Transition Implementation Status Reporting

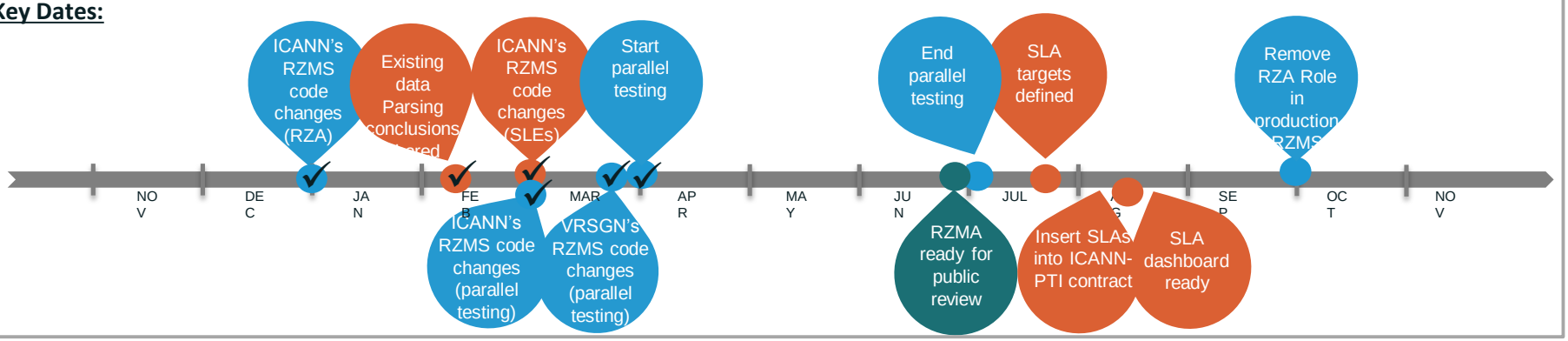
16 June 2016

RZMS, RZMA & Names SLEs

Status As Of Date: 06/15/16

	Project	Description	Plan Completion Date	Status	% Complete
	RZMS changes to remove RZA role and support parallel testing	Remove NTIA's authorization process from RZMS (code changes for ICANN and Verisign, and parallel testing).	~~09/30/16~~ ~~08/15/16~~ 07/06/16		80%
	Names SLEs (SLEs for Naming Community)	RZMS code changes to accommodate new SLEs, inclusion of performance targets in ICANN-PTI contract, dashboard reporting of SLAs.	~~09/30/16~~ 08/15/16		70%
	RZMA (Agreement with Root Zone Maintainer)	Completion of draft RZMA.	~~01/31/16 / 02/29/16~~ ~~04/15/16 / 04/30/16~~ ~~05/15/16 / 05/31/16/~~ 06/30/16		90%

Key Dates:



Project Updates:

- ✓ Completed 70 days of 90-day Parallel Testing period with no issues.
- ✓ SLE data collected since beginning of March is being aggregated and reviewed to propose performance targets.
- ✓ Continuing to work on the reporting dashboard.

Upcoming Activities:

- Continue to monitor parallel operations.
- Continue to fine-tune dashboard.
- Finalize proposed performance targets.
- Finalize draft RZMA.

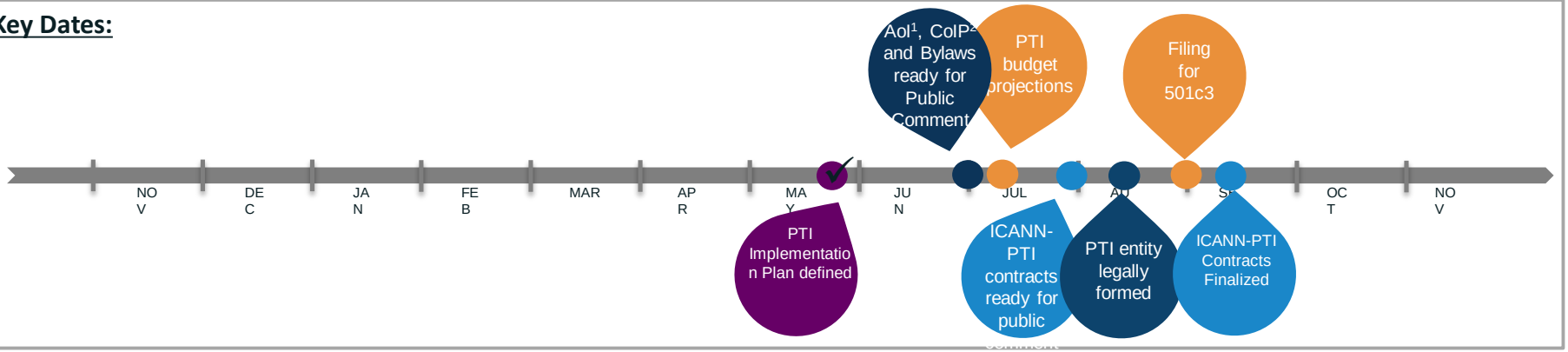
Open Items:

PTI

Status As Of Date: 06/15/16

	Project	Description	Plan Completion Date	Status	% Complete
	PTI *(Post-Transition IANA)*	Formation of PTI entity: legal formation (incorporation of affiliate, filing for 501c3, drafting of contract, etc.) and operationalization of PTI entity (board nominations, budget, etc.).	~~09/30/16~~ ~~08/15/16~~ 09/30/16		40%

Key Dates:



[1] AoI: Articles of Incorporation / [2]CoIP: Conflicts of Interest Policy

Project Updates:

- ✓ Shared PTI staffing rationale with the community.
- ✓ Drafting of the ICANN-PTI contracts.
- ✓ Drafting of PTI conflict of interest policy, code of conduct and expected standard of behavior.

Upcoming activities:

- Finalize PTI Bylaws and articles of incorporation with the community.
- Share draft of Naming function contract with the community.
- Share PTI conflict of interest policy, code of conduct and expected standard of behavior with the community.

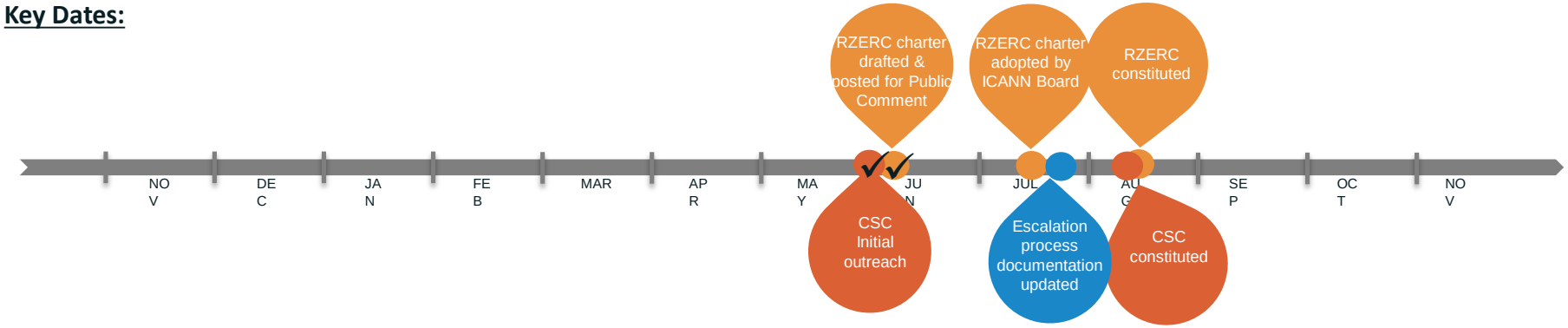
Open Items:

IPR, RZERC, CSC & Escalation Processes

Status As Of Date: 06/15/16

	Project	Description	Plan Completion Date	Status	% Complete
	IANA IPR	Transfer of IANA IPR to a neutral trust.	9/30/16		5%
	RZERC *(Root Zone Evolution Review Committee)*	Formation of committee to advise ICANN Board on RZMS architectural and operational changes.	~~09/30/16~~ 08/15/16		60%
	CSC *(Customer Service Standing Committee)*	Formation of committee to monitor PTI performance.	~~09/30/16~~ 08/15/16		70%
	Escalation Processes	Updating of existing IANA operational complaint and escalation processes.	~~09/30/16~~ 08/15/16		90%

Key Dates:



Project Updates:

- ✓ RZERC charter posted for public comments.
- ✓ CSC request for appointments sent to SO/ACs.
- ✓ Escalation processes documentation being updated.

Upcoming Activities:

- Monitor public comments on RZERC charter.
- Appointing organizations appoint CSC candidates.
- Finalize escalation processes documentation.

Open Items:

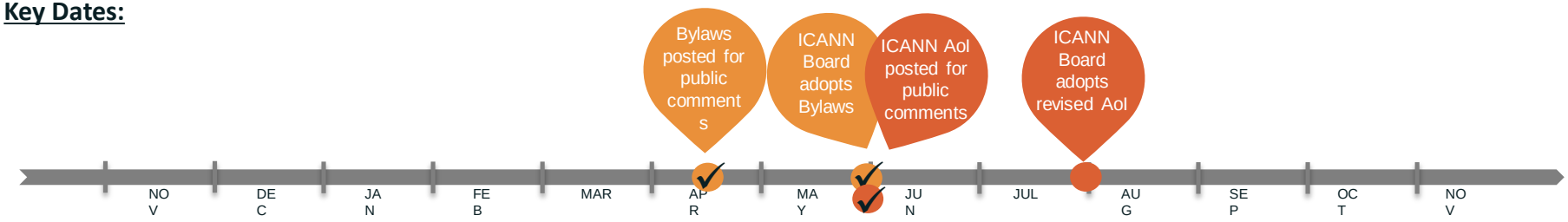
- Waiting on implementation requirements for IANA IPR.

Enhancing ICANN's Accountability

Status As Of Date: 06/15/16

	Project	Description	Plan Completion Date	Status	% Complete
	ICANN's Bylaws	Updating of ICANN Bylaws.	~~06/09/16~~ 05/27/16	✓	100%
	ICANN's Articles of Incorporation (AoI)	Updating of ICANN Articles of Incorporation	07/31/16		50%
	IRP Enhancements	Implement enhancements to Independent Review Process.	~~09/30/16 - 08/15/16~~ 09/30/16		5%
	RR Enhancements	Implement enhancements to ICANN's reconsideration request process.	~~09/30/16~~ 08/15/16		5%
	Empowered Community Enhancements	Implement processes and mechanisms in support of the empowered community.	~~09/30/16~~ 08/15/16		5%
	Financial Planning Process Updates	ICANN caretaker budget, PTI caretaker budget and PTI budget.	08/15/16		50%

Key Dates:



Project Updates:

- ✓ ICANN Articles of Incorporation posted for 40-day Public Comment period.
- ✓ Started initial assessment of items needed to support the Empowered Community.

Upcoming Activities:

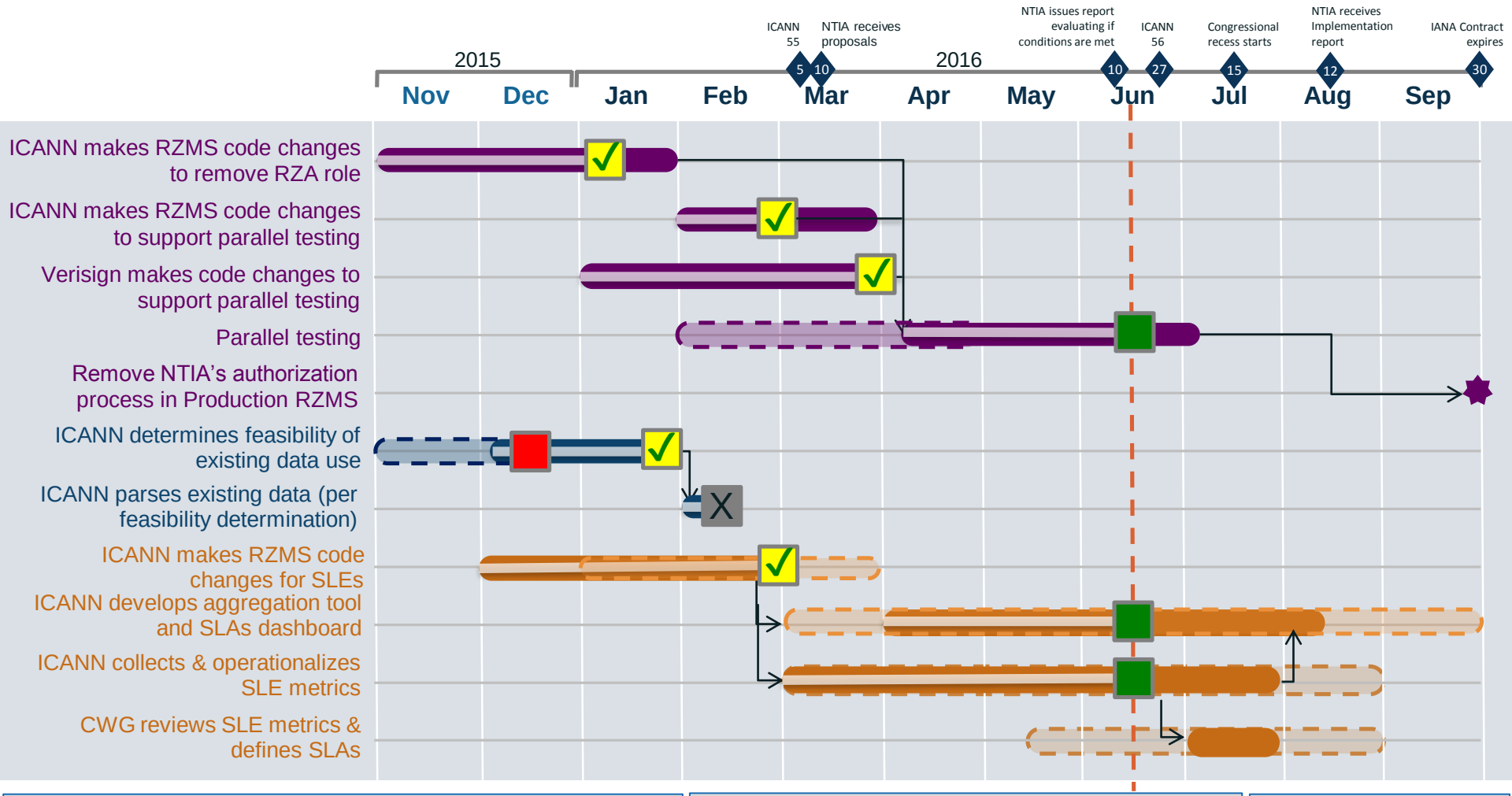
- Monitor public comments on ICANN AoI.
- Finalize financial planning process.

Open Items:

Appendix 1
High-Level Projects Plans

RZMS & SLEs for Naming Community

% Complete 70% **Status:** On-track



Project Updates:

- ✓ Completed 70 days of 90-day Parallel Testing period with no issues.
- ✓ Collected 3 months of SLE data collection.
- ✓ Continuing to work on the reporting dashboard.

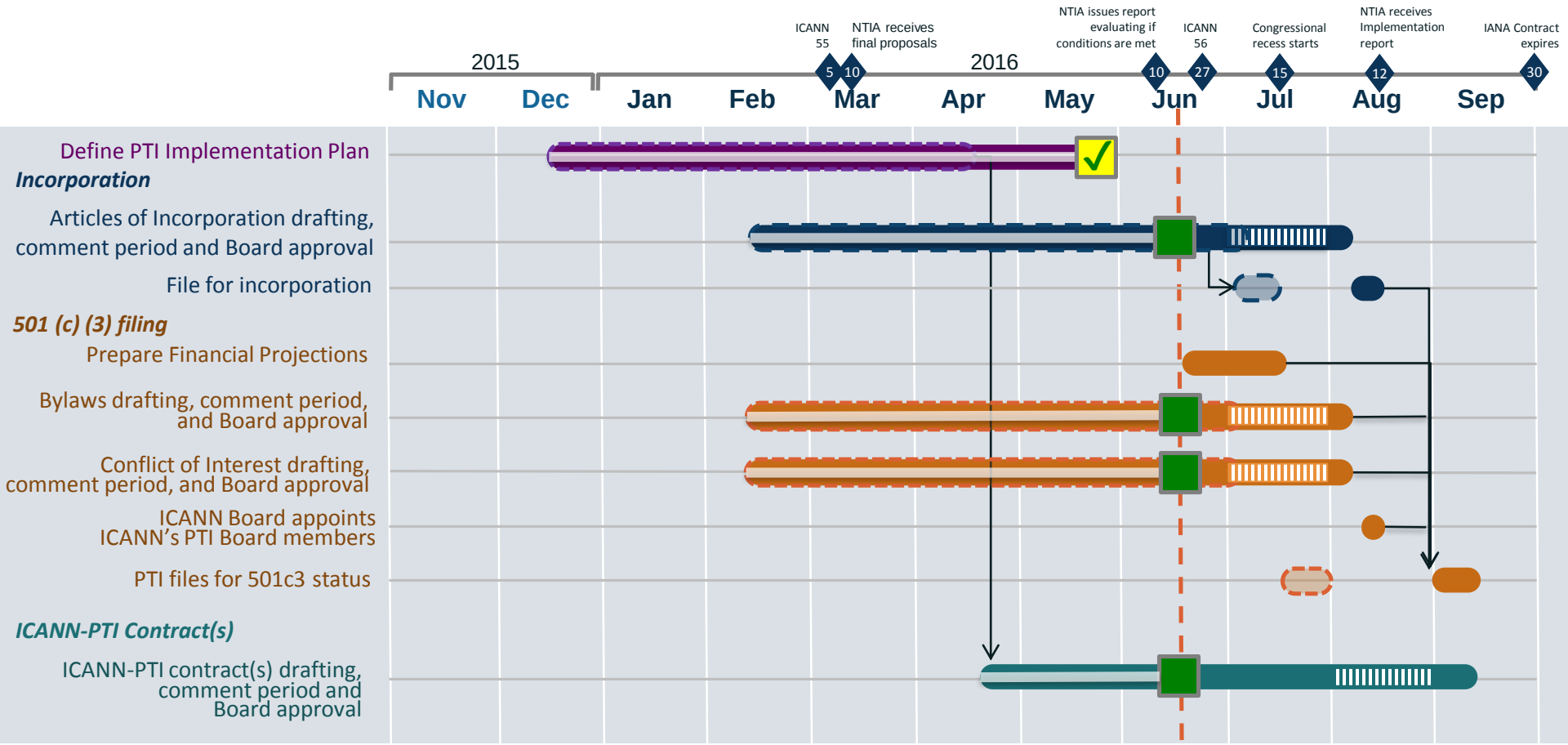
Upcoming Activities:

- Continue to monitor parallel operations.
- Finalize development of data aggregation tool / reporting dashboard.
- Elaborate proposal for SLAs thresholds.

Open Items:

Post-Transition IANA (PTI)

% Complete 40% | **Status:** on track



[1] *Dependency on performance targets being defined by mid-July 2016 under Names SLEs project to finalize Names contract*

Project Updates:

- ✓ Shared PTI staffing rationale with the community.

Upcoming Activities:

- Finalize PTI Bylaws and articles of incorporation with the community.
- Share draft of Naming function contract with the community.

Open Items:

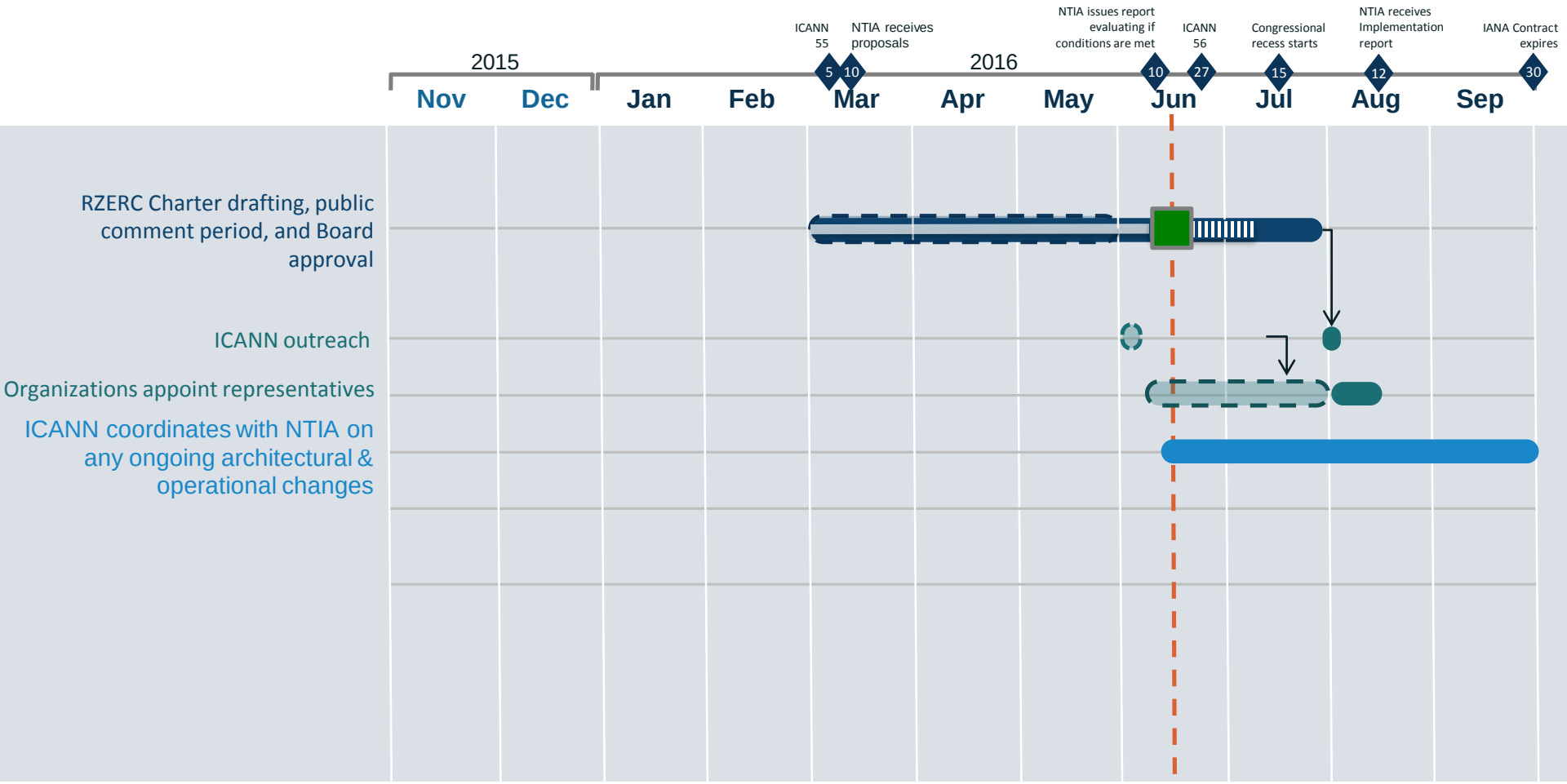
Public Comment Period
Baseline
Current Plan

PTI Structure activities
Incorporation activities
501 c3 filing activities
ICANN-PTI contract activity

RZERC (Root Zone Evolution Review Committee)

% Complete 60%

Status: On-track



Project Updates:

✓ RZERC charter finalized and posted for public comments.

Upcoming Activities:

- Monitor public comments.

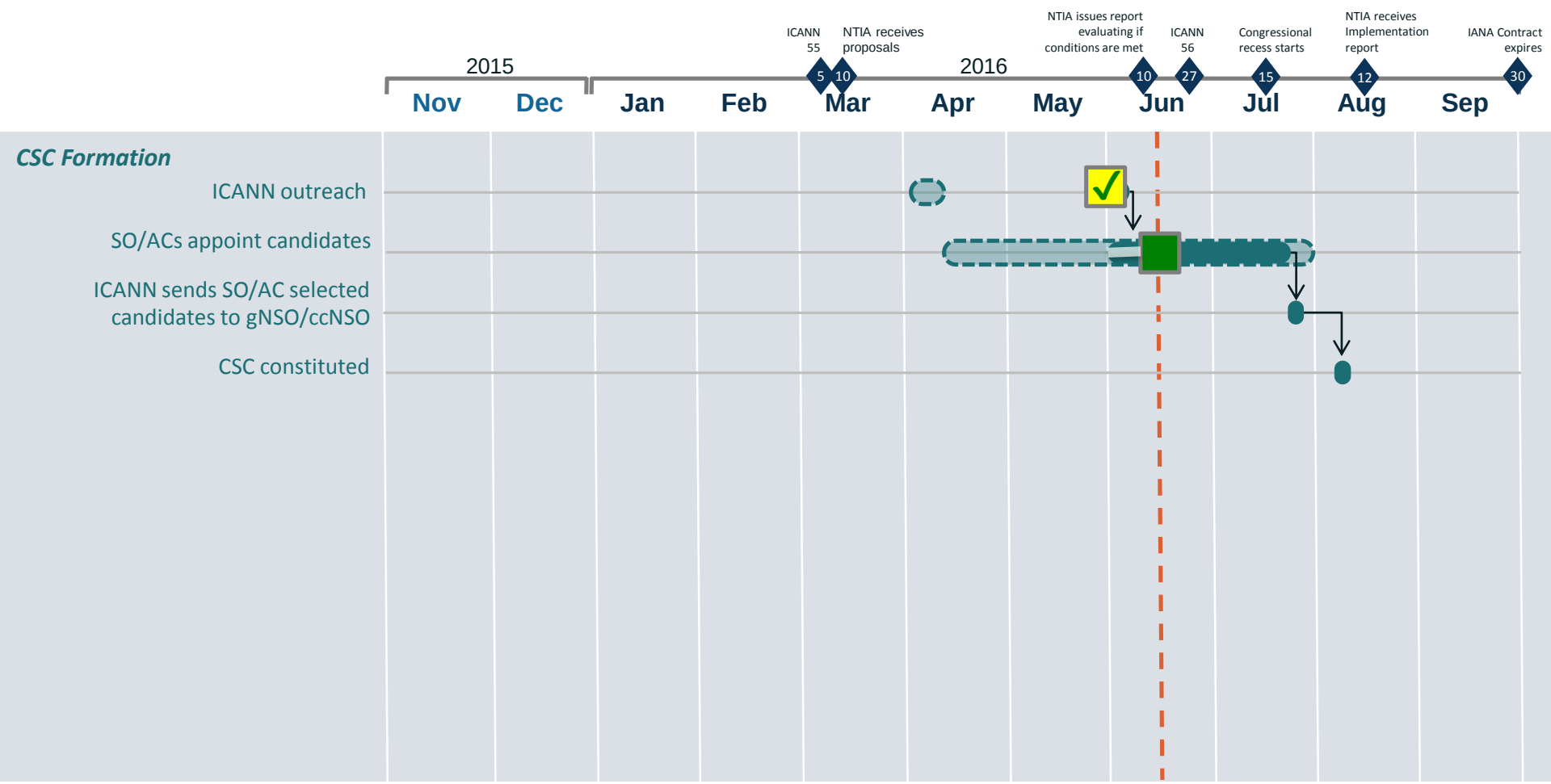
Open Items:

Public Comment Period
Baseline
Current Plan
Implementation plan activity
RZERC charter activities
On-going changes activities
RZERC constitution activities

Customer Standing Committee (CSC)

% Complete 70%

Status: On-track



Project Updates:

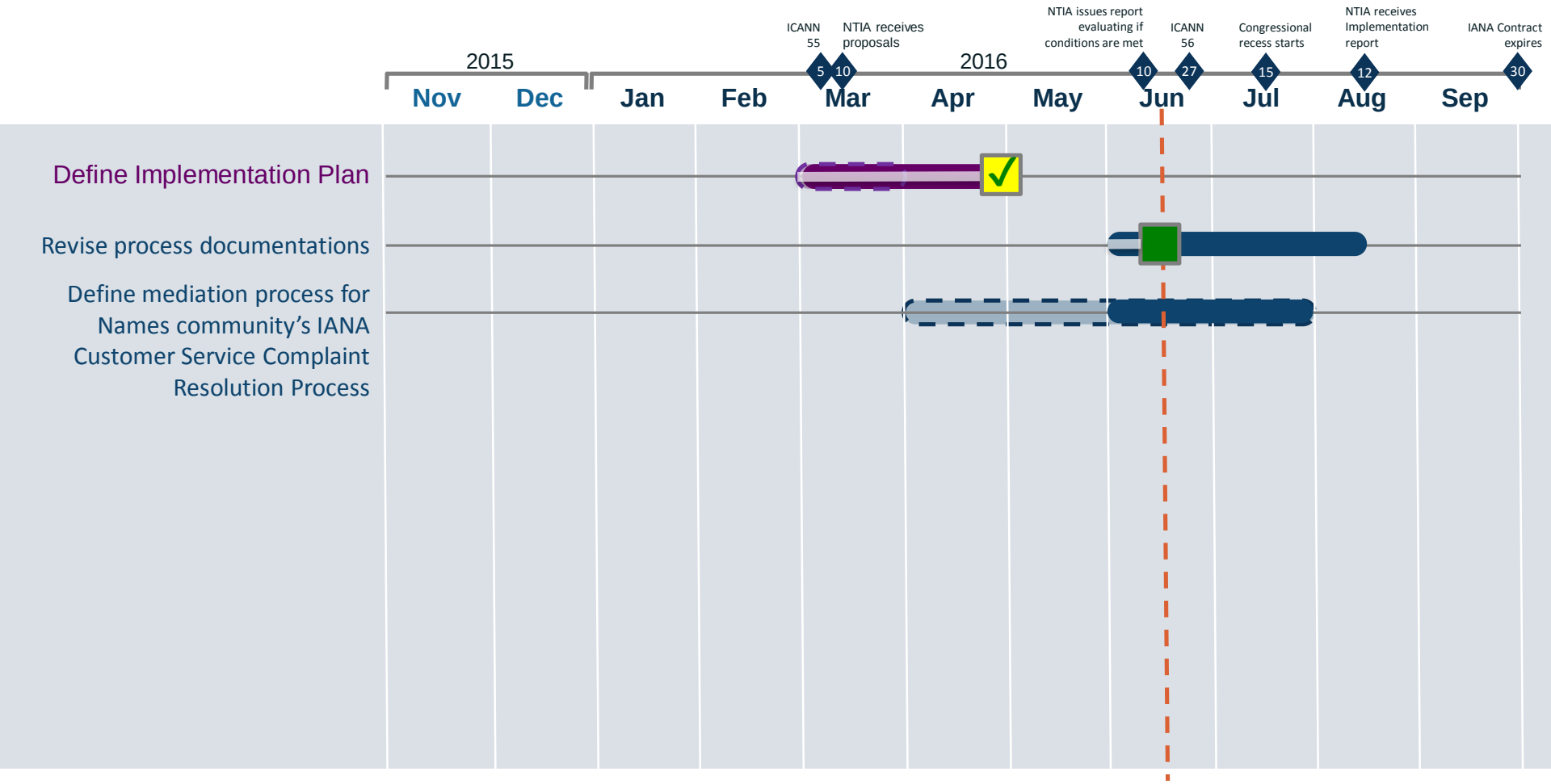
Upcoming Activities:

- SO/Acs to appoint candidates.

Open Items:

IANA Operational Escalation Processes

% Complete 90% **Status:** On-track



Project Updates:

- ✓ Escalation processes documentation has been updated, and is under management review.

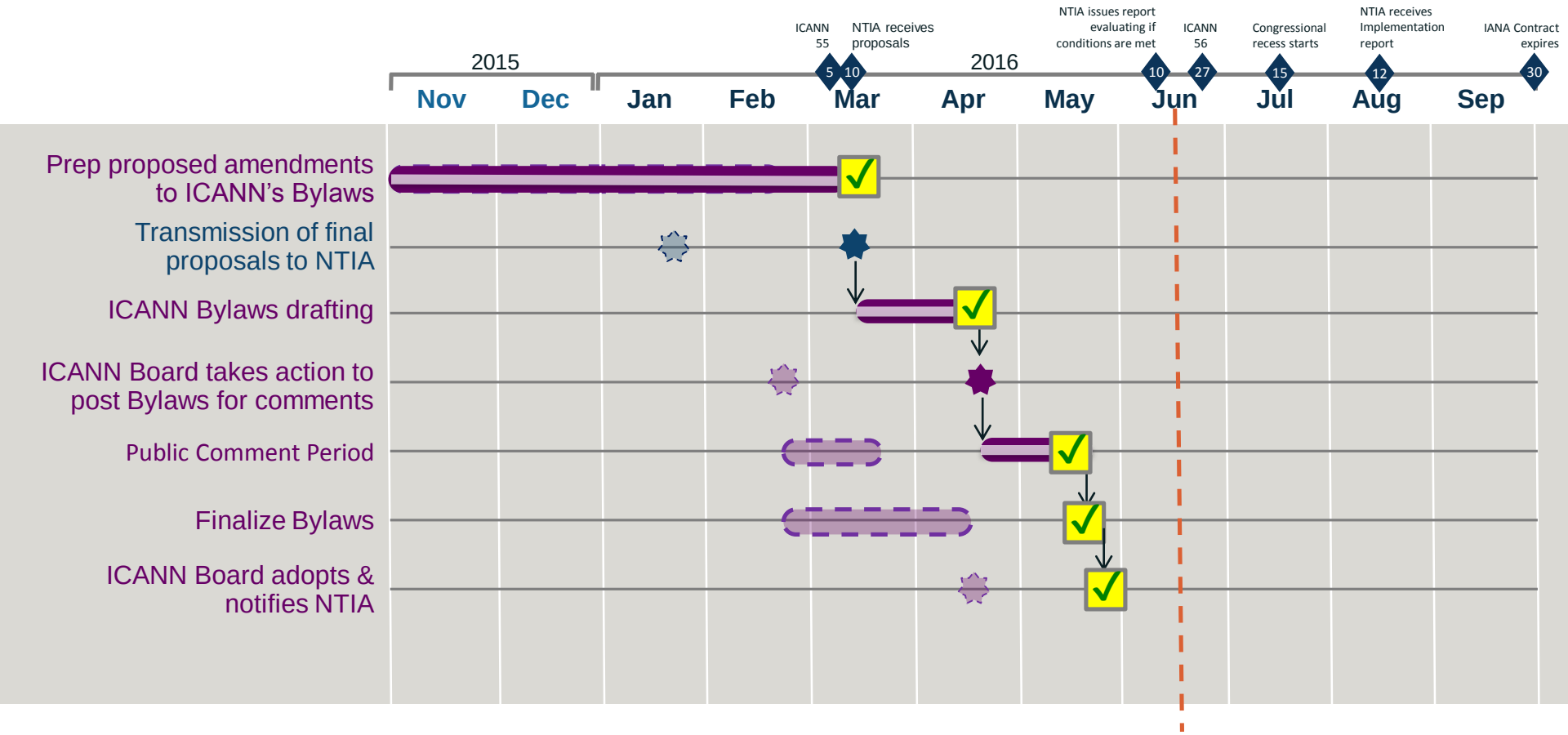
Upcoming Activities:

- ▪ Review escalation processes documentation.

Open Items:

ICANN Bylaws

% Complete 100% **Status:** Complete



Project Updates:

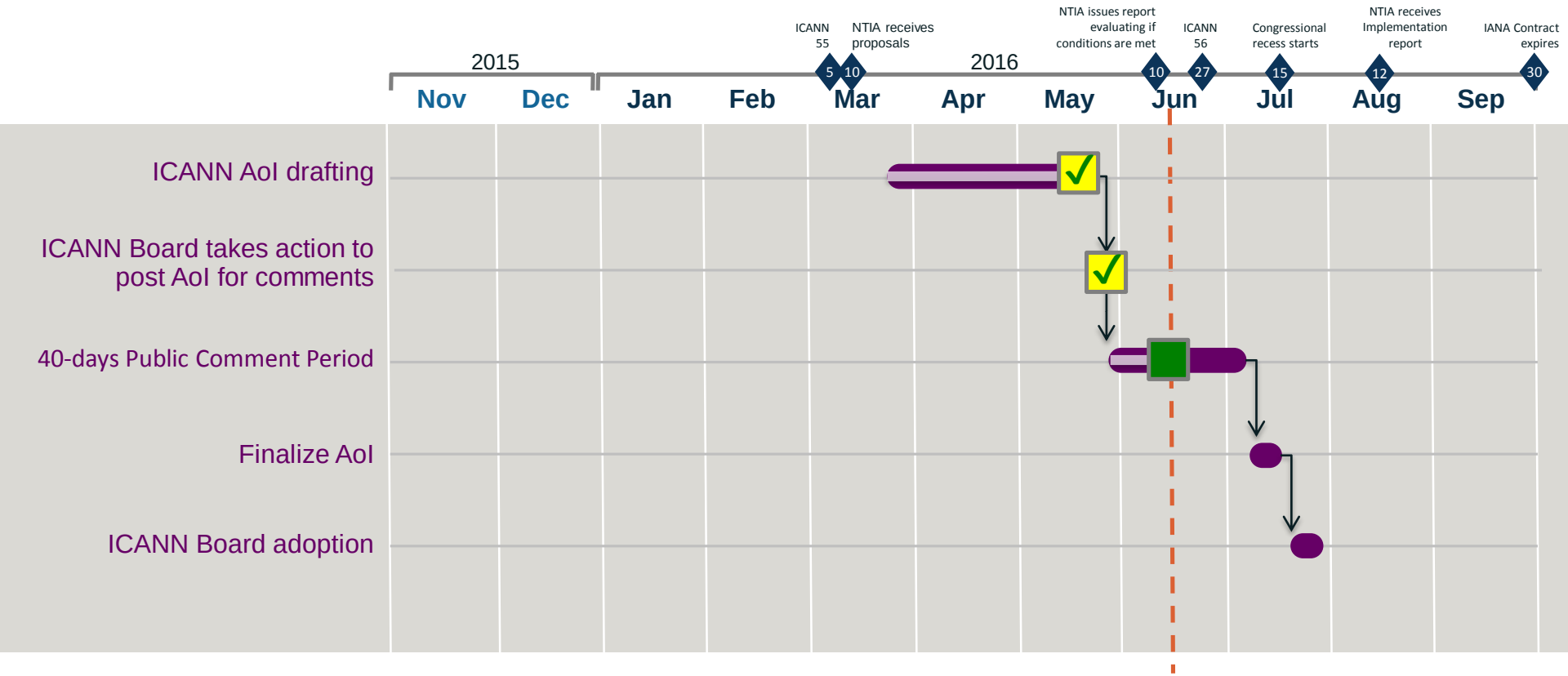
Upcoming Activities:

Open Items:

ICANN Articles of Incorporation (AoI)

% Complete 50%

Status: On-track



Project Updates:

- ✓ ICANN Articles of Incorporation posted for 40-day Public Comment period.

Upcoming Activities:

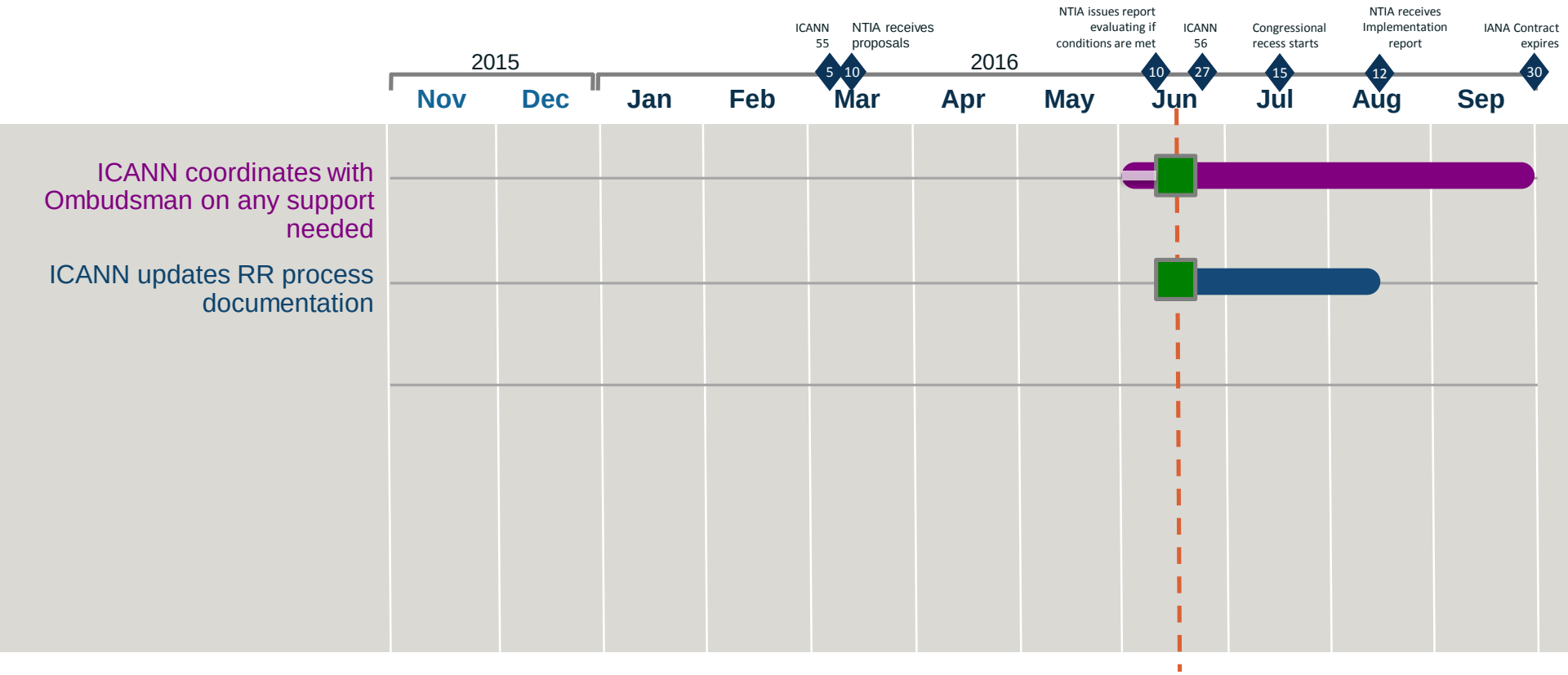
- Monitor public comments.

Open Items:

Request For Reconsideration (RR) Enhancements

% Complete: 5%

Status: On-track



Project Updates:

Upcoming Activities:

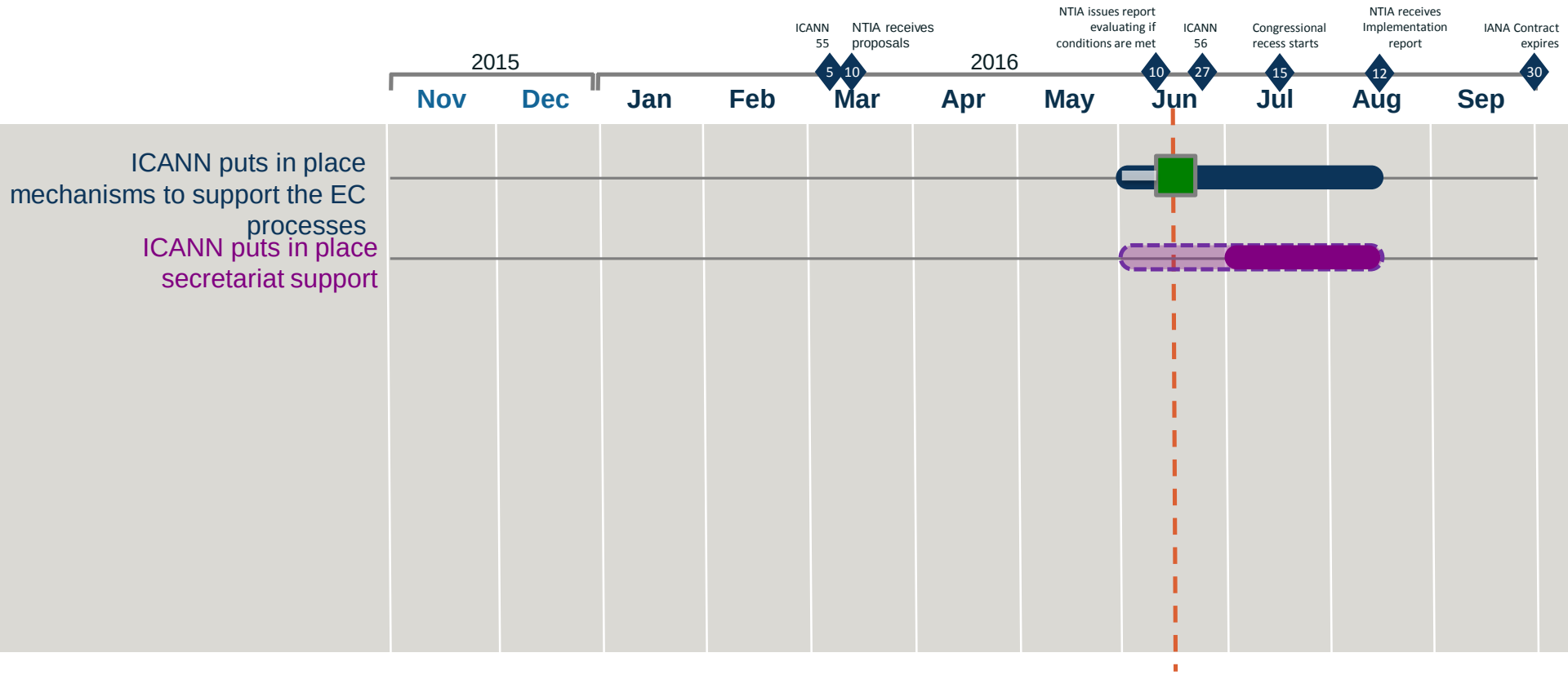
- Update Request for Reconsideration process documentation.

Open Items:

Empowered Community Enhancements

% Complete: 5%

Status: On-track



Project Updates:

- Started initial assessment of items needed to support the Empowered Community.

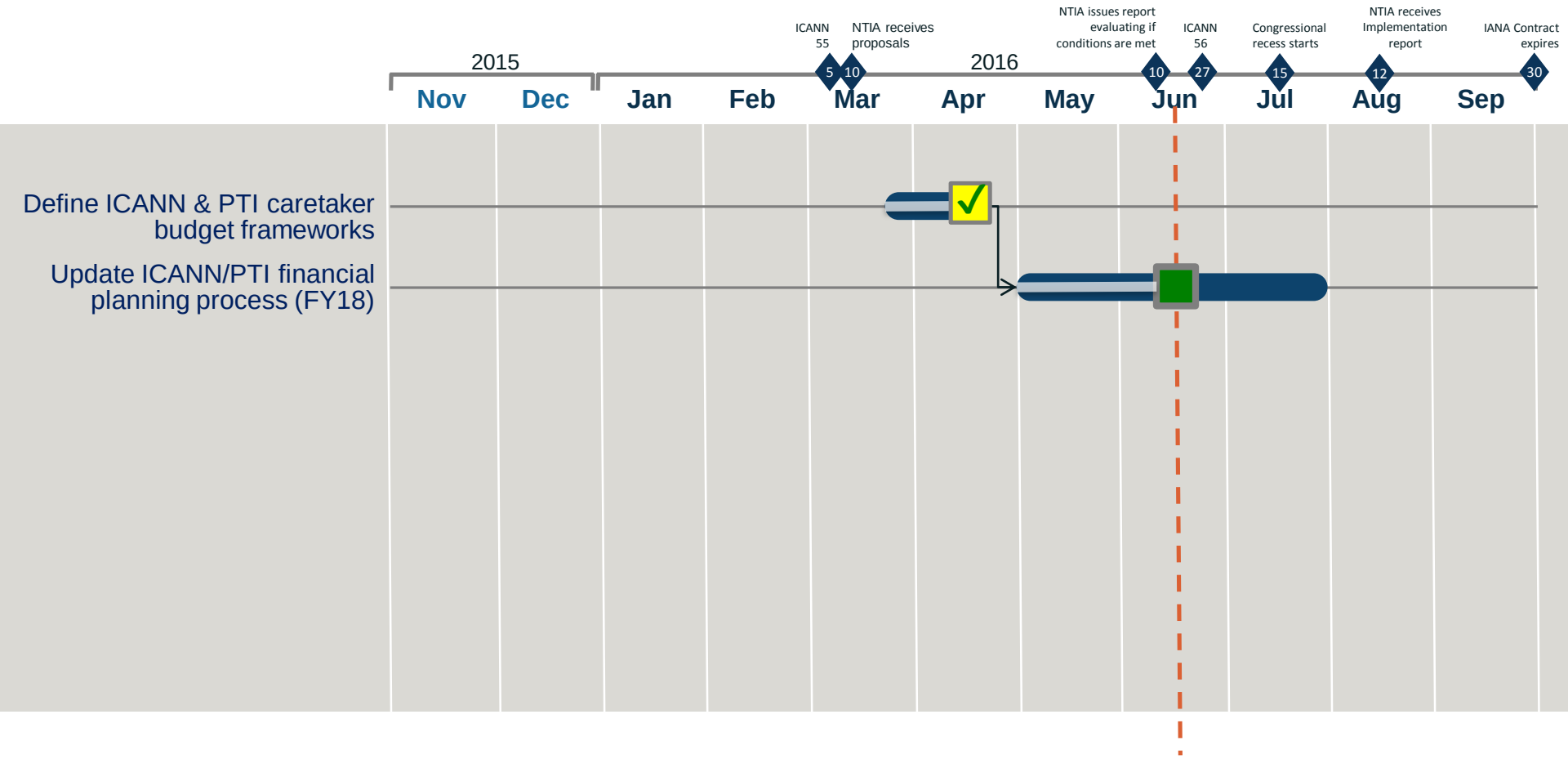
Upcoming Activities:

Open Items:

Post-transition Financial Planning Process

% Complete 50%

Status: On-track



Project Updates:

✓

Upcoming Activities:

- Finalize financial process.

Open Items:

Appendix 2

RIRs and IETF Status Updates

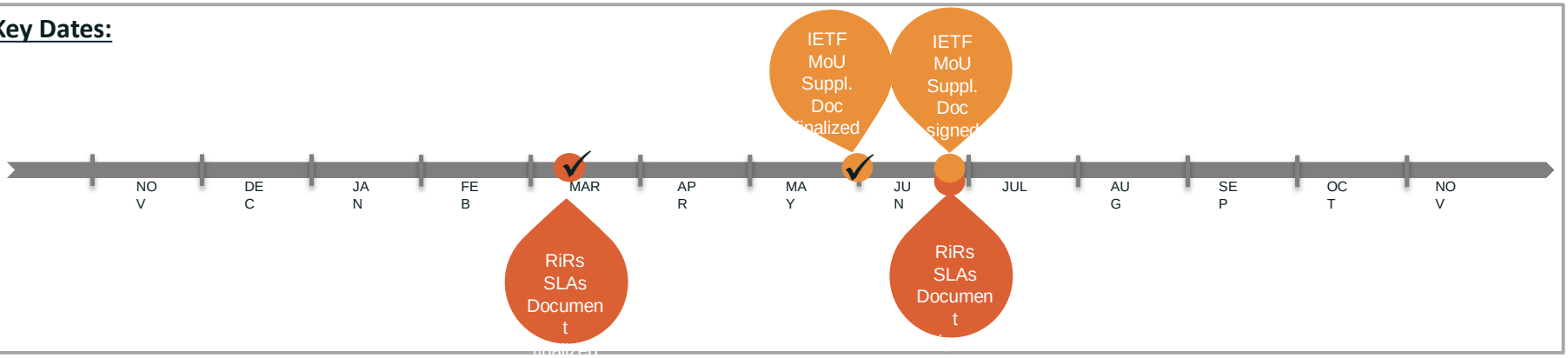
RIRs SLAs & IETF MoU Supplemental Agreement

Status As Of Date: 06/15/16

	Project	Description	Plan Completion Date*	Status	% Complete
	RIRs SLAs (Service Level Agreement with the Number Community)	Completion of draft agreement, including SLAs with RIRs, and operationalization of SLAs.	06/30/16		95%
	IETF MoU Supplemental Agreement	Completion of draft ICANN-IETF MoU Supplemental Agreement.	06/30/16		95%

** Completion Date dependent on USG's approval of proposals*

Key Dates:



Project Updates:

Upcoming activities:

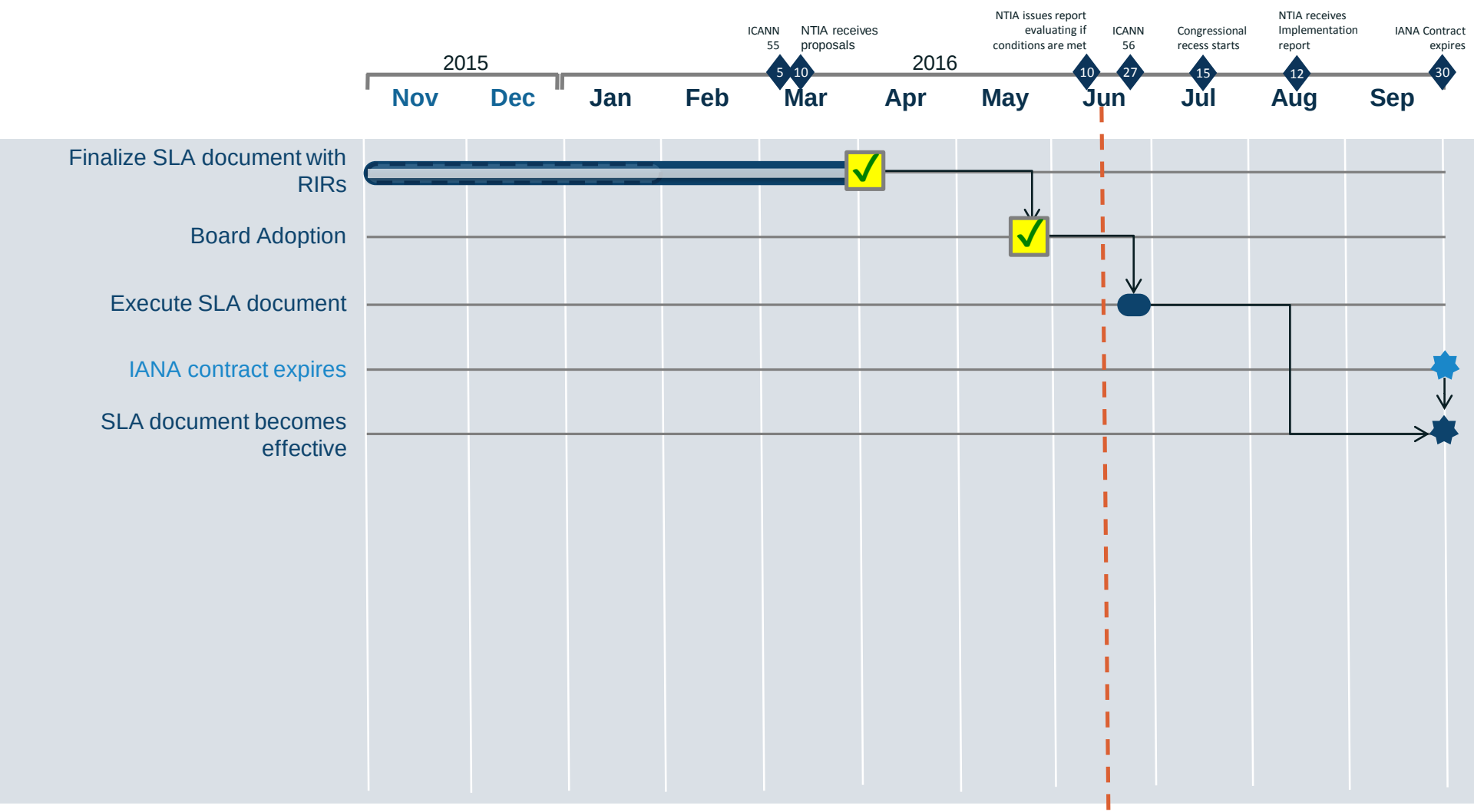
- Signing of the RIR SLA document
- Signing of the IETF MoU document

Open Items:

RIRs SLA

% Complete 95%

Status: On-track



Project Updates:

Upcoming Activities:

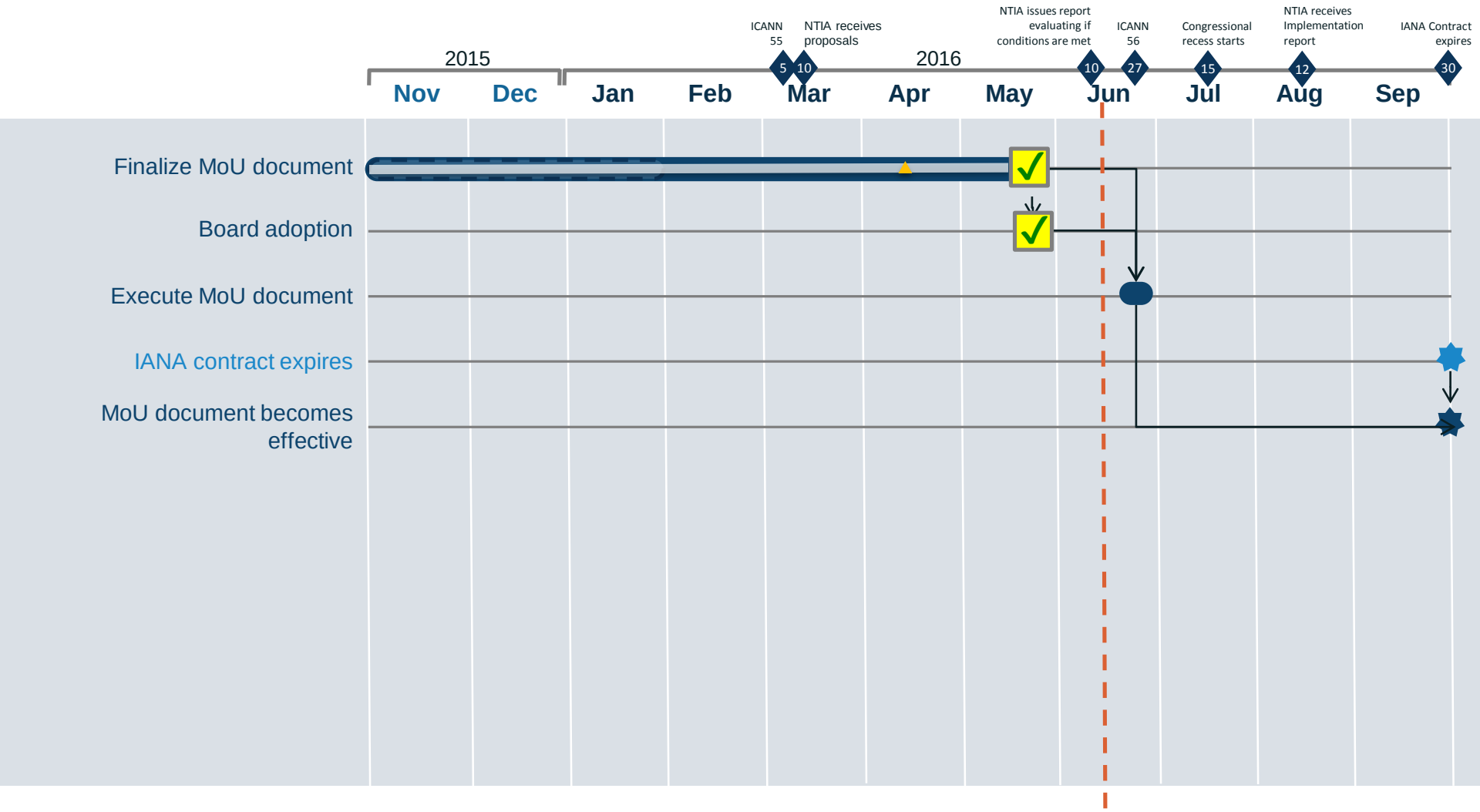
- Signing of the RIR SLA document.

Open Items:

IETF MoU Supplemental Agreement ("MoU")

% Complete 95%

Status: On-track



Project Updates:

✓

Upcoming Activities:

- Signing of the IETF MoU document.

Open Items:

Appendix 3
Post Transition Projects

Post Transition Projects

	Project	Description
–	IANA Remedial Action Procedures	New remediation process for the CSC to address poor performance with IANA before escalation to the ccNSO/GNSO. To be finalized between CSC and IANA post transition. (CWG)
–	IANA Function Review (IFR)	Review of PTI's performance against the ICANN-PTI contract and SOW. First IFR to take place 2 years after transition. (CWG)
–	Special IFR	To be initiated when CSC remedial action procedures and IANA problem resolution process are followed and failed to correct deficiency. (CWG)
–	Separation Cross Community Working Group (SCWG)	To be formed if the special IFR results in a recommendation for separation. (CWG)
–	Transition to Successor IFO	Plan for Transition to Successor IFO. (CWG)
–	Formal study on changes to root zone content	To investigate whether there is need to increase the robustness of the operational arrangements for making changes to the root zone content to reduce or eliminate single point of failure. (CWG)
–	CCWG-Accountability Work Stream 2	To commence at ICANN55 and complete by end of 2016; WS 2 elements include: Diversity, SO/AC accountability, Transparency, Human Rights, Jurisdiction, Interim Bylaw. (CCWG)