



ICANN 57

ALAC REVIEW – Hyderabad

ALAC REVIEW: HYDERABAD

Background to Reviews

Background to the 2016 ALAC Review

ITEMS:

- Statistics: Responses, meetings attended etc
- Themes:
 - Mission and Purpose
 - Relationships of ALAC, At Large, RALOs
 - Relationships of ICANN/ALAC
 - Accountability/Transparency
- Draft Recommendations
- Discussion

ALAC REVIEW: HYDERABAD

- **Section 4.4. PERIODIC REVIEW OF ICANN STRUCTURE AND OPERATIONS**
- *(a) The Board shall cause a periodic review of the performance and operation of each Supporting Organization, each Supporting Organization Council, each Advisory Committee (other than the Governmental Advisory Committee), and the Nominating Committee (as defined in Section 8.1) by an entity or entities independent of the organization under review. The goal of the review, to be undertaken pursuant to such criteria and standards as the Board shall direct, shall be to determine (i) whether that organization, council or committee has a continuing purpose in the ICANN structure, (ii) if so, whether any change in structure or operations is desirable to improve its effectiveness and (iii) whether that organization, council or committee is accountable to its constituencies, stakeholder groups, organizations and other stakeholders.*
- *These periodic reviews shall be conducted no less frequently than every five years, based on feasibility as determined by the Board. Each five-year cycle will be computed from the moment of the reception by the Board of the final report of the relevant review Working Group.*

Lessons from Recent Reviews

Community's attention, engagement & buy-in are critical

- Review Working Party role
- Coordinated communication & engagement to achieve participation
- Community buy-in and feedback loop are essential
- Coordinated review schedule in tune with community work

Operational effectiveness → readiness for strategic challenges

- Organizational Reviews comprised of different assessments/processes
- Ongoing purpose – part of the strategic planning process; link output of Reviews to Strategic Planning
- Alignment with overall structure of ICANN

Standard Policies, Procedures & Guidelines facilitate fulfillment of mandates & commitments

- Predictable, consistent and efficient application
- Include checks and balances
- Clear & understood by Community
- Cross-reference between Reviews

Project management discipline essential in reviews & implementation projects

- Widely accepted standards
- Well documented plans – timelines, milestones, resources
- Mechanism for risk management
- Plan to stretch review & implementation timeline in response to community workload

Implementation success difficult to assess without specific agreed upon success factors

- Implementation plans must contain required elements to be approved
- Shared understanding: Community, examiner, Board, Staff
- Consistently applied criteria: recommendation → implementation → assessment of effectiveness

Support for data-driven, measurable outcomes

- Measurable improvements essential to community's engagement
- Decision making
- Review methodology
- Assessment of effectiveness of improvements

ALAC REVIEW 2008

The Review was of ALAC – NOT the RALOS or ALSs

What was Implemented:

Thirteen areas for improvement – with a total of PAGES of recommendations – most of which have been completed

ALAC REVIEW 2008

AREAS FOR RECOMMENDATIONS:

- ICANN Bylaws/Board member
- ALS-RALO-ALAC Structure
- ALS Education and Engagement
- Strategic and Operational Plans
- Cost models
- Public comment Period
- Translation processes
- Home of individual Internet Users
- Input from Consumer Representatives
- Policy Advice Mechanisms

ALAC REVIEW 2008

Issues Listed as 'complete and ongoing'

- ICANN collaboration with ICANN on Beginners Guides relevant to ALAC
- RALOs to formalise outreach/in-reach roles
- Develop/implement sanctions process for non-performance of ALAC/RALOs/ALSs
- Develop/maintain management/retention system for ALAC, including skills development
- Equal treatment for ALAC and other funded communities for accommodations

ALAC REVIEW 2008

Issues Listed as 'watching brief'

- Translation services/translation policy
- GNSO outreach to consumer representatives
- Strengthen policy development processes within SOs and ACs for considering ALAC input – how it has been considered and used
- Ensure GNSO PDP incorporates measures to guarantee ALAC input is considered

Assessment by Working Party

Did implemented improvements address findings & recommendations of 2008 Report?

- Did it get done?
- Did it work?
- How can progress be demonstrated / quantified?
- Is additional work needed?
- Is work underway?

What significant developments have impacted or will impact the At-Large organization since the last Review?



Lessons Learned from 2008 Review

- What worked?
- What did not work as well as expected?
- What improvements should be made?

GNSO Review 2015

- That the GNSO develop end monitor metrics to evaluate the ongoing effectiveness of current outreach strategies and pilot programs with regard to GNSO WGs
- That the GNSO Council develop and fund more targeted programmes to recruit volunteers and broaden participation in PDP WGs given the vital role volunteers play in WGs and policy development
- That the GNSO Council reduce or remove cost barriers to volunteer participation in WGs
- That the GNSO Council introduce non-financial rewards and recognition for volunteers.....

ALAC REVIEW 2016

OBJECTIVES OF 2016 REVIEW

ITEMS shall conduct an independent review of the At-Large Community as mandated by ICANN Bylaws, to assess effectiveness of:

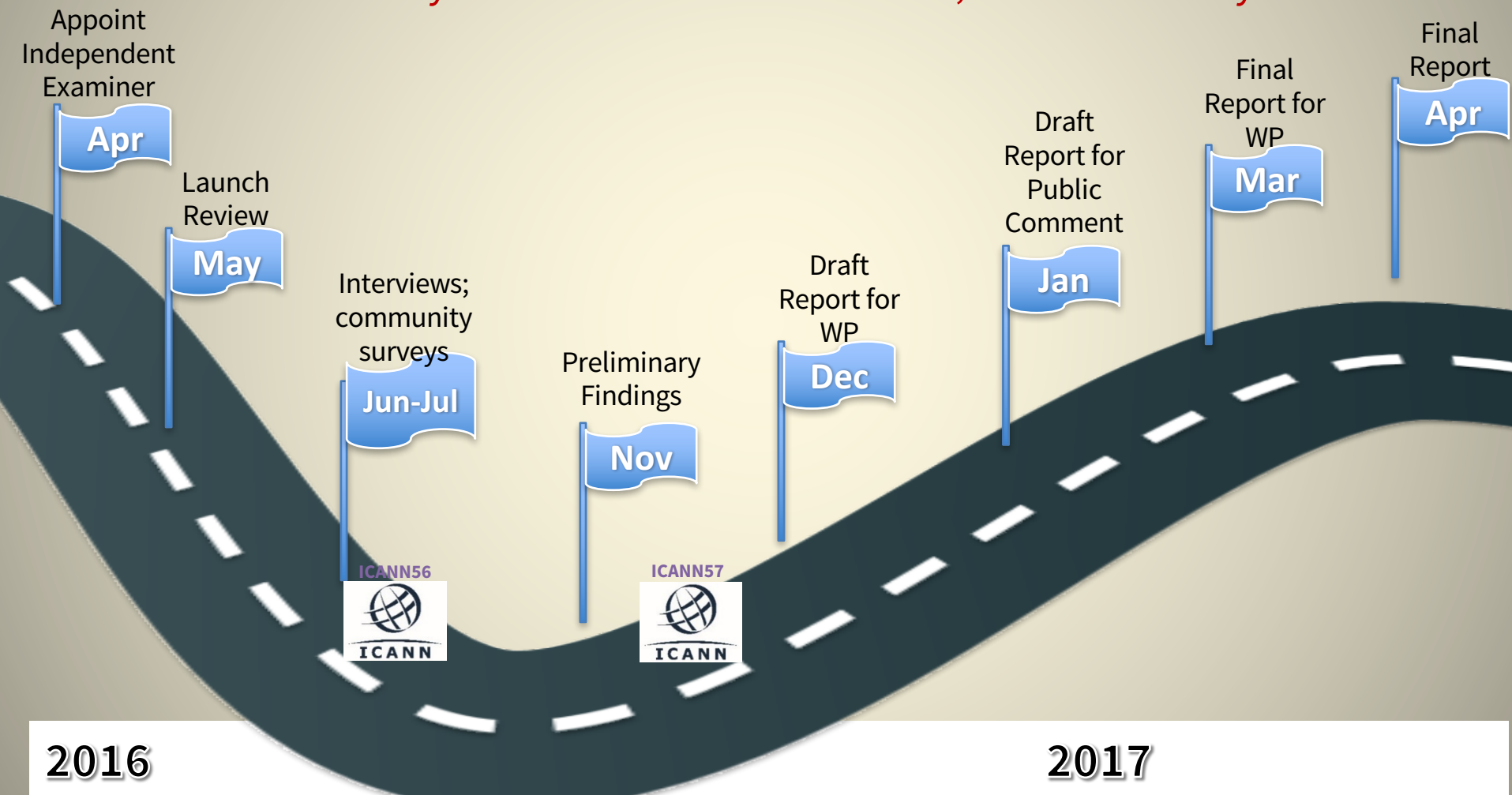
- (1) improvements resulting from recommendations from the previous Review,
- (2) components of the At-Large Community – ALAC, Regional At-Large Organizations (RALOs) and At-Large Structures (ALSes) in accordance with the ICANN-provided objective and quantifiable criteria

The last review focused primarily on the ALAC. While the current Review will cover all components of the At-Large Community, the primary focus will be on the structures not covered by the last review (i.e. RALOs and ALSes).

At-Large Review - Roadmap

Rev 27 Apr 2016

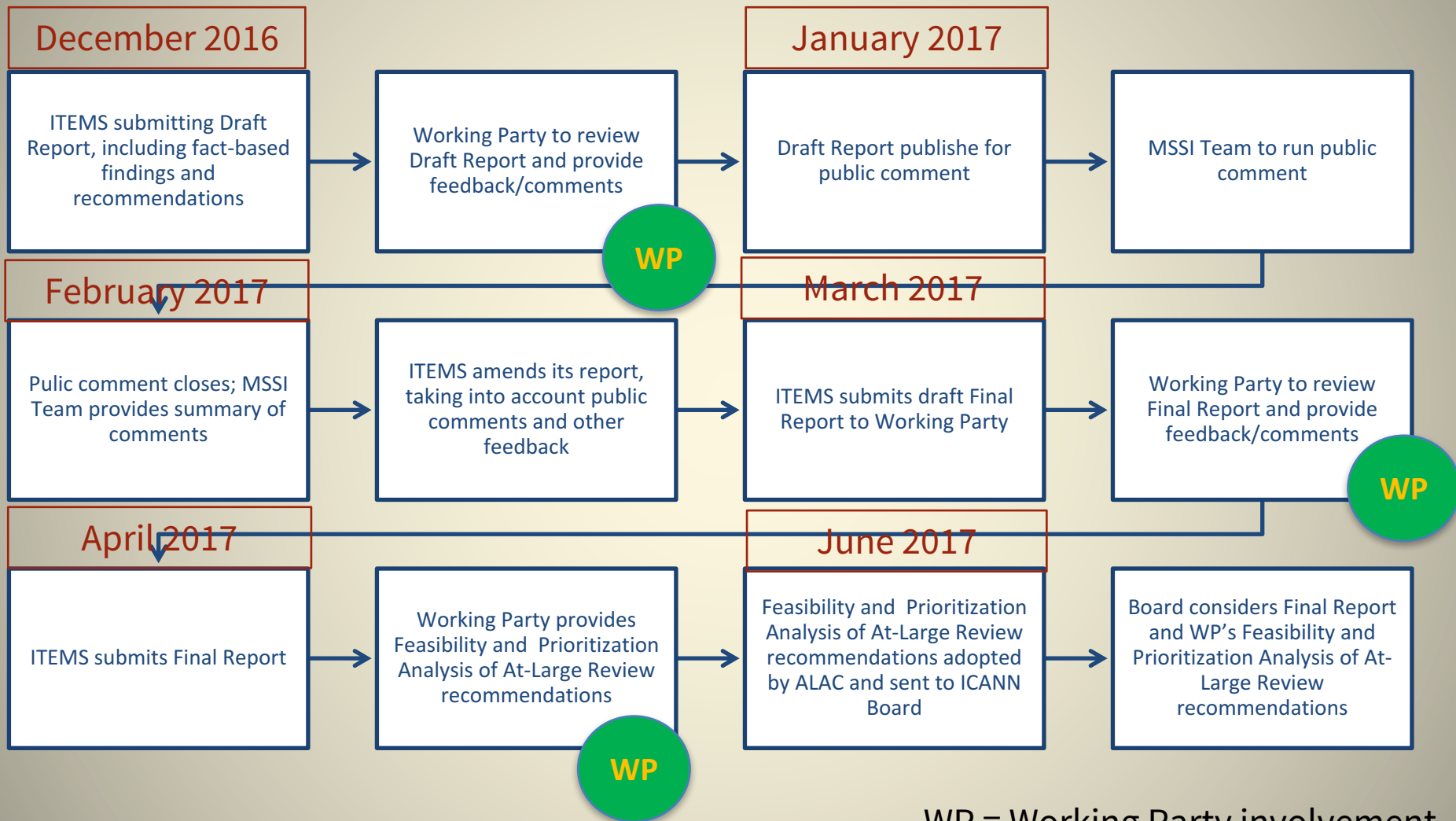
Review plans continuously aligned with community workload and flexibility to accommodate extensions, when necessary.



2016

2017

Next Steps: At-Large Review Working Party



WP = Working Party involvement

ALAC REVIEW 2016

ITEMS: Update on the Review

Themes

- Mission and Purpose
- Relationships of ALAC, At Large, RALOs
- Relationships of ICANN/ALAC
- Accountability/Transparency

Input deadline – 9 November

Discussion