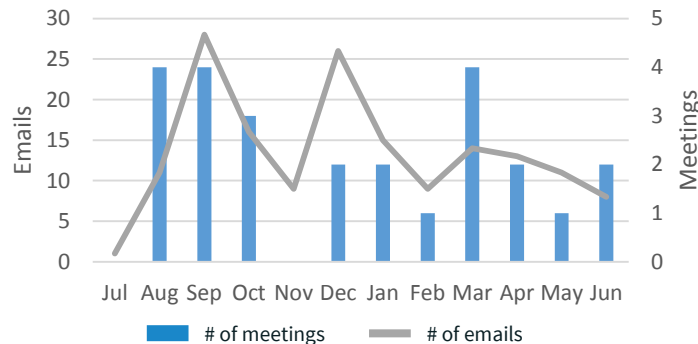
- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

## Description / Scope:

Evaluate the current Ombudsman charter and operations against industry best practices and recommend any changes necessary to ensure that the ICANN Ombuds Office (IOO) has the tools, independence, and authority needed to be an effective voice for ICANN stakeholders.

## Activity:

Total # of meetings:	25
Total # of emails:	161
Collective hours on calls:	344



## Work Plan:

Start work	Aug	✓
Document questions to answer	Sep	✓
Document work to do	Oct	✓
Produce draft for subgroup	tbd	
Produce draft for CCWG	tbd	
Produce draft for PC	tbd	
Public Comment	tbd	
Revise draft	tbd	
CCWG approval	tbd	

# Review of the CEP

Reporting Period:  
JUNE 17

Progress:  30%

Status: on-track (revised schedule)

## Updates:

- Compiled analysis of 11 interviews conducted.
- Developed a brief document for presentation at ICANN 59 to get feedback and directions from the Plenary.

## Upcoming Activities:

- Subgroup to develop recommendations on the basis of directions it will receive from the CCWG Plenary.

## Open Items:

**Rapporteurs:** Edward Morris

**# of signed-up Active Participants:** 18

**# of signed-up Observers:** 12

## Useful links:

- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

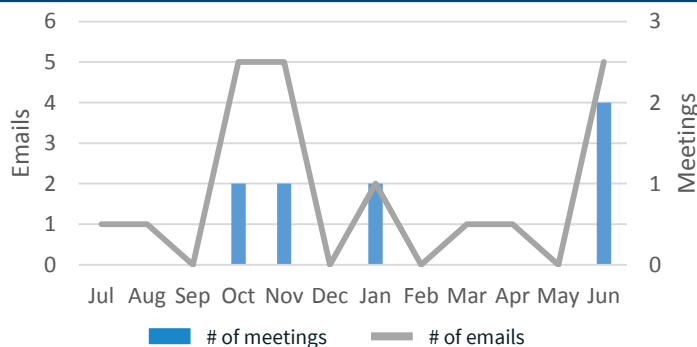
## Description / Scope:

The CEP is a voluntary process available prior to the filing of a request for Independent Review.

This subgroup is charged with reviewing Bylaws provisions relating to the CEP and with constructing the CEP Rules, per ICANN Bylaws §4.3(e)(i).

## Activity:

Total # of meetings:	5
Total # of emails:	21
Collective hours on calls:	44



## Work Plan:

Start work	Oct	✓
Document questions to answer	Oct	✓
Document work to do	Nov-Feb	✓
Produce draft for subgroup	Mar-tbd	
Produce draft for CCWG	tbd	
Produce draft for PC	tbd	
Public Comment	tbd	
Revise draft	tbd	
CCWG approval	tbd	



Based on data available from the WS2 wiki – this is a high level summary of the work underway .

- On-track
- ▲ Behind schedule, but recovery still possible

- Not started
- Target will be missed
- Completed

Updated on  
05 July 17

## Updates:

- Working on completing review and evaluation of [questionnaire](#) responses.
- Working on completing the analysis of ICANN's current & past litigations.
- Subgroup continued discussions on the topic of jurisdiction of incorporation. Decision was taken by CCWG co-chairs for subgroup to take Californian jurisdiction as a baseline for all its recommendations.

## Upcoming Activities:

- Continue review and evaluation of questionnaire responses.
- Continue review and summaries of ICANN's current and past litigations.

## Open Items:

**Rapporteurs:** Greg Shatan

**# of signed-up Active Participants:** 68

**# of signed-up Observers:** 45

## Useful links:

- [Wiki](#)
- [Mailing List archive](#)
- [Meetings schedule](#)

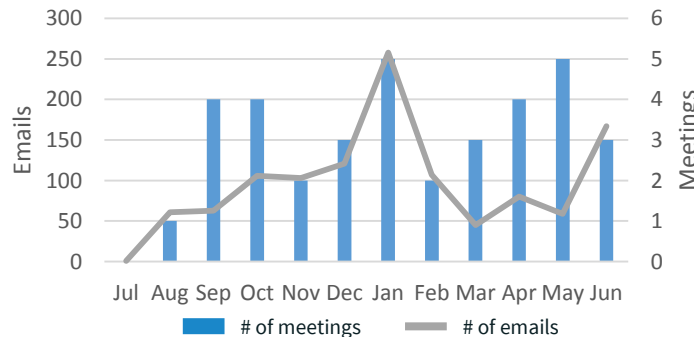
## Description / Scope: (Based on Annex 12)

Main issues relate to influence of ICANN's existing jurisdiction on the actual operation of policies and accountability mechanisms, primarily disputes involving ICANN, i.e., "choice of law" and "venue", but not necessarily the place of ICANN incorporation. This focus should include:

- Confirming and assessing the gap analysis, clarifying all concerns regarding the multi-layer jurisdiction issue.
- Identifying potential alternatives and benchmarking their ability to match all CCWG-Accountability requirements using the current framework.

## Activity:

Total # of meetings:	36
Total # of emails:	1171
Collective hours on calls:	877



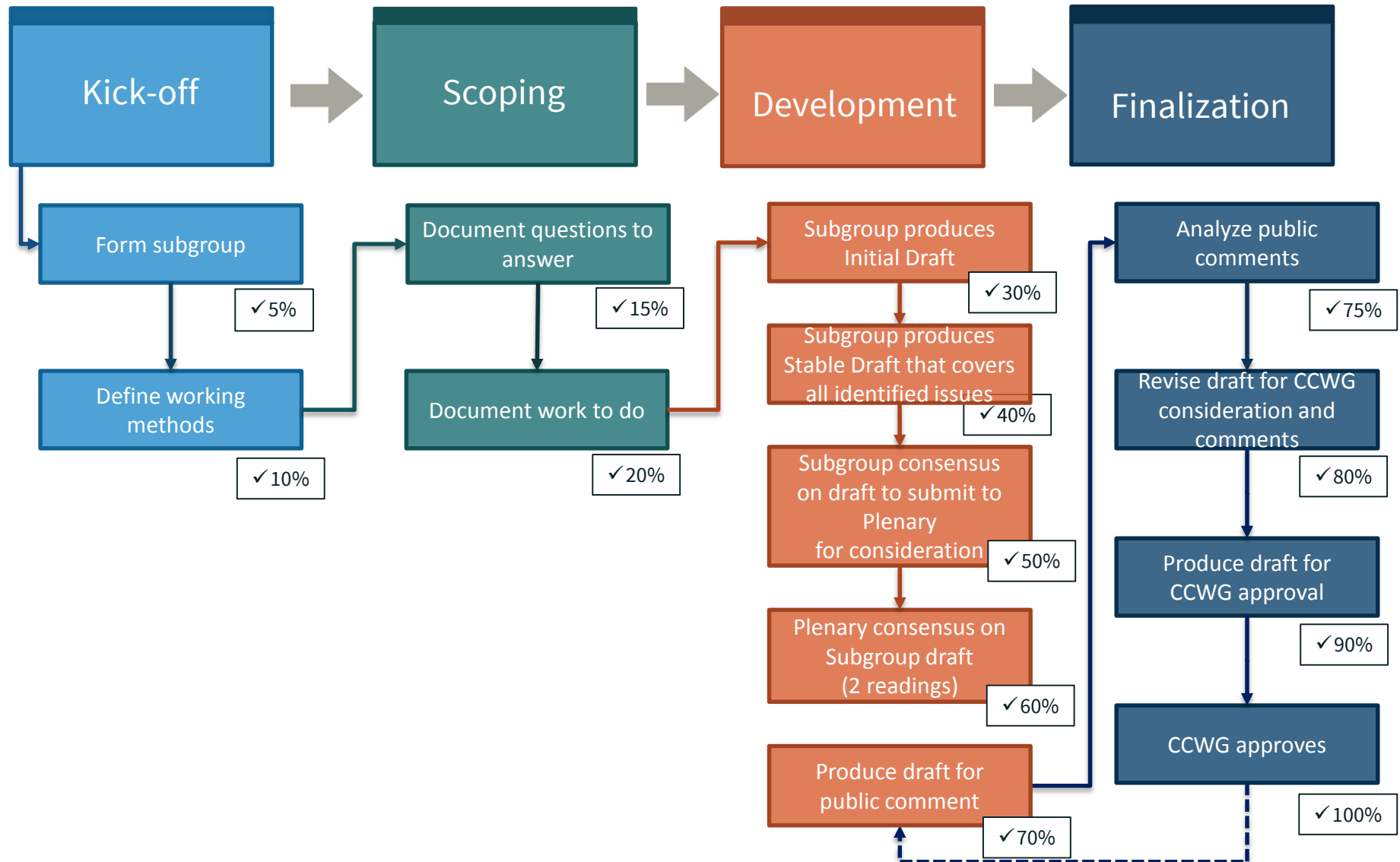
## Work Plan:

Start work	Aug	✓
Document questions to answer	Sep	✓
Document work to do	Apr	✓
Prepare discussion draft for CCWG	Jun	
Produce draft for CCWG	After ICANN59	
Produce draft for PC	tbd	
Public Comment	tbd	
Revise draft	tbd	
CCWG approval	tbd	



# Appendix

# Measurement of Progress



# Reporting Schedule

- Cadence reduced to bi-monthly Report (every other month)
- Publication will be rushed to be ready for ICANN meetings as necessary:
  - ICANN 59: June 17 Dashboard (rushed)
  - Early September: August 17 Dashboard (regular)
  - ICANN 60: October 17 Dashboard (rushed)
- 25<sup>th</sup> of the month (M): subgroups rapporteurs provide their monthly update.
- 25<sup>th</sup> + 5 business days: PCST provides draft financial statement of activity to CCWG co-chairs for previous period (M-1).
- End of month: staff updates report with all statistical data of the month (M)
- 25<sup>th</sup> + 10 business days: dashboard including activity of month (M), and financial information of month (M-1) is published at the beginning of the next month (M+1).