



GNSO Review Implementation Plan Webinar

Jennifer Wolfe, GNSO Review Working Group Chair
December 2016

Background

- The GNSO Council adopted the Charter of the GNSO Review WG during its meeting on 21 July 2016.
- The WG is responsible for developing an implementation plan containing:
 - a realistic timeline for the implementation,
 - definition of desired outcomes, and
 - a way to measure current state,
 - progress toward the desired outcome for the GNSO Review recommendations adopted by the ICANN Board (thirty-four (34) recommendations of the Final Report of the Independent Examiner (i.e. all recommendations excluding recommendations 23 and 32)).

Background, Cont.

- The implementation plan is to be submitted for approval to the GNSO Council, followed by consideration by the ICANN Board.
- The plan is to be submitted to the ICANN Board no later than six (6) months after the adoption of the Board's resolution, i.e., December 2016.
- Following approval the WG is also expected to execute and oversee the implementation of the GNSO Review recommendations unless specified differently in the implementation plan.

GNSO Review WG Timeline & Major Milestones

15 Sept 2015

Westlake Final Report sent to OEC and posted on icann.org

April 2016

GNSO Council approves Working Party's Final Assessment and Prioritization

June 2016

ICANN Board approves Final Report, including 34 recommendations

July 2016

Council determines steps towards implementation and implementation plan for Board approval, approves motion to create the GNSO Review WG

September 2016

GNSO Review WG Established

December 2016

Council approval of implementation plan:

- 21 November documents due
- 01 December GNSO Council Meeting or 15 December Meeting

31 December 2016

Delivery of implementation plan to ICANN Board by GNSO Council

Organization of the Implementation Plan

- Executive Summary
- Overview of Recommendations
- Prioritization and Dependencies
- Methodology
- Timeline
- Annex 1: Background
- Annex 2: GNSO Review Recommendation Charter

Overview of Recommendations

- GNSO Review Working Group suggested grouping of the recommendations:
 - PDP Improvements, Effectiveness, and Implementation;
 - GNSO Council, Stakeholder Group, and Constituency Appointments, Members, Membership, Statements of Interest, Procedures, and Support; and
 - Working Group Performance, Participation, Meeting Tools, Self-Evaluation, Outreach, Volunteers, and Leadership.
- Suggested Phases:
 - Phase One: Work Already Underway;
 - Phase Two: High Priority Recommendations; and
 - Phase Three: Medium and Low Priority Recommendations

Prioritization and Dependencies

- The GNSO Review recommendations are in a suggested order of priority based on the analysis provided by the GNSO Review Working Party in Annex A of its report to the ICANN Board.
- The recommendations are grouped by the following categories, coded by color for ease of identification:
 - PDP Improvements, Effectiveness, and Implementation;
 - GNSO Council, Stakeholder Group, and Constituency Appointments, Members, Membership, Statements of Interest, Procedures, and Support; and
 - Working Group Performance, Participation, Meeting Tools, Self-Evaluation, Outreach, Volunteers, and Leadership.

Prioritization and Dependencies, Cont.

Dependencies: list any other projects or activities that are dependent on the implementations of this recommendation or which this recommendation is dependent on. These also could include studies, metrics, and data collection.

Who will implement: indicate whether staff or the community, or a combination will implement the recommendations.

Resource requirements: indicate the resources required to accomplish the recommendations, include staff and volunteer considerations.

Prioritization and Dependencies, Cont.

Budget effects: suggest whether costs are associated with the implementation of the recommendation and in what areas, such as staff increases, translations, studies, etc. Precise budget figures are not provided, but are expected to be gathered in the implementation phase.

Proposed implementation steps: suggested steps for implementation, recognizing that these may be modified as additional information becomes available. Unless otherwise noted, the GNSO Review Working Group is assumed to be the accountability mechanism to determine whether a recommendation has been implemented.

Phase 1: Work Already Underway (14 recommendations):

Dispatch those items that were identified by the Working Party as already underway first and simultaneously with the implementation of those recommendations identified in the first batch.

Phase 2: High Priority (5 recommendations):

Implement these within the first year and could overlap with the implementation of those recommendations that are considered to be underway / and or completed as a result of other activities, but which might need modifications to existing procedures.

Phase 3: Medium and Low Priority (15 recommendations):

Third batch to be implemented within the second to third years and could overlap with the implementation of the second batch.

Methodology

- ICANN has developed project plan charter templates for implementing recommendations. These were originally developed for the ATRT 2 implementation, but can easily be applied to the implementation of the GNSO Review recommendations.
- This format follows best practices under project management principles and guidelines and is a standard practice that ICANN is using across all implementations.
- Several recommendations could be combined into one project charter plan.
- The charter signifies consensus on the vision, scope, authority and overall deliverables of the project.

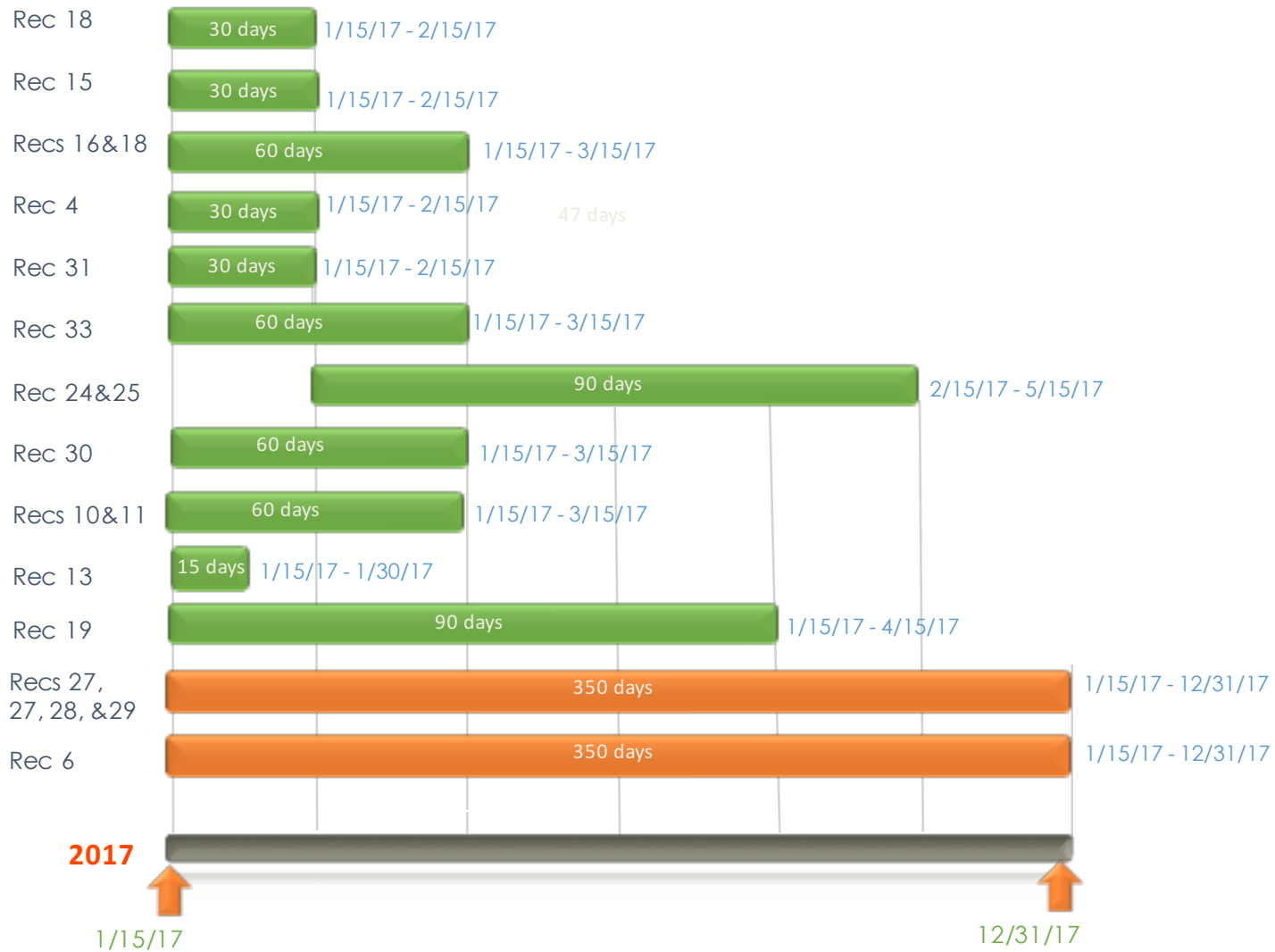
The charter template includes the following details:

- Recommendation Team;
- Background;
- Scope, assumptions, and deliverables;
- Solution analysis: options and proposed solution;
- Key dependencies;
- Risk identification; and
- Key performance indicators.

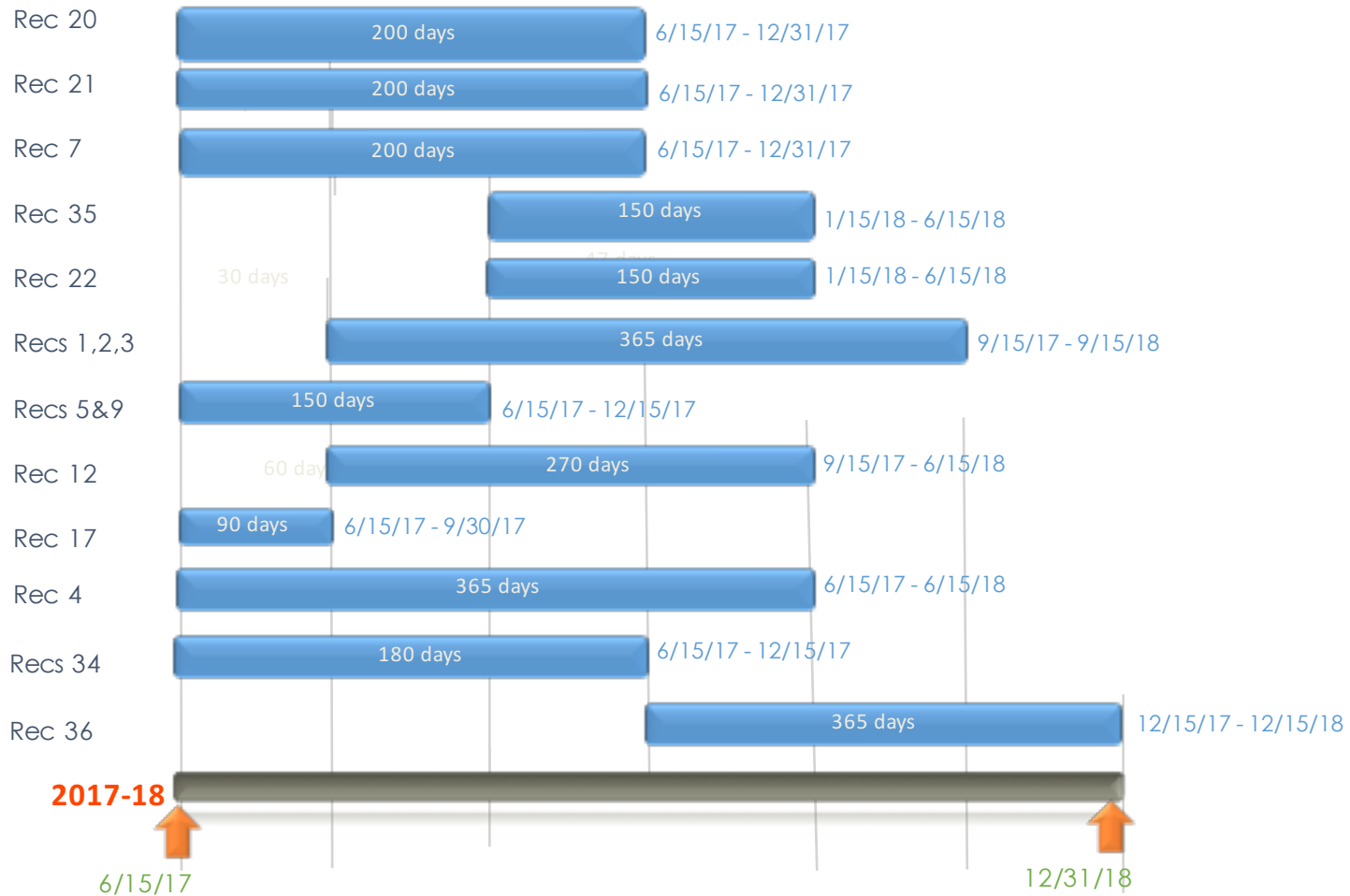
Suggested Timeline: Overview



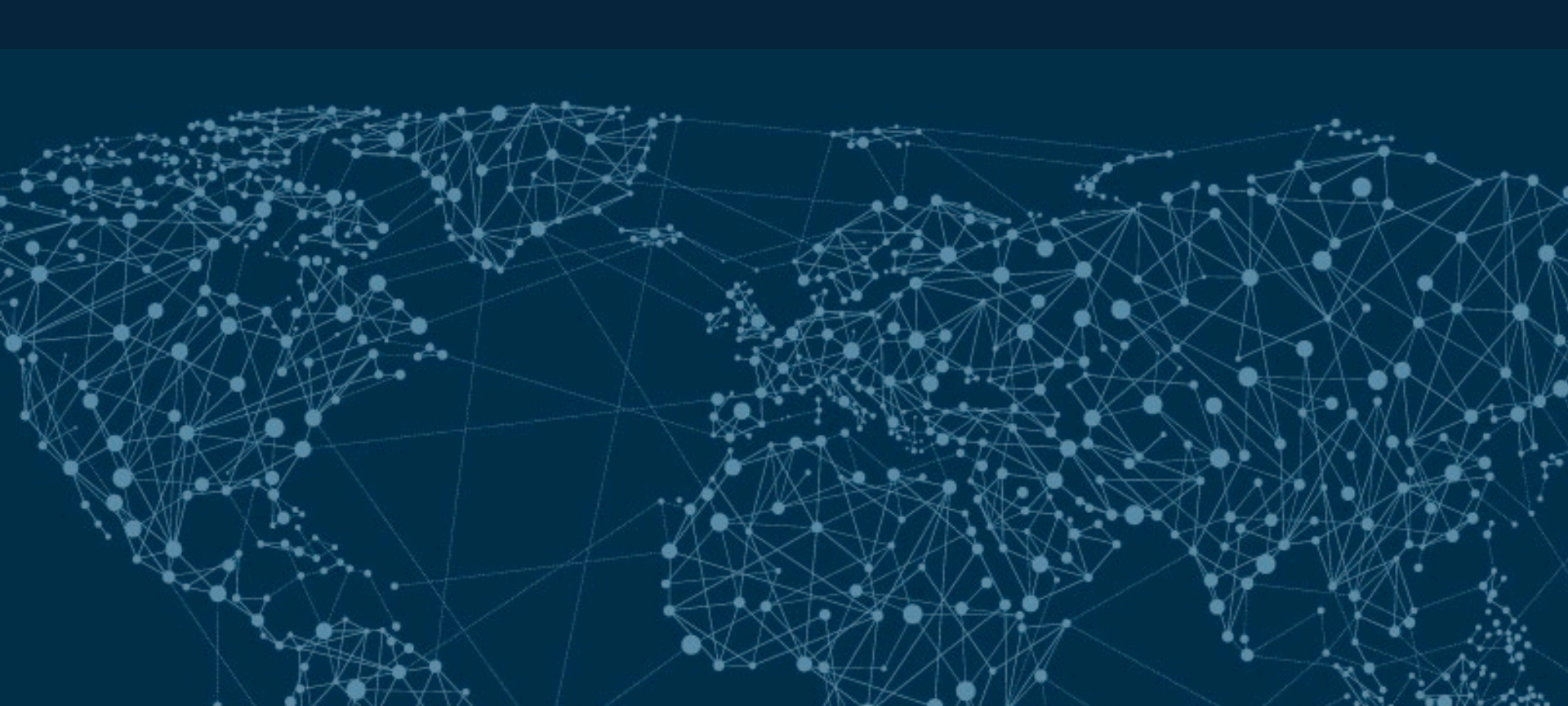
Sample Gantt Chart: Phases 1 and 2



Sample Gantt Chart: Phase 3



- ⦿ The GNSO Council has encouraged representatives from the Stakeholder Groups and Constituencies to participate. Full participation is very important.
- ⦿ The deadline for producing a final implementation plan for GNSO Council consideration was very short. The GNSO Council may need to approve this high-level plan noting that further details will be developed during implementation.
- ⦿ The GNSO Council will need to address approval of the final implementation plan in December in order to meet the mandate to provide the implementation plan to the ICANN Board of Directors by 31 December 2016.



Recommendation Examples

Phase 1 Example

Recommendation 8	
Independent Examiner's Final Recommendation	That Working Groups should have an explicit role in responding to implementation issues related to policy they have developed.
Prioritization	High
Working Party Comments	Agree but work is already done elsewhere. The already approved Policy & Implementation Working Group recommendations cover this. Ongoing GNSO action item: ensure it happens in all future policy implementation efforts.
Council Comments	Adopted by Council as recommended by Working Party.
Status of improvement effort / staff lead	The GNSO Council is overseeing implementation of final recommendations of the Policy & Implementation Working Group. Final Report: http://gns0.icann.org/en/issues/policy-implementation/pi-wg-final-recommendations-01jun15-en.pdf Workspace: http://gns0.icann.org/en/group-activities/inactive/2015/policy-implementation Staff support: Marika Konings
Dependencies	Implementation of the recommendations of the Policy & Implementation Working Group.
Who Will Implement?	Staff
Resource Requirements	Staff and GNSO Council
Budget Effects	Minimal
Proposed Implementation Steps	<ol style="list-style-type: none"> 1. Staff to provide status update on the implementation of the Policy & Implementation Recommendations 2. The GNSO Review Working to review the status update provided by staff and determine whether the implementation of the Policy & Implementation recommendations meets the intent of the GNSO Review recommendation. 3. If intent has been met, GNSO Review Working Group to detail how this intent has been met. 4. If not, GNSO Review Working Group to detail what parts of the recommendation are still outstanding and recommend how these are expected to be implemented.

Phase 2 Example

Recommendations 26, 27, 28, and 29	
Independent Examiner's Final Recommendation	<p><u>Recommendation 26</u>: That GNSO Council members, Executive Committee members of Stakeholder Groups and Constituencies and members of Working Groups complete and maintain a current, comprehensive Statement of Interest on the GNSO website. Where individuals represent bodies or clients, this information is to be posted. If not posted because of client confidentiality, the participant's interest or position must be disclosed. Failing either of these, the individual not be permitted to participate.</p> <p><u>Recommendation 27</u>: That the GNSO establish and maintain a centralized publicly available list of members and individual participants of every Constituency and Stakeholder Group (with a link to the individual's Statement of Interest where one is required and posted).</p> <p><u>Recommendation 28</u>: That section 6.1.2 Membership of Chapter 6.0 Stakeholder Groups and Constituencies: Operating Principles and Participation Guidelines of the GNSO Operating Procedures be revised to clarify that key clauses are mandatory rather than advisory, and to institute meaningful sanctions for non-compliance where appropriate.</p> <p><u>Recommendation 29</u>: That Statements of Interest of GNSO Council Members and Executive Committee members of all Stakeholder Groups and Constituencies include the total number of years that person has held leadership positions in ICANN.</p>
Prioritization	High -- Recommendations 26 and 27
	Medium -- Recommendation 29
	Low -- Recommendation 28
Working Party Comments	Adopt
Council Comments	Adopted by Council as recommended by Working Party.
Dependencies	Incorporate into Chapter 5.0 of the GNSO Operating Procedures and Chapter 6.0: Stakeholder Groups and Constituencies: Operating Principles and Participation Guidelines.
Who will implement?	The GNSO Review Working Group and GNSO Council.
Resource Requirements	Staff resources
Budget Effects	Determine whether increased staff resources are necessary
Proposed Implementation Steps	The GNSO Review Working Group to review current procedures in the GNSO Operating Procedures related to this recommendation and to work with staff on possible modifications, which are to be published for public comment followed by GNSO Council approval.

Phase 3 Example

Recommendation 7	
Independent Examiner's Final Recommendation	That Stakeholder Groups and Constituencies engage more deeply with community members whose first language is other than English, as a means to overcoming language barriers.
Prioritization	Medium
Working Party Comments	Include summaries in multiple languages; combine with other similar recommendations; further discussions with representatives from Stakeholder Groups and Constituencies together and see what needs are before the Working Party makes a recommendation.
Working Party Recommendation	That Stakeholder Groups and Constituencies strive to overcome language barriers by participating in the Working Group established under Recommendation 35 .
Council Comments	Adopted by Council as recommended by Working Party.
Dependencies	Rewording may need to be adjusted as it refers to the Working Group mentioned under recommendation 35 , which was deemed impractical during feedback. Consultation with Stakeholder Groups and Constituencies. Dependencies with Recommendations 6 -- definition of diversity, metrics, and data collection guidelines, 33, 35; 12 (re: real-time translation); and also possibly 1.
Who will implement?	Stakeholder Groups and Constituencies
Resource Requirements	Community volunteer and staff resources
Budget Effects	Depends on the solution; costs could be high
Proposed Implementation Steps	Implement following the implementation of recommendation 6. 1. Staff to provide an overview and cost-benefit analysis of existing measures to overcome language barriers. 2. Based on its review of these existing measures and the cost-benefit analysis, the GNSO Review Working Group to work with staff to develop possible solutions to reduce language barriers. .