## Tabular Summary of comments received at ICANN56 (ordered in accordance with corresponding sections of Draft Cross Community Working Group (CCWG) on new gTLD Auction Proceeds) – updated 27 July 2016

	Section II: Problem Statement, Goals & Objectives, and S	соре		
	Sub-Section: Scope (Legal and Fiduciary)			
	Public Comment	Source	DT View	Action taken
1.	Prohibition on using auction proceeds for governments -	Helsinki Public	No prohibition currently	No changes needed – is
	why is that not included? This is a very high level	Comment	included because: 1) that is	for CCWG to consider.
	summary, if you review the memo there is a further		a CCWG decision (who is	
	discussion of other types of organisations. There is not		eligible to apply), 2) may be	
	necessary exclusion, but there is some guidance that the		difficult to distinguish	
	CCWG may want to consider as part of its deliberations.		between where	
	Limitation of certain organisations may have undesired		governments are involved,	
	effect - what for example about public-private		in smaller countries,	
	partnerships. This is for CCWG to consider.		governments may be active	
			at many different levels so	
			this could create a	
			unintended consequences.	
			SC: My opinion is that	
			governments should be	
			able to apply, in truly	
			multistakeholder approach.	
			Encourage CCWG to	
			consider not excluding	
			anyone but based selection	
			on quality of proposals,	
			addressing real needs and	
			clear capacity (at the	
			minimum). Individuals	

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			should also not be excluded.	
2.	How broadly defined is lobbying? Some NGOs would advocate as part of their activities. Would that be considered lobbying? Some examples have been included in the memo that may provide some further insight.	Helsinki Public Comment	Funds cannot be allocated to lobbying – if this means that an organization cannot have any lobbying activities, consider making this clear	Charter specifies that lobbying must be prohibited to the extent that it protects the tax status of ICANN. Such a
3.	Grants to organisation - how can you ensure that US governments cannot block granting on the basis of linking it to 501(3)C requirement that may not exist in a similar way abroad.	Helsinki Public Comment	in the charter? Leave it up to the CCWG to figure out what could potentially affect ICANN's tax status	prohibition should be applied uniformly and not be US centric. That work is done by the
4.	Concern about political and lobbying activities restrictions - restricted to any country or not. May provide challenges to implement. Expect that CCWG would go into further details on this.	Helsinki Public Comment	and make this clear in the requirements. Rules shouldn't be US centric, so there may be a need to	CCWG – charter to provide direction at the high level in relation to this topic – check charter
5.	Difference in definition and understanding of the term lobbying. Need for precision of what level of lobbying that is allowed to be done.	Helsinki Public Comment	expand the definition as it currently focuses on a US definition of lobbying. CCWG will need to understand the scope of prohibitions that are made applicable across the board so there is a clear line of what is permissible and what is not permissible with regards to activities. As this has a potential impact on ICANN's tax status, it is important that this is carefully considered by the	and confirm whether further direction is needed in the charter on this topic.

			ccwg.	
			2011 0.	
			SC: Agree with the	
			assessment above.	
6.	How tightly does the charter restrict fund allocation in	Helsinki Public	DT shall and will consider as	DT will further
0.	relation to the mission? May need a conversation about	Comment	it moves through the	consideration to this
	the new ICANN mission statement within the DT to	Comment	charter. However, this Is a	point as it reviews the
			philosophical (how broad or	charter.
	determine what it means for the charter especially with		narrow do you go) but also	charter.
	regards to scoping.		legal question that will	
			need to be addressed in the	
			ccwg.	
			SC: Funds allocation should	
			be align with ICANN's	
			mission (in line with,	
			consistent with, or any	
			other wording suggested).	
			CCWG to define how	
_			wide/narrow.	
7.	Not clear in the charter, when can these funds be used	Helsinki Public	AG: I don't think anything	Proposed language
	for activities within ICANN itself, for example, funds for a	Comment	precludes the funds being	"CCWG should be
	CCWG? Could chartering organisations request funding		used within the	assigned responsibility
	for CCWG or other activities within ICANN? Is this		organization presuming it is	for determining to what
	possible and if so, what would be the process? ICANN		a decision of the	extent and how ICANN
	should continue these efforts as part of its normal		community (Rec of the	itself could be the
	budget.		CCWG) or as part of a	beneficiary of some of
			process defined by the	the auction funds"
			CCWG (both has adopted	
			by the Board)	

JR: Agree with Alan but expect that this will / may impact on the COI provisions TH: Agree with Alan. EM: Agree with Alan. First, there will be more money coming from the auctions to the fund. Second, should something be said about the possibility that the money can be used for other operations. Good to say but must be very careful language. SC: Might be a need for funding to go to operations. Ask Legal Staff for opinion. SE: Possibility to use some of the funds towards operations, but using all of the funds would risk ICANN's tax exempt status. RM: Get some wording to explicitly address this. AG: Not preclude it and assign to the CCWG the responsibility of deciding if and how funds could be used.

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			SC: Agree with Alan.I don't think this shows a conflict	
			of interest, because the	
			funds should serve the	
			ICANN community, and the	
			chartering organizations are	
			a key part of that	
			ecosystem.	
8.	Funding should not be allowed for anything that distorts	Helsinki Public	AG: This is potentially at	Wording needs to be
0.	competition within the ICANN organisation	Comment	odds with the previous one,	clarified. Does this mean
	competition within the icanin organisation.	Comment	but I am not sure I	not funding projects
			understand the expression	which fund competition
			"competition within the	in the market place then
			organization".	this is valid? However,
			EM: Support it but need to	helping markets in
			reframe it.	developing states may
			TH: Such as helping	have been a valid
			registrars in developing	project. [ACTION: Staff to
			regions – distorts the	try and clarify from
			market.	Helsinki meeting
			SC: I believe the comment	rieisiliki illeetiligj
			was referring to distorting	
			the market –from what I	
			remember after listening to	
			the recording Traditional	
			donors have clauses around	
			not providing advantages to	
			companies that will distort	
			the market. For the CCWG	
			to scope.	

I do not agree with the use of the words "non inconsistent" when referring to ICANN's mission. Fund allocations must be in line with ICANN's mission as that is key not only to preserve the tax status, but also to support communities that can hardly access other sources of funding (like traditional donors) as they do not understand the nature of the technical challenges those projects or organizations are trying to solve/address. For example, it is very hard for organization maintaining root-servers, IXPs, developing standards (just as an example) to apply for traditional funding. The auction proceeds provide a unique opportunity to support the stability of the Internet not only at the infrastructure level. Projects/organizations applying for funds should be able to articulate how their proposal is actually in line with ICANN's mission. The previous word in use was "furtherance", which was already wide enough. By changing it to "non consistent" the text has an even weaker approach to support ICANN's mission.

## Sylvia Cadena Comment

AG: I would prefer the DT to give the widest possible interpretation and leave it to the CCWG to narrow (in accordance with what will be accepted by the Board). JR: Personally, I can see the concern here i.e. that the use of "not inconsistent with" is the broadest and "consistent with". It will be helpful to get the whole DT's current views on this. LC: If "not inconsistent with" were the only criterion, the concern about growing corn would be valid—but it's not. It is one of several criteria, and in context simply adds to the other criteria the requirement that whatever else a project might be it should not be actively inconsistent with ICANN's mission. EM: From the Board and DT

discussion need to have a dialog about the mission statement in the context of

Direction should be given to CCWG to be clear about how their proposal furthers ICANN's mission. "CWG is required to deliberate and make recommendations on how the use is aligned to the mission."

			the fund.	
			RM: The DT should not be	
			overly restrictive but can be	
			prescriptive on how the	
			CCWG should approach	
			this.	
			SE: There has to be a tie-in	
			between ICANN's mission	
			and how the funds are	
			spent. DT doesn't have to	
			make those decisions now.	
			SC: I think that even if the	
			DT says that the funds	
			should be allocated in	
			line/align/furtherance or	
			whatever wording is used,	
			the scope will still be very	
			wide. It is up to applicants	
			to explain how their	
			proposal is align/in	
			line/relate/in furtherance	
			to ICANN's mission. DT	
			should word it clearly and	
			leave the CCWG the work of	
			narrow it down.	
10.	(submitted by email) The use of "not inconsistent" with	Helsinki Email	AG: I agree that growing	No comments.
	ICANN's mission is a clear departure from the original	Comment	corn is not a fundable	
	intent to do something "good for the Internet" aligned		project, but I don't think	
	with ICANN's principles ("support directly" was the		that was the intent of "not	
	original terms used). Anything that doesn't hurt the		inconsistent". Not sure how	

	Internet would be OK by this weak requirement, such as		to word it better but leave	
	, , , , , , , , , , , , , , , , , , , ,			
	growing corn with no water or developing clean energy		latidtude.	
	sources. Although there are good projects, they won't		JR: Personally, I can see the	
	help the Internet or the Web reach their full potential.		concern here i.e. that the	
			use of "not inconsistent	
			with" is the broadest and	
			"consistent with". It will be	
			helpful to get the whole	
			DT's current views on this.	
			LC: If "not inconsistent	
			with" were the only	
			criterion, the concern about	
			growing corn would be	
			valid—but it's not. It is one	
			of several criteria, and in	
			context simply adds to the	
			other criteria the	
			requirement that whatever	
			else a project might be it	
			should not be actively	
			inconsistent with ICANN's	
			mission.	
			SC: same comment from	
			'	
			above applies here.	
11.	The Board recommends that the DT add a new guiding	Board comments	AG: to "support" nonprofit	DT agrees that term to
11.	principle that the recommendations should be designed	Board comments	status, or not endanger it?	be used is 'not endanger'
	in a manner to support ICANN's nonprofit status and		JR: Agree with not	rather than 'support'.
	financial and operational stability. This primary guiding		endanger / compromise.	Tatrier than Support.
			'	
	principle is implicitly stated through the limitations and	1	LC: Agree with not	

	considerations identified in the Charter, but an explicit statement of this key tenet is important.		endanger / compromise, both for nonprofit status and for financial and operational stability. EM: I think the Board would be fine with this approach. SC: Agree with not endanger / compromise.	
12.	(Board comments) The Board confirms that the auction proceeds shall be used consistently with ICANN's mission. It will be important that any proposed uses for the proceeds be tested against ICANN's mission.	Board comments	AG: Does this not limit the funds being used for only things that ICANN itself would/could do if it had access to the money? That is far narrower than some of us envision. LC: Agree with Alan. SC: Include explicit wording. RM: Agree with AG. Extend requirement to the distribution mechanism. Maybe use the term "relationship" rather than "aligned" with the ICANN mission. EM: 1) If the allocation of the funds is not guided by the mission then it is not clear how they will be allocated. 2) Not about the mission statement in the	The utilisation of funds should be related to ICANN's mission and whatever distribution mechanism is established should also examine the relationship of each distribution to the mission statement.

13.	The text about diversity was modified, and the mention to the 3 communities that ICANN serves was removed. I do not support that change. It is very important that the diversity focus also applies to the communities ICANN serves.	Sylvia Cadena Comment	very narrow sense. Need to have the CCWG discussion the interpretation of the mission statement.  AG: Don't agree with EM's first point. Doesn't mean that we would be unconstrained even if we aren't constrained by the mission.  SC: My comment above #9 also applies here. Will the new mission be finalized by the time the CCWG gets on with this discussion?  AG: Would need to go back and find the context  JR: Agree  SC: On the 7 July version of the charter, diversity was stated as a guiding principle. On the 23 July version, working was changed to "deal with diversity". My comment goes to put it back as it ws on the 7 July text.	[ACTION: SC to circulate language which had been removed specifically prior to Helsinki meeting] Hope ICANN staff can review and provide the language. It is a minimum change, but has a very considerable impact on how diversity is presented as a guiding principle.
14.	The Board recommends that the language be removed	Board comments	AG: Agree.	Agreed with board
	from diversity section that touches upon diversity of the	Board comments	JR: Agree	comment.
	ultimate recipients of the proceeds. This language		LC: Agree.	
	didinate recipients of the proceeds. This language		LO. ABICC.	

	appears to be out of scope for the Draft Charter, in that it suggests limitations for the design and recipients that should be left to the determination of the CCWG.		SC: very sorry the board thinks. Would like to review exactly what is proposed to be removed from the charter, as the text was about the procedure not the final allocation.	
15.	The Board recommends to the DT that the Charter should include specific direction to the CCWG to develop or identify a Governance Policy to be used to guide the distribution of the proceeds. The Board also recommends that specific measures of success should be considered for the reporting on the use of the proceeds.	Board comments	AG: Generally agree. Not sure that we want "specific" measures of success as that may preclude innovation. But we definitely need to measure (at least on a spotcheck basis" success, and certainly on major projects. That is part of any funding agency agenda. EM: Agree with AG. SC: Agree that a process to allocate the funds will require a governance policy. Worried that the board seems to believe that the CCWG is going to be a sort of selection committee, which the charter clearly says is not. If that was the case that will complicate the Statement of Interests at the CCWG.	Draft Charter should include language which will require the CCWG to develop an appropriate governance framework and mechanisms for measuring success.

	Section II: Problem Statement, Goals & Objectives, and S	бсоре		
	Sub-Section: Scope (Conflict of Interest)			
	Public Comment	Source	DT View	Action taken
16.	How to avoid conflict of interest? Is SOI sufficient?	Helsinki Public	JR: It really is vital to get to	CCWG will require
		Comment	the bottom of this COI	sufficient transparency
17.	Should there be mandatory disclosures? Members of	Helsinki Public	issue. In particular do the	but this is separate from
	CCWG should not be related to any prospective	Comment	same provisions apply	the dispersal phase
	applicants of proceeds - would help to avoid any kind of		throughout the process or	where there can be no
	conflict of interest. DT asked to look into this possible		do they vary from DT to	conflicts of interest.
	requirement. What about indirect benefit for example		CWG to eventual	Proposed model:
	universal access - does that mean that registrars /		disbursement entity.	Mandatory and
	registries would not be able to participate. Special		Seems to run counter to the	enhanced SoI (with
	disclosure should be enough, not exclude people.		way we have done things in	particular disclosures on
			the past – not sure we need	any intended future
			to go all the way	involvement) which is
			throughout the process. DT	unique to the CCWG.
			should set out an enhanced	Next step to define the
			and mandatory COI for the	content of this
			CCWG, but doesn't	mandatory Sol
			preclude participation.	[ACTION: (i)DT finalise
			LC: Maybe do some	language and then board
			research on how other orgs	members seek input
			have handled this—it's a	from board group and (ii)
			problem that must have	DT to determine content
			been "solved" by many	of mandatory SoI and
			others before us.	criteria for
			EM: Allow no COI for the	inclusion/exclusion from
			person disbursing the	CCWG]
			funds. Needs to be	
			transparency about	

potential interests. RM: ICANN is different in that there are people who participate in the community as individuals, but not always clear if they are expressing views as individuals. Ask for a statement from them whether they are speaking as individuals or for an organization/company. EM: Agree with RM. Finalize the language and allow EM and AH to go back to the Board to check. Precise disclosure statement would be really helpful. SC: Agree, but an interest may not equal an economic interestbenefit. Need to clarify what type of interest. A non-profit organization that has a technical role on Internet development for example, has a interest on how those funds can benefit its work, but does not benefit

economically from its work. Different kinds of interest are what makes the Internet move. Agree with getting board recommendations on wording for mandatory disclosure. State your interest should not be reason for exclusion. Eligibility criteria and selection process will be the tools to exclude. AG: No question that we need strict COI at the disbursement level. AH: 1) Agree to bring language to the Board including what would be in the mandatory disclosure. 2) What is the decision process after the statement of interest? Not suggesting an exclusion. JR: Personally agree. I am in favour of mandatory and standard disclosure by all members and participants in the CWG. This would set a new bar for ICANN WGs.

18.	If you apply too strict COI, no one will basically	Helsinki Public	JR: I have a similar concern	
	participate. Need specific criteria and consensus around	Comment	LC: Consult examples of the	
	those criteria.		way in which other orgs	
			have handled this.	
			SC: Agree, Sol are managed	
			by traditional donors as	
			well in a pretty plain and	
			direct way. No follow-up /	
			exclusion process is done,	
			besides applying a set of	
			eligibility criteria that the	
			CCWG should work on. On	
			the eligibility criteria, a	
			short list of reason why a	
			proposal might not be	
			accepted could be listed.	
			We have worked with a	
			donor that requests to	
			disclose any relationships	
			with tobacco, weapons or	
			drug manufacturers.	
19.	Work was done on funding allocation as a result of	Helsinki Public	JR: Agree that new and	
	auctions on single character letters - concerns: should	Comment	improved approach is	
	not use SOI approach, need to develop new and		(uniquely) desirable or even	
	improved requirement for declaration of conflict of		necessary in this case. SOI	
	interest and expertise.		may be sufficient. Other	
			mechanisms may be	
			possible	
			SC: Agree with JR here.	
			Necessary in this case.	

20.	COI could happen at different levels - CCWG	Helsinki Public	JR: Agree. This seems	
	members/participants, those who will make decisions	Comment	sensible.	
	and those who will use the funds.		SC: Agree. Not one-size-fit-	
			all approach will work.	
21.	The Board reconfirms that conflict of interest concerns,	Board comments	JR: This is a key issue that	
	and appropriate identification and management of		may need a specific,	
	conflicts, is paramount at all levels of the DT, CCWG and		focused discussion to deal	
	ultimate proceed distribution process.		with in order to address	
			concerns in both directions	
	The Board strongly recommends that the following		i.e. being too strict and	
	language be reflected in the Charter in order to adhere		being not strict enough	
	to high ethical standards and support arms-length		LC: At DT stage we should	
	transactions in the distribution of the proceeds: No		not be too strict with	
	member of the CCWG may be related in any way to		respect to details—don't	
	prospective applicants for proceeds, and the		want to preclude CCWG	
	administrative mechanism for processing applications		discovering and adopting a	
	must include strong rules and enforcement of conflict of		good model for COI in the	
	interest. Individuals involved in the CCWG and in the		experience of some other	
	subsequent administrative mechanism must execute a		organisation.	
	conflict of interest declaration documenting their		SC: Agree with LC above on	
	existing potential involvements and agreement not to be		not a need to be too strict	
	involved in application or direction of the proceeds.		at DT level, but also agree	
			that it might need a bit	
			more detail and flesh on	
			the charter. Maybe a list of	
			considerations or guiding	
			questions for the CCWG on	
			this, so when they do	
			decide/find the right Sol	
			mechanism they have gone	

			through the DT questions.	
	Section IV: Membership, Staffing, and Organization			
	Sub-Section: Membership Criteria			
	Public Comment	Source	DT View	Action taken
22.	Many outside of ICANN have experience with allocation of funds - CCWG may benefit from that expertise. The charter deals with this issue, incl. possible expert participation.	Helsinki Public Comment	JR: Agree this will be welcome and should be encouraged.  SC: Agree. Having experience on management of external funds and grants allocation will be an asset for the CCWG.	
23.	CCWG members/participants need good understanding of ICANN eco-system.	Helsinki Public Comment	JR: Agree. We may want to make suggestions as to appropriate knowledge and expertise that will be desirable from members / participants in the CCWG LC: We should keep in mind that this may very well run directly counter to COI concerns wrt "insiders."  SC: I don't agree with LC comment above. Having someone that has knowledge from the ICANN ecosystem and understand the value of every part should not be considered as a conflict of interest. In my	

		1	1	
			opinion the CoI should	
			emphazise economic	
			benefit, undue influence,	
			etc (not being egg and	
			bacon at the same time).	
			Worth clarifying that	
			receiving funds that not	
			necessarily means you are	
			benefiting economically	
			from that, as it could be	
			most towards project funds	
			with reasonable indirect	
			costs percentages.	
24.	Number of seats allocated is too limited - not even 1 per	Helsinki Public	JR: To be discussed	
	SG/C in the GNSO. Expertise and knowledge are	Comment	SC: On the charter we	
	important - think flexibly about the number of members.		mentioned 2 to 5 members	
	Are we clear about the self-dealing aspects and the		per chartering organization,	
	risks?		plus others. If we insist the	
			CCWG is NOT a selection	
			committee that will allocate	
			funds, there will be no self-	
			dealing aspects.	
25.	The Board recommends that the language relating to	Board comments	JR: Seems reasonable	
	specific Board Committee Chairs be removed. The Board		LC: Agree with JR	
	will appoint general liaison(s), which may or may not be		SC: I think many community	
	the identified Chairs, and have the prerogative to		members will not be	
	alternate a liaison where necessary.		comfortable with having a	
	·		board member as chair of	
	In determining its participation on the DT, the Board		this particular CCWG. Will	
	identified the Chairs of the Audit Committee and		certainly be great to have	

	Finance Committee to serve as liaisons due to the		liaisions. It will be	
	particular issues raised at the drafting stage.		important also to define	
	particular issues raised at the drafting stage.		what that role entails.	
26.	Given the Board's role in considering the CCWG	Board comments	JR: Seems reasonable BUT it	
20.	recommendations, it agrees with the DT that it does not	Board comments	will be helpful to receive a	
	need to affirm the Charter.		greater statement of intent	
	need to annim the Charter.		/ commitment from the	
			board as to its response to	
			the work of the CWG	
			SC: I think I lost that part of	
			the discussion. I think it will	
			be helpful as JR says above.	
			be neight as six says above.	
	Section N/A			
	Sub-Section: N/A (Issues for consideration by the CCWG)			
	Public Comment	Source	DT View	Action taken
27.	Public Comment  What is the criteria you are going to use to rank the	Source Helsinki Public	DT View SC: Agree that it should be	Action taken
27.				Action taken
27.	What is the criteria you are going to use to rank the	Helsinki Public	SC: Agree that it should be	Action taken
27.	What is the criteria you are going to use to rank the grant requests? Failure on consumer awareness on new	Helsinki Public	SC: Agree that it should be the CCWG to decide the	Action taken
27.	What is the criteria you are going to use to rank the grant requests? Failure on consumer awareness on new gTLDs, which are the source of these funds. Timing is an	Helsinki Public	SC: Agree that it should be the CCWG to decide the criteria, but it might be	Action taken
27.	What is the criteria you are going to use to rank the grant requests? Failure on consumer awareness on new gTLDs, which are the source of these funds. Timing is an issue as the completion of this process which may take	Helsinki Public	SC: Agree that it should be the CCWG to decide the criteria, but it might be useful that say on the	Action taken
27.	What is the criteria you are going to use to rank the grant requests? Failure on consumer awareness on new gTLDs, which are the source of these funds. Timing is an issue as the completion of this process which may take years. Not to be debated as part of the DT - will be for	Helsinki Public	SC: Agree that it should be the CCWG to decide the criteria, but it might be useful that say on the charter that they should	Action taken
27.	What is the criteria you are going to use to rank the grant requests? Failure on consumer awareness on new gTLDs, which are the source of these funds. Timing is an issue as the completion of this process which may take years. Not to be debated as part of the DT - will be for the CCWG to consider.	Helsinki Public	SC: Agree that it should be the CCWG to decide the criteria, but it might be useful that say on the charter that they should come up with a set of	Action taken
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	What is the criteria you are going to use to rank the grant requests? Failure on consumer awareness on new gTLDs, which are the source of these funds. Timing is an issue as the completion of this process which may take years. Not to be debated as part of the DT - will be for the CCWG to consider.  Sequence - how are applicants expected to report back?	Helsinki Public Comment Helsinki Public	SC: Agree that it should be the CCWG to decide the criteria, but it might be useful that say on the charter that they should come up with a set of criteria.  SC: Agree, for the CCWG to	Action taken
	What is the criteria you are going to use to rank the grant requests? Failure on consumer awareness on new gTLDs, which are the source of these funds. Timing is an issue as the completion of this process which may take years. Not to be debated as part of the DT - will be for the CCWG to consider.  Sequence - how are applicants expected to report back?	Helsinki Public Comment Helsinki Public	SC: Agree that it should be the CCWG to decide the criteria, but it might be useful that say on the charter that they should come up with a set of criteria.  SC: Agree, for the CCWG to define, but important for	Action taken
	What is the criteria you are going to use to rank the grant requests? Failure on consumer awareness on new gTLDs, which are the source of these funds. Timing is an issue as the completion of this process which may take years. Not to be debated as part of the DT - will be for the CCWG to consider.  Sequence - how are applicants expected to report back?	Helsinki Public Comment Helsinki Public	SC: Agree that it should be the CCWG to decide the criteria, but it might be useful that say on the charter that they should come up with a set of criteria.  SC: Agree, for the CCWG to define, but important for the DT to include that they	Action taken
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			both financial and	
			technical- has impact on the	
			preservation of ICANN's tax	
			status)	
29.	Missing from goals & objectives: CCWG will choose	Helsinki Public	SC: The charter might	
	specific objectives, put shape on it.	Comment	provide the framework for	
			the CCWG to define the	
			objectives. That starts with	
			defining the wording	
			around ICANN's mission	
			too.	
30.	One time funding not necessarily one-time	Helsinki Public	SC: I don't agree with that.	
	disbursement	Comment	<u>Unless an organization</u>	
			receives a massively large	
			amount, no one should be	
			precluded from reapply.	
			Let's say a pilot project that	
			was quite innovative and	
			was budgeted	
			conservatively, has great	
			results and needs additional	
			funding in the future to	
			grow or scale. That should	
			not be a limitation. Balance	
			between new applicants	
			and recurrent funding could	
			be something for the CCWG	
			to strive for, but in such a	
			highly technical community	
			it will be quite common to	

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			have the same applicant to	
			come back for more.	
31.	CCWG should not be involved at all in anything related	Helsinki Email	SC: Agree 100%.	
	to funds disbursement - the CCWG should propose	Comment	-	
	mechanisms and/or structures that would be			
	independent of the CCWG (in other words once			
	established the CCWG should be dissolved so as to avoid			
	any conflict of interest)			
32.	In the discussion, one participant recommended that the	Helsinki Email	SC: Agree with speaker #2	
	CCWG have a finite life, and that distribution decisions	Comment	mentioned on the	
	not be made in such a way that the distributions be		comment. Long term goals	
	strung out over time. A second speaker suggested that		are in fact more desirable	
	principle should not preclude distribution to		use of large amounts of	
	recipients/programs that seek long-term goals and		funds, rather than quick	
	funding mechanisms that foster lasting impact for the		solutions. 200M+ can be	
	Internet community. This speaker also noted that these		spent on a single project.	
	principles need not be mutually exclusive. The Internet		There are projects at the EU	
	Society agrees with both recommendations to the		for example on Internet	
	Charter Drafting Committee. It would be a monumental		infrastructure to be	
	task to marshal the tens of millions of dollars in the New		implemented in 3 to 5 years	
	gTLD Auction Proceeds over any short-term period.		that have 150M+ budget.	
	(note this is an abstract)		Yes, it is a lot of money, but	
			it is not going to last forever	
			either.	
	Section N/A			
	Sub-Section: N/A (For possible inclusion in the charter ar	nd/or further		
	consideration)			
	Public Comment	Source	DT View	Action taken
33.	A lot of guidelines about what not to do - what do we	Helsinki Public	SC: Agree. DT should set the	
	want to do with this? There is a sequence that will be	Comment	constraints and highlight	

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	followed in this process (see slide 4). Where in the		what to take into account.	
	sequence is there any establishment of guidelines and		That should be food for	
	expectations? DT sets out the constraints, CCWG will be		thought for the CCWG.	
	doing the heavy lifting.			
34.	Consider providing criteria about the expected results /	Helsinki Public	SC: That can be part of the	
	outcome of funding provided?	Comment	eligibility criteria that the	
			CCWG sets. For example:	
			Proposals that produce X, Y,	
			Z type of outcomes will be	
			considered for selection.	
35.	Diversity - if that is a requirement for disbursement, that	Helsinki Public	SC: I do not agree. It is a	
	may be counter to specific objectives.	Comment	challenge, but it is	
			important to provide equal	
			opportunity, and have ways	
			of showing how that was	
			done.	
36.	Needs to call out that it is a one-off process in the	Helsinki Public	SC: The CCWG process	
	charter otherwise the CCWG will spin on this. Couple of	Comment	should be done and	
	examples provided in the chat. Evergreen mechanism -		completed and closed and	
	should it be required for something else in the future, it		then a selection process	
	should be possible.		should start based on what	
	·		the CCWG decided. If	
			changed overtime a new	
			DT/CCWG might be called.	
			Not advisable to have a WG	
			open indefinitely.	
37.	Include in the scope the notion of reconsideration -	Helsinki Public	SC: If this refers to	
	needs to be able to adjust its scope based on new	Comment	challenge decisions around	
	information, for example in relation to legal and		funding allocations, I will	
	fiduciary requirements.		certainly will object.	

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			Decisions about funding	
			allocations that have	
			passed the due process,	
			have been confirmed by the	
			board, etc should not be	
			challenged. I agree there	
			should be a possibility to	
			review/reconsider criteria	
			or objectives of the fund -in	
			general- if the ICANN	
			mission changes again or	
			something else happens,	
			but that should call for a	
			different review process	
			(not from scratch)	
38.	Individuals participating in the CCWG should not be able	Helsinki Public	SC: Not necessarily. If it is	
	to apply for funding as it would be a direct conflict of	Comment	an individual applying for a	
	interest.		proposal that will not	
			provide economic benefit	
			for him but will have great	
			impact/benefit for the	
			community that should not	
			be a limitation.	
39.	Build on best practices and consider patterning with	Helsinki Public	SC: Agree that partnering	
	other institutions that are doing the same. More	Comment	with other organizations	
	efficient and of value if it could be explored to add it to		will be of benefit. Other	
	existing pool. Consider adding to the charter.		donors will consider that a	
			grant to that pool of	
			funding, just a little bigger,	
			but will need follow-up	

			ltl	
			same as a little one.	
40	What about the new gTLD application funds that are	Helsinki Public	SC: Round 1 in full to be	
10.	remaining - could that be added? Consider adding those	Comment	added to this process.	
	funds to reserve fund and move those over to the	Comment	Future round might play	
	auction proceeds mechanism as the reserve funds are		under different rules.	
	built up.		Adding a small percentage	
	built up.		to the funds to ICANN	
			reserves sounds sensible,	
			maybe at the same level of	
			indirect costs/overhead	
			that is decided so that it	
			leaves as much funding as	
			possible to support	
			projects.	
41.	(submitted by email): The Internet being implemented	Helsinki Fmail	SC: I think this comment is	
7	as a stack of layers of	Comment	aligned with what I have	
	technologies:	Comment	being saying about	
	<ul> <li>physical layer (e.g. optic cable, wifi, dsl),</li> </ul>		supporting the 3	
	<ul> <li>logical/software (ip, dns, http, etc),</li> </ul>		communities that ICANN	
	<ul> <li>application (search, social platform, content),</li> </ul>		serves. Here they outlined	
	it would be useful for someone, the drafting team, or		an example about market	
	the CCWG, to		distorsion.	
	explore the funding priorities along those lines. We think		<u> </u>	
	the focus			
	should be on the middleware layers: from managing IP			
	network, DNS, to			
	improving the http/Web layers since these are the			
	closest technologies			
	in support of the Internet as seen by ICANN. Funding			
	in support of the internet as seen by leading			

	physical layers work for instance might very well be used by a				
	competitor network to IP,				
	and funding pure content runs the same risks (of				
	attracting users to				
	another network than IP).				
42.	The drafting team has done a good job at describing	Helsinki Email	SC: Maybe we can do a bit		Formatted: Font: Not Bold
	what would not be OK to fund from a procedural point	Comment	of scoping for the criteria		
	of view (such as funding individuals, lobbying groups,		too, but more as		
	inconsistent with ICANN's tax rules, etc), but so far		recommendations about		
	has not clearly establish what should be the criteria the		where to start. CCWG		
	CCWG should		should do that.		Formatted: Font: Not Bold, Not Italic
	use to further develop the grant instrument itself.				
43.	Think that it should be made clear in the charter that:	Helsinki Email	SC: Great list of possible		
	<ul> <li>funding will only go to Internet related projects,</li> </ul>	Comment	criteria for the CCWG to		
1	which are by nature technical, and not to		work with. Maybe we can		
	anything marginally related to the Internet		do some wording that is		
	(everything is nowadays) and that doesn't hurt		more generic, but touches		
	the Internet:		on some if not all these		
	<ul> <li>it has to do good for the Internet, its shared</li> </ul>		<u>criteria</u> . The last criteria		
	infrastructure, it's users (as Internet users, not		<u>listed is really important, as</u>		
	just as regular citizen)		it is very difficult to get		
	<ul> <li>use of funding should be in support of the main</li> </ul>		<u>funding for technical</u>		
	goals of ICANN: to improve the stability, security,		development as most		
	and global interoperability of the Internet.		donors do not understand		
	<ul> <li>it should consider criteria of global benefits vs.</li> </ul>		the proposals submitted.		
	local benefits (e.g. is this funding going to help all		ICANN will be in a unique		
	Internet users or just a limited population?)		position to make sure those		
	<ul> <li>it should consider criteria of long terms benefits</li> </ul>		proposals get funded.		Formatted: Font: Not Bold, Not Italic
	vs. short terms results (hence the importance of				

•	funding infrastructure oriented things) it should consider criteria of scaling effects: will a relatively small funding (e.g. 1M USD over the 100 available) have rippling benefits saving Internet users and the community much more than that in the end? it should consider additional criteria such as difficulty to be funded by usual granters (such as gov, large foundations).			
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