

CCWG-Accountability Work Stream 2

Ombudsman

Kick Off Meeting #1

8 August 2016

Rapporteur: Sébastien Bachollet

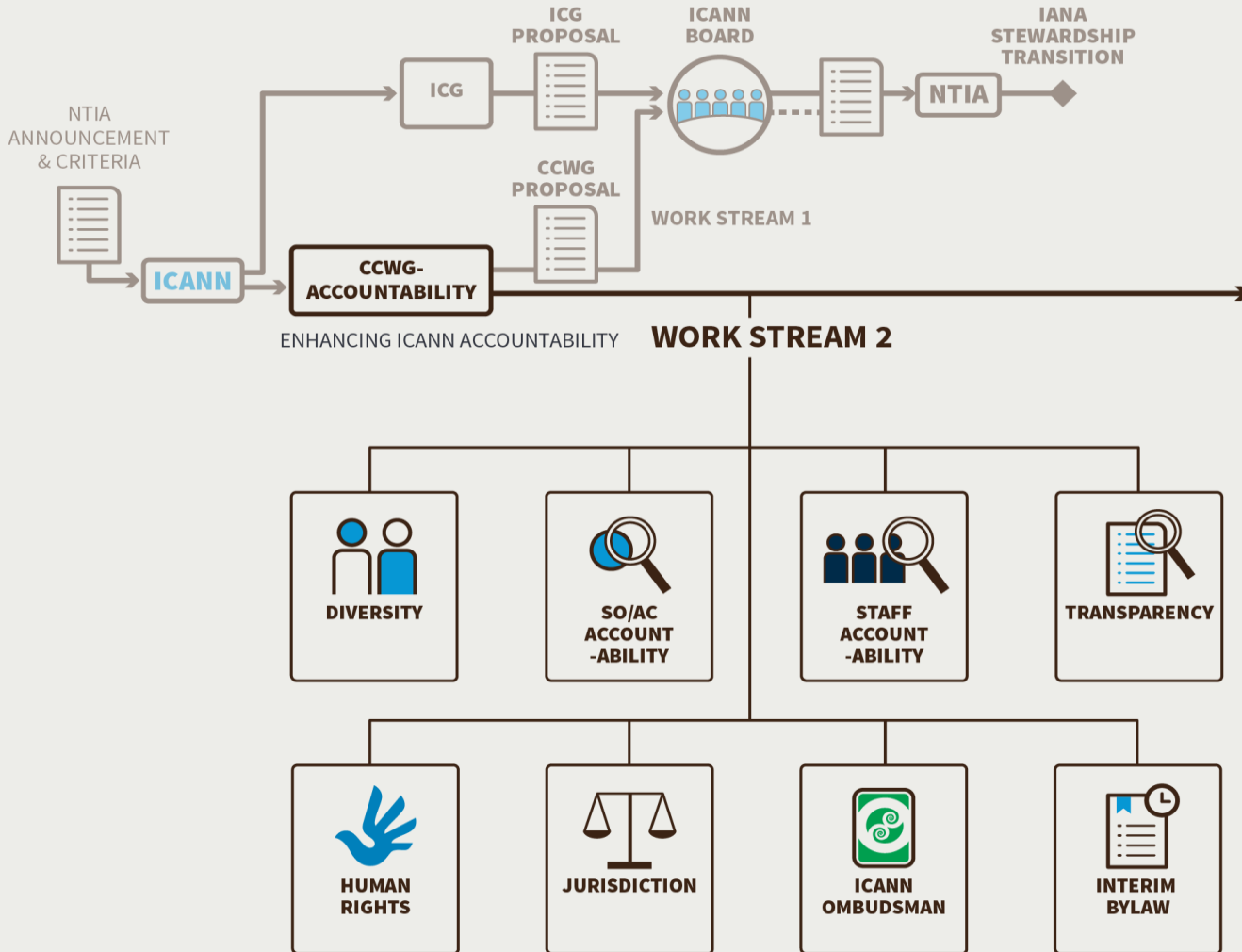


Agenda Meeting #1 Ombudsman

1. Call Admin and Roll Call / Apologies (2 min Staff)
2. Welcome - Opening Remarks (3 min SBT)
3. Setting the scene of WS2 (10 min SBT)
 1. Work Stream 2 Design Team / Subgroups
 2. Design Team / Subgroup working methods
4. Round of *brief* Self Introductions from all Participants and Observers (10 min)
5. Setting the scene of DT Ombudsman (10 min SBT)
 1. Discussion on WS1 result about Ombudsman (10-15 min)
6. Discuss our work plan [short and medium term] (10-15 min)
7. AOB / Next Meeting (5 min)

Work Stream 2

IANA STEWARDSHIP TRANSITION COORDINATION



Additional topics

- Review of CEP
- Community guidelines for conduct during Board removal process

WS2 Approach is based on subgroups

- Design Team / subgroups will do heavy lifting including drafting
- CCWG plenary remains in charge of
 - Discussing, approving drafts (including public comment drafts)
 - Reviewing proposals and assessing consensus level
- Co-chairs will monitor that
 - Liaising with Chartering Orgs, the Board...
 - Subgroups are efficiently coordinating where needed
 - requests for extra support are handled efficiently and responsibly

WS2 Subgroup Deliverable – a Common Framework

Executive summary

Description of issue

- Current state of play
- Supplemental Report

Recommendations

- Requirements for recommendation (no wordsmithing expected)
- Rationale for recommendation

Assessment of recommendations

- How do the recommendations meet the “NTIA criteria”?
- Are the recommendations compliant with WS1 recommendations?

Work Stream 2 Proposed Timeline

Simple/Lighter topics

- June 2016: sub-groups agreed, commence work on docs for public input
- Aug 2016: first discussion with CCWG
- Sep 2016: refining work
- Oct 2016: CCWG agrees for public input
- 20 Oct-30 Nov: Public Input comment period
- Dec 2016: Analyze public comment staff/subgroups
- Jan 2017: Sub-groups refines and revises output
- Feb 2017: CCWG agrees final Output for consideration by community FOR ADOPTION at Copenhagen

Complex Topics – Intermediate/Long Term

- Jun 2016: sub-groups agreed
- Sep-Oct 2016: first discussion with CCWG - identifies whether and how to update community at Hyderabad
- Nov-Dec 2016: second discussion with CCWG (first SUBSTANTIVE)
- Jan 2017: refining work
- Feb 2017: CCWG agrees docs for public input
- 1 Mar to 10 Apr: Public Input comment period
- Apr 2017: Analyze public comment staff/subgroups
- May 2017: Sub-groups refines and revises output
- May/June 2017: CCWG agrees final Output for consideration by community

Role of Rapporteurs

- Coordinate work of the subgroup
- Ensure subgroup stays within its « mandate »
 - CCWG Supplemental Report has framed each issue (background papers will be provided)
- Provide regular, neutral reports on proceedings to the CCWG
- Liaise with co-chairs and other rapporteurs as appropriate
- Ensure requests for legal advice (if any) are adequately documented and necessary

Subgroup Working Methods

- **Subgroup(s)**
 - Meet via teleconference for one (1) hour on a weekly schedule
 - Need to manage their own draft document development
- **Role of Staff Support**
 - Staff support will participate on each subgroup's one (1) hour meeting to capture action items
- The **CCWG-ACCT** as a whole (members and participants), as is current practice, will approve any of the subgroup recommendations.
 - As per its usual practice the CCWG-ACCT will not make any final decision on subgroup recommendations at a single meeting (two reading rule).
 - Most, if not all, CCWG-ACCT recommendations on these topics will also require at least one 40-day public consultation per topic.
 - The CCWG-ACCT will consider the comments and decide if another public consultation is required before accepting any final recommendations.

Subgroup Time Slots

Day			WS2-Ombudsman
Monday	August 8, 2016	#01	Omb-WS2 05:00 UTC
Tuesday	August 16, 2016	#02	Omb-WS2 13:00 UTC
Monday	August 22, 2016	#03	Omb-WS2 19:00 UTC
Monday	August 29, 2016	#04	Omb-WS2 05:00 UTC
Tuesday	September 6, 2016	#05	Omb-WS2 13:00 UTC
Monday	September 12, 2016	#06	Omb-WS2 19:00 UTC
Monday	September 19, 2016	#07	Omb-WS2 05:00 UTC
Monday	September 26, 2016	#08	Omb-WS2 13:00 UTC
Monday	October 3, 2016	#09	Omb-WS2 19:00 UTC
Monday	October 10, 2016	#10	Omb-WS2 05:00 UTC
Monday	October 17, 2016	#11	Omb-WS2 13:00 UTC
Monday	October 24, 2016	#12	Omb-WS2 19:00 UTC
Wednesday	November 2, 2016		CCWG
Thursday	November 3, 2016		ICANN 57 03-09 November 2016 Hyderabad
Friday	November 4, 2016		
Saturday	November 5, 2016		
Sunday	November 6, 2016		
Monday	November 7, 2016		
Tuesday	November 8, 2016		
Wednesday	November 9, 2016		

- Wiki page for the Drafting Team (DT) Ombudsman
 - <https://community.icann.org/display/WEIA/Ombudsman>
- Round of *brief* Self Introductions from all
 - Participants
 - Observers
 - Staff

WS2 Drafting Team “Ombudsman”

Active Participants

1. Sébastien **B**achollet - Rapporteur
2. Adebunmi Akinbo
3. Alberto Soto
4. Avri Doria
5. Carlos Vera Quintana
6. Cheryl **L**angdon-Orr
7. Chris LaHatte (previous Ombudsman)
8. Edward Morris
9. Farzaneh Badii
10. José Francisco Arce
11. Jimson Olufuye
12. Herb Waye (acting Ombudsman)
13. Karel Douglas
14. Klaus Stoll
15. Michael Karanicolas
16. Raoul Plommer
17. Robin **G**ross
18. Sivasubramanian Muthusamy

Board
Brian Payne

Liaisons

- Asha Hemrajani

Observers

1. Aarti Bhavana
2. Alan **G**reenberg
3. Akinremi Peter Taiwo
4. Amrita Choudhury
5. Angie Graves
6. David Maher
7. Elizabeth Bacon
8. Gangesh Varma
9. Johan Helsingius
10. Jon Nevett
11. Mike Rodenbaugh
12. Pam Little
13. Philip Corwin
14. Renu Sirothiya
15. Rinalia Abdul Rahim
16. Vidushi Marda
17. Vinay Kesari

- Mike Silber (backup)

WS2 Drafting Team “Ombudsman”

- **Ombudsman Issue Paper (by staff)**

- <https://community.icann.org/download/attachments/59643286/OmbudsmanIssuePaper.pdf?version=1&modificationDate=1467146482000&api=v2>

- **Background**

- The Ombudsman Role has already been expanded through WS1, to include a responsibility to perform a first substantive review over Reconsideration Requests
- In addition, the CWG-Stewardship identified a new role for the Ombudsman, as a place of escalation for complaints about PTI’s naming function service delivery
- This expansion is in addition to the Ombudsman’s existing role (as set forth in the ICANN Bylaws) and further described in the Ombudsman Framework

WS2 “Ombudsman” Drafting Team Tasks

- **To be considered by the WS2 “Ombudsman” Drafting Team**
 - Timeline for adoption?
 - By Copenhagen (March 2017)
 - By Johannesburg (June 2017)
 - Are we talking about Ombudsman or Office of the Ombudsman?
 - Evaluate the current Ombudsman charter and operations against industry best practices
 - [Ombudsman Framework](#) (April 2009)
 - Recommend any changes necessary to ensure that the ICANN Ombudsman has the tools, independence, and authority needed to be an effective voice for ICANN stakeholders
 - Term of the ombudsman
 - True independence in a role that is subject to Board renewal
 - Any additional role for the Ombudsman
 - How the new role of the Ombudsman would interact with other mechanisms, to avoid duplication and optimize effectiveness?
 - Any advice to the future ICANN Ombudsman?

With which team(s) Ombudsman Design Team need to coordinate?

1. **Diversity** (from the Ombudsman DT = **21**)
2. **Human Rights** (**19**)
3. **Jurisdiction** (**18**)
4. **Ombudsman** (Active Participants + Observers = **36**)
5. **SO/AC Accountability** (**20**)
6. **Staff Accountability** (**20**)
7. **Transparency** (**24**)
8. **Reviewing CEP** (**15**) (Cooperative Engagement Process)
9. **Guidelines for standards of conduct presumed to be in good faith associated with exercising removal of individual ICANN Board Directors** (**10**)
10. **Additional topic carried over from WS1-IRP "Phase 2"** (**4**) (Independent Review Process)

AOB

CCWG-Accountability
Work Stream 2

