Transition Implementation Status Reporting

26 July 2016

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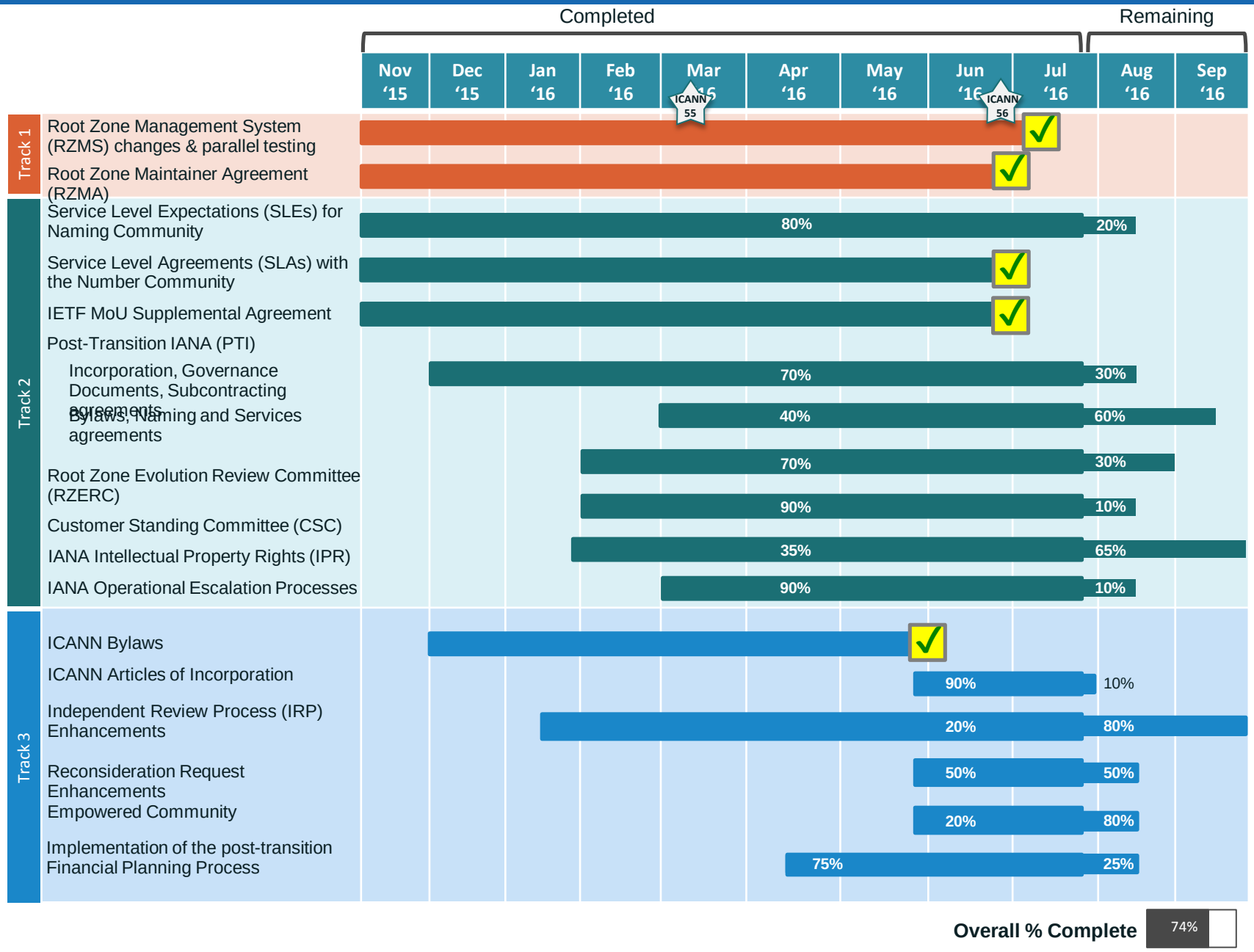
Overall Timeline

Implementation Planning Timeline – Proposals Delivered in March 2016



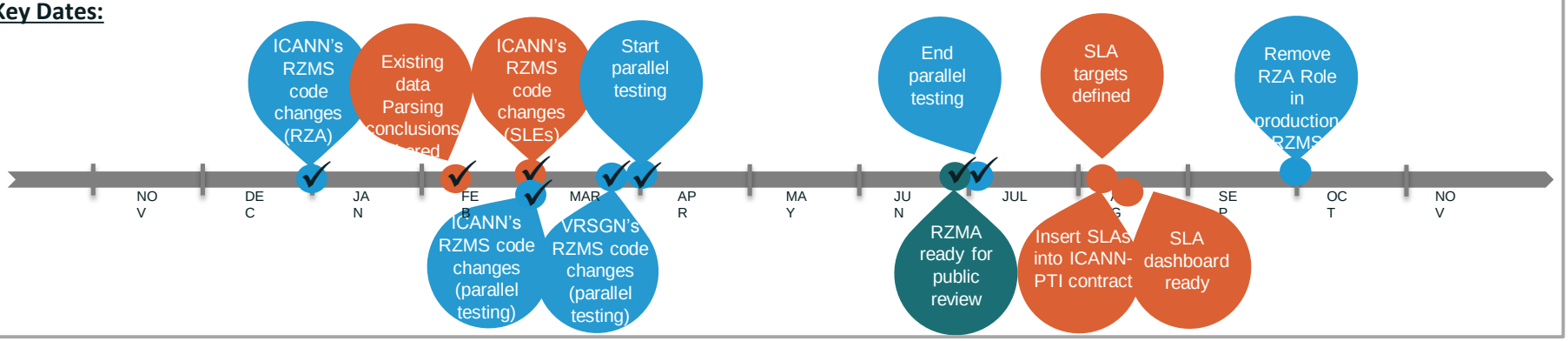
Status Update

RZMS, RZMA & Names SLEs

Status As Of Date: 07/26/16

Project	Description	Plan Completion Date	Status	% Complete
RZMS changes to remove RZA role and support parallel testing	Remove NTIA's authorization process from RZMS (code changes for ICANN and Verisign, and parallel testing).	~~09/30/16~~ ~~08/15/16~~ 07/06/16	✓	100%
Names SLEs (SLEs for Naming Community)	RZMS code changes to accommodate new SLEs, development of aggregation tool and dashboard reporting of SLAs, and setting of initial SLAs.	~~09/30/16~~ 08/15/16	●	80%
RZMA (Agreement with Root Zone Maintainer)	Completion of draft RZMA.	~~01/31/16~~ / ~~02/29/16~~ ~~04/15/16~~ / ~~04/30/16~~ ~~05/15/16~~ / ~~05/31/16~~/ 06/30/16	✓	100%

Key Dates:



Project Updates:

- ✓ Shared proposed Name SLE performance targets with the CWG.
- ✓ Demo beta version of the reporting dashboard to CWG.

Upcoming Activities:

- Continue discussions with Names SLEs work group to finalize Name SLE performance targets.

Open Items:

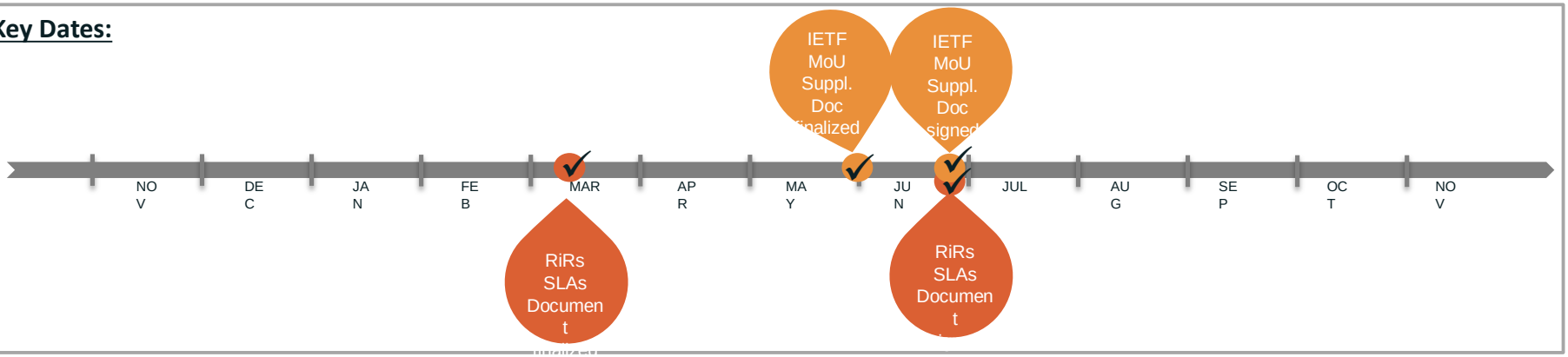
RIRs SLAs & IETF MoU Supplemental Agreement

Status As Of Date: 07/26/16

	Project	Description	Plan Completion Date*	Status	% Complete
	RIRs SLAs (Service Level Agreement with the Number Community)	Completion of draft agreement, including SLAs with RIRs, and operationalization of SLAs.	06/30/16	✓	100%
	IETF MoU Supplemental Agreement	Completion of draft ICANN-IETF MoU Supplemental Agreement.	06/30/16	✓	100%

** Completion Date dependent on USG's approval of proposals*

Key Dates:



Project Updates:

- RIR SLA document signed.
- IETF MoU document signed.

Upcoming activities:

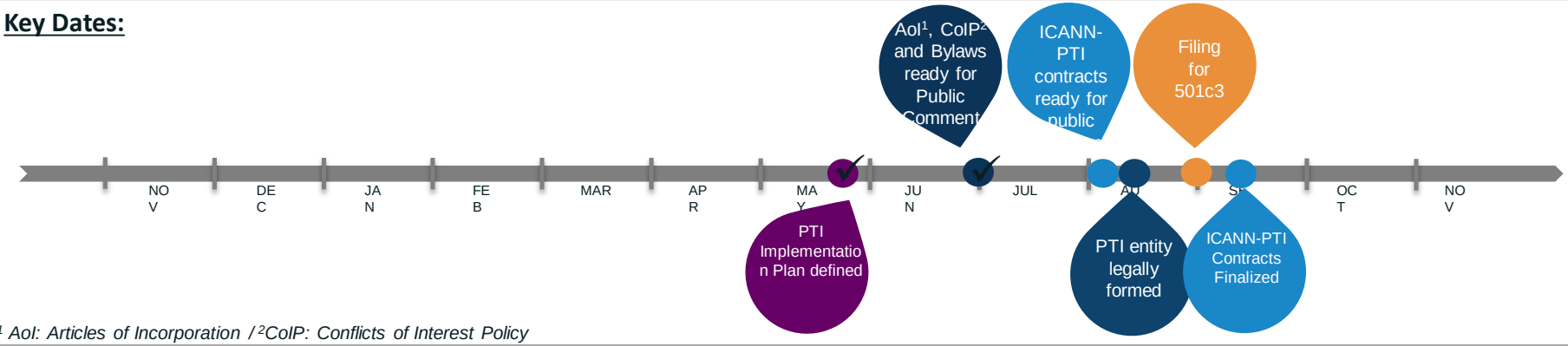
Open Items:

PTI (Post-transition IANA)

Status As Of Date: 07/26/16

	Project	Description	Plan Completion Date	Status	% Complete
	PTI Incorporation, Governance Documents, Subcontracting agreements	Formation of PTI entity: legal formation (incorporation of affiliate), governance documents). Numbers and Protocol Parameters subcontracting agreements drafting.	~~09/30/16~~ 08/15/16		70%
	PTI Naming and Services Agreements	PTI Naming and Services Agreements drafting, public comment period and board approval (Naming function contract, Inter-company agreement)	09/15/16		40%

Key Dates:



[1] AoI: Articles of Incorporation / [2]CoIP: Conflicts of Interest Policy

Project Updates:

- ✓ Community reviewing Naming function agreement, Numbers and Protocol Parameters subcontracting agreement, and services agreement headers.
- ✓ CWG discussing PTI Bylaws, Articles of Incorporation and governance documents.

Upcoming activities:

- Staff analysis of revised AoI public comments.
- Continue review of agreements with the community.
- Share 1st draft of services agreement with the community.

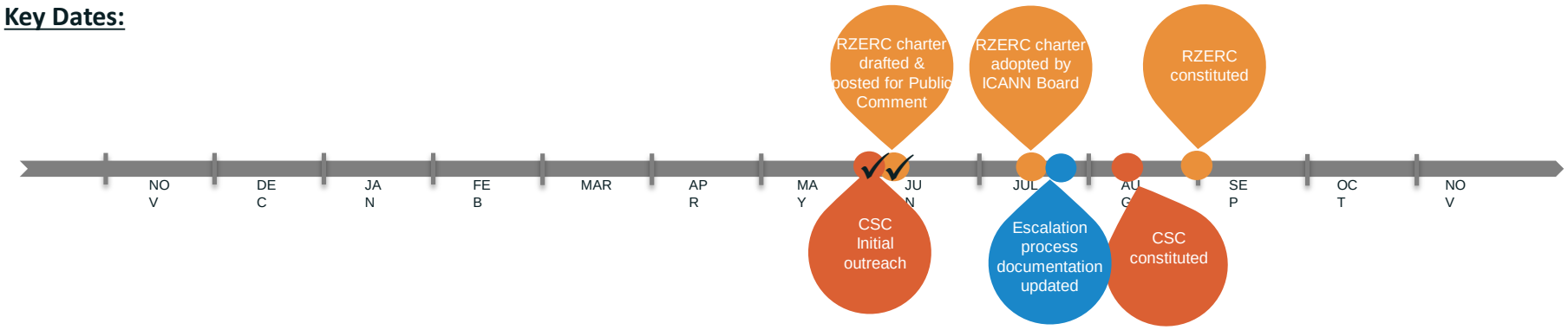
Open Items:

IPR, RZERC, CSC & Escalation Processes

Status As Of Date: 07/26/16

	Project	Description	Plan Completion Date	Status	% Complete
	IANA IPR	Transfer of IANA IPR to a independent trust.	9/30/16		35%
	RZERC *(Root Zone Evolution Review Committee)*	Formation of committee to advise ICANN Board on RZMS architectural and operational changes.	~~09/30/16~~ / ~~08/15/16~~ 08/31/16		70%
	CSC *(Customer Service Standing Committee)*	Formation of committee to monitor PTI performance.	~~09/30/16~~ 08/15/16		90%
	Escalation Processes	Updating of existing IANA operational complaint and escalation processes.	~~09/30/16~~ 08/15/16		90%

Key Dates:



Project Updates:

- ✓ Finalizing analysis of public comments and revising RZERC charter based on comments.

Upcoming Activities:

- ICANN to send request for representatives to the RZERC to appointing organizations.
- ICANN Board approval of RZERC charter.
- Send CSC candidates to gNSO/ccNSO.
- Finalize escalation processes documentation.

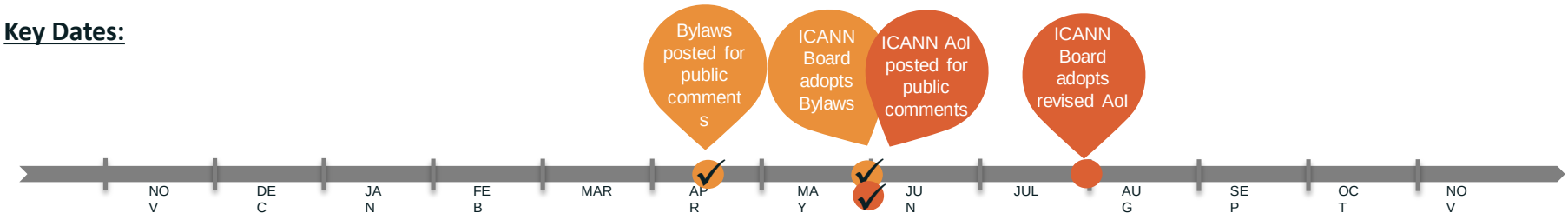
Open Items:

Enhancing ICANN's Accountability

Status As Of Date: 07/26/16

Project	Description	Plan Completion Date	Status	% Complete
ICANN's Bylaws	Updating of ICANN Bylaws.	~~06/09/16~~ 05/27/16	✓	100%
ICANN's Articles of Incorporation (AoI)	Updating of ICANN Articles of Incorporation	07/31/16	●	90%
IRP Enhancements	Implement enhancements to Independent Review Process.	~~09/30/16 - 08/15/16~~ 09/30/16	●	20%
RR Enhancements	Implement enhancements to ICANN's reconsideration request process.	~~09/30/16~~ 08/15/16	●	50%
Empowered Community Support Mechanisms	Implement processes and mechanisms in support of the empowered community.	~~09/30/16~~ 08/15/16	●	20%
Financial Planning Process Updates	ICANN caretaker budget, PTI caretaker budget and PTI budget.	08/15/16	●	75%

Key Dates:



Project Updates:

- ✓ Finalizing analysis of public comments and revised AoI.
- ✓ Updating Request for Reconsideration process documentation.
- ✓ Consulted with the DT-O on a tentative financial planning process and timeline, including a community engagement plan.

Upcoming Activities:

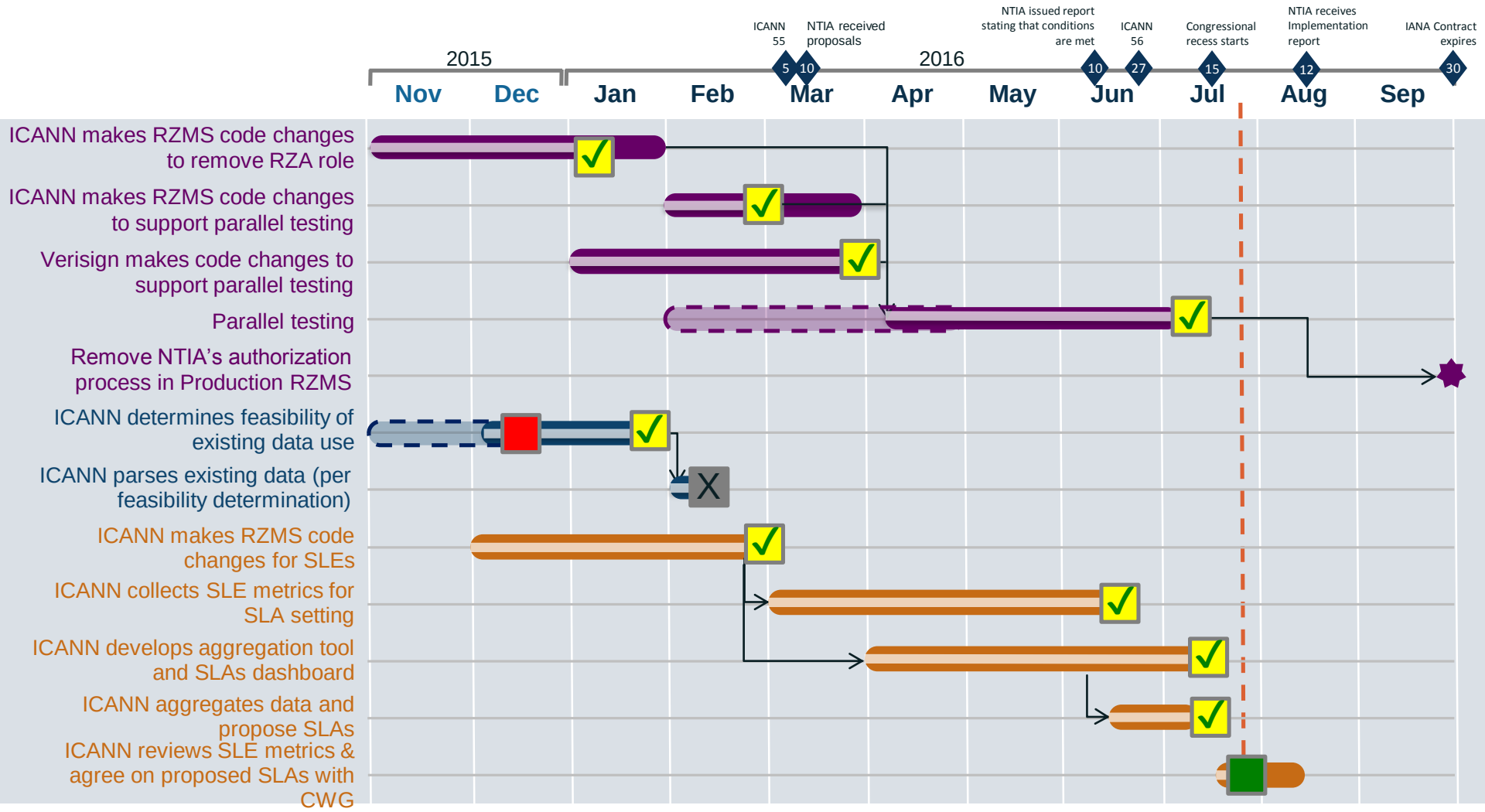
- Post staff analysis and revised AoI.
- ICANN board adoption of revised AoI.
- Finalize Request for Reconsideration process documentation.
- Finalize financial planning process.

Open Items:

Appendix
High-Level Project Plans

RZMS & SLEs for Naming Community

% Complete 90% **Status:** On-track



Project Updates:

- ✓ Shared proposed Name SLE performance targets with the CWG.
- ✓ Demo beta version of reporting dashboard to the CWG.

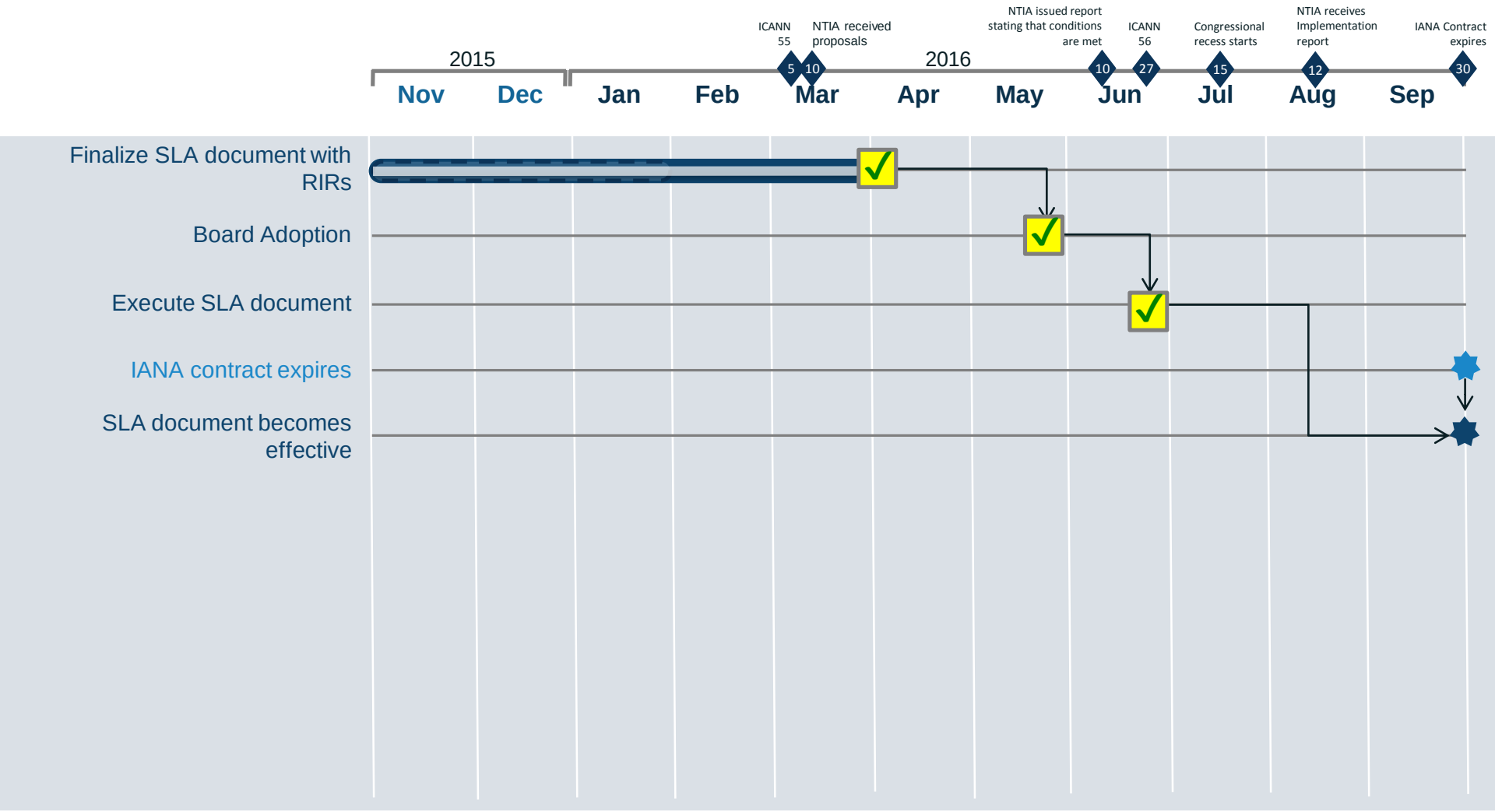
Upcoming Activities:

- Continue discussions with Names SLEs work group to finalize performance targets.

Open Items:

RIRs SLA

% Complete 100% **Status:** Complete



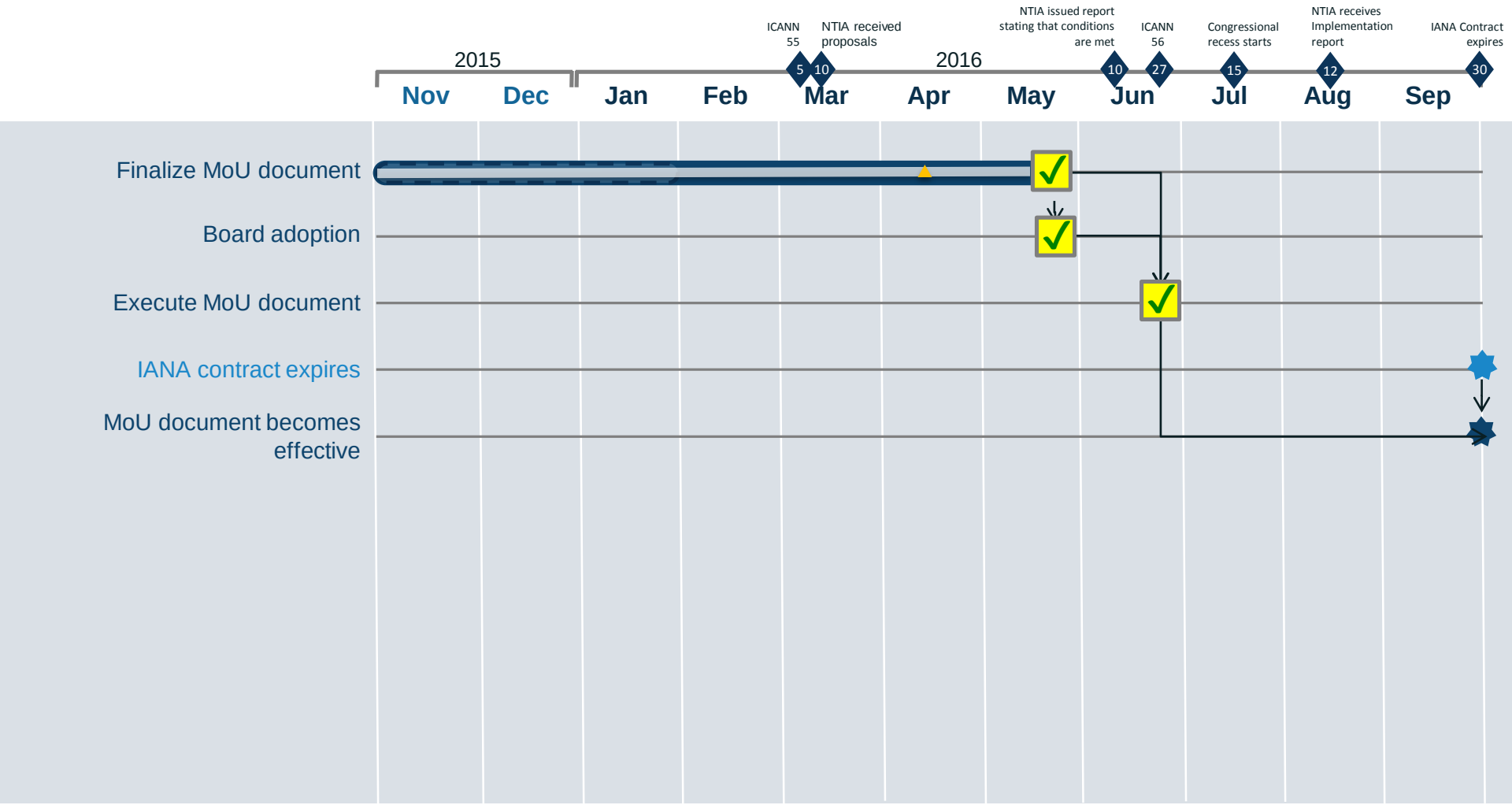
Project Updates:
✓ Signed the RIR SLA document.

Upcoming Activities:

Open Items:

IETF MoU Supplemental Agreement ("MoU")

% Complete 100% **Status:** Complete



Project Updates:

✓ Signed the IETF MoU document.

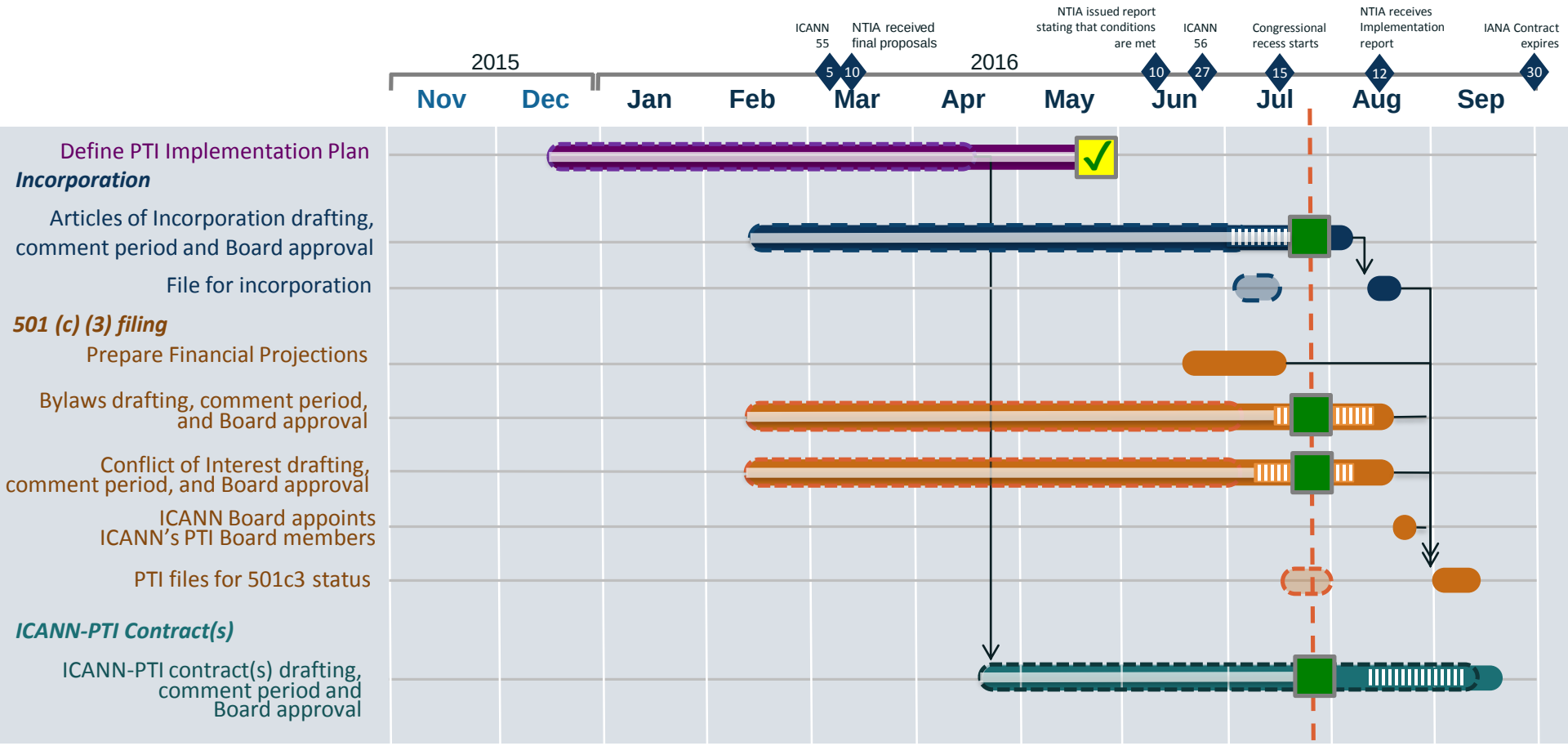
Upcoming Activities:

Open Items:

Post-Transition IANA (PTI)

% Complete 60%

Status: on track



[1] *Dependency on performance targets being defined by mid-July 2016 under Names SLEs project to finalize Names contract*

Project Updates:

- ✓ Community reviewing Naming function agreement, Numbers and Protocol Parameters subcontracting agreement, and services agreement headers.
- ✓ CWG discussing PTI Bylaws, Articles of Incorporation and governance documents.

Upcoming Activities:

- Staff analysis of revised AoI public comments.
- Continue review of agreements with the community.
- Share 1st draft of services agreement with the community.

Open Items:

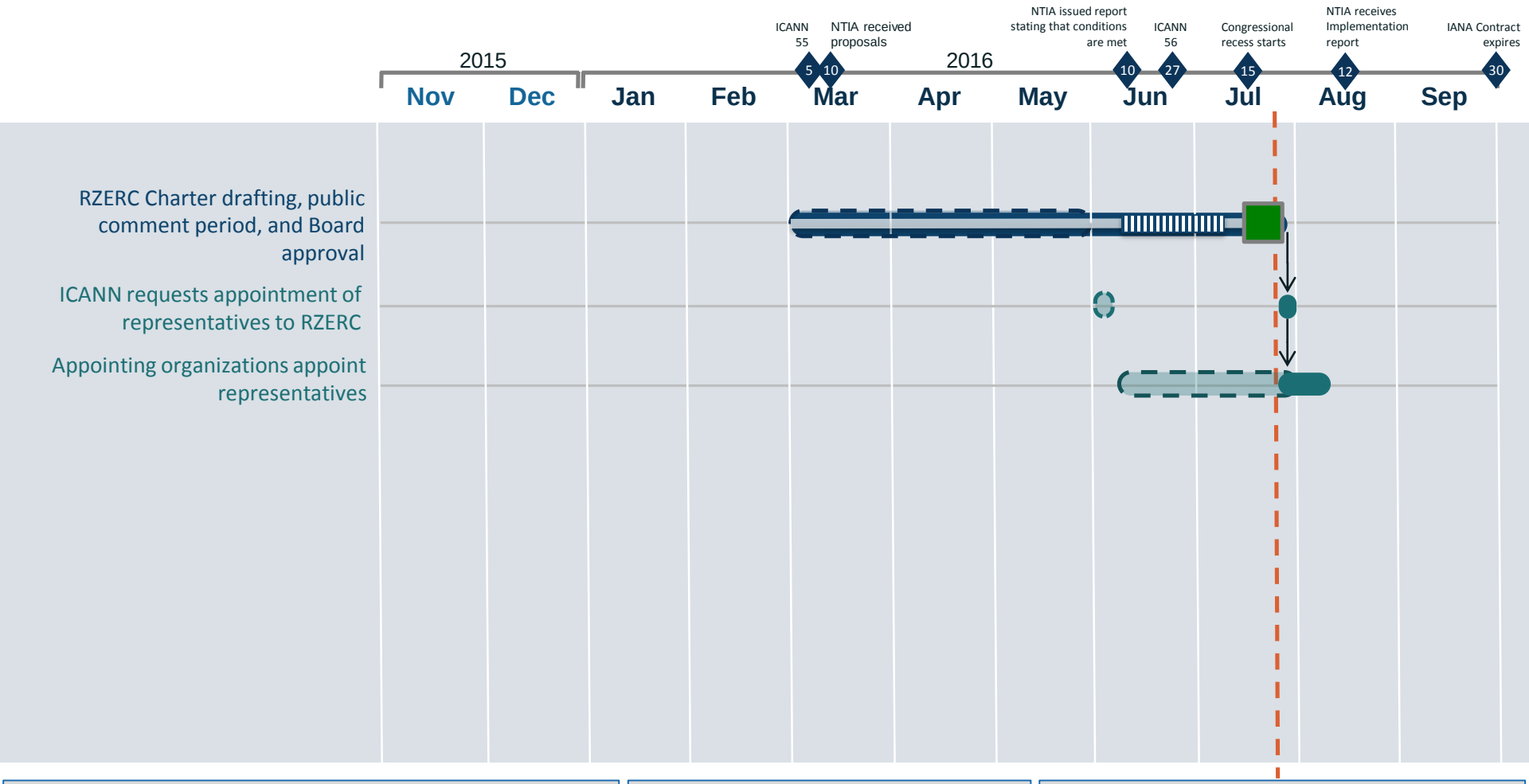
Public Comment Period
Baseline
Current Plan

PTI Structure activities
Incorporation activities
501 c3 filing activities
ICANN-PTI contract activity

RZERC (Root Zone Evolution Review Committee)

% Complete 70%

Status: On-track



Project Updates:

- ✓ Finalizing analysis of public comments and revising RZERC charter.

Upcoming Activities:

- ICANN to send request for representatives to the RZERC to appointing organizations.
- ICANN Board approval of RZERC charter.

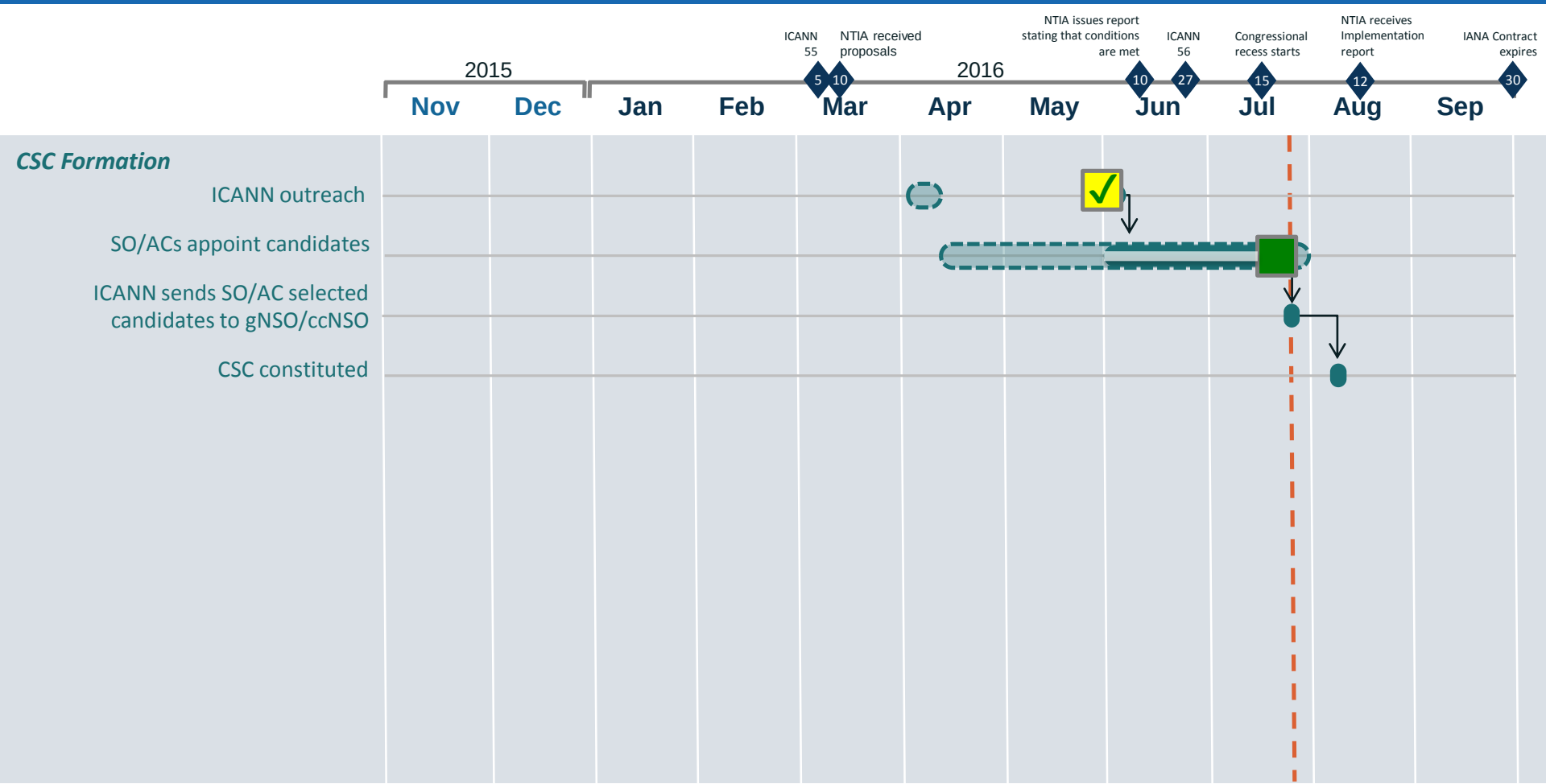
Open Items:

Public Comment Period
Baseline
Current Plan
RZERC charter activities
RZERC constitution activities

Customer Standing Committee (CSC)

% Complete 90%

Status: On-track



Project Updates:

✓ Appointing organizations submitted candidates.

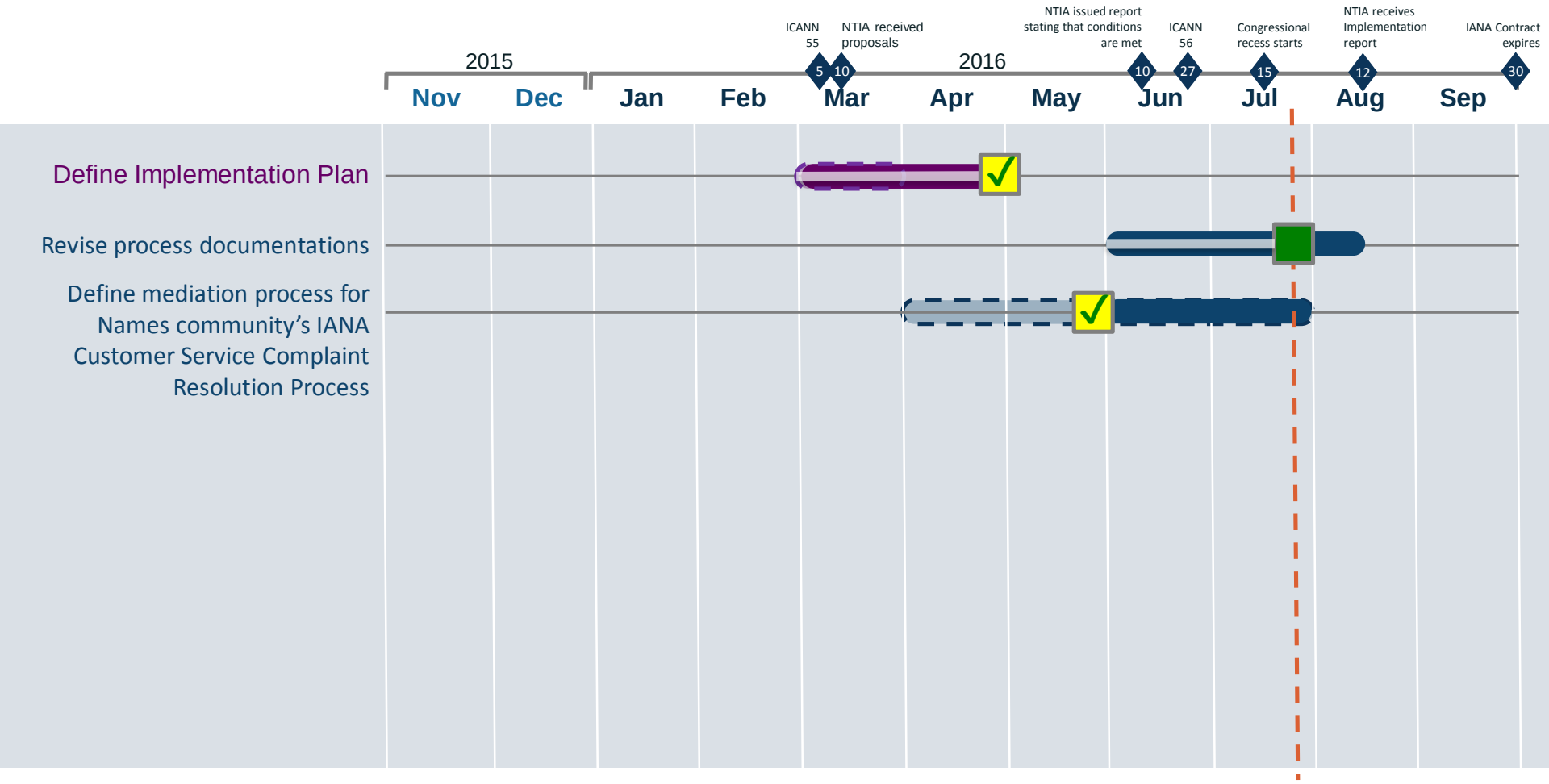
Upcoming Activities:

- Send selected SO/AC candidates to gNSO/ccNSO.

Open Items:

IANA Operational Escalation Processes

% Complete 90% **Status:** On-track



Project Updates:

- ✓ Escalation processes documentation being updated.

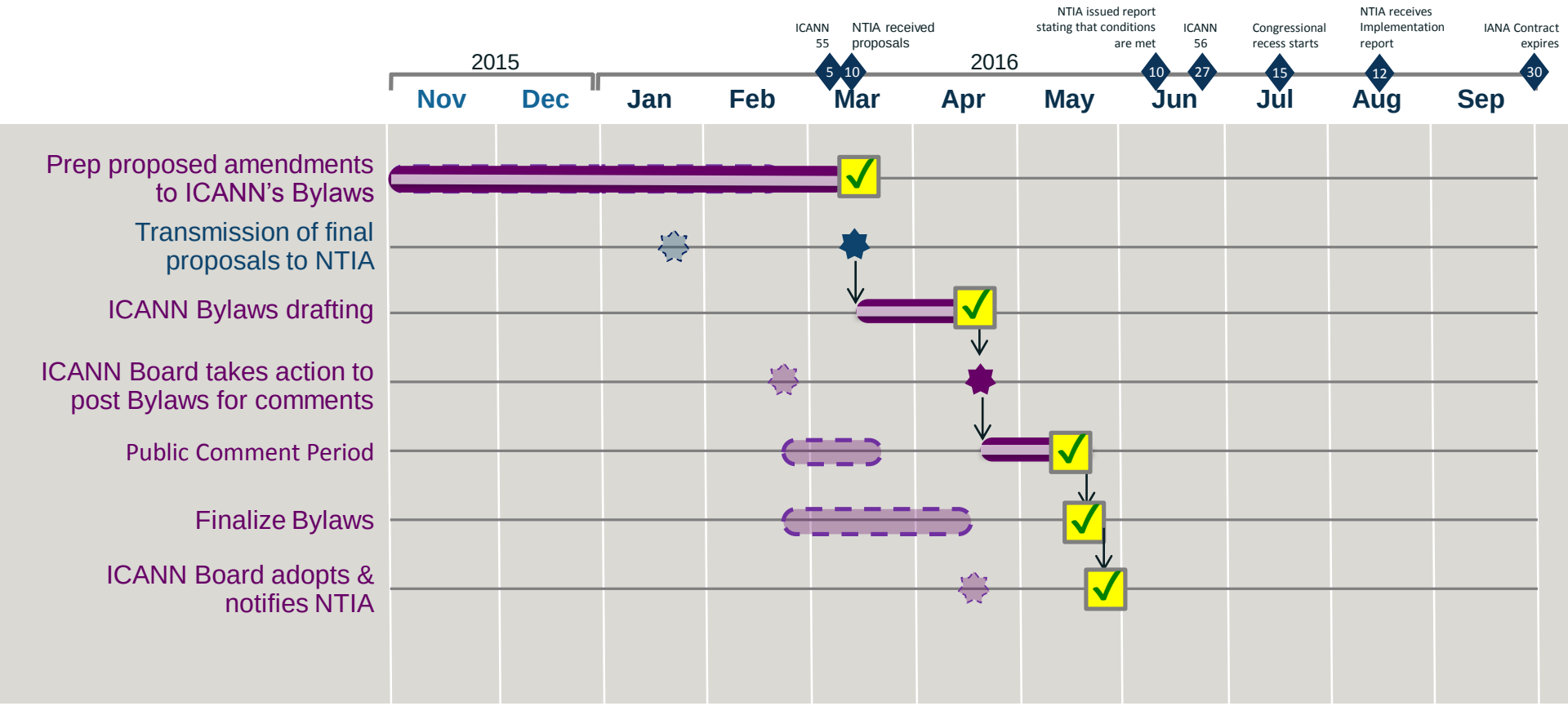
Upcoming Activities:

- Finalize escalation processes documentation.

Open Items:

ICANN Bylaws

% Complete 100% **Status:** Complete

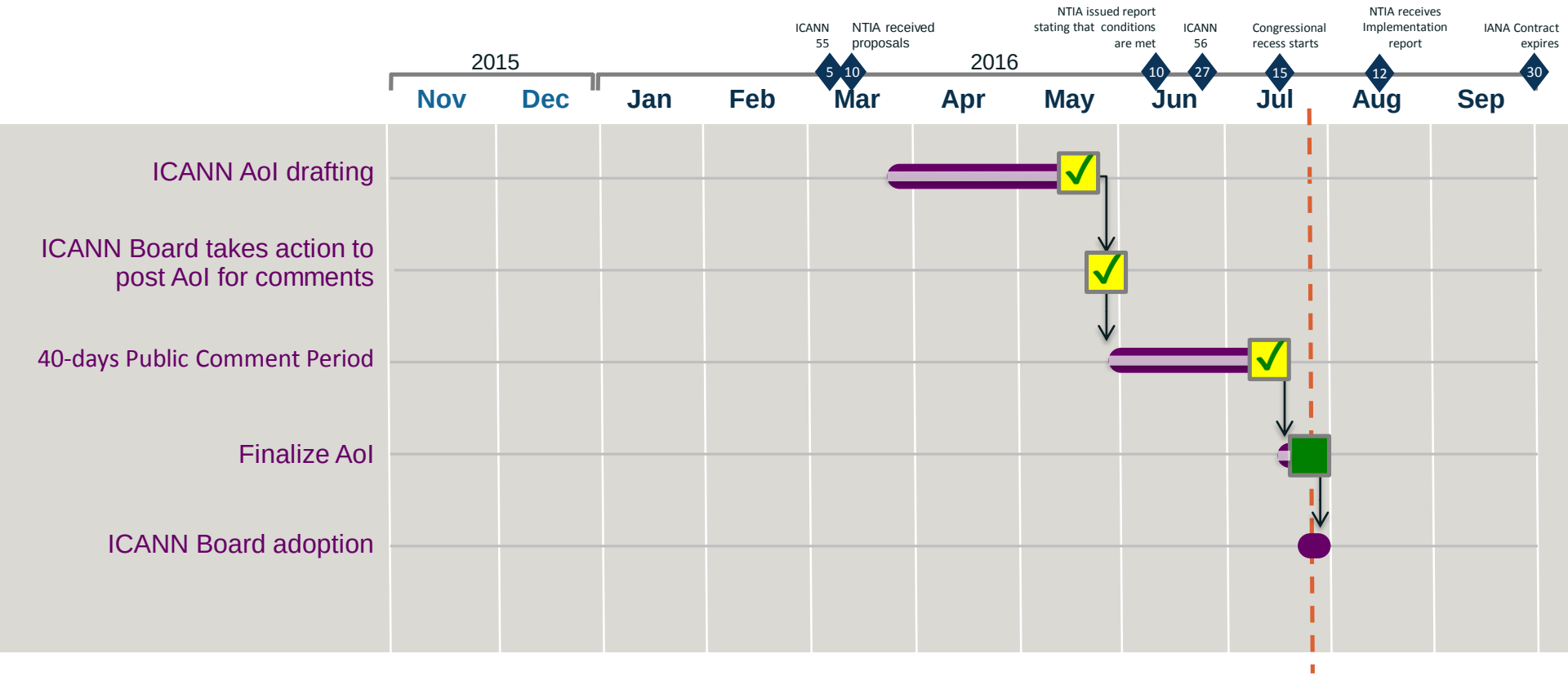


Project Updates:	Upcoming Activities:	Open Items:

ICANN Articles of Incorporation (AoI)

% Complete 90%

Status: On-track



Project Updates:

- ✓ Finalizing analysis of public comments and revised AoI.

Upcoming Activities:

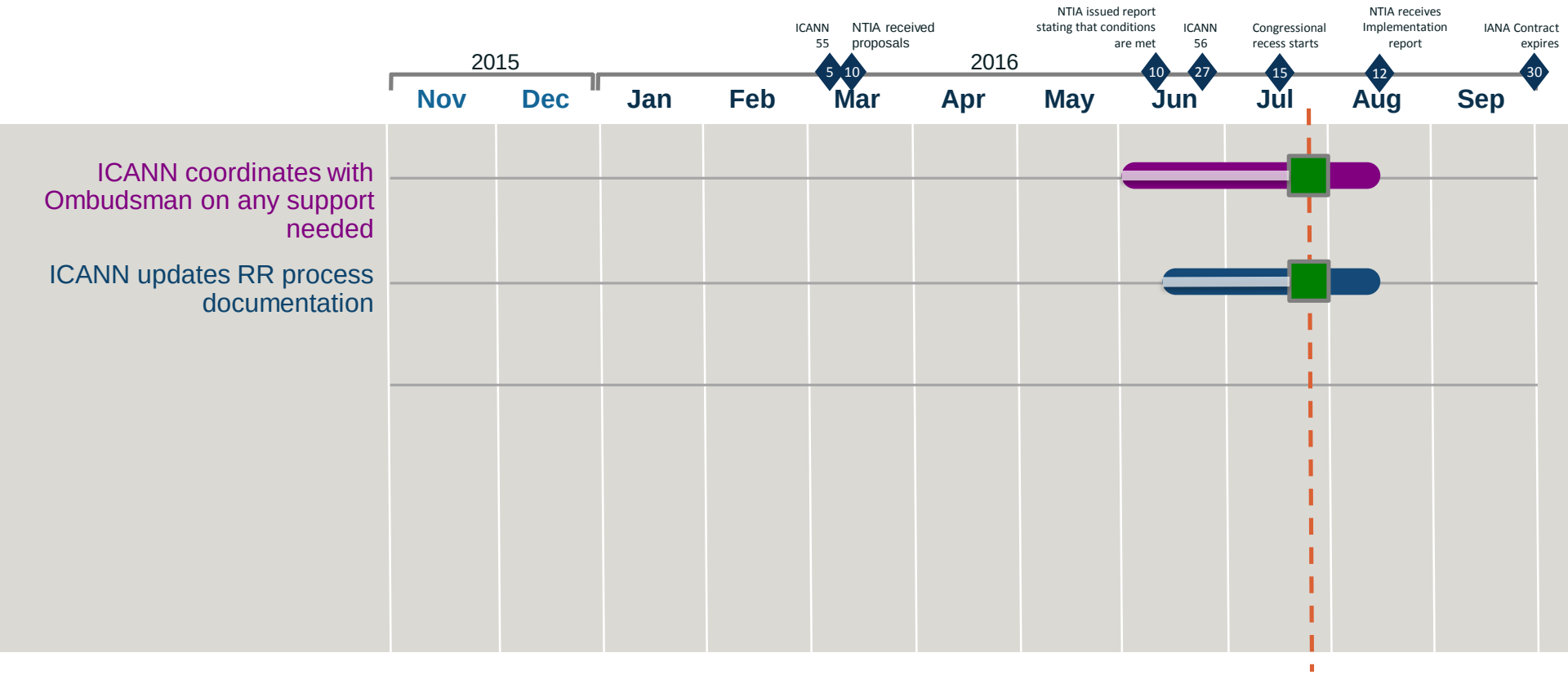
- Post staff analysis and revised AoI.
- ICANN board adoption of revised AoI.

Open Items:

Request For Reconsideration (RR) Enhancements

% Complete 50%

Status: On-track



Project Updates:

- ✓ Updating Request for Reconsideration process documentation.

Upcoming Activities:

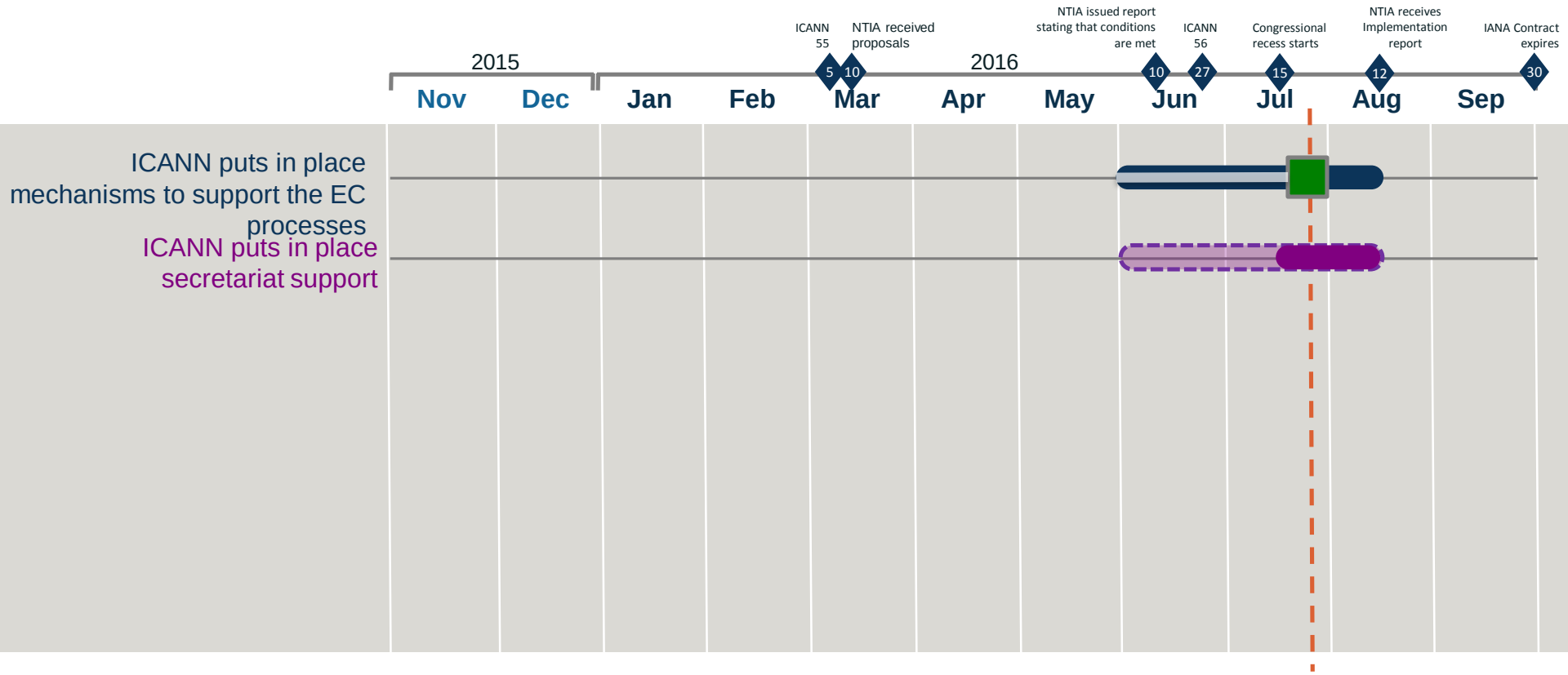
- ▪ Finalize Request for Reconsideration process documentation.

Open Items:

Empowered Community Enhancements

% Complete 20%

Status: On-track



Project Updates:

- Identified items needed to support the Empowered Community.

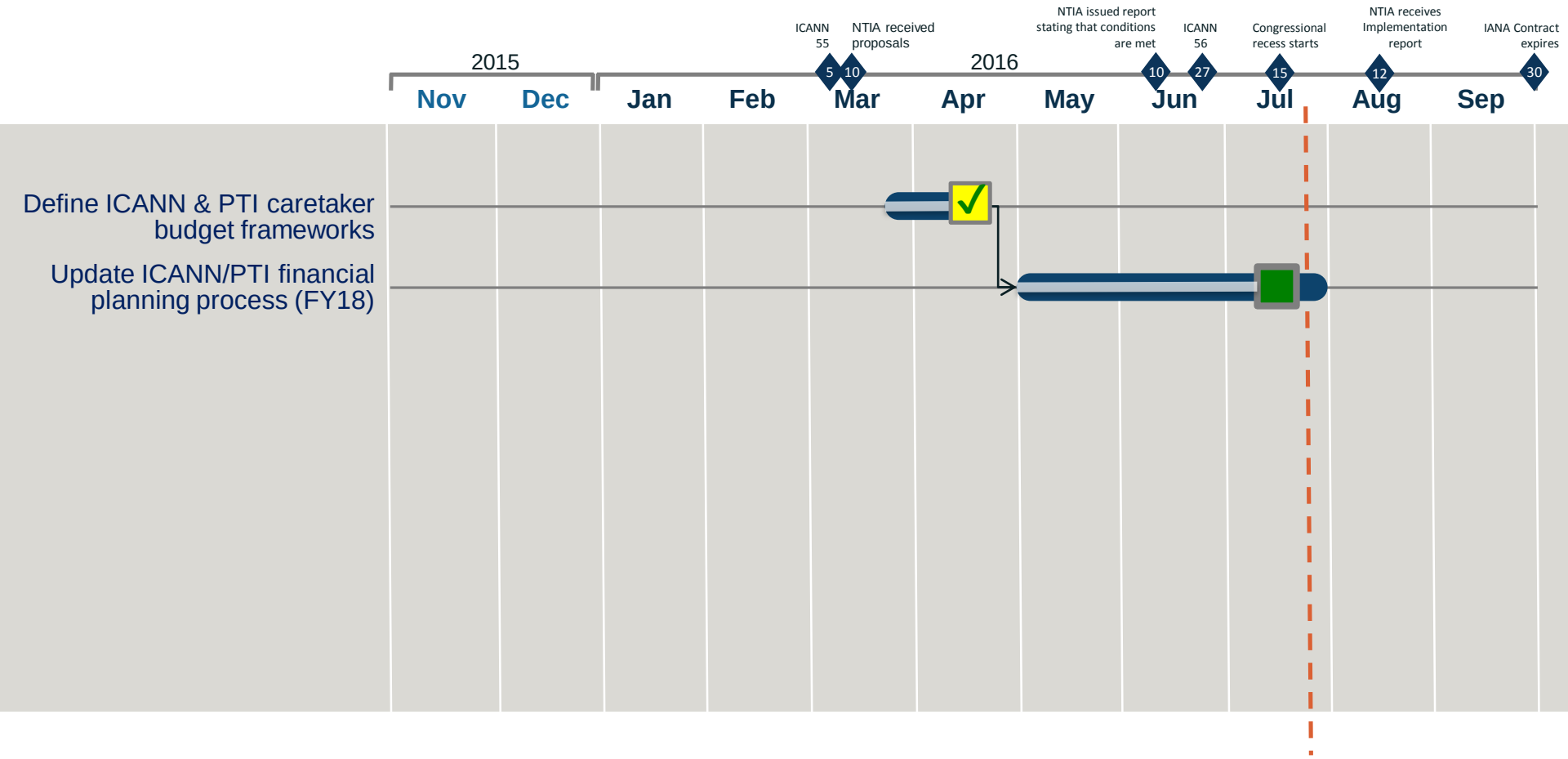
Upcoming Activities:

Open Items:

Post-transition Financial Planning Process

% Complete 75%

Status: On-track



Project Updates:

- ✓ Consulted with the DT-O on a tentative financial planning process and timeline, including a community engagement plan.

Upcoming Activities:

- Finalize financial planning process.

Open Items: