

Recommendations 15-16: Plan and Annual Reports

Implementation Briefing for RDS2 Review Team



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RDS/WHOIS1 Review Team's Recommendations 15-16: Plan & Annual Reports

Recommendation 15

ICANN should provide a detailed and comprehensive plan within 3 months after the submission of the Final WHOIS Review Team report that outlines how ICANN will move forward in implementing these recommendations.

Recommendation 16

ICANN should provide at least annual written status reports on its progress towards implementing the recommendations of this WHOIS Review Team. The first of these reports should be published one year, at the latest, after ICANN publishes the implementation plan mentioned in recommendation 15, above. Each of these reports should contain all relevant information, including all underlying facts, figures and analyses.

ICANN Board Action

- ⦿ As per Recommendation 1: Strategic Priority, the Board agrees that gTLD WHOIS should be a strategic priority.
- ⦿ The Board directs the CEO to incorporate a work plan for the improvement of WHOIS into the operating plan.
- ⦿ The Board directs the CEO to provide resources and budget to carry-out these activities, to provide annual public reports on implementation of these activities and related efforts.

ICANN Board's Rationale for Board Action

None provided

Plan & Annual Reports Implementation Activities

Improvements of WHOIS in ICANN's operating plans and budgets

WHOIS work is reflected in ICANN's annual operating plan, beginning with the fiscal year 2013 operating plan.

[FY 2013 operating plan and budget](#)

WHOIS Program. This effort represents the coordination of several work areas toward promoting accuracy of WHOIS data. These activities include implementation of WHOIS Review Team recommendations regarding measures to increase accuracy, carefully crafted studies to inform the implementation of these recommendations and provide a roadmap for additional WHOIS accuracy initiatives, technical work on the WHOIS protocol, and synthesis with contractual compliance activities and reporting.

[FY 2014 operating plan and budget](#)

Support the assessment of ICANN's commitments, the Board's consideration of that assessment, and any subsequent follow-up actions on WHOIS reviews

[FY 2015 operating plan and budget](#)

WHOIS work is reflected under objective 1.1.1 The WHOIS core function/service & improvements Portfolio includes projects relating to the implementation of the Board-approved recommendations resulting from the first WHOIS Review called for under the Affirmation of Commitments (AoC).

[FY 2016 operating plan and budget](#)

WHOIS work is reflected under objective 2.2.1 WHOIS Core Function/Service & Improvements - To promote trust and confidence in the Internet for all stakeholders, ICANN is committed to enforcing its current WHOIS policy, to identify improvements to the accuracy and reliability of the WHOIS system, and to determine whether there is a better system for providing information about gTLD domain names, consistent with applicable data protection and privacy laws.

[FY 2017 operating plan and budget](#)

WHOIS work is reflected under objective 2.2.1 WHOIS Core Function/Service and Improvements - To promote trust and confidence in the Internet for all stakeholders, ICANN is committed to enforcing its current WHOIS policy, identifying improvements to the accuracy and reliability of the WHOIS system, implementing new GNSO consensus policies for WHOIS, and addressing privacy and data protection concerns.

[FY 2018 operating plan and budget](#)

WHOIS work is reflected under objective 2.1 Foster and Coordinate a Healthy, Secure, Stable, and Resilient Identifier Ecosystem - Continue to lead and support Registration Directory Services (WHOIS) activities to promote trust and confidence in the Internet for all stakeholders.

Annual reporting of implementation activities

ICANN published the first [WHOIS Annual Report](#) in 2013. The Report provided an overview of the recommendations and implementation activities, as well as links to deliverables for each implementation activity. Links to all WHOIS annual reports are provided in the Useful Links section of this document.

Action Plan

The ICANN Board [adopted](#) the [Action Plan](#) for implementing the recommendations in November 2012.

Estimated ICANN Org Time Spent for Implementation

The ICANN annual operating and budgeting process is led by ICANN Finance and is an org-wide activity that takes significant resources and time to support.

The WHOIS Annual Reports take approximately 0.25 FTE and approximately 2 weeks to produce each. This does not account for time required for SME reviews.

Useful Links

RDS/WHOIS REVIEW TEAM 1

WHOIS Policy Review Team Final Report

<https://www.icann.org/news/announcement-2015-08-24-en>

- ⦿ ICANN Action Plan For the WHOIS Policy Review Team Final Report
<https://www.icann.org/en/system/files/files/implementation-action-08nov12-en.pdf>
- ⦿ Quarterly WHOIS recommendations implementations statuses updated quarterly and published on WHOIS Review Wiki page
<https://community.icann.org/display/WHO/WHOIS+Review+Implementation+Home>
- ⦿ WHOIS Policy Review Team Report Recommendations
<https://www.icann.org/en/system/files/bm/briefing-materials-1-08nov12-en.pdf>

ICANN Annual Reports and Operating Plan

- ⦿ FY 2013 Operating Plan and Budget
<https://www.icann.org/en/system/files/files/adopted-opplan-budget-fy13-24jun12-en.pdf>
- ⦿ FY 2014 Operating Plan and Budget
<https://www.icann.org/en/system/files/files/adopted-opplan-budget-fy14-22aug13-en.pdf>
- ⦿ FY 2015 Operating Plan and Budget
<https://www.icann.org/en/system/files/files/adopted-opplan-budget-fy15-01dec14-en.pdf>
- ⦿ FY 2016 Operating Plan and Budget
<https://www.icann.org/en/system/files/files/adopted-opplan-budget-fy16-25jun15-en.pdf>
- ⦿ FY 2017 Operating Plan and Budget
<https://www.icann.org/en/system/files/files/adopted-opplan-budget-fy17-25jun16-en.pdf>
- ⦿ FY 2018 Operating Plan and Budget
<https://www.icann.org/en/system/files/files/adopted-opplan-budget-fy18-15aug17-en.pdf>
- ⦿ ICANN Planning Process
<https://www.icann.org/resources/pages/achievement-progress-reporting-2015-08-07-en>

WHOIS ANNUAL REPORTS

- ⦿ WHOIS Improvements 2013 Annual Report
<https://WHOIS.icann.org/en/file/improvements-annual-report-04nov13-en>
- ⦿ WHOIS Improvements 2014 Annual Report
<https://WHOIS.icann.org/en/file/improvements-annual-report-12dec14-en>
- ⦿ WHOIS Improvements 2015 Annual Report
<https://www.icann.org/news/blog/WHOIS-2015-annual-report-highlights-recent-accomplishments>
<https://WHOIS.icann.org/en/file/2015-annual-report-WHOIS-improvements>
- ⦿ WHOIS Improvements 2016 Annual Report
<https://WHOIS.icann.org/en/file/2016-annual-report-WHOIS-improvements>

