



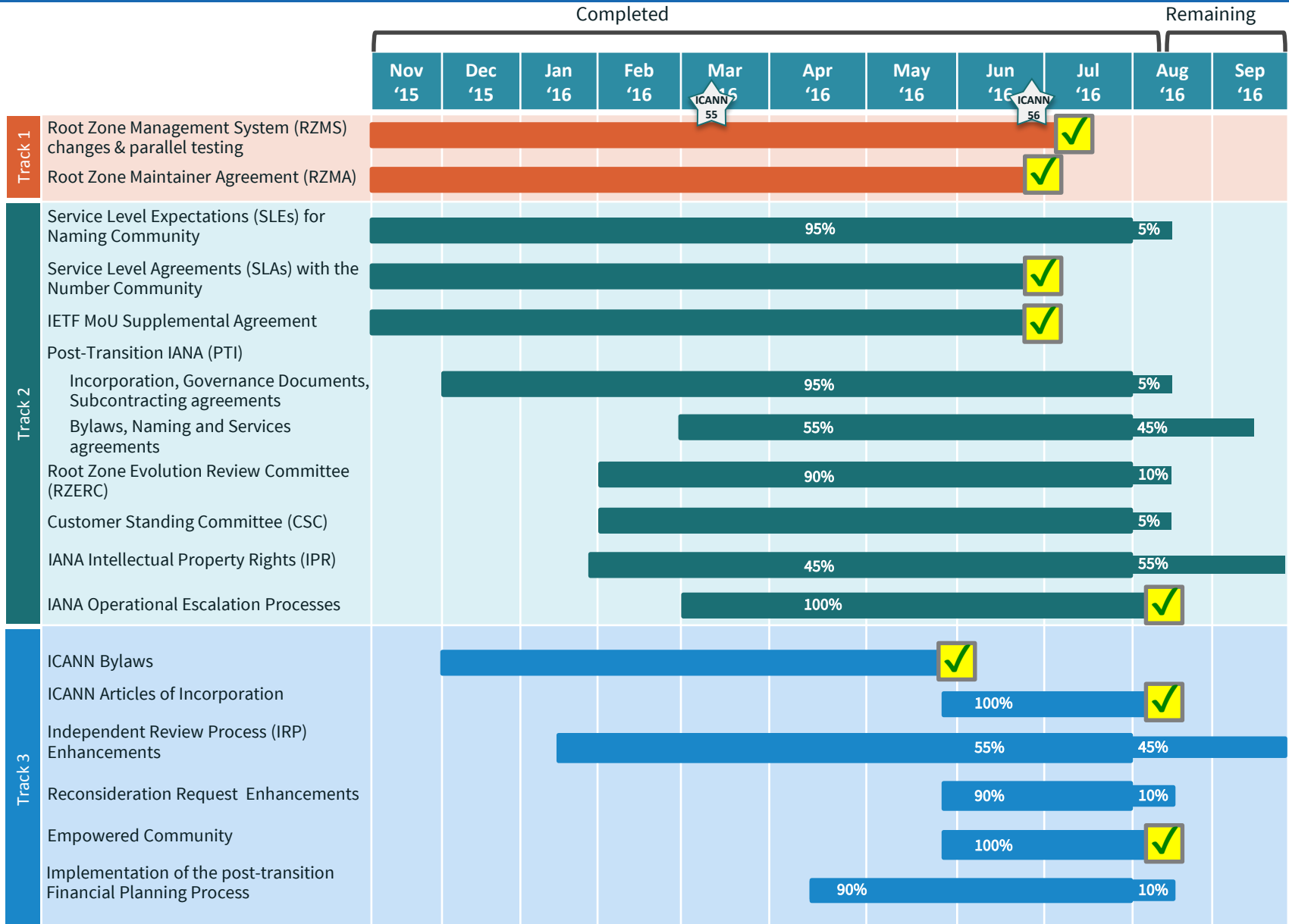
# Transition Implementation Status Reporting

11 August 2016

1. Overall Timeline
2. Status Update
3. Appendix: Projects Plans

# Overall Timeline

# Implementation Planning Timeline – Proposals Delivered in March 2016



Overall % Complete

90%

# Status Update

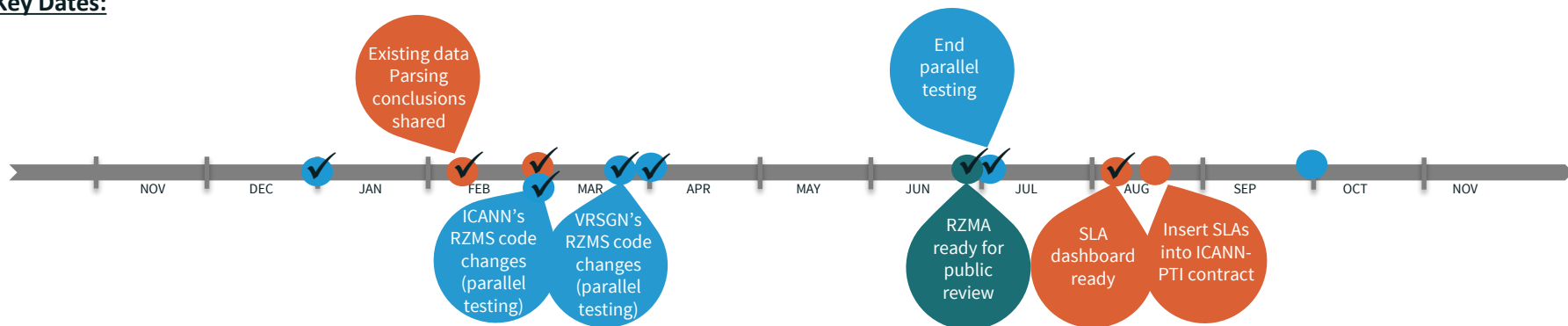
# RZMS, RZMA & Names SLEs

Status As Of Date:

08/09/16

Project	Description	Plan Completion Date	Status	% Complete
RZMS changes to remove RZA role and support parallel testing	Remove NTIA's authorization process from RZMS (code changes for ICANN and Verisign, and parallel testing).	09/30/16 08/15/16 07/06/16		100%
Names SLEs (SLEs for Naming Community)	RZMS code changes to accommodate new SLEs, development of aggregation tool and dashboard reporting of SLAs, and setting of initial SLAs.	09/30/16 08/15/16		95%
RZMA (Agreement with Root Zone Maintainer)	Completion of draft RZMA.	01/31/16 / 02/29/16 04/15/16 / 04/30/16 05/15/16 / 05/31/16/ 06/30/16		100%

## Key Dates:



## Project Updates:

- ✓ Name SLE performance targets approved by the Names SLEs work group and submitted to CWG for ratification.

## Upcoming Activities:

- CWG to approve the Names SLEs.

## Open Items:



DRAFT for Discussion

- On-track
- Behind schedule, but recovery still possible

- Not started
- Target will be missed

\* All dates are estimates

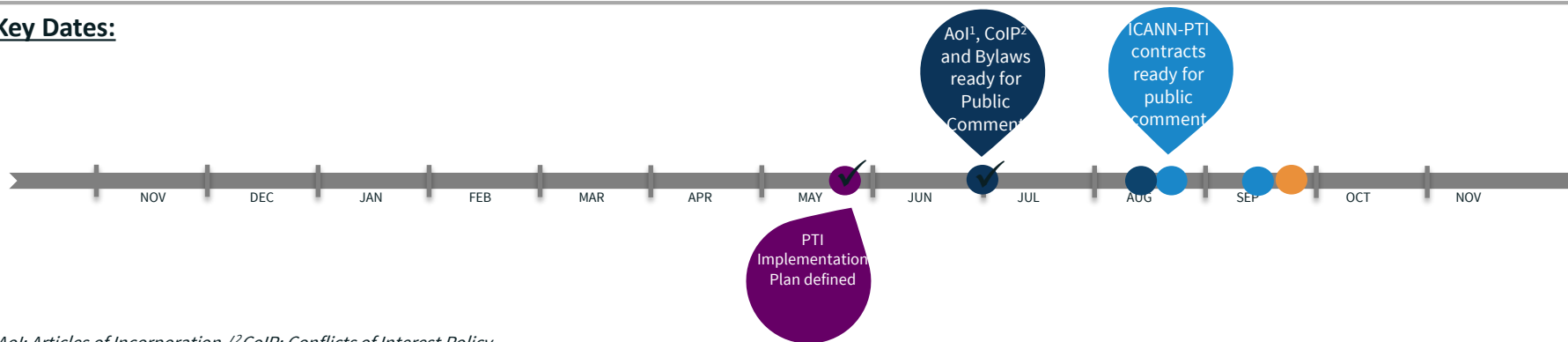
# PTI (Post-transition IANA)

Status As Of Date:

08/09/16

Project	Description	Plan Completion Date	Status	% Complete
PTI Incorporation, Governance Documents, Subcontracting agreements	Incorporation of PTI, development of PTI governance documents, development of Numbers and Protocol Parameters subcontracting agreements.	09/30/16 08/15/16		95%
Naming Function and Services Agreements	Development of Naming Function and Services Agreements.	09/16/16		55%

## Key Dates:



<sup>1</sup> Aol: Articles of Incorporation / <sup>2</sup> CoIP: Conflicts of Interest Policy

## Project Updates:

- ✓ Staff report and final PTI Articles posted.
- ✓ Staff analysis of comments submitted on governance documents began.
- ✓ IETF informed ICANN that it will not comment on the protocol parameter subcontracting agreement.

## Upcoming activities:

- Publish staff analysis and final PTI governing documents.
- Post Naming Function agreement for public comment.
- Share 1<sup>st</sup> draft of services agreement with the community.

## Open Items:



DRAFT for Discussion



On-track



Not started



Behind schedule, but recovery still possible



Target will be missed

PTI Structure activities

Incorporation activities

501 c3 filing activities

ICANN-PTI contracts activities

\* All dates are estimates

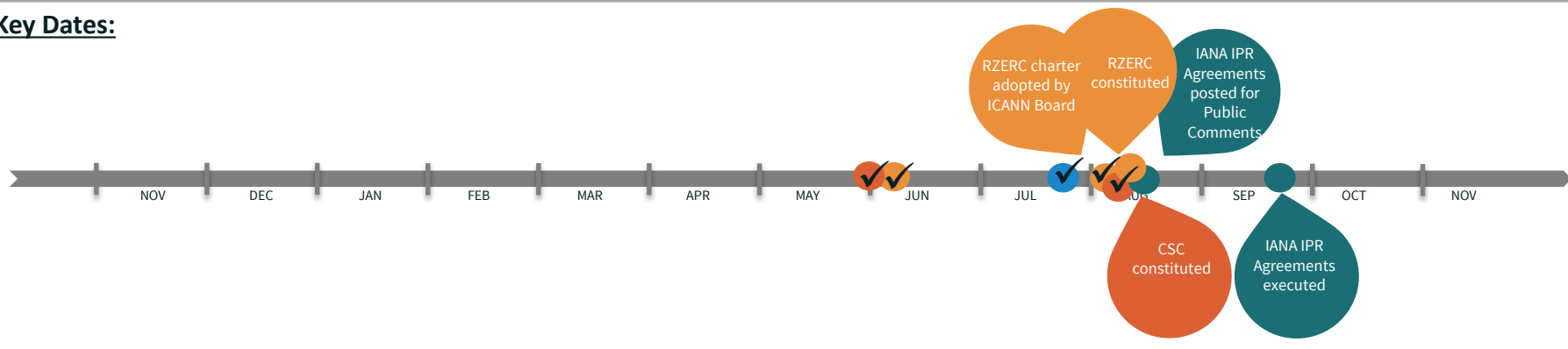
# IPR, RZERC, CSC & Escalation Processes

Status As Of Date:

08/09/16

Project	Description	Plan Completion Date	Status	% Complete
IANA IPR	Transfer of IANA IPR to a independent trust.	9/30/16		45%
RZERC ( <i>Root Zone Evolution Review Committee</i> )	Formation of committee to advise ICANN Board on RZMS architectural and operational changes.	09/30/16 / 08/15/16 <del>08/31/16</del> / 8/15/16		90%
CSC ( <i>Customer Service Standing Committee</i> )	Formation of committee to monitor PTI performance.	09/30/16 08/15/16		95%
Escalation Processes	Updating of existing IANA operational complaint and escalation processes.	09/30/16 08/15/16		100%

## Key Dates:



## Project Updates:

- ✓ Community discussions to finalize draft IANA IPR agreements for public comment.
- ✓ Staff report and final RZERC charter published.
- ✓ Finalized escalation processes documentation.

## Upcoming Activities:

- Post IANA IPR agreements for public comment period.

## Open Items:



DRAFT for Discussion

- On-track
- Behind schedule, but recovery still possible

- Not started
- Target will be missed

\* All dates are estimates



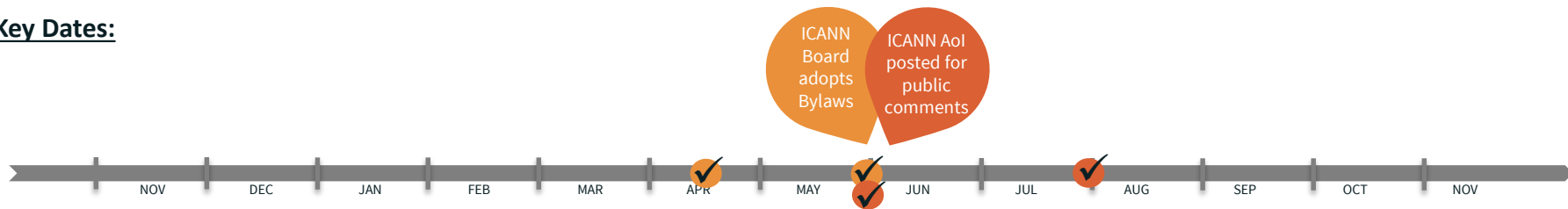
# Enhancing ICANN's Accountability

Status As Of Date:

08/09/16

Project	Description	Plan Completion Date	Status	% Complete
ICANN's Bylaws	Updating of ICANN Bylaws.	06/09/16 05/27/16		100%
ICANN's Articles of Incorporation (Aol)	Updating of ICANN Articles of Incorporation	07/31/16		100%
IRP Enhancements	Implement enhancements to Independent Review Process.	09/30/16 – 08/15/16 09/30/16		55%
RR Enhancements	Implement enhancements to ICANN's reconsideration request process.	09/30/16 08/15/16		90%
Empowered Community Support Mechanisms	Implement processes and mechanisms in support of the empowered community.	09/30/16 08/15/16		100%
Financial Planning Process Updates	ICANN caretaker budget, PTI caretaker budget and PTI budget.	08/15/16		90%

## Key Dates:



## Project Updates:

- ✓ Staff report and final ICANN Articles published.
- ✓ Finalizing Request for Reconsideration process documentation.
- ✓ Finalizing PTI budget language for inclusion in the PTI bylaws.

## Upcoming Activities:

## Open Items:



DRAFT for Discussion





- On-track
- Behind schedule, but recovery still possible
- Not started
- Target will be missed

\* All dates are estimates | 9

# RIRs SLAs & IETF MoU Supplemental Agreement

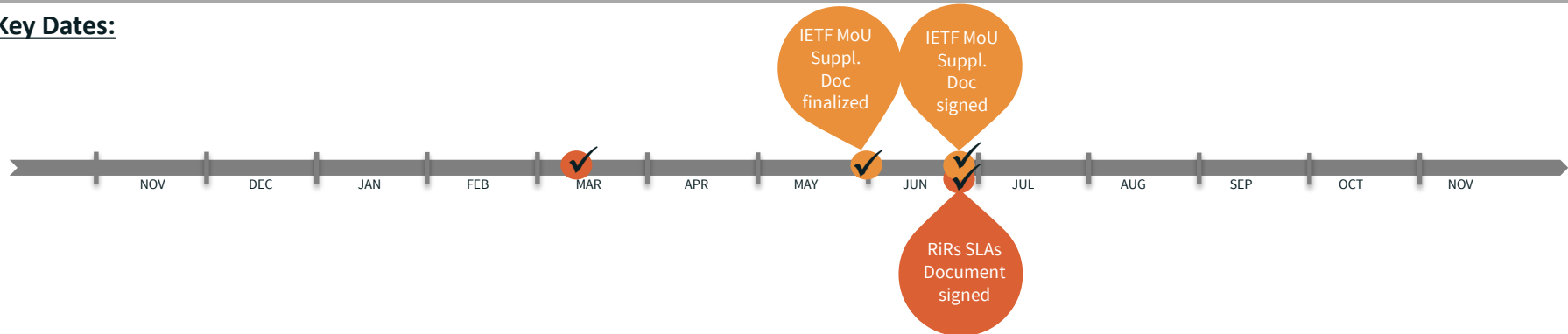
Status As Of Date:

08/09/16

Project	Description	Plan Completion Date*	Status	% Complete
RIRs SLAs (Service Level Agreement with the Number Community)	Completion of draft agreement, including SLAs with RIRs, and operationalization of SLAs.	06/30/16		 100%
IETF MoU Supplemental Agreement	Completion of draft ICANN-IETF MoU Supplemental Agreement.	06/30/16		 100%

\* Completion Date dependent on USG's approval of proposals

## Key Dates:



## Project Updates:

- RIR SLA document signed on 29 June 2016.
- IETF MoU document signed on 24 June 2016.

## Upcoming activities:

## Open Items:



DRAFT for Discussion



On-track



Behind schedule, but recovery still possible



Not started



Target will be missed

\* All dates are estimates | 10

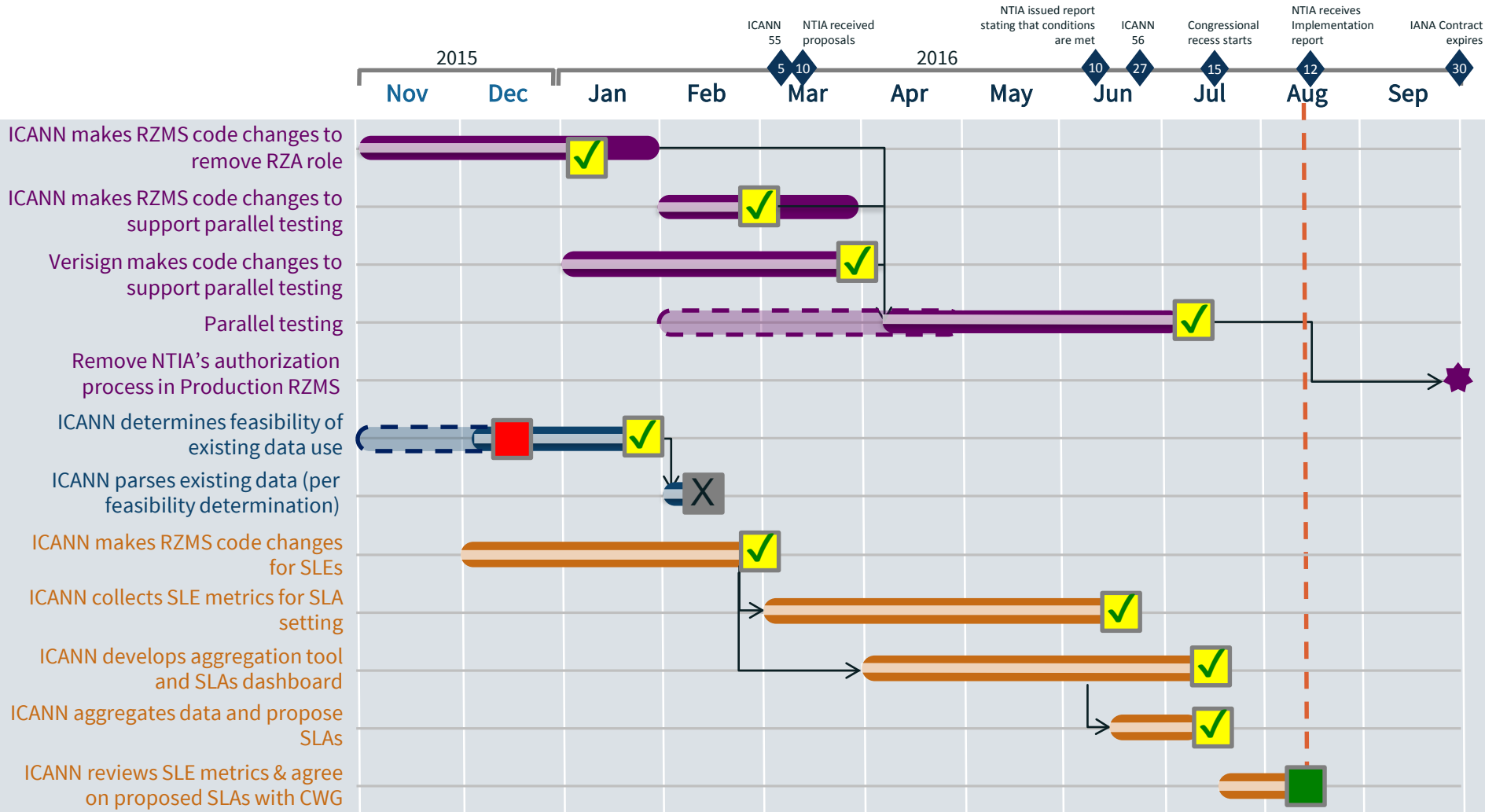


# Appendix High-Level Project Plans

# RZMS & SLEs for Naming Community

% Complete:  90%

Status: On-track



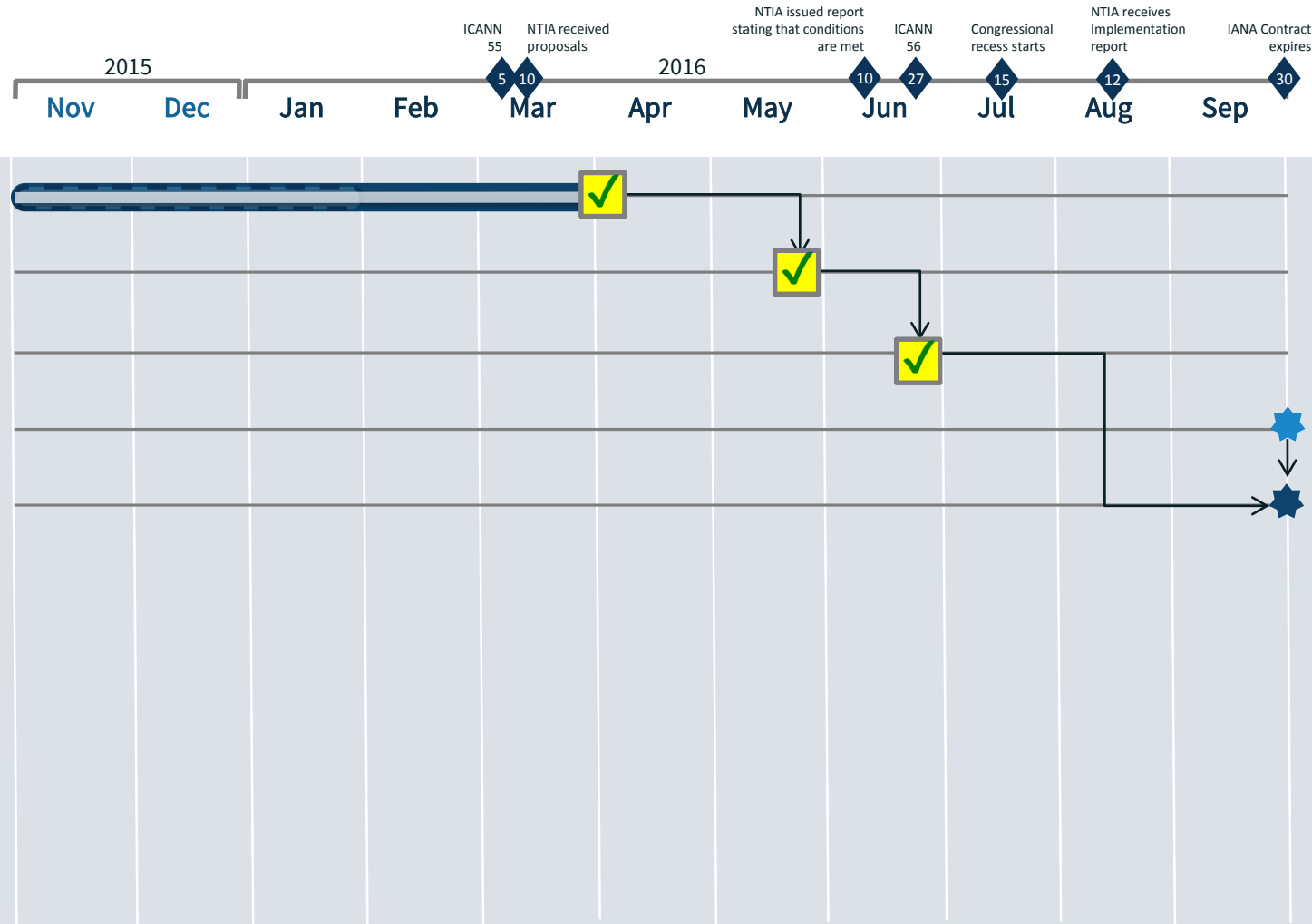
**Project Updates:**

- ✓ Name SLE performance targets approved by the Names SLEs work group and submitted to CWG for ratification.

**Upcoming Activities:**

- CWG to approve Names SLEs.

**Open Items:**



**Project Updates:**

✓ Signed the RIR SLA document on 29 June 2016.

**Upcoming Activities:**

**Open Items:**



DRAFT for Discussion

--- Baseline  
 — Current Plan

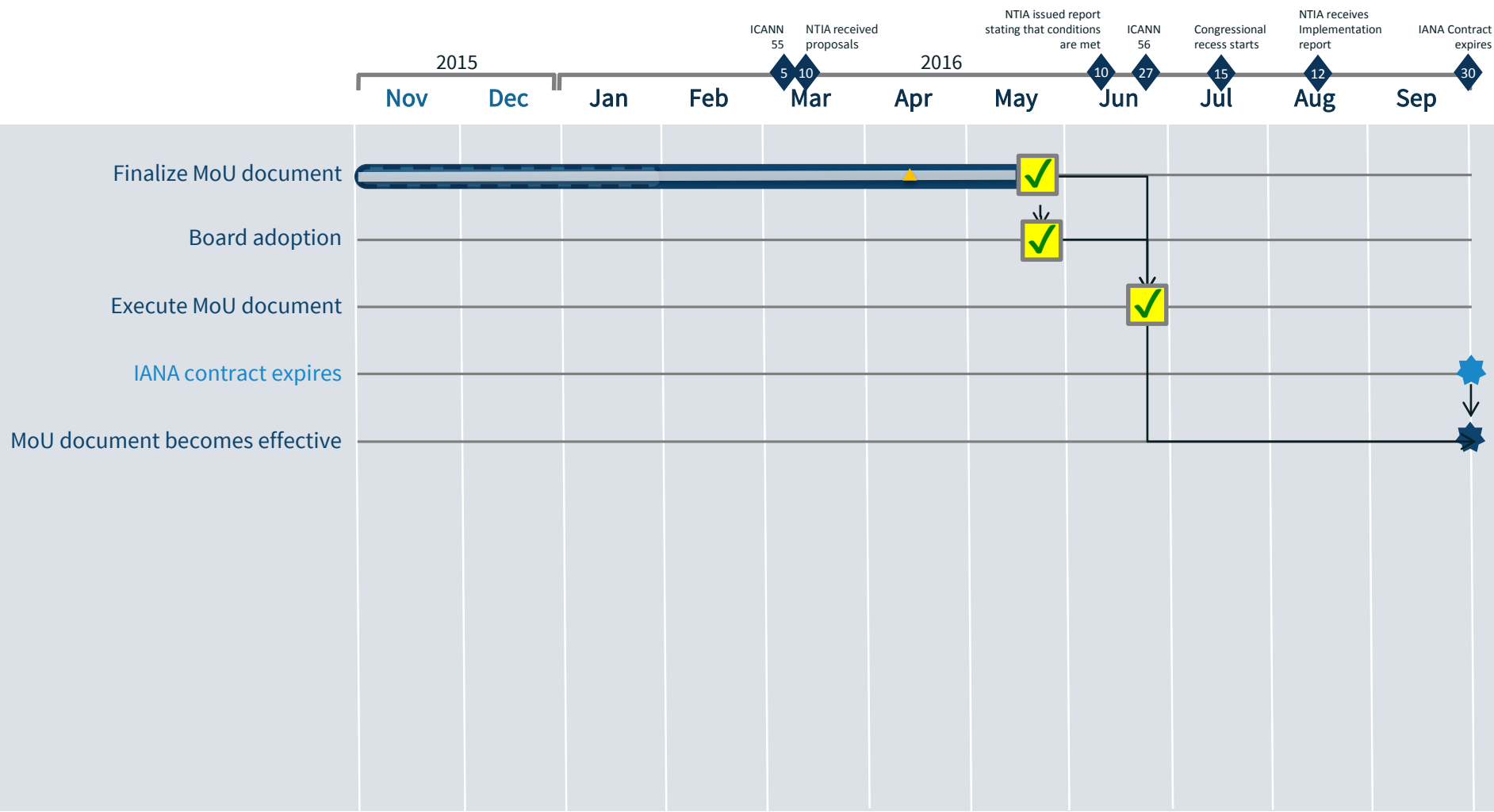
█ RIRs SLAs activities  
 ★ USG activity

\* Timeframes are estimates | 13

# IETF MoU Supplemental Agreement (“MoU”)

% Complete:  100%

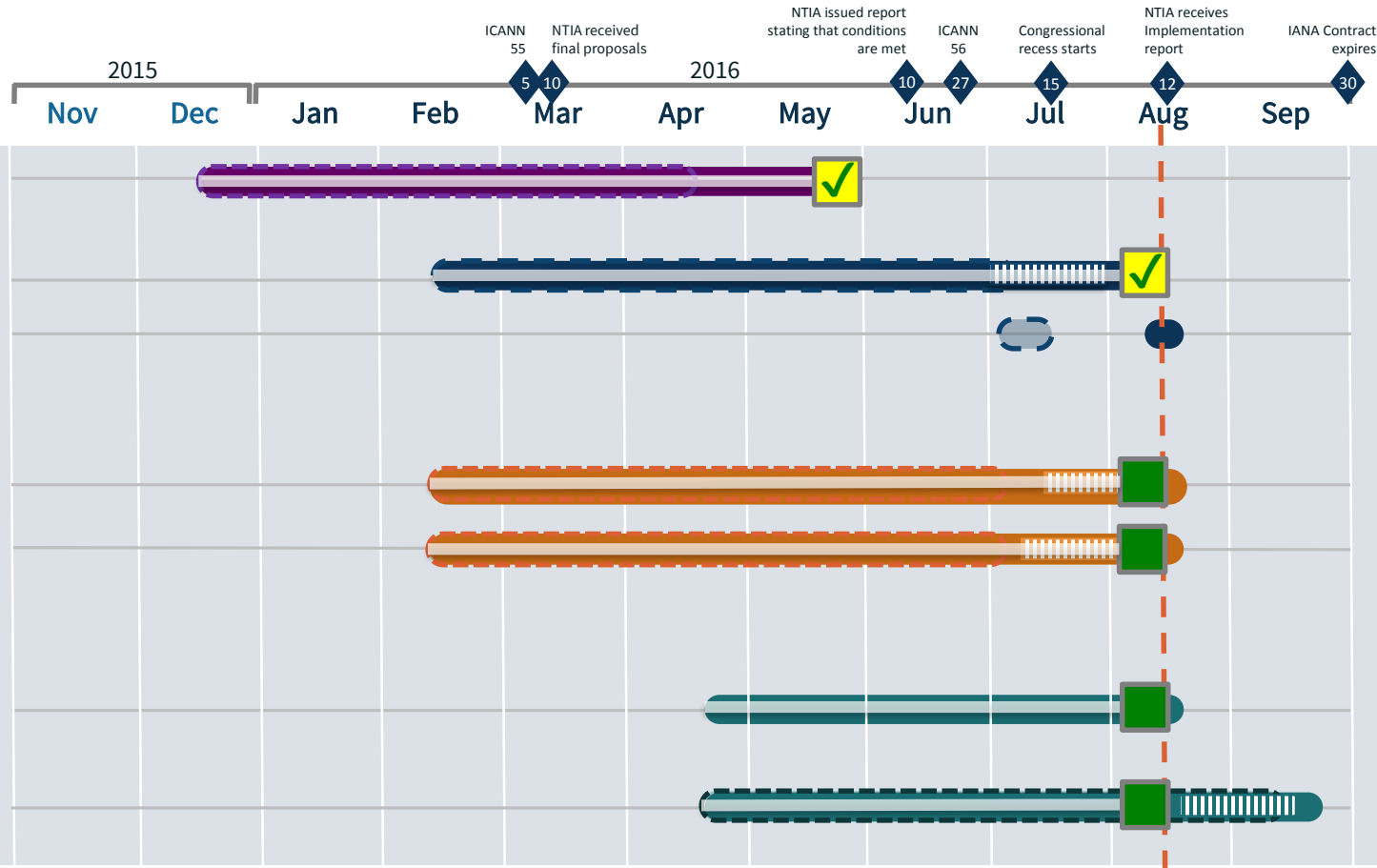
Status: Complete



**Project Updates:**  
 ✓ Signed the IETF MoU document on 24 June 2016.

**Upcoming Activities:**

**Open Items:**



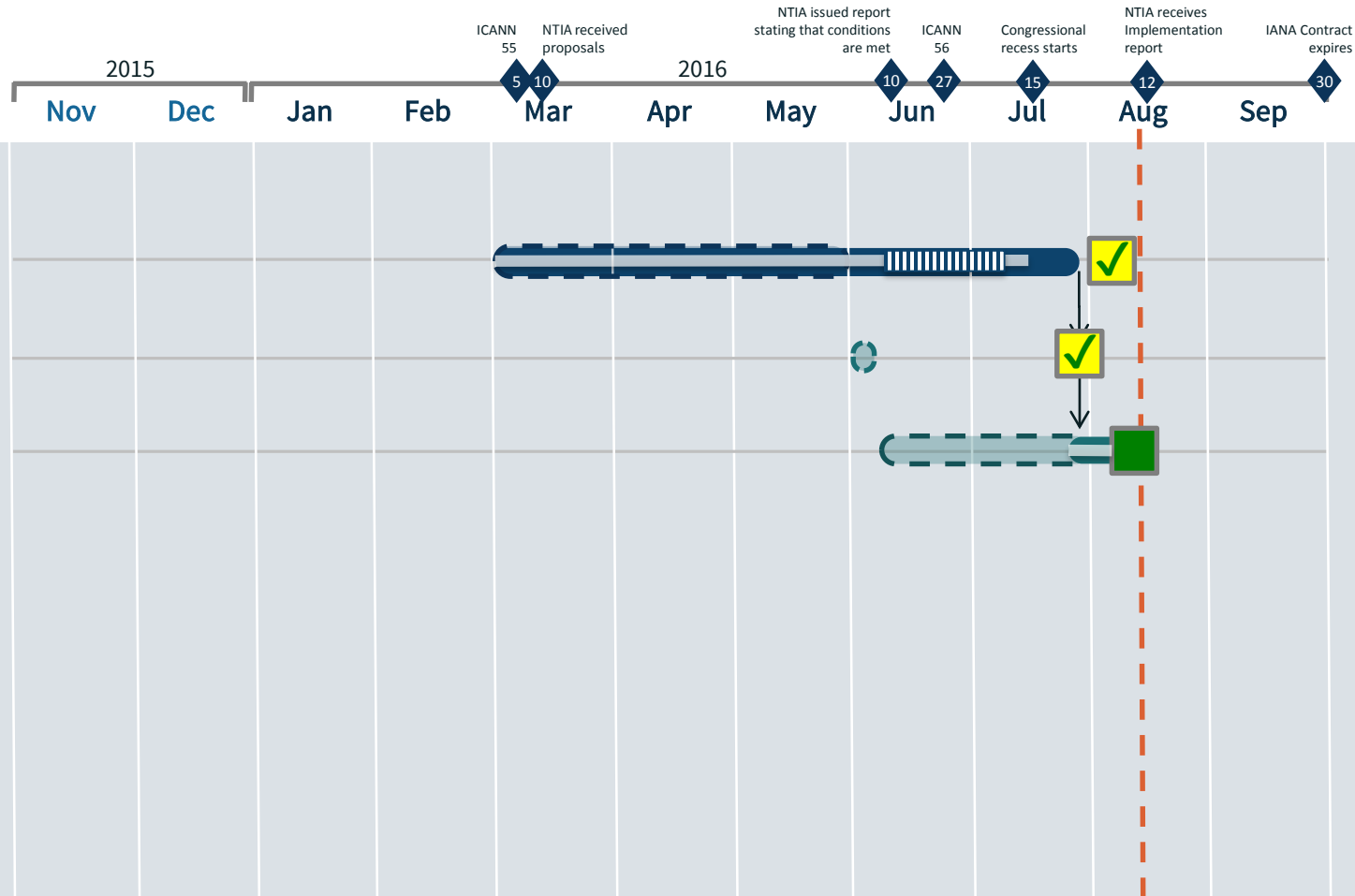
**Project Updates:**

- ✓ Staff report and final PTI Articles posted.
- ✓ Staff analysis of comments submitted on governance documents began.
- ✓ IETF informed ICANN that it will not comment on the protocol parameter subcontracting agreement.

**Upcoming Activities:**

- Publish staff analysis and final PTI governing documents.
- Post Naming Function agreement for public comment.
- Share 1<sup>st</sup> draft of services agreement with the community.

**Open Items:**

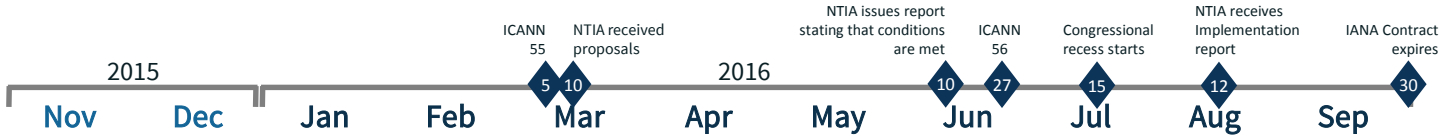


**Project Updates:**  
 ✓ Staff report and final RZERC charter published.

**Upcoming Activities:**

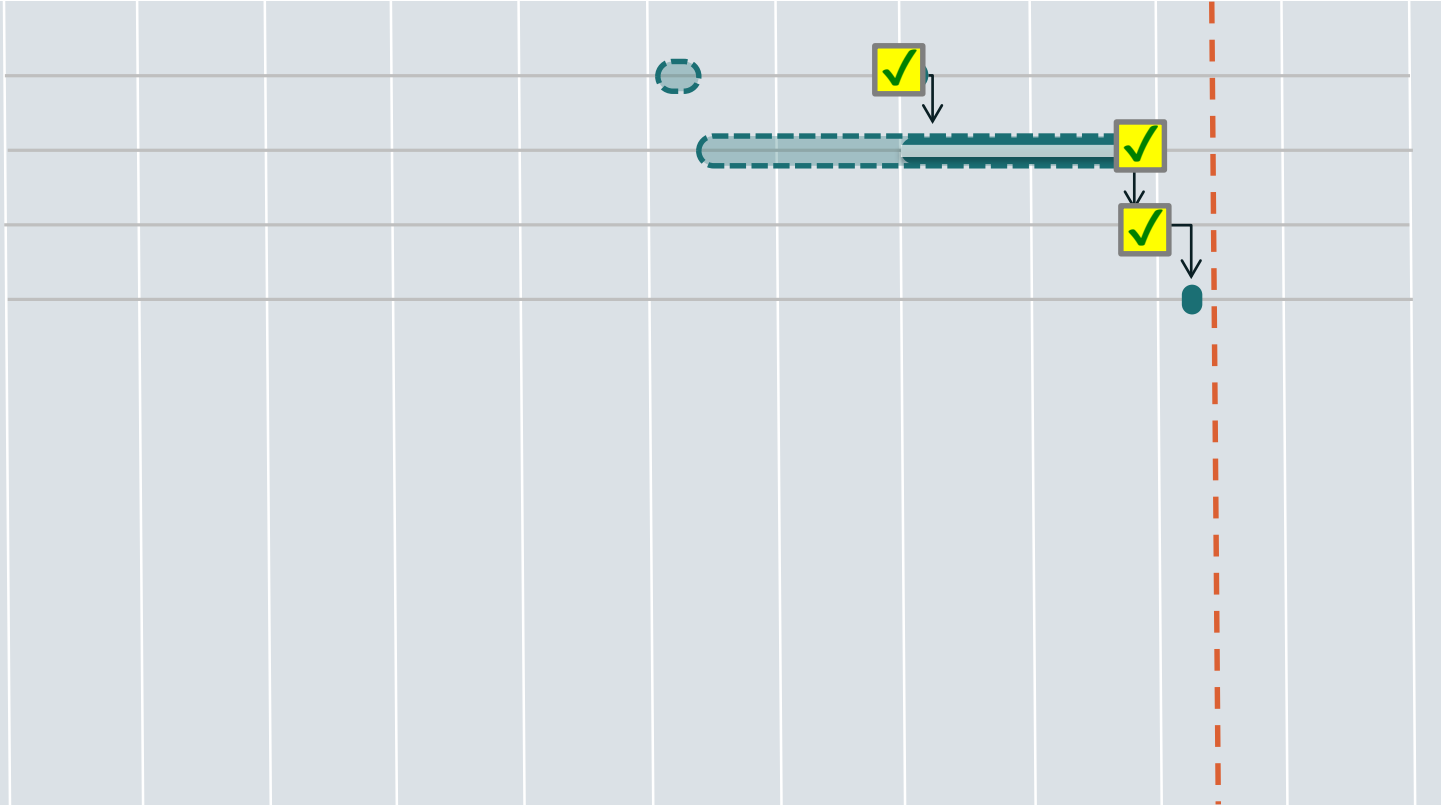
**Open Items:**





## CSC Formation

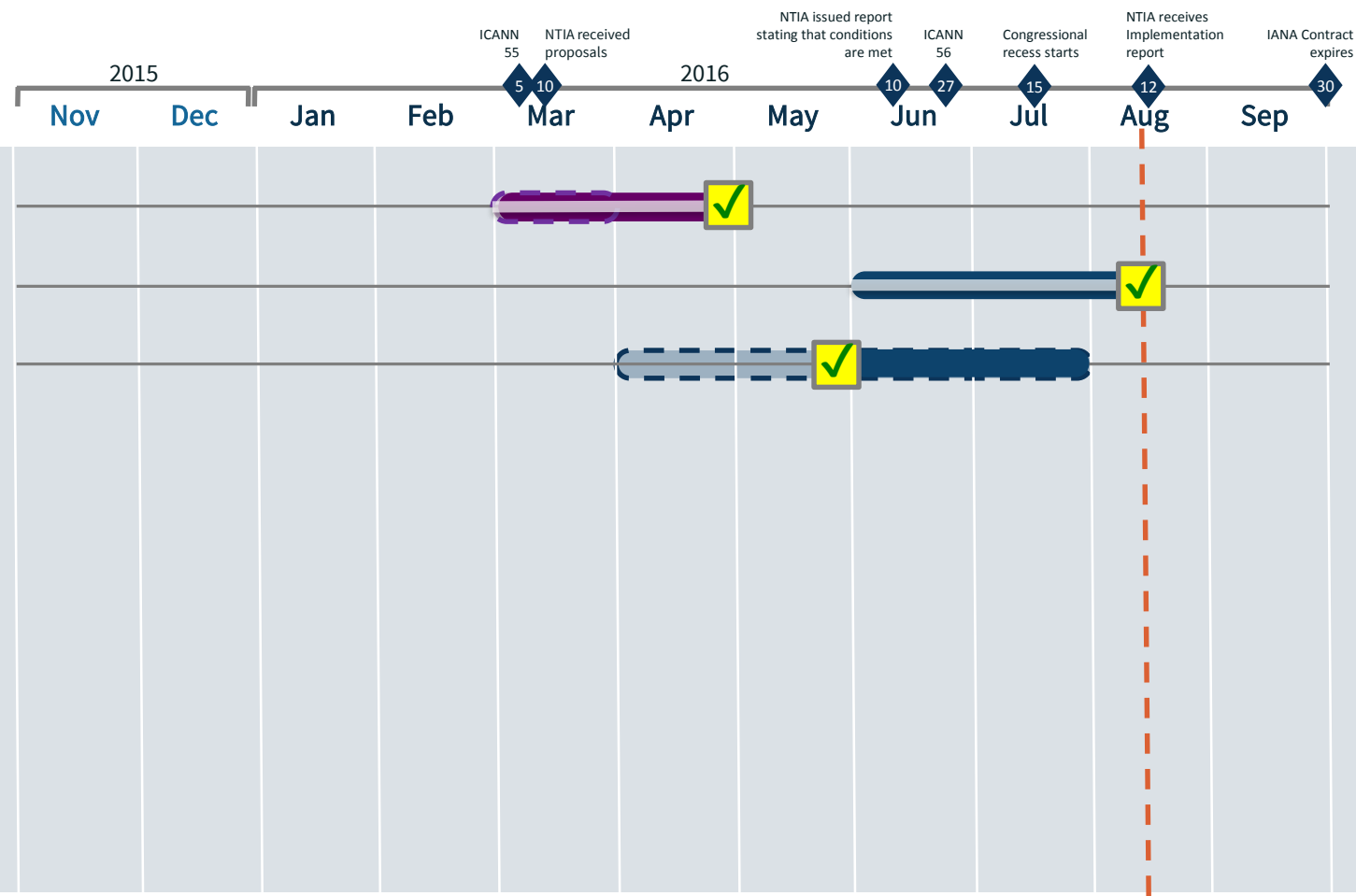
- ICANN outreach
- SO/ACs appoint candidates
- ICANN sends SO/AC selected candidates to gNSO/ccNSO
- CSC constituted



**Project Updates:**

**Upcoming Activities:**

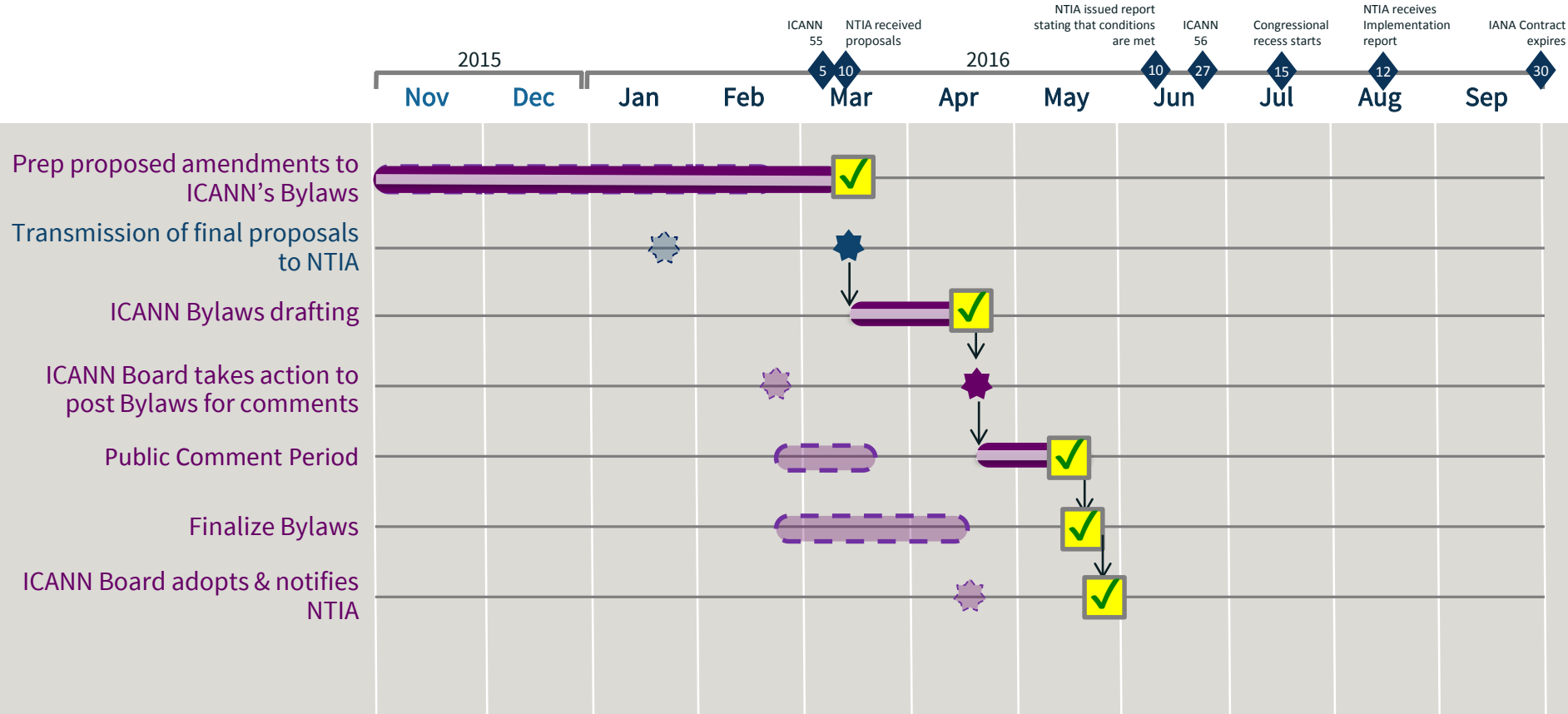
**Open Items:**



**Project Updates:**  
 ✓ Escalation processes documentation finalized.

**Upcoming Activities:**

**Open Items:**



**Project Updates:**

**Upcoming Activities:**

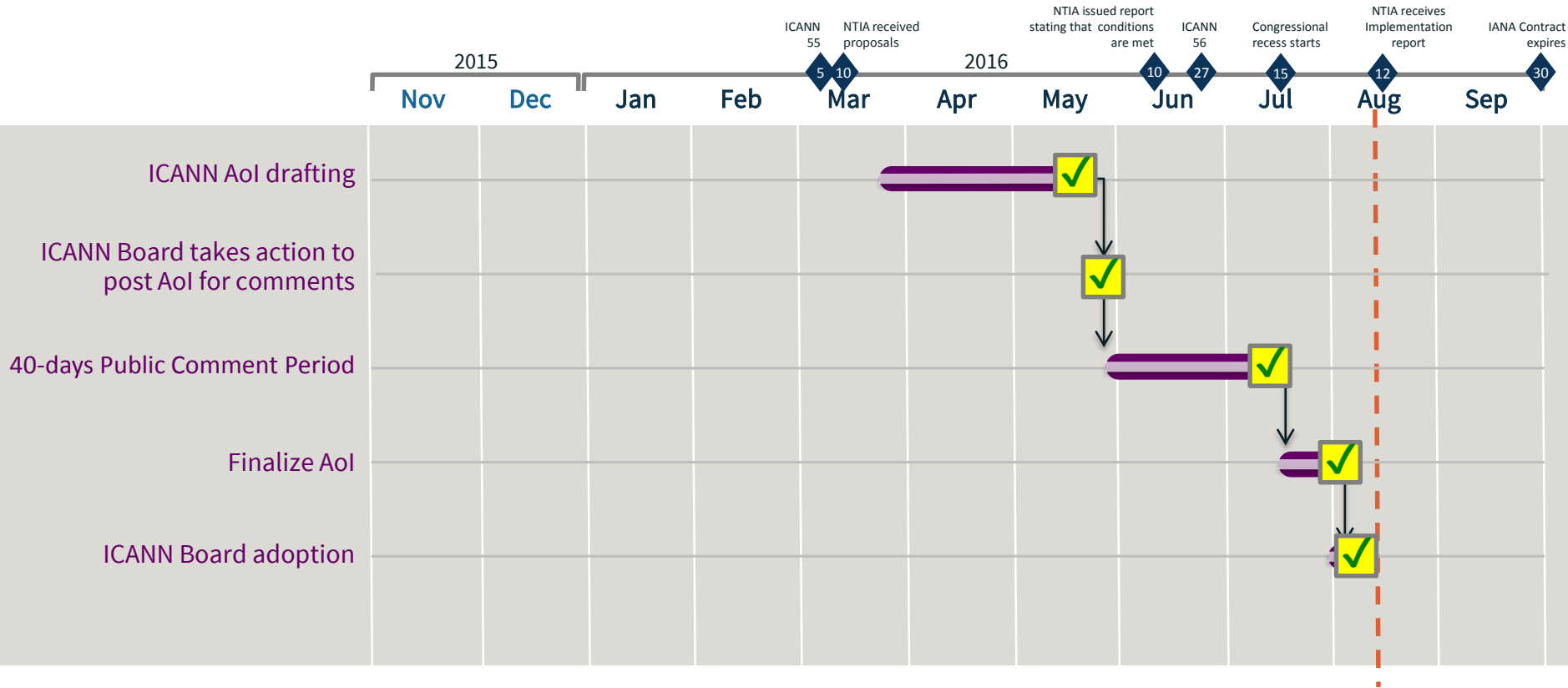
**Open Items:**



DRAFT for Discussion

- Baseline
- Bylaws activity
- Current Plan
- USG activity

\* Timeframes are estimates | 19



**Project Updates:**  
 ✓ Staff analysis of public comments and final ICANN Articles published.

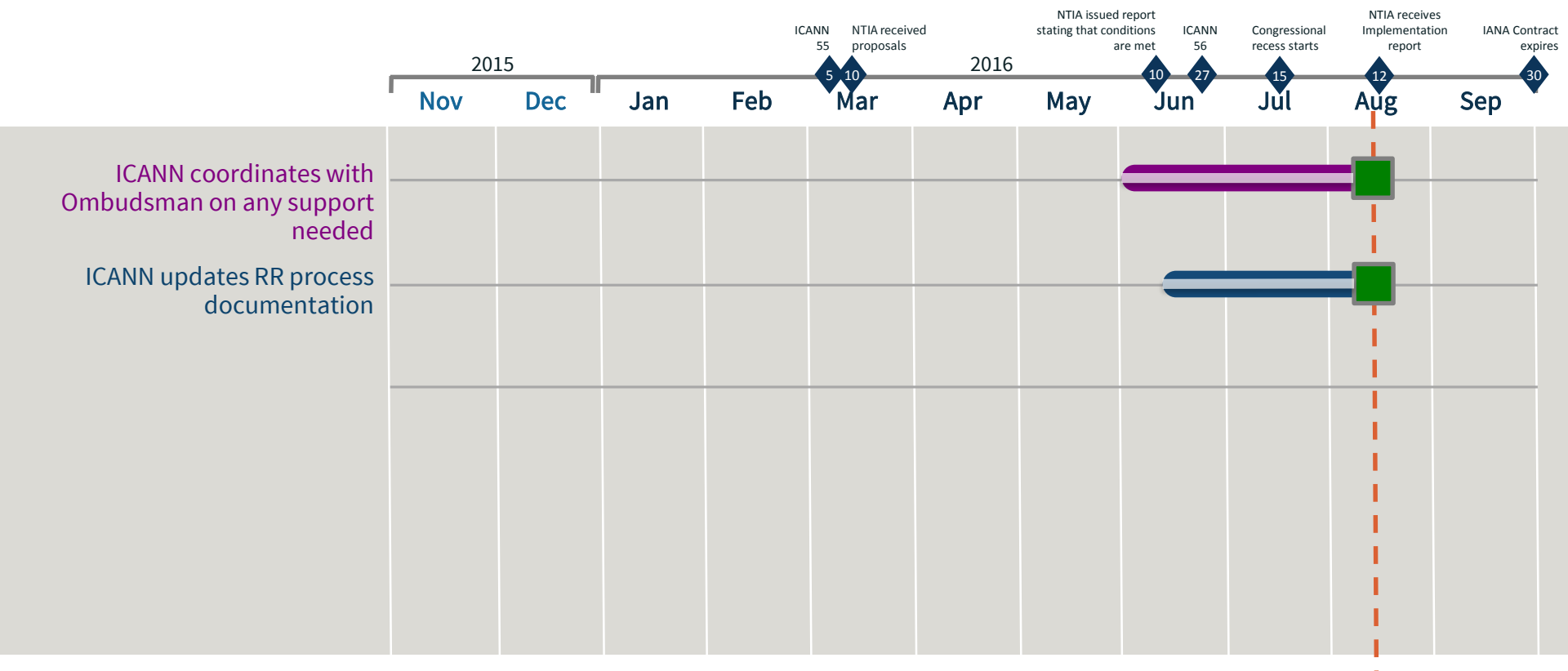
**Upcoming Activities:**

**Open Items:**

# Request For Reconsideration (RR) Enhancements

% Complete:  90%

Status: On-track



ICANN coordinates with Ombudsman on any support needed

ICANN updates RR process documentation

## Project Updates:

- ✓ Finalizing Request for Reconsideration process documentation.

## Upcoming Activities:

## Open Items:

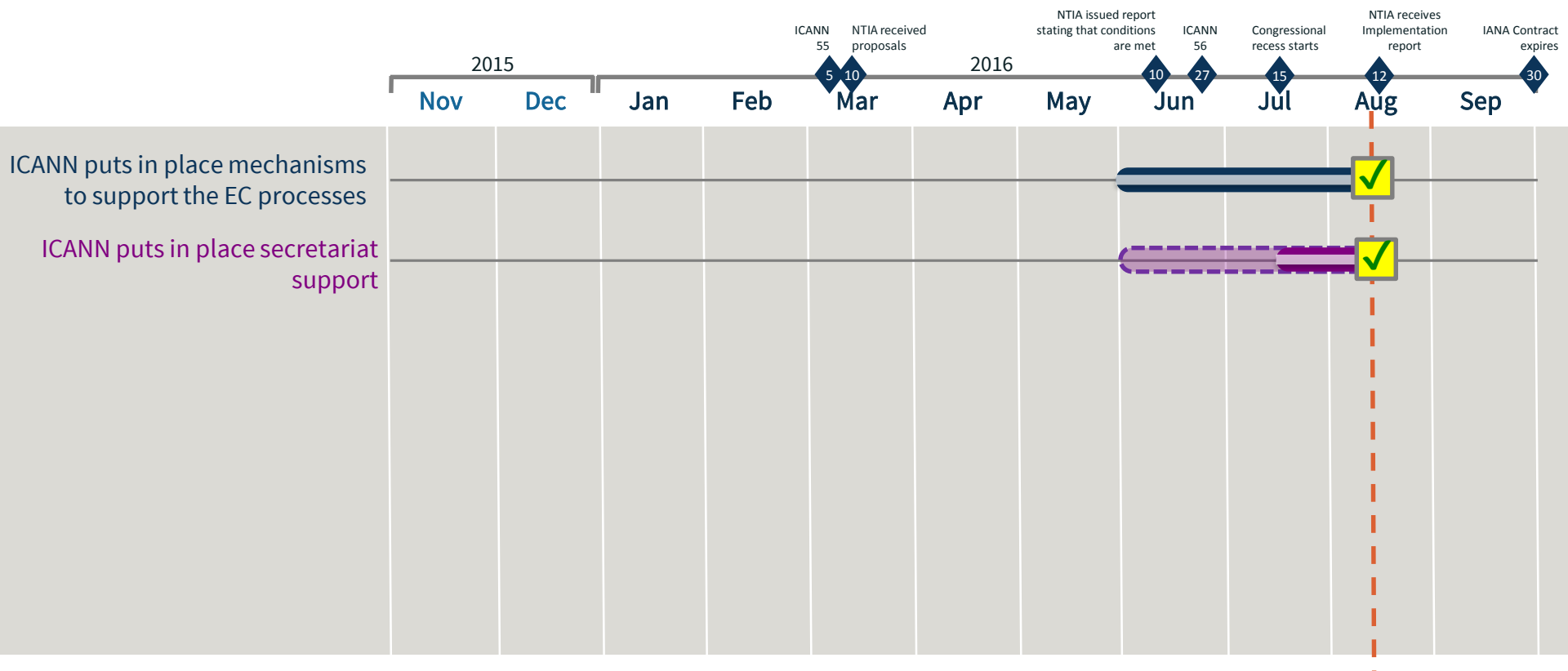


DRAFT for Discussion

--- Baseline  
 ——— Current Plan

■ RR activities

\* Timeframes are estimates | 21

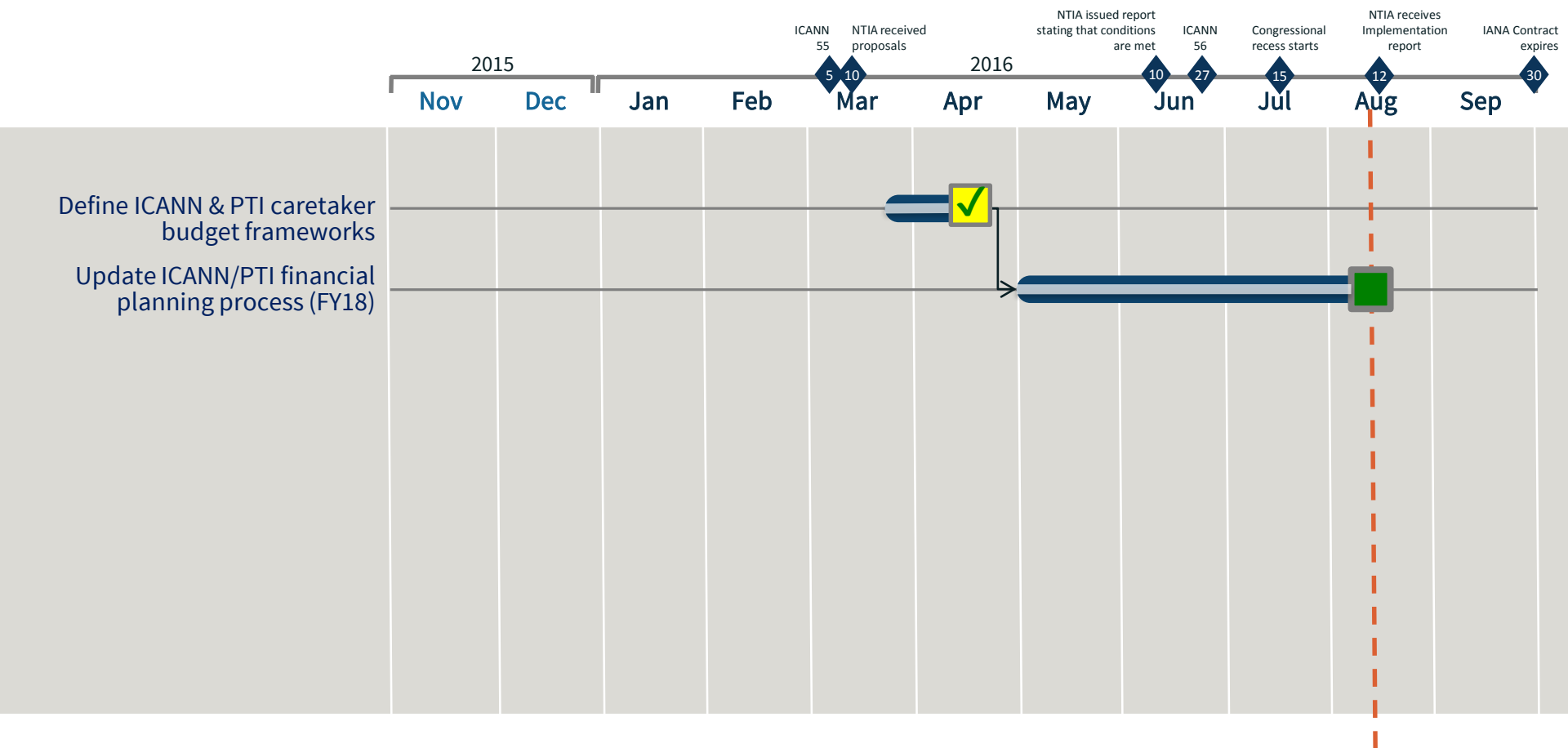


**Project Updates:**

- EC support mechanisms in place.

**Upcoming Activities:**

**Open Items:**



**Project Updates:**  
 ✓ Finalizing PTI budget language for inclusion in the PTI bylaws.

**Upcoming Activities:**

**Open Items:**