

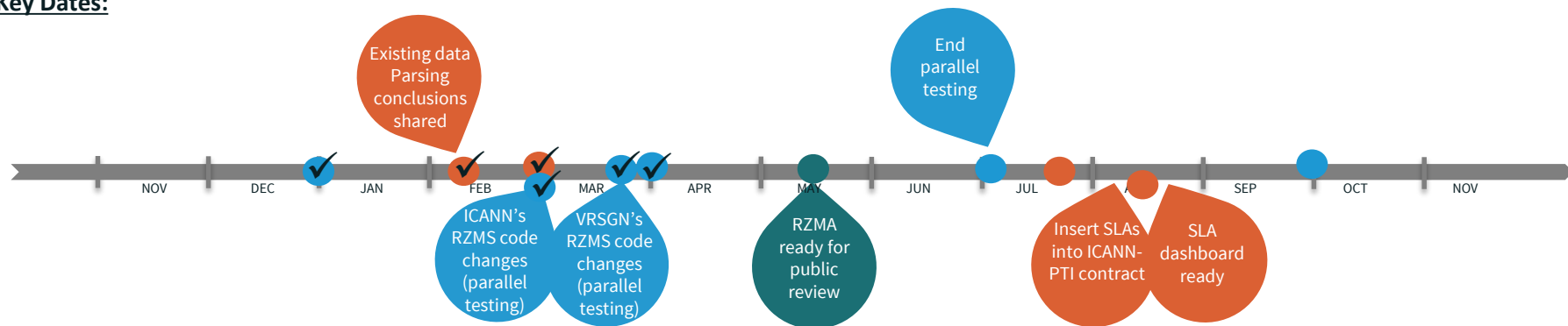


Transition Implementation Status Reporting

12 May 2016

Project	Description	Plan Completion Date	Status	% Complete
RZMS changes to remove RZA role and support parallel testing	Remove NTIA's authorization process from RZMS (code changes for ICANN and Verisign, and parallel testing).	09/30/16 08/15/16 07/06/16	●	<div style="width: 75%;"><div style="width: 75%;"></div></div> 75%
Names SLEs (SLEs for Naming Community)	RZMS code changes to accommodate new SLEs, inclusion of performance targets in ICANN-PTI contract, dashboard reporting of SLAs.	09/30/16 08/15/16	●	<div style="width: 40%;"><div style="width: 40%;"></div></div> 40%
RZMA (Agreement with Root Zone Maintainer)	Completion of draft RZMA.	01/31/16 / 02/29/16 04/15/16 / 04/30/16 05/15/16	●	<div style="width: 90%;"><div style="width: 90%;"></div></div> 90%

Key Dates:



Project Updates:



- ✓ Parallel testing continues to go smoothly.
- ✓ Continuing to engage in discussion with Verisign to finalize the RZMA.
- ✓ Names SLEs dashboard development work is on-going.

Upcoming Activities:

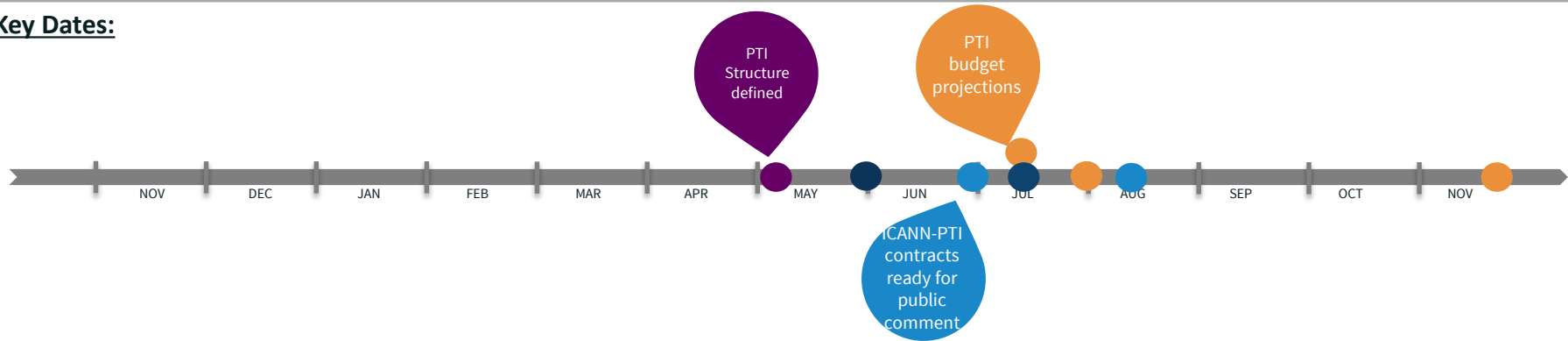
- Continue to monitor parallel operations.
- Finalize draft RZMA.
- Continue development of data aggregation tool / reporting dashboard.

Open Items:

- On-track
- Not started
- ▲ Behind schedule, but recovery still possible
- Target will be missed

Project	Description	Plan Completion Date	Status	% Complete
PTI (Post-Transition IANA)	Formation of PTI entity: legal formation (incorporation of affiliate, filing for 501c3, drafting of contract, etc.) and operationalization of PTI entity (board nominations, budget, etc.).	09/30/16 08/15/16		 25%

Key Dates:



¹ Aol: Articles of Incorporation /²CoIP: Conflicts of Interest Policy

Project Updates:

- ✓ Drafted PTI Bylaws, Articles of Incorporation and Conflict of Interest Policy.
- ✓ Drafting naming function heads of terms.
- ✓ Finalizing draft PTI implementation plan.

Upcoming activities:





- Implementation plan review with community.
- Finalize review process for PTI-related documents.
- Draft PTI formation documents (Bylaws, Articles of Incorporation and Conflict of Interest policy) review with community.
- Naming function heads of terms review with community.

Open Items:

IPR, RZERC, CSC & Escalation Processes

Status As Of Date:

05/10/16

Project	Description	Plan Completion Date	Status	% Complete
IANA IPR	Transfer of IANA IPR to a neutral trust.			<div style="border: 1px solid black; width: 100px; height: 20px; display: inline-block;"></div> 0%
RZERC <i>(Root Zone Evolution Review Committee)</i>	Formation of committee to advise ICANN Board on RZMS architectural and operational changes.	09/30/16 08/15/16		<div style="border: 1px solid black; width: 100px; height: 20px; display: inline-block; background-color: #003366; background-size: 50%;"></div> 50%
CSC <i>(Customer Service Standing Committee)</i>	Formation of committee to monitor PTI performance.	09/30/16 08/15/16		<div style="border: 1px solid black; width: 100px; height: 20px; display: inline-block; background-color: #003366; background-size: 50%;"></div> 50%
Escalation Processes	Updating of existing IANA operational complaint and escalation processes.	09/30/16 08/15/16		<div style="border: 1px solid black; width: 100px; height: 20px; display: inline-block; background-color: #003366; background-size: 50%;"></div> 50%

Key Dates:



Project Updates:

- ✓ RZERC charter circulated to CWG for review.
- ✓ Drafting CSC call for expression of interests.
- ✓ Clarifications on IANA customer service escalation mechanisms confirmed by IOTF.

Upcoming Activities:

- Finalize RZERC charter and post for public comments.
- Announce request for CSC member appointment.

Open Items:

- Waiting on implementation requirements for IANA IPR



DRAFT for Discussion



On-track



Behind schedule, but recovery still possible



Not started













Target will be missed

* All dates are estimates

Enhancing ICANN's Accountability

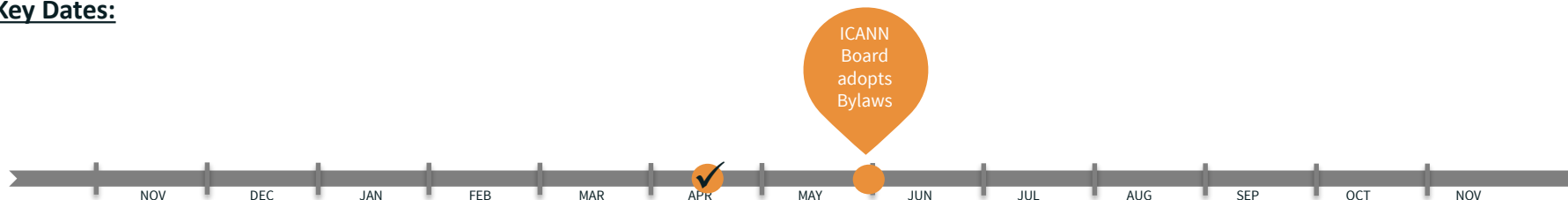
Status As Of Date:

05/10/16

Project	Description	Plan Completion Date	Status	% Complete
ICANN's Bylaws	Updating of ICANN Bylaws.	06/09/16 05/27/16*		 65%
IRP Enhancements	Implement enhancements to Independent Review Process.	09/30/16 08/15/16		 0%
RR Enhancements	Implement enhancements to ICANN's reconsideration request process.	09/30/16 08/15/16		 0%
Empowered Community Enhancements	Implement processes and mechanisms in support of the empowered community.	09/30/16 08/15/16		 0%
Financial Planning Process Updates	ICANN caretaker budget, PTI caretaker budget and PTI budget.	08/15/16		 0%

* Assuming a 90-days NTIA inter-agency review period

Key Dates:



Project Updates:

- ✓ ICANN draft Bylaws in Public Comment Period.

Upcoming Activities:

- Continue to monitor ICANN draft bylaws Public Comment Period.

Open Items:



DRAFT for Discussion



On-track



Behind schedule, but recovery still possible



Not started



Target will be missed

* All dates are estimates

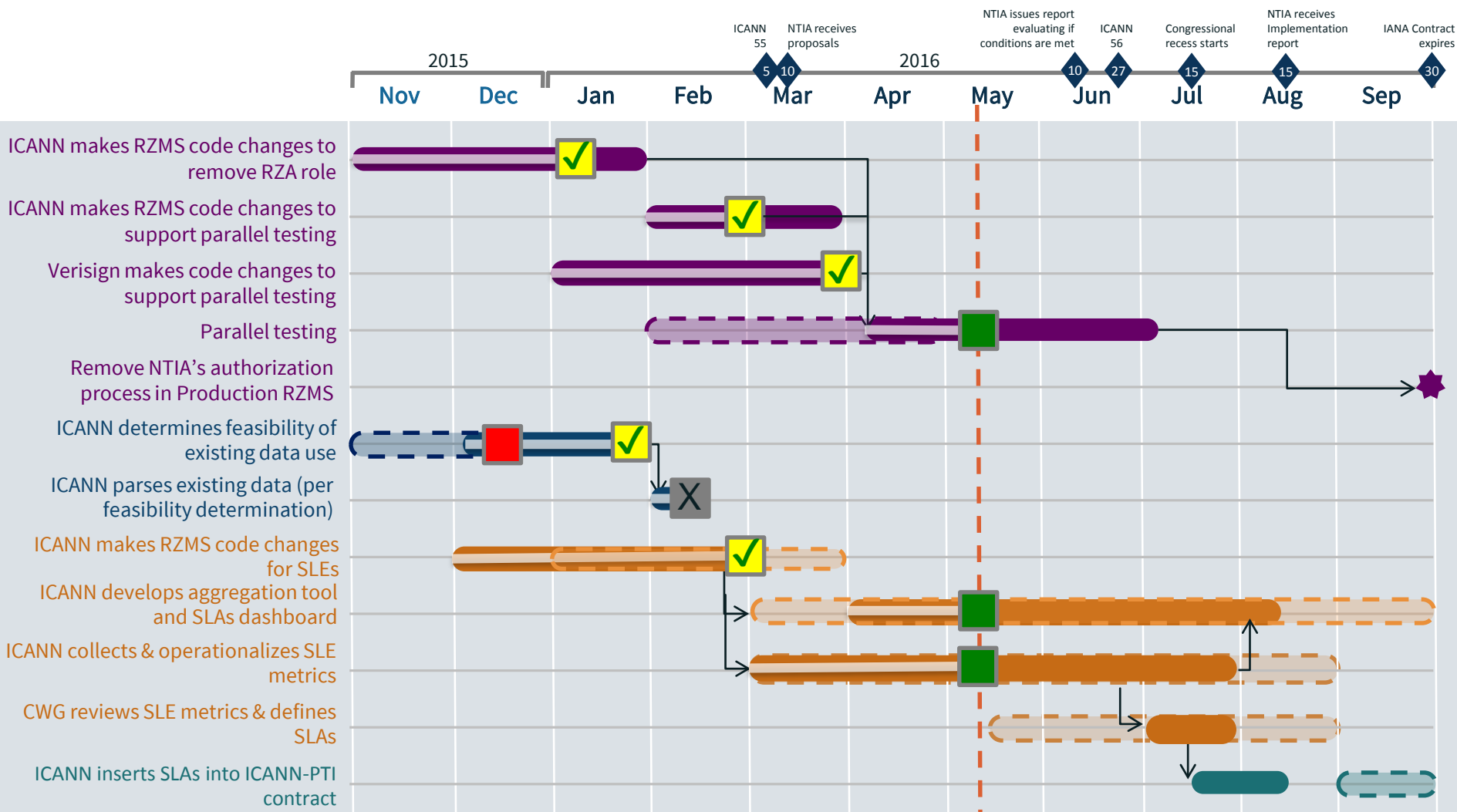
Appendix 1

High-Level Projects Plans

RZMS & SLEs for Naming Community

% Complete:  60%

Status: On-track



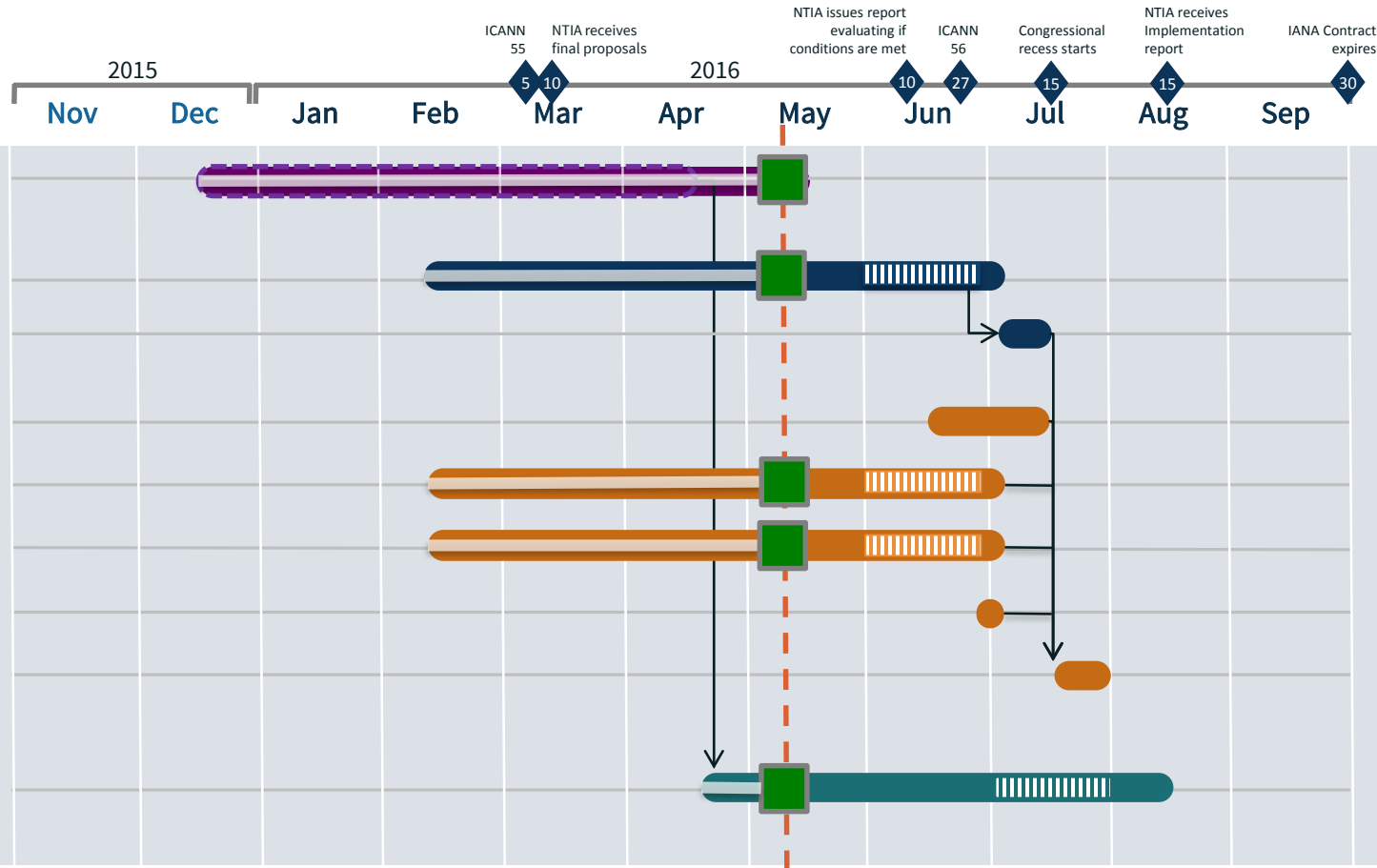
Project Updates:

- ✓ Parallel Testing continues to go smoothly.
- ✓ Names SLEs dashboard development work is on-going.

Upcoming Activities:

- Continue to monitor parallel operations.
- Continue development of data aggregation tool / reporting dashboard.

Open Items:



¹ Dependency on performance targets being defined by mid-July 2016 under Names SLEs project to finalize Names contract

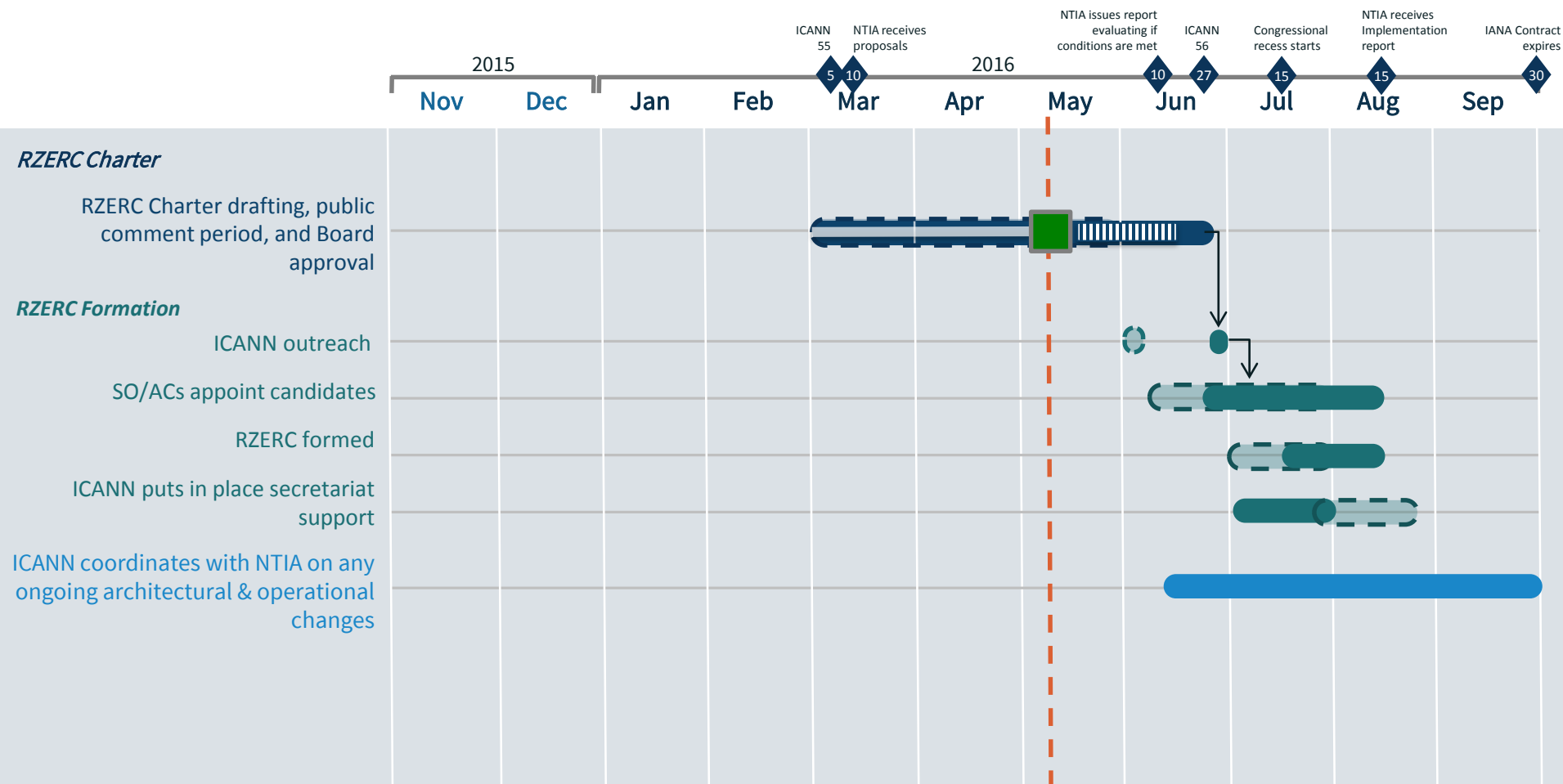
Project Updates:

- ✓ Drafted PTI Bylaws, Articles of Incorporation and Conflict of Interest Policy.
- ✓ Drafting naming function heads of terms.
- ✓ Finalizing draft PTI implementation plan.

Upcoming Activities:

- Implementation plan review with community.
- Finalize review process for PTI-related documents.
- Draft PTI formation documents (Bylaws, Articles of Incorporation and Conflict of Interest policy) review with community.
- Naming function heads of terms review with community.

Open Items:



Project Updates:
 ✓ RZERC charter circulated to CWG for review.

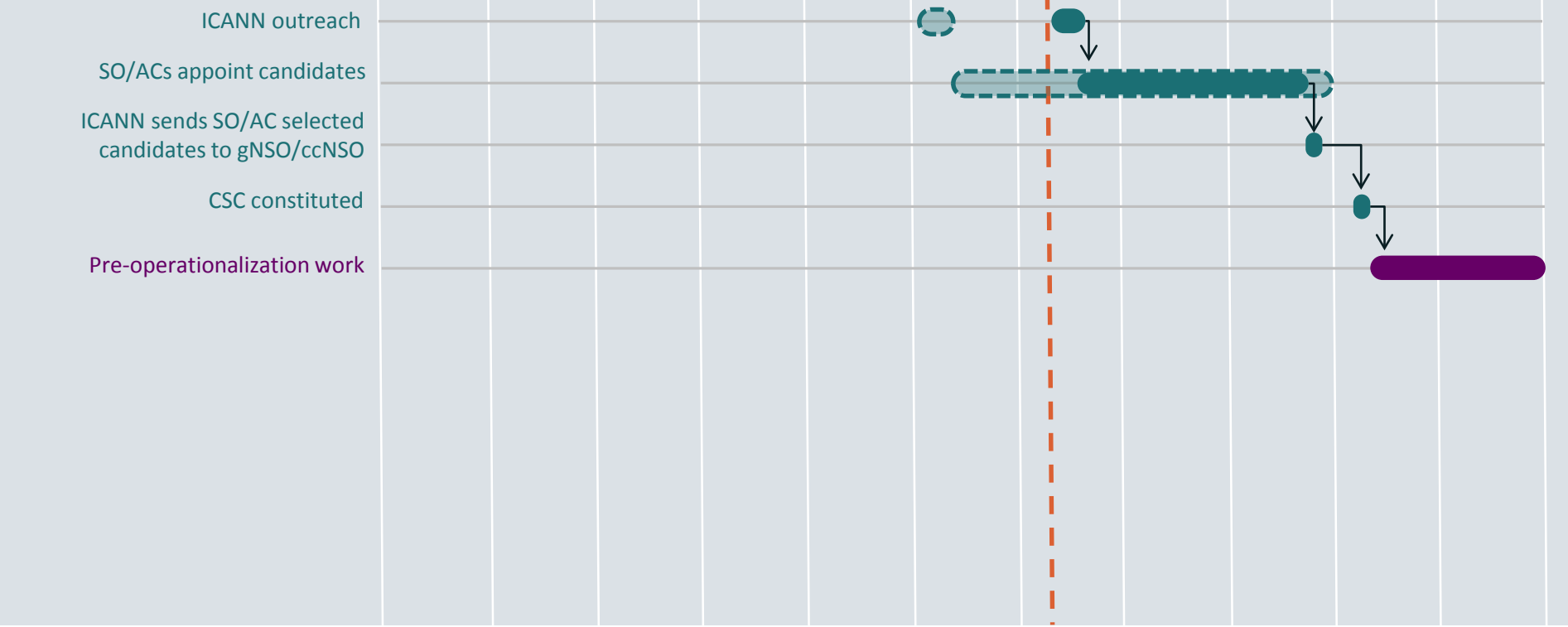
Upcoming Activities:

- Finalize RZERC charter and post for public comments.

Open Items:



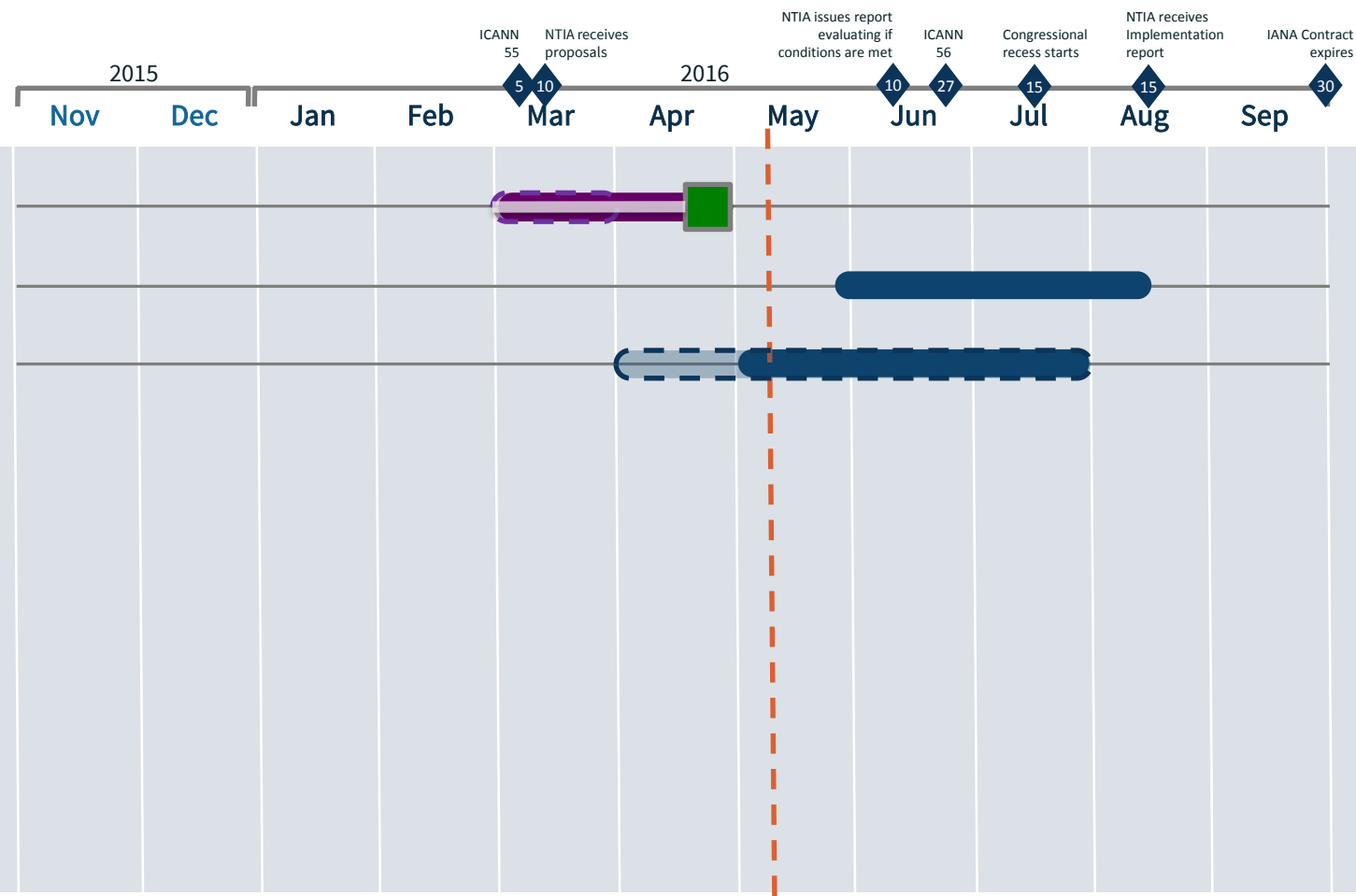
CSC Formation



Project Updates:
 ✓ Drafting CSC call for expression of interests.

Upcoming Activities:
 ▪ Announce request for CSC member appointment.

Open Items:

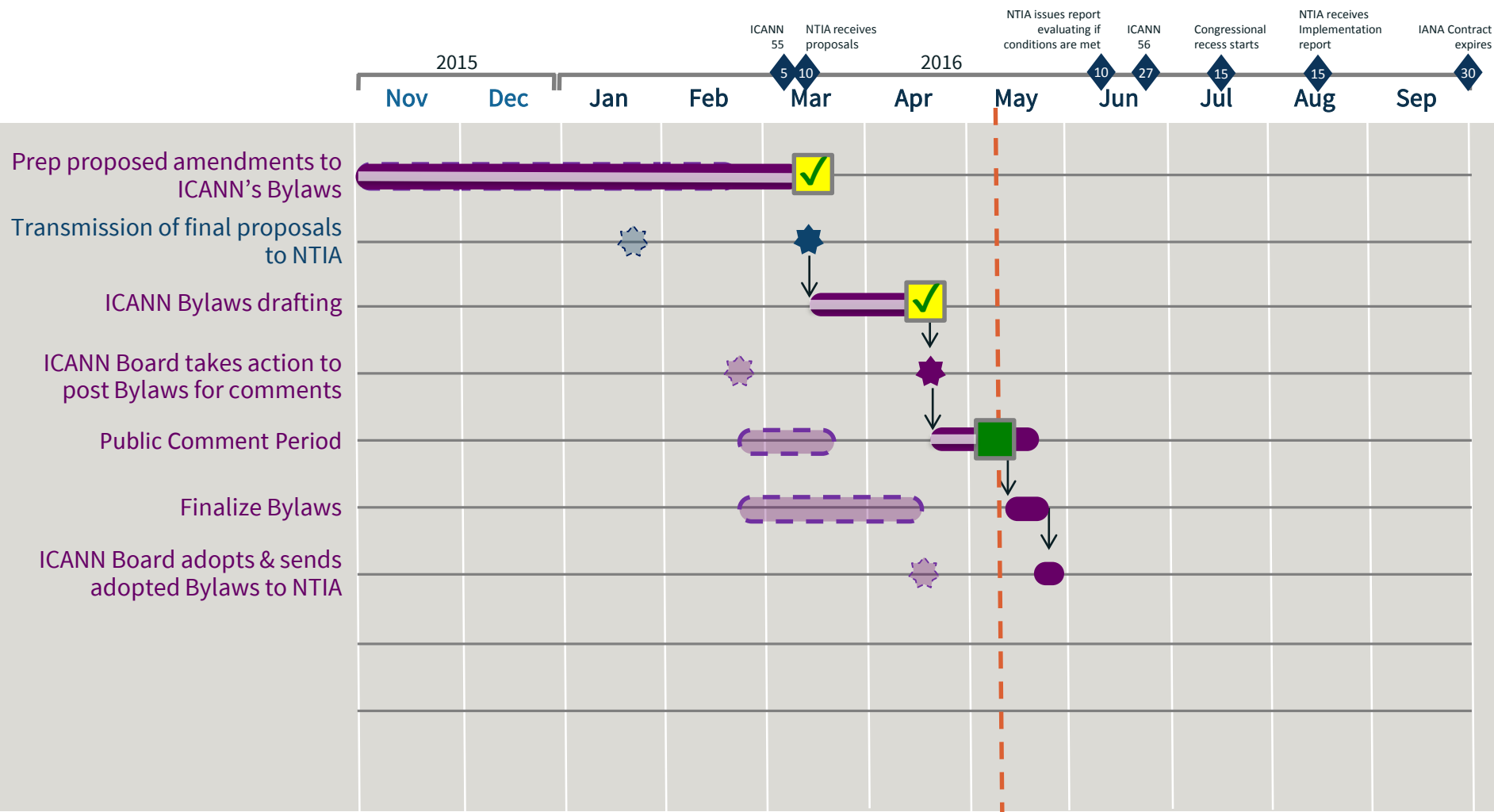


Project Updates:
 ✓ Clarifications on IANA customer service escalation mechanisms confirmed by IOTF.

Upcoming Activities:

-

Open Items:



Project Updates:

- ICANN draft Bylaws in Public Comment Period.

Upcoming Activities:

- Continue to monitor ICANN draft bylaws Public Comment Period.

Open Items:







Appendix 2

RIRs and IETF Status Updates

RIRs SLAs & IETF MoU Supplemental Agreement

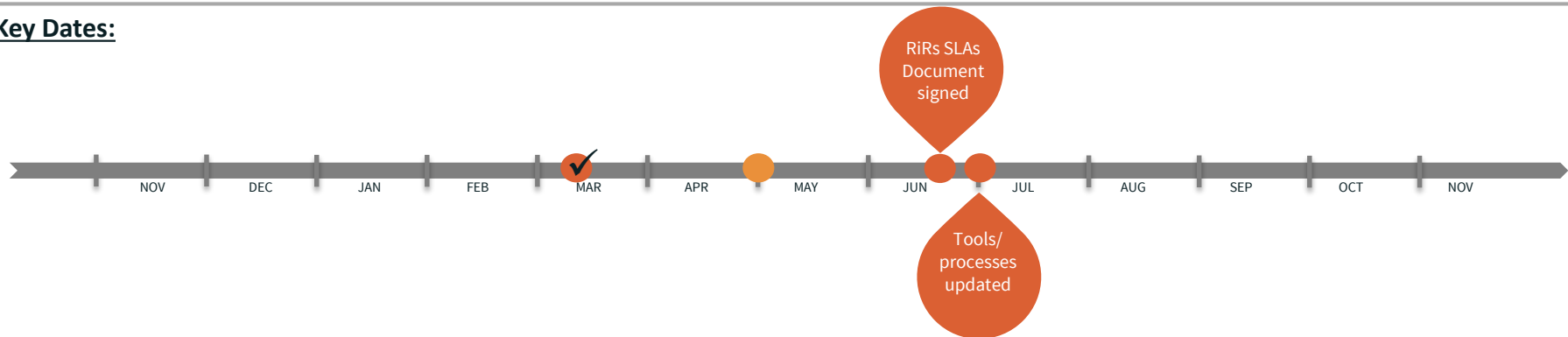
Status As Of Date:

05/10/16

Project	Description	Plan Completion Date*	Status	% Complete
RIRs SLAs (Service Level Agreement with the Number Community)	Completion of draft agreement, including SLAs with RIRs, and operationalization of SLAs.	06/30/16		 80%
IETF MoU Supplemental Agreement	Completion of draft ICANN-IETF MoU Supplemental Agreement.	06/30/16		 60%

* Completion Date dependent on USG's approval of proposals

Key Dates:



Project Updates:

Upcoming activities:

- Send the RIR SLA to the ICANN Board for consideration (date TBD by NRO and ICANN)

Open Items:



DRAFT for Discussion



On-track



Behind schedule, but recovery still possible

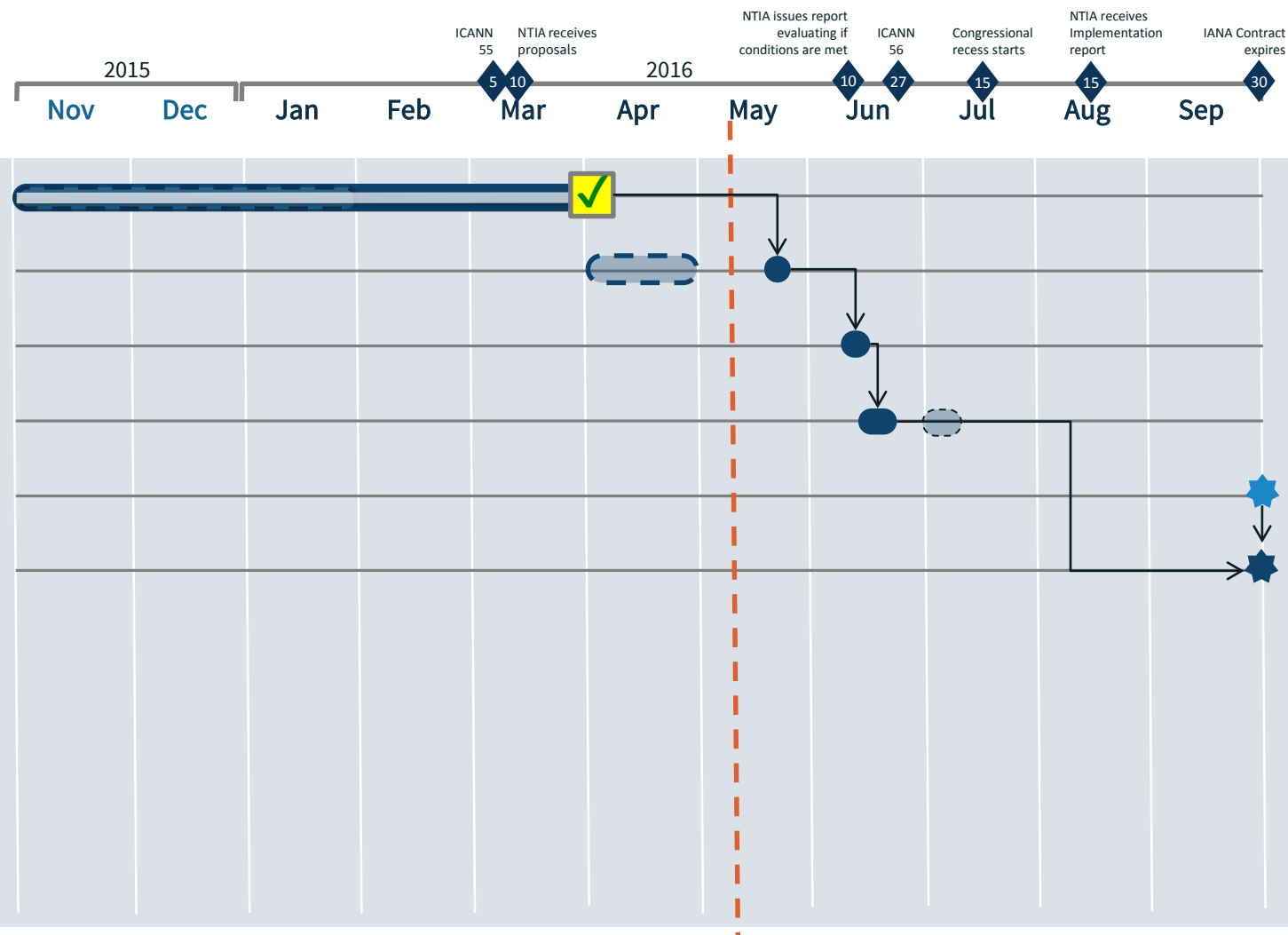


Not started



Target will be missed

* All dates are estimates | 14



Project Updates:

Upcoming Activities:

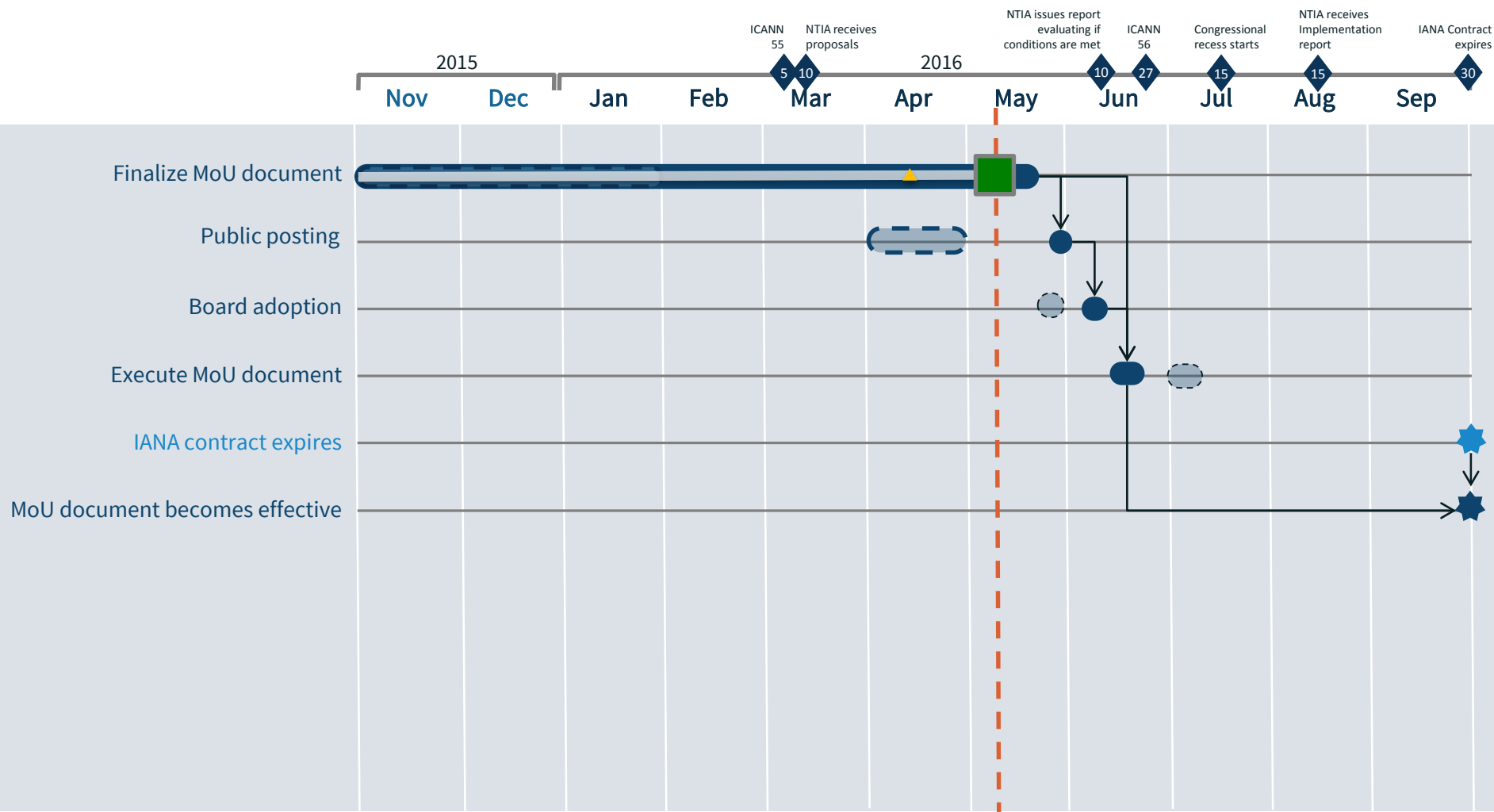
- Send the RIR SLA to the ICANN Board for consideration (date TBD by NRO and ICANN)

Open Items:

IETF MoU Supplemental Agreement (“MoU”)

% Complete:  60%


Status: On-track



Project Updates:

Upcoming Activities:

Open Items:



Appendix 3 Post Transition Projects

Post Transition Projects

	Project	Description
–	IANA Remedial Action Procedures	New remediation process for the CSC to address poor performance with IANA before escalation to the ccNSO/GNSO. To be finalized between CSC and IANA post transition. (CWG)
–	IANA Function Review (IFR)	Review of PTI's performance against the ICANN-PTI contract and SOW. First IFR to take place 2 years after transition. (CWG)
–	Special IFR	To be initiated when CSC remedial action procedures and IANA problem resolution process are followed and failed to correct deficiency. (CWG)
–	Separation Cross Community Working Group (SCWG)	To be formed if the special IFR results in a recommendation for separation. (CWG)
–	Transition to Successor IFO	Plan for Transition to Successor IFO. (CWG)
–	Formal study on changes to root zone content	To investigate whether there is need to increase the robustness of the operational arrangements for making changes to the root zone content to reduce or eliminate single point of failure. (CWG)
–	CCWG-Accountability Work Stream 2	To commence at ICANN55 and complete by end of 2016; WS 2 elements include: Diversity, SO/AC accountability, Transparency, Human Rights, Jurisdiction, Interim Bylaw. (CCWG)