

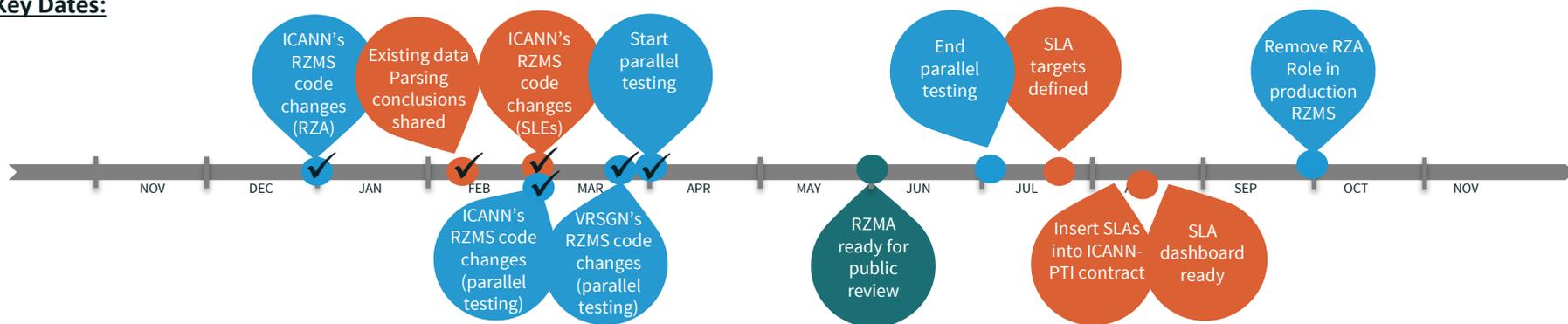


Transition Implementation Status Reporting

02 June 2016

Project	Description	Plan Completion Date	Status	% Complete
RZMS changes to remove RZA role and support parallel testing	Remove NTIA's authorization process from RZMS (code changes for ICANN and Verisign, and parallel testing).	09/30/16 08/15/16 07/06/16		80%
Names SLEs (SLEs for Naming Community)	RZMS code changes to accommodate new SLEs, inclusion of performance targets in ICANN-PTI contract, dashboard reporting of SLAs.	09/30/16 08/15/16		60%
RZMA (Agreement with Root Zone Maintainer)	Completion of draft RZMA.	01/31/16 / 02/29/16 04/15/16 / 04/30/16 05/15/16 / 05/31/16		90%

Key Dates:



Project Updates:

- ✓ Completed 55 days of 90-day Parallel Testing period with no issues.
- ✓ Approaching 3 months of SLE data collection.
- ✓ Continuing to work on the reporting dashboard.

Upcoming Activities:

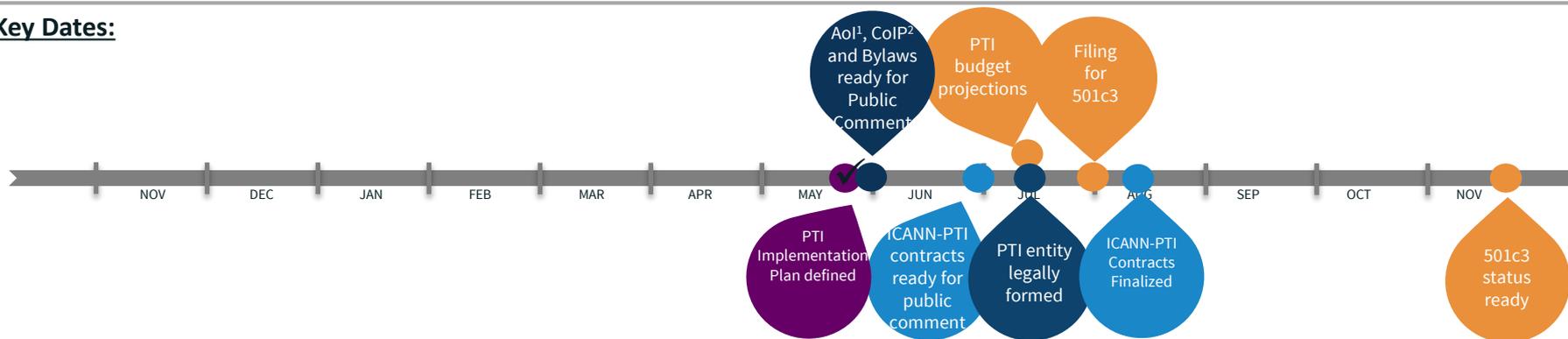
- Continue to monitor parallel operations.
- Finalize development of data aggregation tool / reporting dashboard.
- Finalize draft RZMA.

Open Items:

- (No items listed)

Project	Description	Plan Completion Date	Status	% Complete
PTI <i>(Post-Transition IANA)</i>	Formation of PTI entity: legal formation (incorporation of affiliate, filing for 501c3, drafting of contract, etc.) and operationalization of PTI entity (board nominations, budget, etc.).	09/30/16 08/15/16		40%

Key Dates:



¹ Aol: Articles of Incorporation / ²CoIP: Conflicts of Interest Policy

Project Updates:

- ✓ Drafts of PTI bylaws and articles of incorporation circulated to the community for review.
- ✓ Section headers of Naming function contract circulated to the community for review.
- ✓ PTI formation activities and timing communicated to the community.

Upcoming activities:

- Finalize PTI Bylaws and articles of incorporation with the community.
- Share draft of Naming function contract with the community.
- Share PTI staffing rationale with the community.

Open Items:

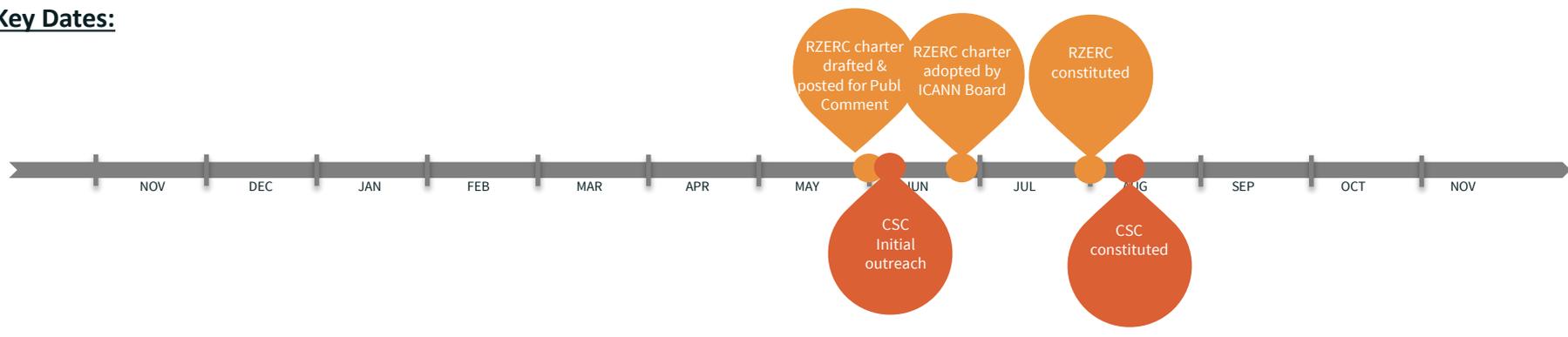
IPR, RZERC, CSC & Escalation Processes

Status As Of Date:

05/27/16

Project	Description	Plan Completion Date	Status	% Complete
IANA IPR	Transfer of IANA IPR to a neutral trust.			<div style="width: 0%;"><div style="width: 0%;"></div></div> 0%
RZERC (<i>Root Zone Evolution Review Committee</i>)	Formation of committee to advise ICANN Board on RZMS architectural and operational changes.	09/30/16 08/15/16		<div style="width: 55%;"><div style="width: 55%;"></div></div> 55%
CSC (<i>Customer Service Standing Committee</i>)	Formation of committee to monitor PTI performance.	09/30/16 08/15/16		<div style="width: 70%;"><div style="width: 70%;"></div></div> 70%
Escalation Processes	Updating of existing IANA operational complaint and escalation processes.	09/30/16 08/15/16		<div style="width: 50%;"><div style="width: 50%;"></div></div> 50%

Key Dates:



Project Updates:

- ✓ Finalized RZERC charter term sheet with the community.
- ✓ CSC draft request for appointments sent to CWG for review.

Upcoming Activities:

- Finalize RZERC charter with community and post for public comments.
- Send request for CSC members and liaisons appointment.
- Update Escalation processes documentation.

Open Items:

- Waiting on implementation requirements for IANA IPR.



DRAFT for Discussion

-  On-track
-  Behind schedule, but recovery still possible
-  Not started
-  Target will be missed

* All dates are estimates

Enhancing ICANN's Accountability

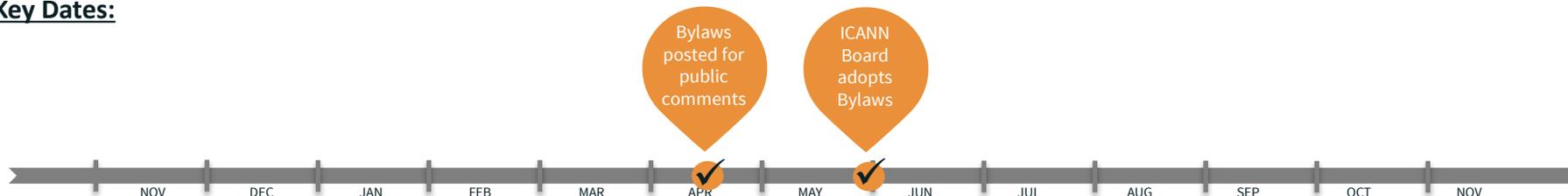
Status As Of Date:

05/27/16

Project	Description	Plan Completion Date	Status	% Complete
ICANN's Bylaws	Updating of ICANN Bylaws.	06/09/16 05/27/16*		100%
IRP Enhancements	Implement enhancements to Independent Review Process.	09/30/16 08/15/16		0%
RR Enhancements	Implement enhancements to ICANN's reconsideration request process.	09/30/16 08/15/16		0%
Empowered Community Enhancements	Implement processes and mechanisms in support of the empowered community.	09/30/16 08/15/16		0%
Financial Planning Process Updates	ICANN caretaker budget, PTI caretaker budget and PTI budget.	08/15/16		0%

* Assuming a 90-days NTIA inter-agency review period

Key Dates:



Project Updates:

✓ ICANN Board adopted ICANN bylaws.

Upcoming Activities:

Open Items:



DRAFT for Discussion



On-track



Behind schedule, but recovery still possible



Not started



Target will be missed

* All dates are estimates



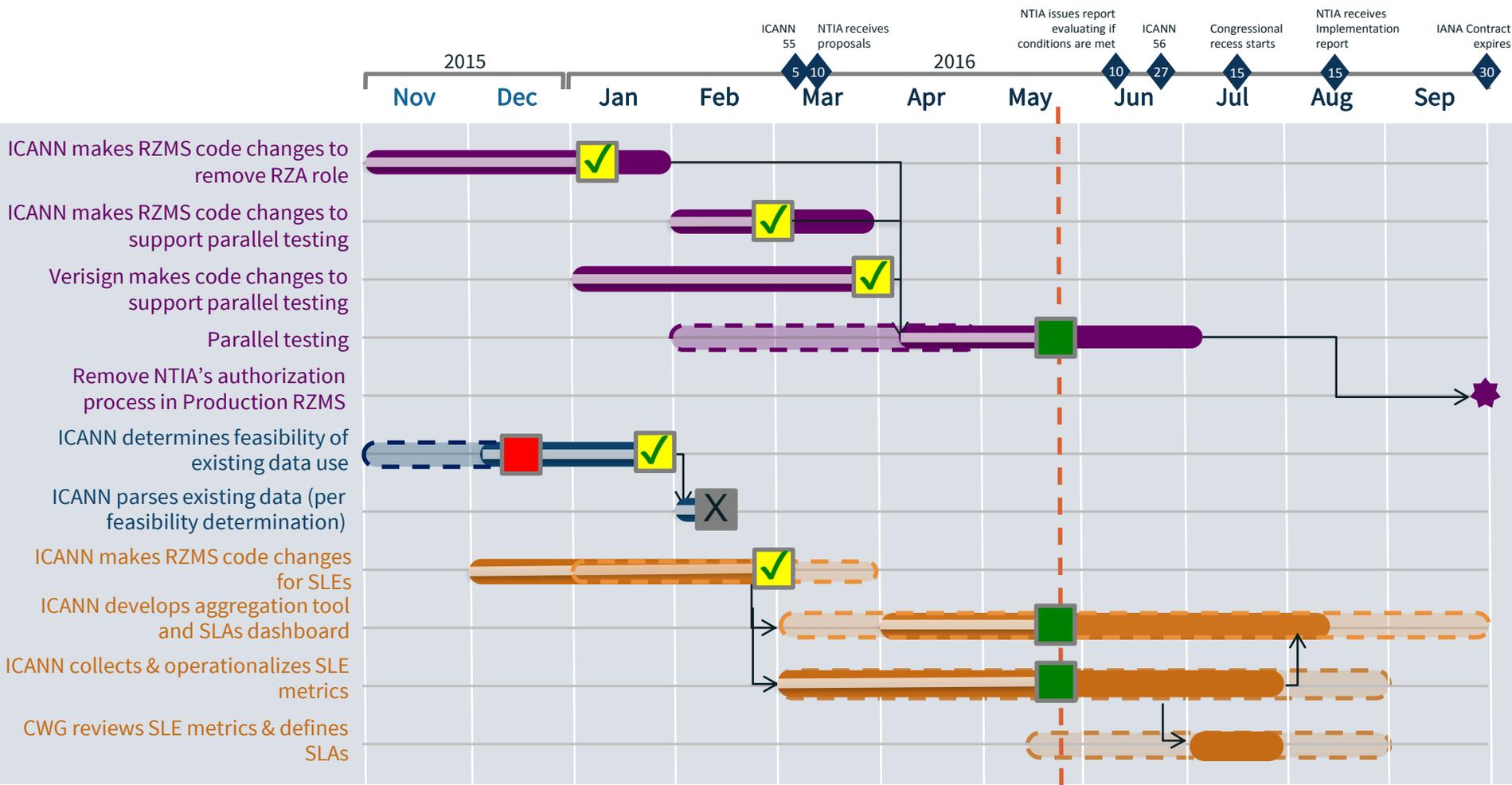
Appendix 1

High-Level Projects Plans

RZMS & SLEs for Naming Community

% Complete:  70%

Status: On-track



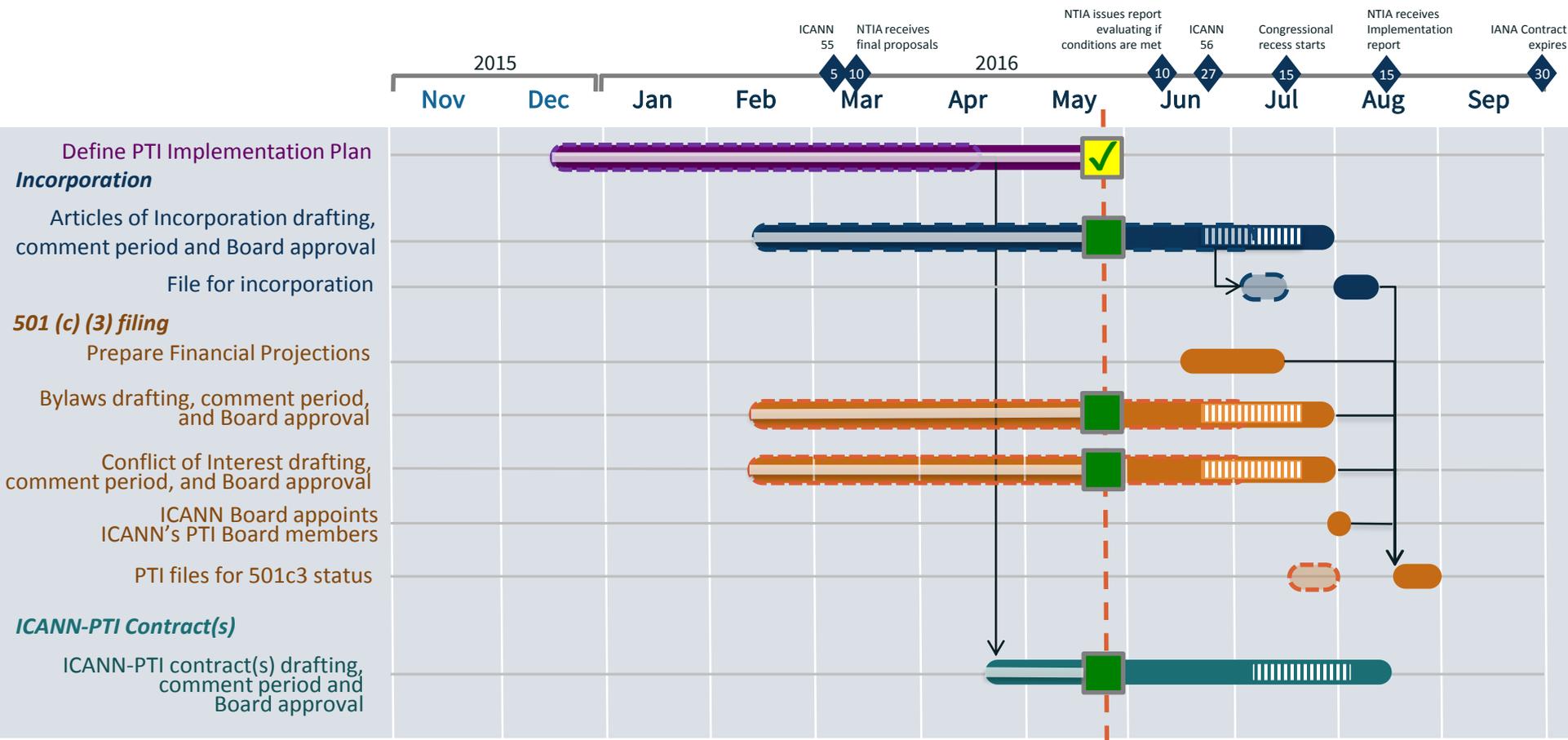
Project Updates:

- ✓ Completed 55 days of 90-day Parallel Testing period with no issues.
- ✓ Approaching 3 months of SLE data collection.
- ✓ Continuing to work on the reporting dashboard.

Upcoming Activities:

- Continue to monitor parallel operations.
- Finalize development of data aggregation tool / reporting dashboard.

Open Items:



¹ Dependency on performance targets being defined by mid-July 2016 under Names SLEs project to finalize Names contract

Project Updates:

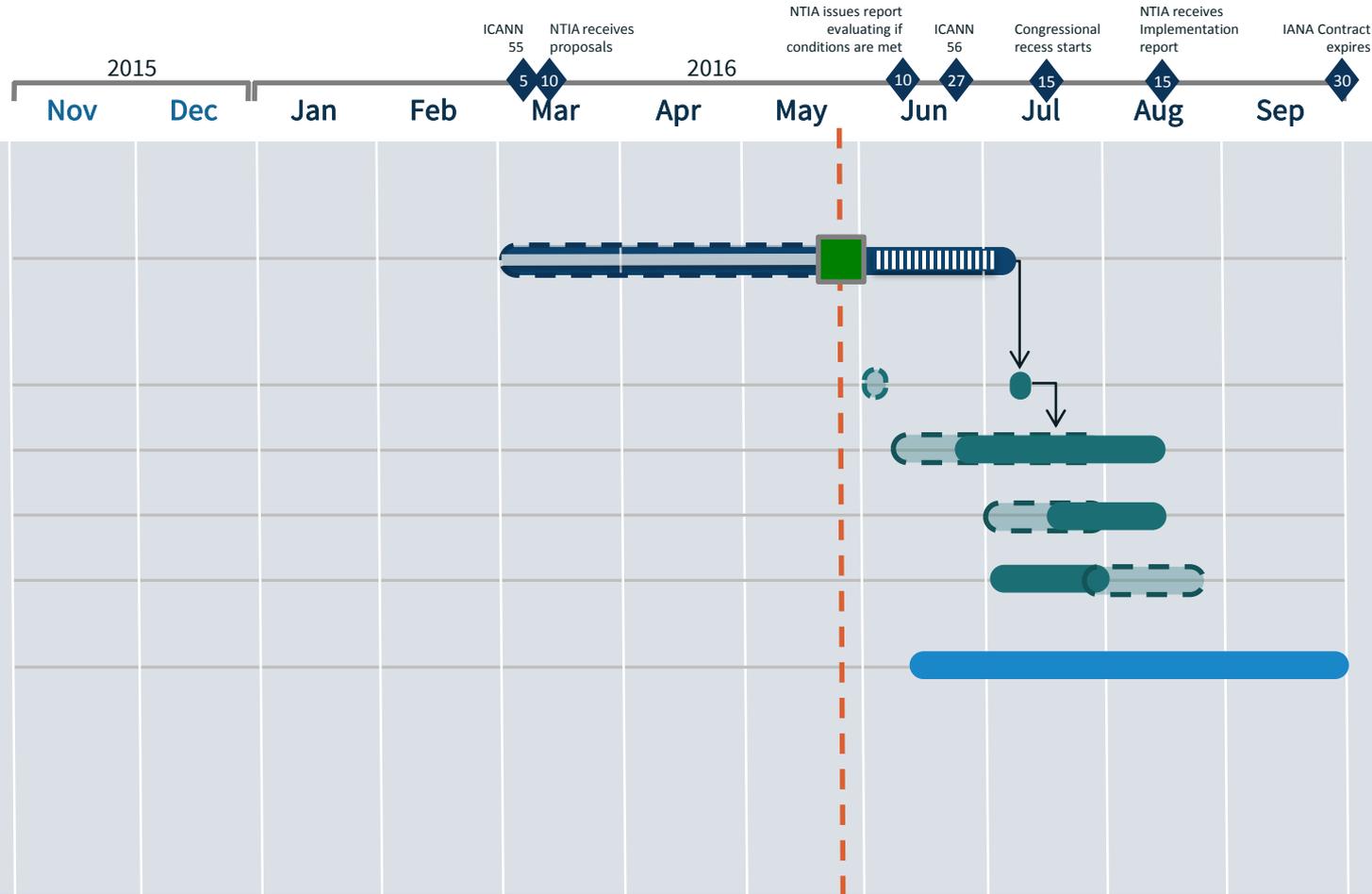
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Upcoming Activities:

- Finalize PTI Bylaws and articles of incorporation with the community.
- Share draft of Naming function contract with the community.
- Share PTI staffing rationale with community.

Open Items:

Open Items:



Project Updates:

- ✓ Finalized RZERC charter term sheet with the community.

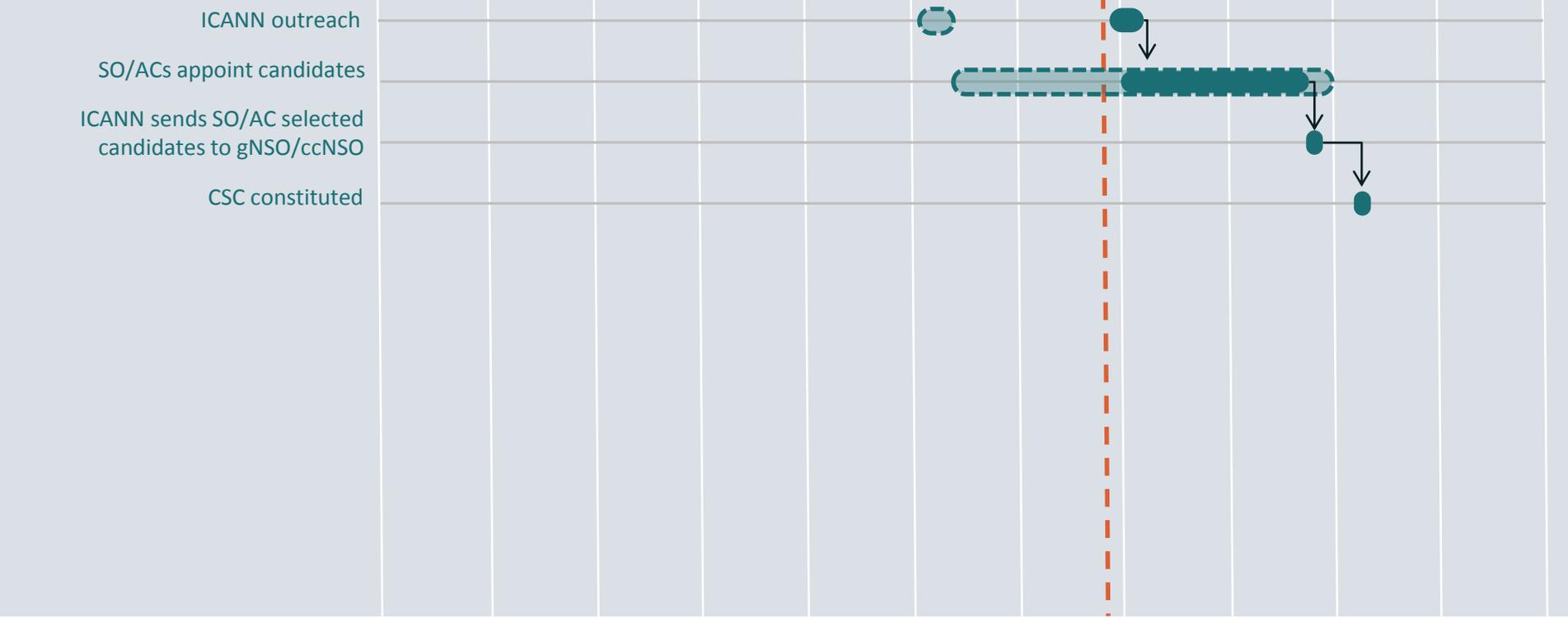
Upcoming Activities:

- Finalize RZERC charter with community and post for public comments.

Open Items:



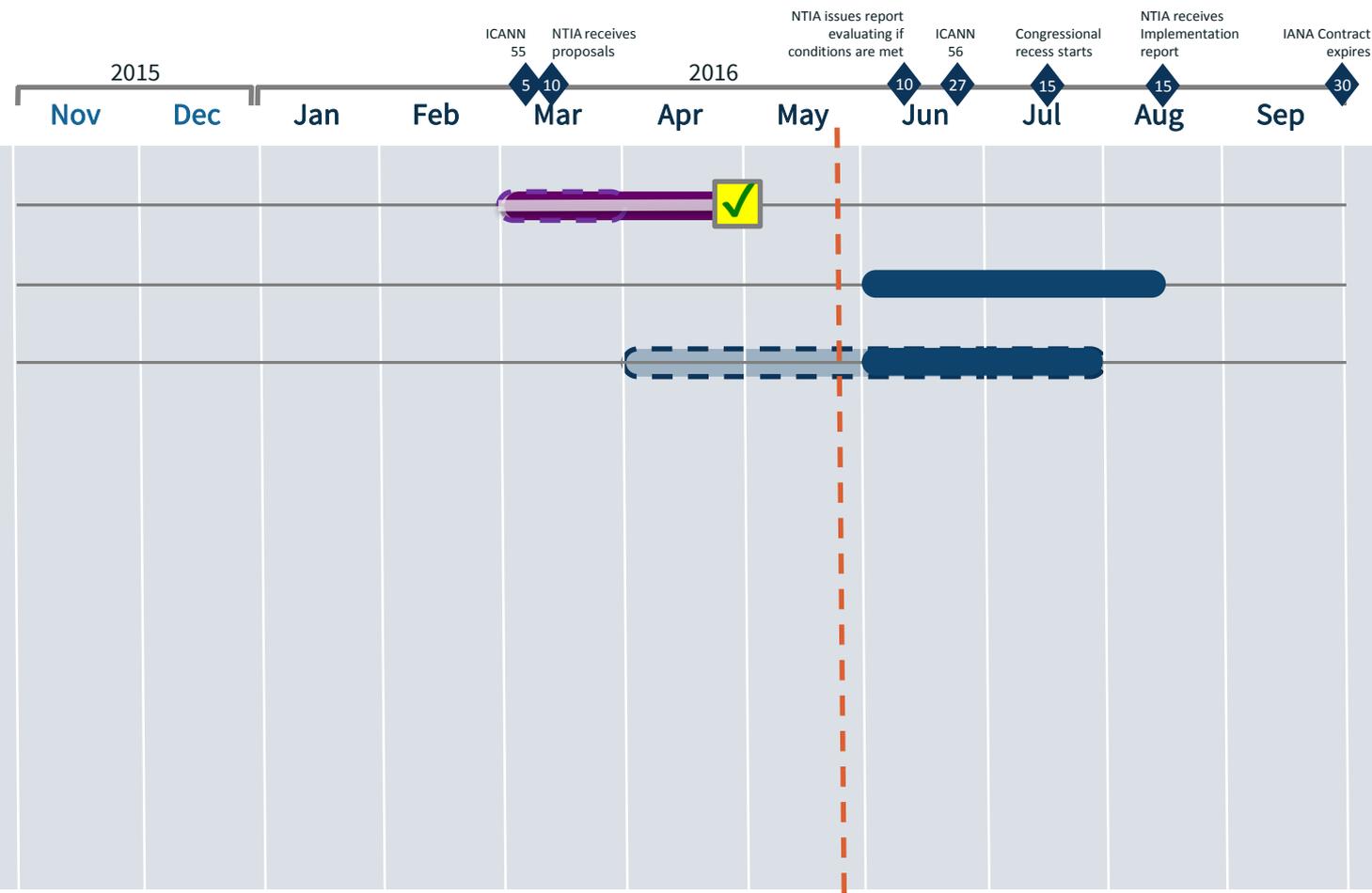
CSC Formation



Project Updates:
 ✓ Draft request for appointments sent to CWG for review.

Upcoming Activities:
 ▪ Send request for CSC members and liaisons appointment.

Open Items:

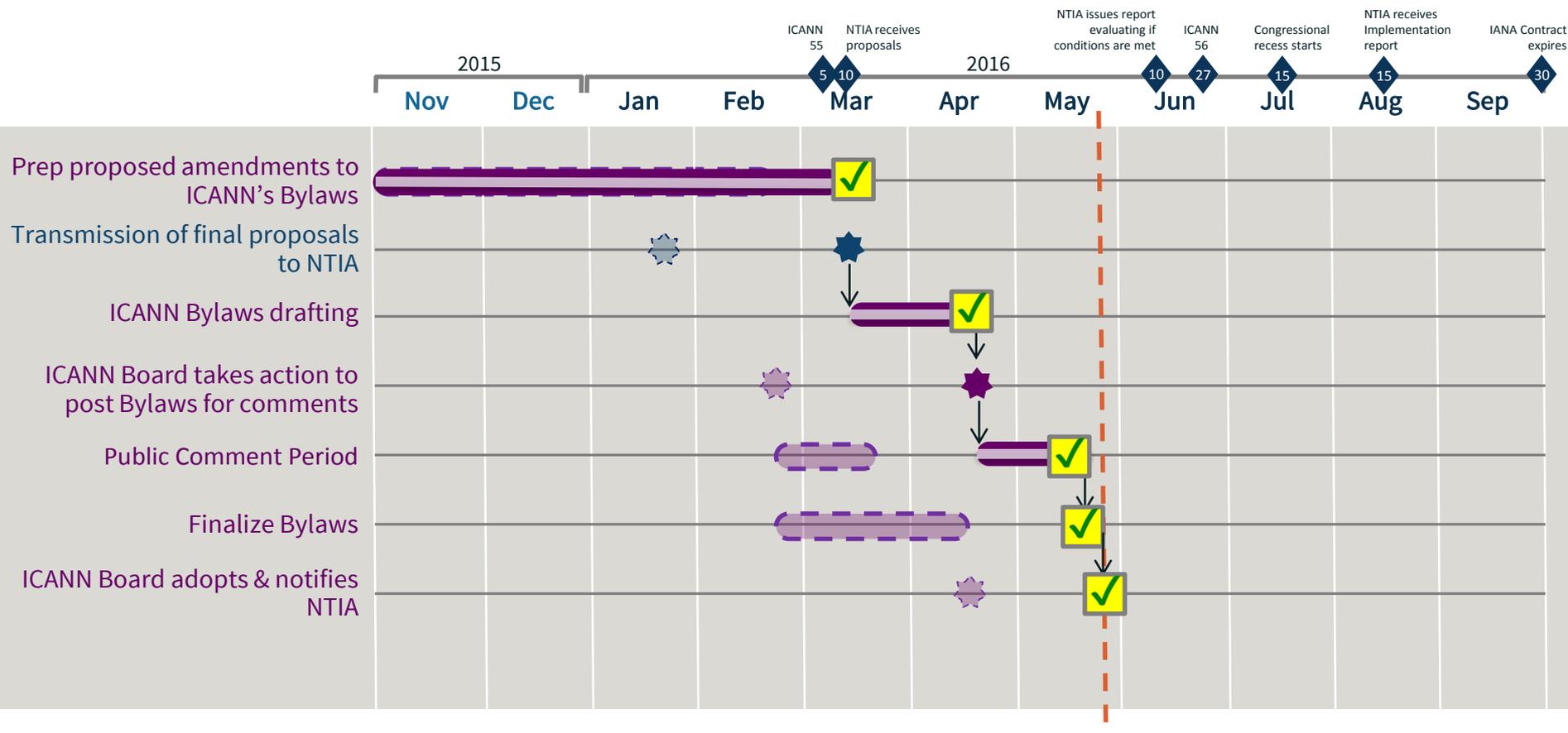


Project Updates:

Upcoming Activities:

- Update process documentation

Open Items:



Project Updates:

✓ ICANN Board adopted ICANN bylaws

Upcoming Activities:

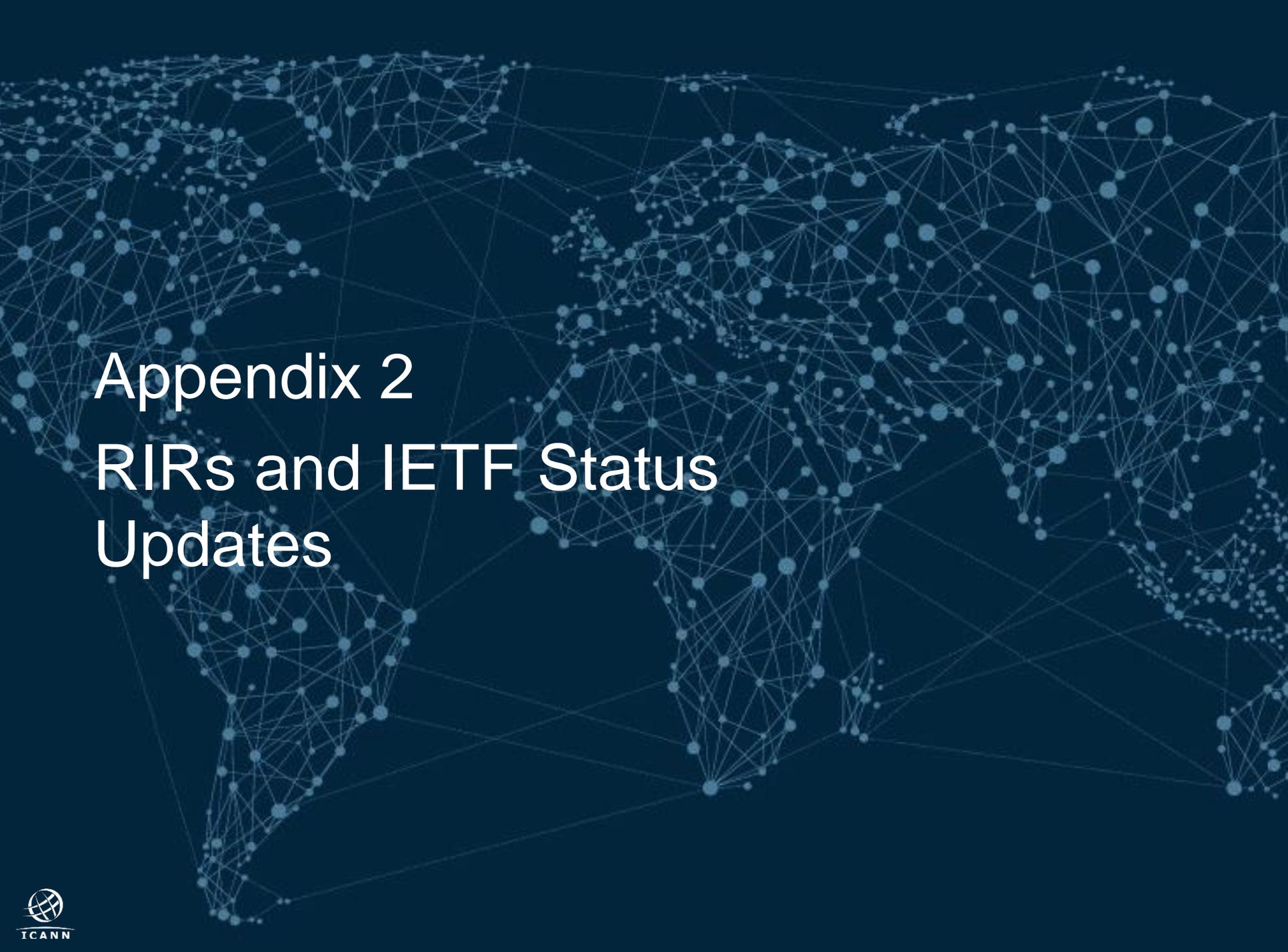
Open Items:



DRAFT for Discussion

- Baseline
- Bylaws activity
- USG activity
- Current Plan

* Timeframes are estimates | 12



Appendix 2

RIRs and IETF Status Updates

RIRs SLAs & IETF MoU Supplemental Agreement

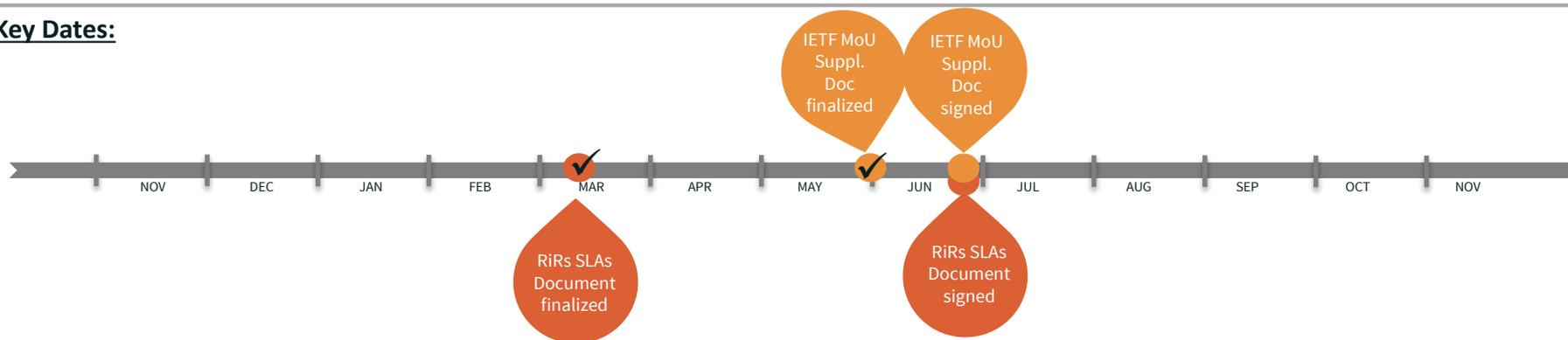
Status As Of Date:

05/27/16

Project	Description	Plan Completion Date*	Status	% Complete
RIRs SLAs (Service Level Agreement with the Number Community)	Completion of draft agreement, including SLAs with RIRs, and operationalization of SLAs.	06/30/16		 95%
IETF MoU Supplemental Agreement	Completion of draft ICANN-IETF MoU Supplemental Agreement.	06/30/16		 95%

* Completion Date dependent on USG's approval of proposals

Key Dates:



Project Updates:

- ✓ ICANN Board approved RIR SLA for signature.
- ✓ ICANN Board approved IETF MoU document for signature.

Upcoming activities:

- Signing of the RIR SLA document
- Signing of the IETF MoU document

Open Items:



DRAFT for Discussion



On-track



Behind schedule, but recovery still possible

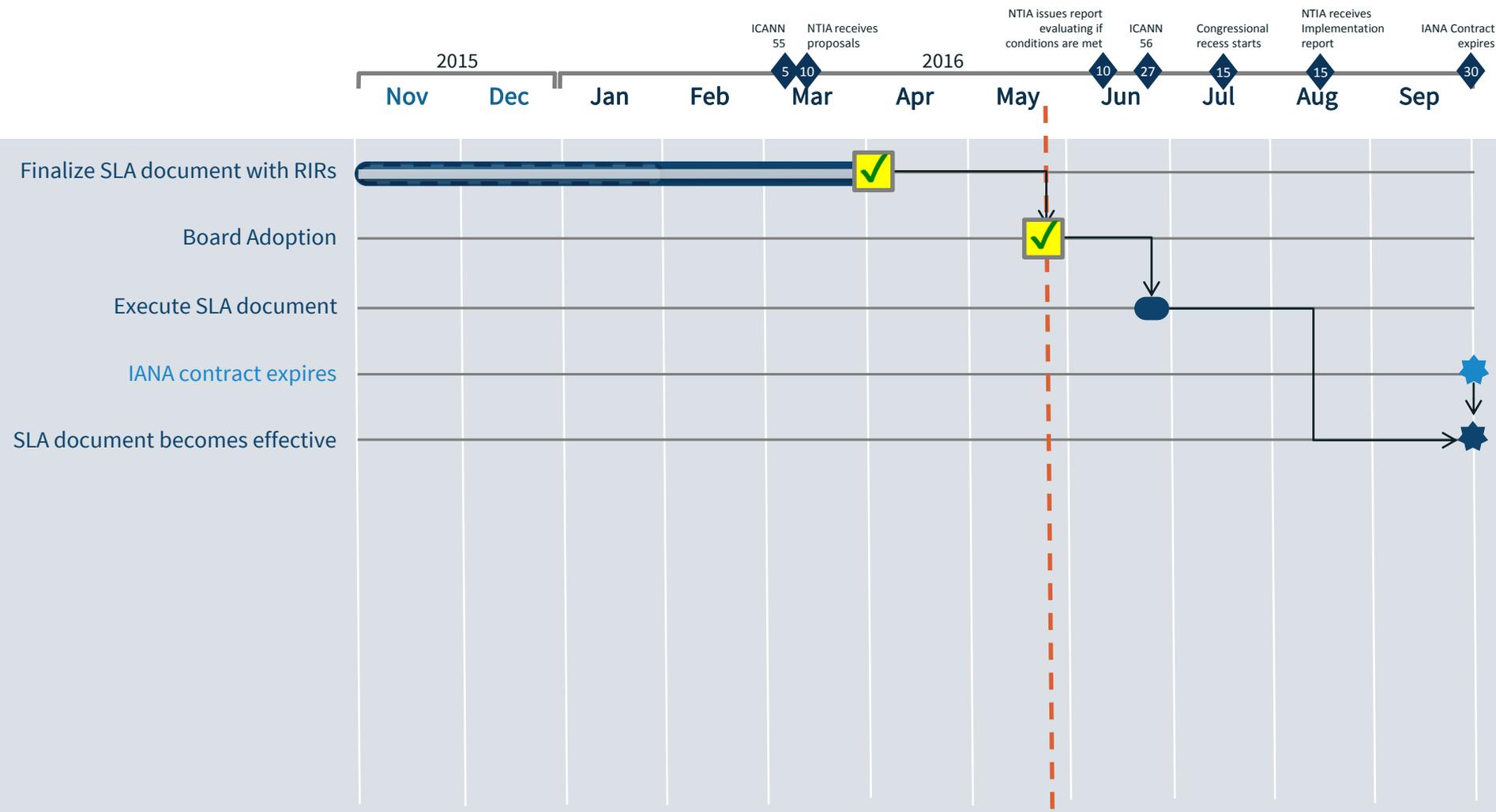


Not started



Target will be missed

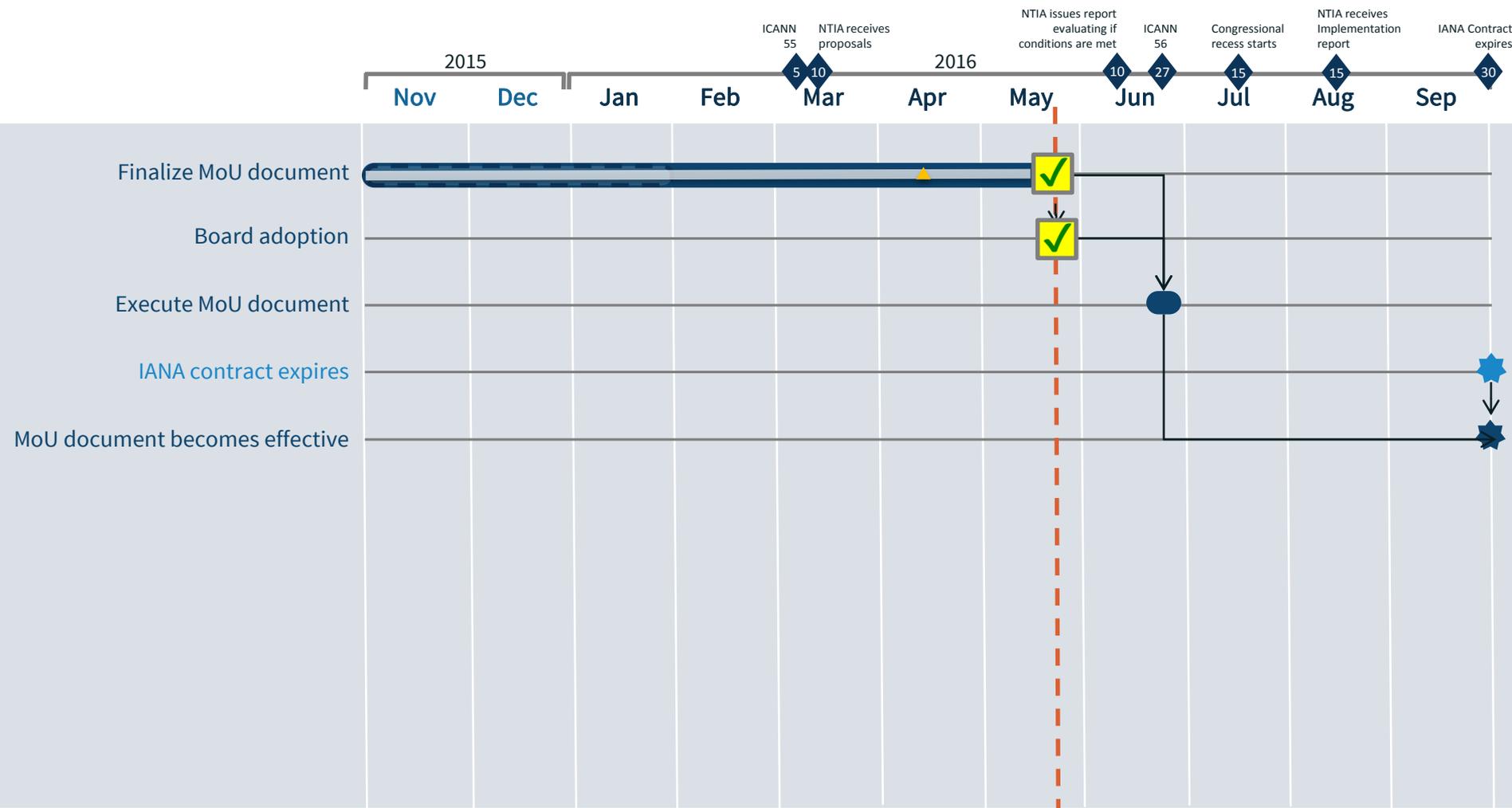
* All dates are estimates | 14



Project Updates:
 ✓ ICANN Board approved RIR SLA for signature.

Upcoming Activities:
 ▪ Signing of the RIR SLA document.

Open Items:



Project Updates:

- ✓ ICANN Board approved IETF MoU document for signature.

Upcoming Activities:

- Signing of the IETF MoU document.

Open Items:

Appendix 3

Post Transition Projects

Post Transition Projects

	Project	Description
–	IANA Remedial Action Procedures	New remediation process for the CSC to address poor performance with IANA before escalation to the ccNSO/GNSO. To be finalized between CSC and IANA post transition. (CWG)
–	IANA Function Review (IFR)	Review of PTI's performance against the ICANN-PTI contract and SOW. First IFR to take place 2 years after transition. (CWG)
–	Special IFR	To be initiated when CSC remedial action procedures and IANA problem resolution process are followed and failed to correct deficiency. (CWG)
–	Separation Cross Community Working Group (SCWG)	To be formed if the special IFR results in a recommendation for separation. (CWG)
–	Transition to Successor IFO	Plan for Transition to Successor IFO. (CWG)
–	Formal study on changes to root zone content	To investigate whether there is need to increase the robustness of the operational arrangements for making changes to the root zone content to reduce or eliminate single point of failure. (CWG)
–	CCWG-Accountability Work Stream 2	To commence at ICANN55 and complete by end of 2016; WS 2 elements include: Diversity, SO/AC accountability, Transparency, Human Rights, Jurisdiction, Interim Bylaw. (CCWG)