Day 1 Agenda

- 8:30 – 9:00 Welcome and overview of the agenda, (Theresa Swinehart and Margie Milam, ICANN)

- 9:00 – 10:45 Terms of reference and work plan (Jonathan Zuck)
  - Discuss conflict of interest policy, (Erika Randall, ICANN)
  - Sub teams: Competition and consumer choice, safeguards and consumer trust (Jonathan Zuck)
  - Nielsen and Analysis Group sub teams (Jonathan Zuck)
  - Choose liaisons to other relevant streams of work (Jonathan Zuck)

- 10:45 – 11:00 Break

- 11:00 – 11:30 Welcome from Akram Atallah, President, ICANN’s Global Domains Division
Day 1 Agenda Continued

- 11:30 – 12:00 Brainstorming session: Team/individual goals for this review (Margie Milam, ICANN)
- 12:00 – 13:00 Lunch and ice-breaker
- 13:00 – 13:45 Briefing and discussion: New gTLD Program history and development (Karen Lentz, ICANN)
- 13:45 – 14:15 CCTRT budget (Xavier Calvez, CFO, ICANN)
- 14:15 – 14:30 Break
- 14:30 – 15:30 Briefing and Discussion, Program Implementation Review (Christine Willett, VP ICANN gTLD Operations, Cristina Flores, Russ Weinstein, Christopher Bare, ICANN gTLD Operations)
- 15:30 – 17:00 Discussion: Application and evaluation process (Jonathan Zuck)
- End of day 1
Terms of Reference and Work Plan
Jonathan Zuck and ICANN staff
Terms of Reference

• Review conflict of interest policy (Erika Randall, ICANN)
  • Statements of interests

• Finalize document
Work Plan

- Review document

- Finalize timeline and sub teams
  - Competition and consumer choice
  - Safeguards and consumer trust

- Nielsen and Analysis Group working groups

- Liaisons to other streams of related work:
  - New gTLDs PDP Working Group
  - RPM PDP Working Group
  - gTLD Marketplace Health Index
  - TMCH Review
  - DNS Abuse Review
  - Root Stability Study
Break
Welcome
Akram Atallah, President, ICANN’s Global Domains Division
Brainstorming: What are our goals for the review?
Margie Milam
Lunch
What is the New gTLD Program?

• An initiative to enable the introduction of generic top-level domain names (including IDNs)

• Intended to realize full benefits of growing Internet

• Intended to facilitate competition, choice for Internet users and innovation

• Encourage participation of communities
  • Geographic
  • Language
  • Cultural
1998 - founding documents

“The new corporation ultimately should … oversee policy for determining the circumstances under which new TLDs are added to the root system”

ICANN Memoranda of Understanding

“Define and implement a predictable strategy for selecting new TLDs”
gTLD Timeline

Predating ICANN (before 1998)
- .com .edu .gov .int .mil .net .org .arpa

2000 Round
- .aero .biz .coop .info .museum .name .pro

2004 Round
- .asia .cat .xxx .jobs .mobi .tel .travel .post

New gTLD Policy development
Dec 2005 to Sep 2007
Public Participation and the Draft Applicant Guidebook
- October 2008 (version 1)
- May 2009 (excerpts)
- March 2009 (version 2)
- October 2009 (version 3)
- February 10 (excerpts)
- May 2010 (version 4)
- November 2010 (proposed final version)
- April 2011 Discussion Draft
- May 2011 Applicant Guidebook

Board Approval of Final Guidebook

Publication Final Applicant Guidebook

Program Launch
12 January - 12 April 2012

ICANN Board Policy approval
Jun 2008

Communications Campaign
20 June 2011

On-going status reporting on ICANN’s website
New gTLD Policy Development

• 21-month intensive, bottom-up effort by ICANN’s volunteer policy development body, including testing “implementability”
  • Face-to-face meetings
  • Numerous public comment periods
• Informed by several independent working groups
  • IDN
  • Reserved names
  • Protection of rights
• Informed by ICANN’s Advisory Committees
  • Governmental Advisory Committee *Principles on New gTLDs*
  • The At-Large community
  • Security and Stability Advisory Committee
Policy Conclusions

• New gTLDs will provide benefit:
  • Broaden DNS participation
  • Increased choice, competition
• Include IDNs
• New gTLDs should not cause security or stability issues
• Allocation method and selection criteria guidance
  • Limited by rounds
  • Not limited by category
• Certain important issues should be protected
Interests to be Protected in the Process

- Intellectual property rights
- Community interests
- Limited public interest safeguards: sensitive names
- User confusion should be avoided
- Interests of governments
Policy Implementation: Applicant Guidebook

- Iteration with ICANN community
- Seven complete versions of Guidebook
- 47 comment periods – over 2400 comments / analyses
- 55 Explanatory memoranda / Independent reports
  - Economic studies
  - Consultation with SSAC / RSAC / Root Server Operators
- Development of dispute resolution processes
- Rights protection mechanisms and malicious conduct mitigation measures
Conclusion

New gTLD Program was developed and designed to open the namespace in a way that:

• Assured ongoing stability and security of the DNS
• Protected the legal rights of others
• Promoted competition and choice for users
• Engendered global stakeholder participation in the Internet
CCTRT Budget
Xavier Calvez, CFO, ICANN
Briefing: Program Implementation Review

Christine Willett, VP, gTLD Operations, ICANN
Cristina Flores, Christopher Bare, ICANN gTLD Operations
Overview of Program Implementation
Stages of the Application Process

Application Submission
- Application Submission
- Application Fee
- Prioritization Draw

Evaluation
- Background Screening
- String Reviews
- Application Reviews

Objections & Dispute Resolution
- GAC Early Warning
- GAC Advice
- Objections

Contention Resolution
- Community Priority Evaluation
- Auction

Contracting to Delegation
- Registry Agreement with ICANN
- Pre-Delegation Testing
- Registry Onboarding
- Delegation
# New gTLD Program Timeline

<table>
<thead>
<tr>
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<th>Completed</th>
<th>Remaining</th>
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<tbody>
<tr>
<td><strong>Calendar Years</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2012</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2013</td>
<td>100%</td>
<td></td>
</tr>
<tr>
<td>2014</td>
<td>99%</td>
<td>1%</td>
</tr>
<tr>
<td>2015</td>
<td>92%</td>
<td>8%</td>
</tr>
<tr>
<td>2016</td>
<td>97%</td>
<td>3%</td>
</tr>
<tr>
<td>2017</td>
<td>80%</td>
<td>20%</td>
</tr>
<tr>
<td>2018</td>
<td>74%</td>
<td>26%</td>
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**First new gTLD Delegation:** 23 October 2013
As of 17 February 2016

Current Application Landscape

Prior to Contracting

Withdrawal Expected

108

Contracted

15

Contracted

310

Delegated

23

Delegated

911

Total TLDs (% of unique strings)

911 Delegated (72%)

962 Delegated or w/Token (76%)

1221 Contracted (97%)

Potential Unique Strings

1259

Confidential & Business Proprietary
Program Implementation Review Report
What were the main goals?
- Provide data and context about Applicant Guidebook implementation to CCT Review Team
- To capture lessons learned from implementation as a potential input to the design of future rounds

Who performed the review?
- An ICANN staff review team involved with executing the New gTLD Program

What main aspects were considered?
- Metrics and statistics (of 31 July 2015)
- Feedback from participants
- Staff and service provider observations
Review Areas

- Application Processing
- Application Evaluation
- Objection Procedures
- Contention Resolution
- Contracting & Transition to Delegation
- Applicant Support Program
- Continued Operations Instrument
- Program Management
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<th>Review Dimensions</th>
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<tbody>
<tr>
<td>1</td>
<td><strong>Efficiency</strong></td>
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<tr>
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<td>To what extent resources (time, effort, cost) were well used for the intended purpose.</td>
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<td>2</td>
<td><strong>Effectiveness</strong></td>
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<td>To what degree the process was successful in producing desired results/achieving objectives.</td>
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<td>3</td>
<td><strong>Fairness</strong></td>
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<td>To what extent decision-making was consistent, objective, and adhered to documented policies and procedures.</td>
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<td>4</td>
<td><strong>Predictability</strong></td>
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<td>To what extent the Program process/procedures/timelines provided predictability.</td>
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<tr>
<td>5</td>
<td><strong>Security and Stability</strong></td>
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<tr>
<td></td>
<td>To what extent the process/procedure/framework supported security and stability of the DNS.</td>
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<tr>
<td>6</td>
<td><strong>Alignment to Policy and implementation Guidelines</strong></td>
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<td>To what extent the Program execution adhered to GNSO policy recommendations and AGB.</td>
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Lessons Learned and Public Comments

- Lessons learned are intended as considerations for future rounds of the New gTLD Program
  - 49 lessons learned identified in the report
- Public comment period from September 2015 – December 2015
  - Updated report and summary and analysis of comments published in January 2016
Discussion: Application and evaluation process

Jonathan Zuck