CCT Review Team F2F

Day 1 | Los Angeles | 22 Feb. 2016

ICANN

Day 1 Agenda

- 8:30 – 9:00   Welcome and overview of the agenda, (Theresa Swinehart and Margie Milam, ICANN)

- 9:00 – 10:45 Terms of reference and work plan (Jonathan Zuck)
  - Discuss conflict of interest policy, (Erika Randall, ICANN)
  - Sub teams: Competition and consumer choice, safeguards and consumer trust (Jonathan Zuck)
  - Nielsen and Analysis Group sub teams (Jonathan Zuck)
  - Choose liaisons to other relevant streams of work (Jonathan Zuck)

- 10:45 – 11:00   Break

- 11:00 – 11:30 Welcome from Akram Atallah, President, ICANN's Global Domains Division

Day 1 Agenda Continued

- ⊙ 11:30 – 12:00   Brainstorming session: Team/individual goals for this review (Margie Milam, ICANN)

- ⊙ 12:00 – 13:00   Lunch and ice-breaker

- ⊙ 13:00 – 13:45   Briefing and discussion: New gTLD Program history and development (Karen Lentz, ICANN)

- ⊙ 13:45 – 14:15   CCTRT budget (Xavier Calvez, CFO, ICANN)

- ⊙ 14:15 – 14:30   Break

- ⊙ 14:30 – 15:30   Briefing and Discussion, Program Implementation Review (Christine Willett, VP ICANN gTLD Operations, Cristina Flores, Russ Weinstein, Christopher Bare, ICANN gTLD Operations)

- ⊙ 15:30 – 17:00   Discussion: Application and evaluation process (Jonathan Zuck)

- ⊙ End of day 1

Terms of Reference

- Review conflict of interest policy (Erika Randall, ICANN)
  - Statements of interests

- Finalize document

Work Plan

- ⊙ Review document

- ⊙ Finalize timeline and sub teams
  - ○ Competition and consumer choice
  - ○ Safeguards and consumer trust

- ⊙ Nielsen and Analysis Group working groups

- ⊙ Liaisons to other streams of related work:
  - ⊙ New gTLDs PDP Working Group
  - ⊙ RPM PDP Working Group
  - ⊙ gTLD Marketplace Health Index
  - ⊙ TMCH Review
  - ⊙ DNS Abuse Review
  - ⊙ Root Stability Study

Break

Welcome

Akram Atallah, President, ICANN's Global Domains Division

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Lunch

Briefing: New gTLD Program History & Development

Karen Lentz

What is the New gTLD Program?

- An initiative to enable the introduction of generic top-level domain names (including IDNs)

- Intended to realize full benefits of growing Internet

- Intended to facilitate competition, choice for Internet users and innovation

- Encourage participation of communities

  - Geographic

  - Language

  - Cultural

ICANN's Mission and New gTLDs

1998 - founding documents

"The new corporation ultimately should … oversee policy for determining the circumstances under which
new TLDs are added to the root system"

ICANN Memoranda of Understanding

"Define and implement a predictable strategy
for selecting new TLDs"

gTLD Timeline

Predating ICANN (before 1998)

2004 Round

.com .edu
.gov .int .mil .net
.org .arpa

.aero .biz .coop
.info .museum
.name .pro

.asia .cat .xxx
.jobs .mobi .tel
.travel .post

2000 Round

**New gTLD
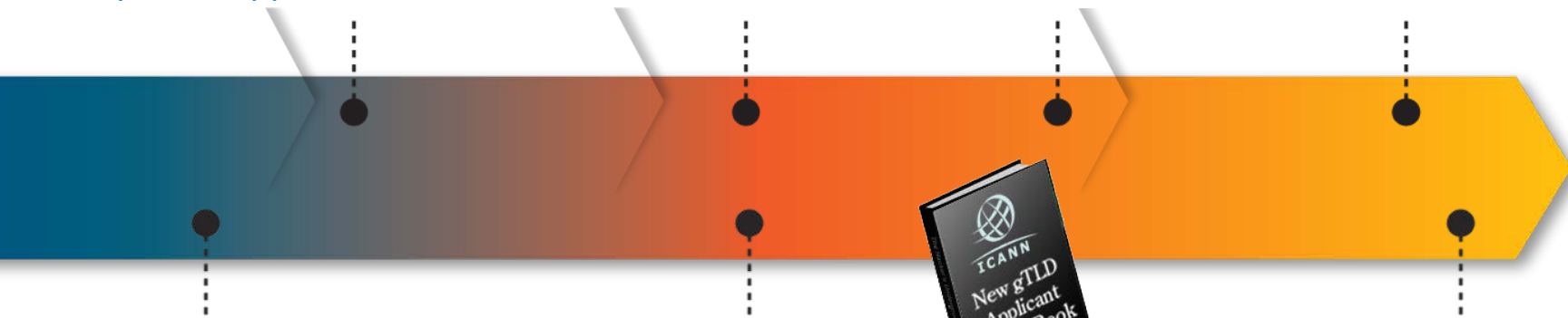Policy development**
Dec 2005 to Sep 2007

Public Participation and the Draft Applicant Guidebook

- October 2008 (version 1)
- May 2009 (excerpts)
- March 2009 (version 2)
- October 2009 (version 3)
- February 10 (excerpts)
- May 2010 (version 4)
- November 2010 (proposed final version)
- April 2011 Discussion Draft
- May 2011 Applicant Guidebook

Board Approval of Final Guidebook

Publication Final Applicant Guidebook

Program Launch
12 January - 12 April 2012

ICANN Board Policy approval Jun 2008

Communications Campaign 20 June 2011

On-going status reporting on ICANN's website

New gTLD Policy Development

- 21-month intensive, bottom-up effort by ICANN's volunteer policy development body, including testing "implementability"
  - Face-to-face meetings
  - Numerous public comment periods
- Informed by several independent working groups
  - IDN
  - Reserved names
  - Protection of rights
- Informed by ICANN's Advisory Committees
  - Governmental Advisory Committee *Principles on New gTLDs*
  - The At-Large community
  - Security and Stability Advisory Committee

Policy Conclusions

- New gTLDs will provide benefit:
  - Broaden DNS participation
  - Increased choice, competition
- Include IDNs
- New gTLDs should not cause security or stability issues
- Allocation method and selection criteria guidance
  - Limited by rounds
  - Not limited by category
- Certain important issues should be protected

Interests to be Protected in the Process

- Intellectual property rights

- Community interests

- Limited public interest safeguards: sensitive names

- User confusion should be avoided

- Interests of governments

Policy Implementation: Applicant Guidebook

- Iteration with ICANN community

- Seven complete versions of Guidebook

- 47 comment periods – over 2400 comments / analyses

- 55 Explanatory memoranda / Independent reports
  - Economic studies
  - Consultation with SSAC / RSAC / Root Server Operators

- Development of dispute resolution processes

- Rights protection mechanisms and malicious conduct mitigation measures

Conclusion

New gTLD Program was developed and designed to open the namespace in a way that:

- Assured ongoing <u>stability and security</u> of the DNS

- <u>Protected the legal rights</u> of others

- <u>Promoted competition and choice</u> for users

- Engendered <u>global stakeholder participation</u> in the Internet

CCTRT Budget
Xavier Calvez, CFO, ICANN

Briefing: Program Implementation Review

Christine Willett, VP, gTLD Operations, ICANN

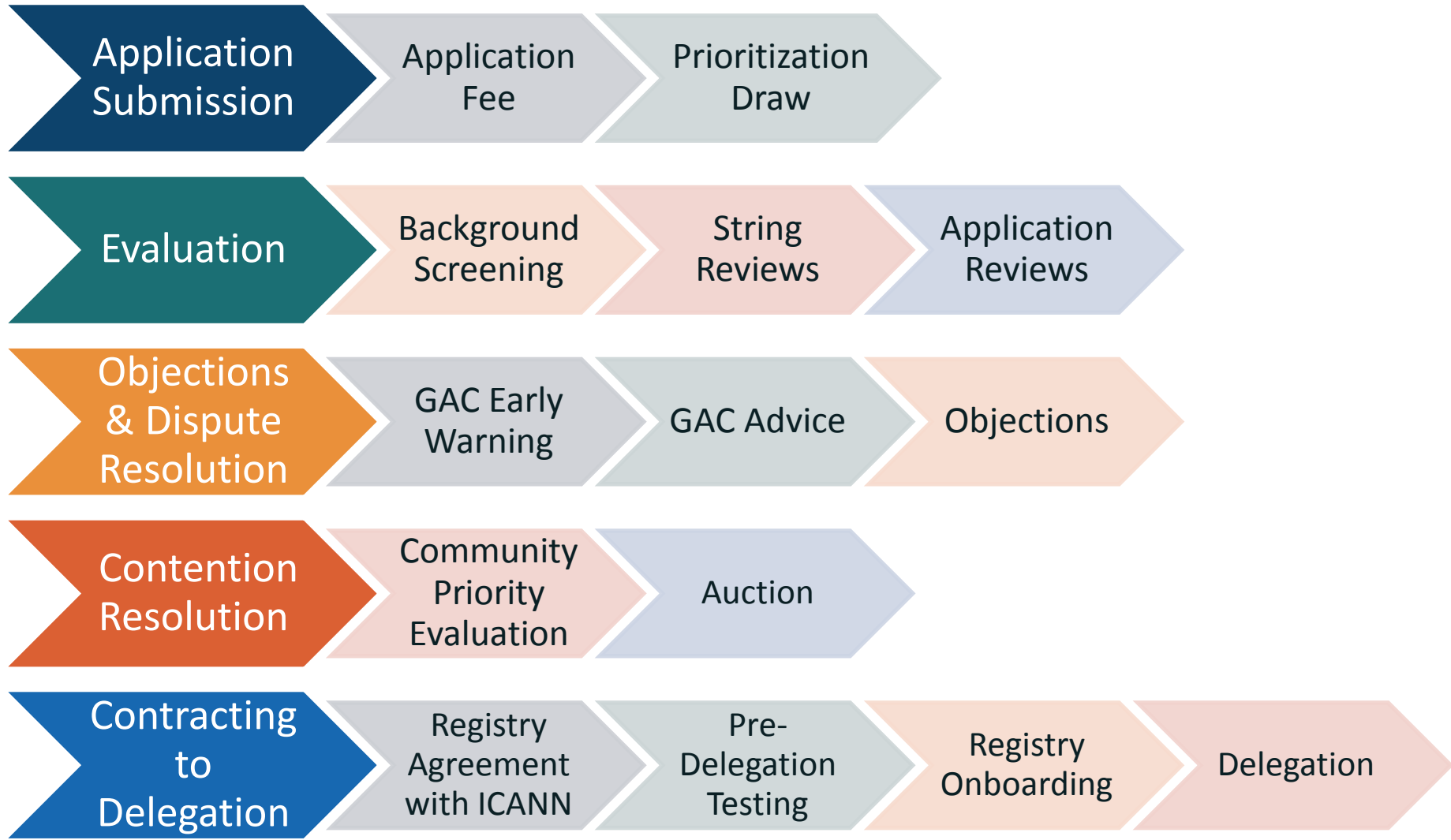Cristina Flores, Christopher Bare, ICANN gTLD Operations

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New gTLD Program Implementation

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Stages of the Application Process

Application Submission	Application Fee	Prioritization Draw		

Evaluation	Background Screening	String Reviews	Application Reviews	

Objections & Dispute Resolution	GAC Early Warning	GAC Advice	Objections	

Contention Resolution	Community Priority Evaluation	Auction		

Contracting to Delegation	Registry Agreement with ICANN	Pre-Delegation Testing	Registry Onboarding	Delegation

New gTLD Program Timeline

	Completed	Remaining

Calendar Years

	2012	2013	2014	2015	2016	2017
Application Window	100%					
Application Evaluation		100%				
Dispute Resolution		99%			1%	
Contention Resolution			92%		8%	
Contracting			97%		3%	
PDT			80%		20%	
Delegation			74%		26%	

First new gTLD Delegation:
23 October 2013
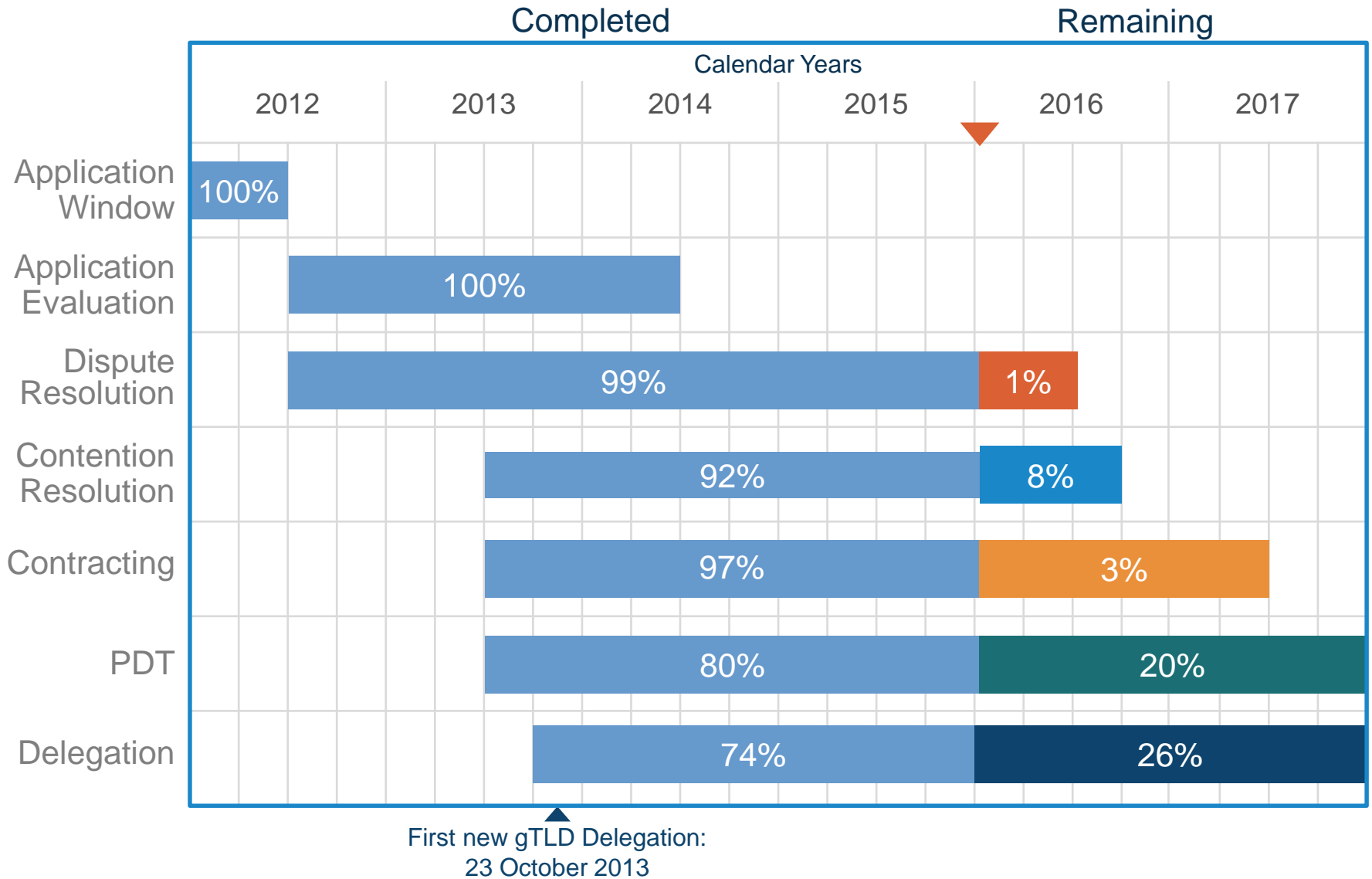
Current Application Landscape

As of 17 February 2016

Prior to Contracting

Withdrawal Expected

In Contracting

15

108

23

| Withdrawn 563 | | Contracted 310 | Delegated 911 |

1259
Potential Unique Strings
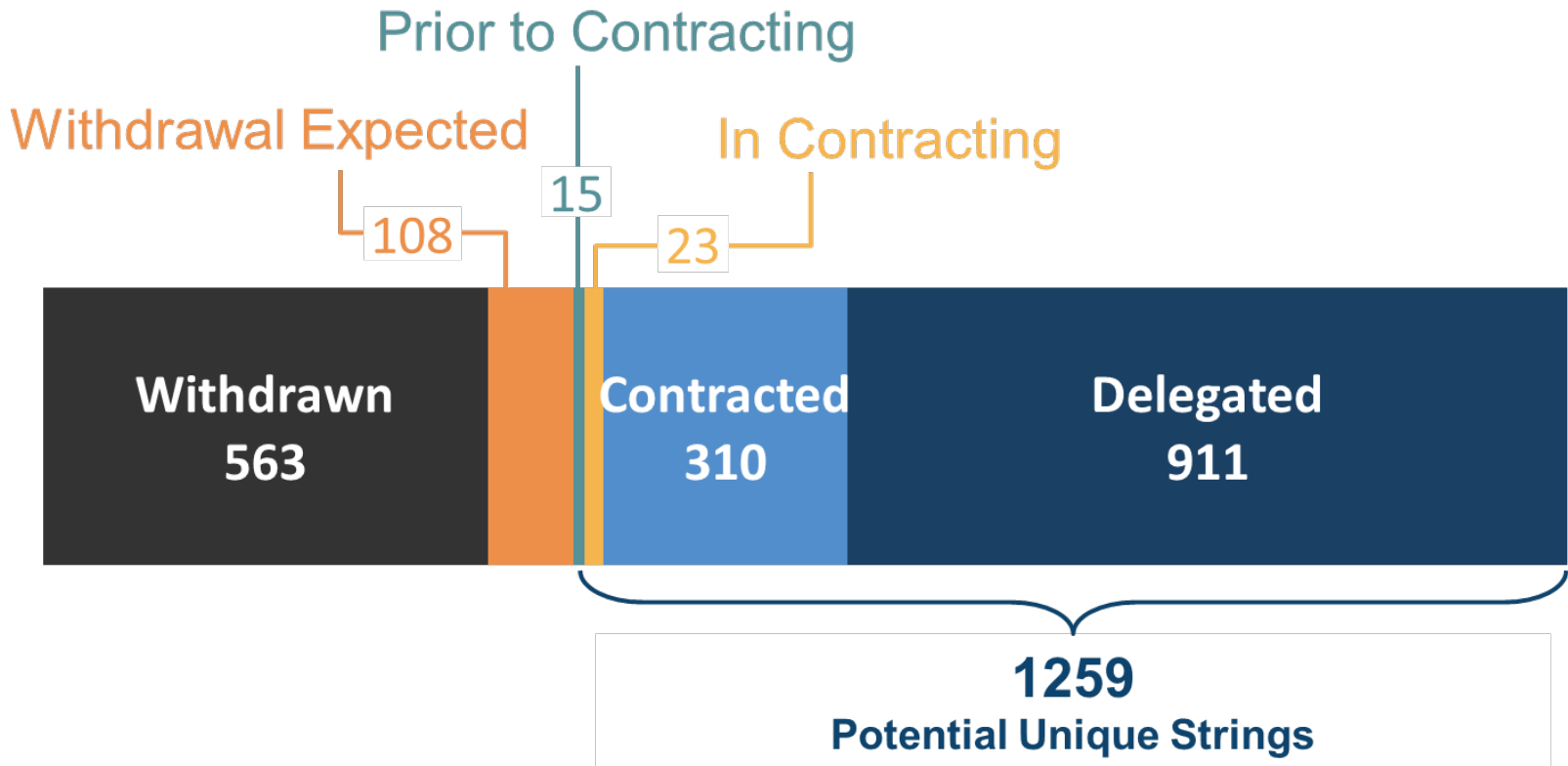
Total TLDs (% of unique strings)

911 Delegated (72%) ‖ 962 Delegated or w/Token (76%) ‖ 1221 Contracted (97%)

Program Implementation Review Report

Review Approach

◆ **What were the main goals?**
  ❑ Provide data and context about Applicant Guidebook implementation to CCT Review Team
  ❑ To capture lessons learned from implementation as a potential input to the design of future rounds

◆ **Who performed the review?**
  ❑ An ICANN staff review team involved with executing the New gTLD Program

◆ **What main aspects were considered?**
  ❑ Metrics and statistics (*of 31 July 2015*)
  ❑ Feedback from participants
  ❑ Staff and service provider observations

Review Areas

Application Processing	**Application Evaluation**	**Objection Procedures**	**Contention Resolution**
Contracting & Transition to Delegation	**Applicant Support Program**	**Continued Operations Instrument**	**Program Management**

Review Dimensions

1 · **Efficiency**
To what extent resources (time, effort, cost) were well used for the intended purpose.

2 · **Effectiveness**
To what degree the process was successful in producing desired results/achieving objectives.

3 · **Fairness**
To what extent decision-making was consistent, objective, and adhered to documented policies and procedures.

4 · **Predictability**
To what extent the Program process/procedures/timelines provided predictability.

5 · **Security and Stability**
To what extent the process/procedure/framework supported security and stability of the DNS.

6 · **Alignment to Policy and implementation Guidelines**
To what extent the Program execution adhered to GNSO policy recommendations and AGB.

Lessons Learned and Public Comments

◆ Lessons learned are intended as considerations for future rounds of the New gTLD Program

    ◆ 49 lessons learned identified in the report

◆ Public comment period from **September 2015 – December 2015**

    ◆ Updated report and summary and analysis of comments published in **January 2016**

    ◆ View report and comments: https://www.icann.org/public-comments/new-gtld-draft-review-2015-09-23-en