Transition Implementation Status Reporting

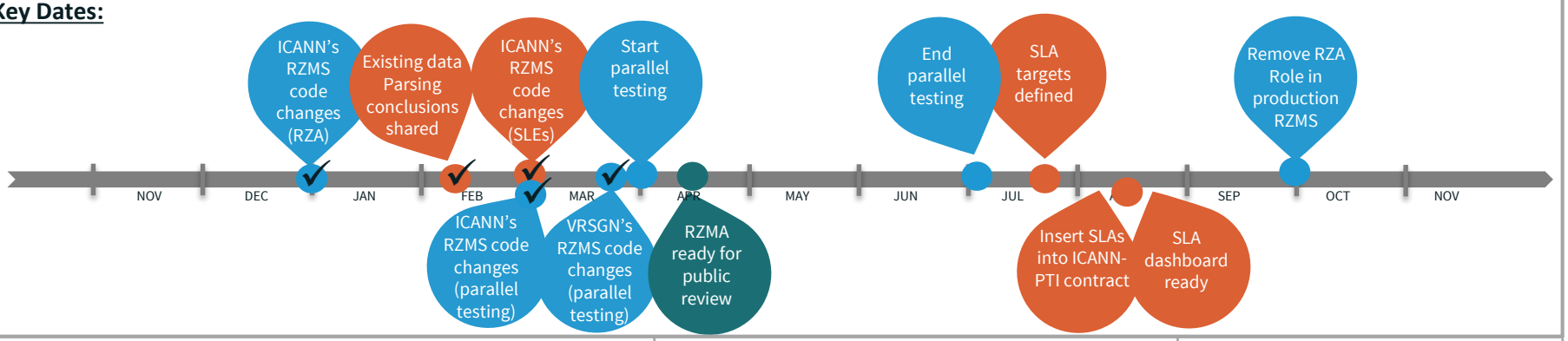
31 March 2016

RZMS, RZMA & Names SLEs

Status As Of Date: 03/30/16

Project	Description	Plan Completion Date	Status	% Complete
RZMS changes to remove RZA role and support parallel testing	Remove NTIA's authorization process from RZMS (code changes for ICANN and Verisign, and parallel testing).	~~09/30/16~~ ~~08/15/16~~ 07/06/16	On-track	50%
Names SLEs (SLEs for Naming Community)	RZMS code changes to accommodate new SLEs, inclusion of performance targets in ICANN-PTI contract, dashboard reporting of SLAs.	~~09/30/16~~ 08/15/16	On-track	30%
RZMA (Agreement with Root Zone Maintainer)	Completion of draft RZMA.	~~01/31/16~~ ~~02/29/16~~ 04/15/16	On-track	90%

Key Dates:



Project Updates:

- ✓ Testing of integration of 2 parallel systems started.
- ✓ Met with SLE WG on 07 March to agree on future work phases and approach.
- ✓ Blog update on RZMA published.

Upcoming Activities:

- Start Parallel Testing on 06 April.
- Finalize draft RZMA.

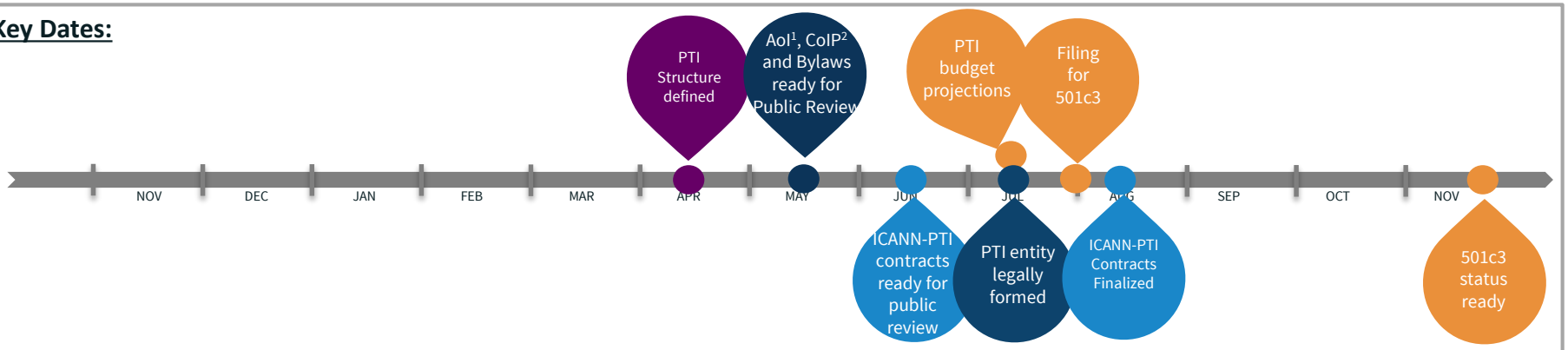
Open Items:

PTI

Status As Of Date: 03/30/16

Project	Description	Plan Completion Date	Status	% Complete
PTI *(Post-Transition IANA)*	Formation of PTI entity: legal formation (incorporation of affiliate, filing for 501c3, drafting of contract, etc.) and operationalization of PTI entity (board nominations, budget, etc.).	~~09/30/16~~ 08/15/16		10%

Key Dates:



[1] *AoI: Articles of Incorporation* / [2] *CoIP: Conflicts of Interest Policy*

Updates:

- ✓ PTI structure agreed to with IOTF
- ✓ PTI implementation timeline adjusted to take into account new engagement with IOTF

Upcoming activities:

- Discuss PTI staffing with IOTF
- Discuss PTI governing documents (Articles of Incorporation, Conflict of Interest and Whistleblower policies, and Bylaws) with IOTF

Open Items:

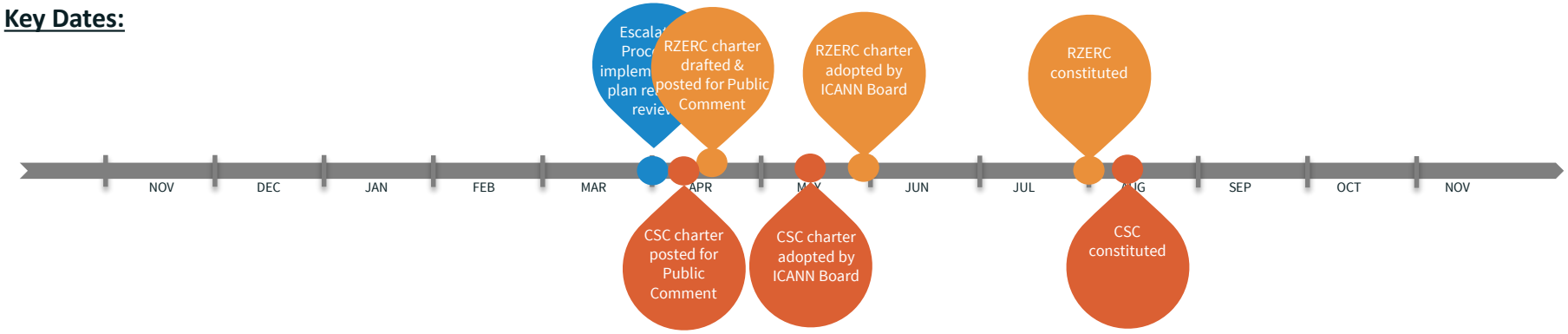
- ICANN memo regarding PTI staffing

IPR, RZERC, CSC & Escalation Processes

Status As Of Date: 03/30/16

	Project	Description	Plan Completion Date	Status	% Complete
	IANA IPR	Transfer of IANA IPR to a neutral trust.		■	0%
	RZERC *(Root Zone Evolution Review Committee)*	Formation of committee to advise ICANN Board on RZMS architectural and operational changes.	~~09/30/16~~ 08/15/16	●	10%
	CSC *(Customer Service Standing Committee)*	Formation of committee to monitor PTI performance.	~~09/30/16~~ 08/15/16	●	10%
	Escalation Processes	Updating of existing IANA operational complaint and escalation processes.	~~09/30/16~~ 08/15/16	●	10%

Key Dates:



Project Update:

- ✓ Reviewed high-level description of RZERC charter with IOTF
- ✓ Added 30-days public comment period for review of RZERC charter

Upcoming Activities:

- Share with IOTF items requiring clarification

Open Items:

- Waiting on implementation requirements for IANA IPR

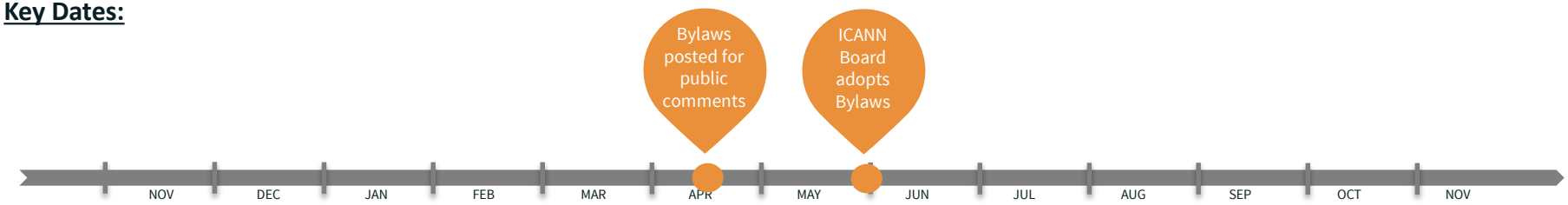
Enhancing ICANN's Accountability

Status As Of Date: 03/30/16

	Project	Description	Plan Completion Date	Status	% Complete
	ICANN's Bylaws	Updating of ICANN Bylaws.	~~06/09/16~~ 05/27/16*		10%
	IRP Enhancements	Implement enhancements to Independent Review Process.	~~09/30/16~~ 08/15/16		0%
	RR Enhancements	Implement enhancements to ICANN's reconsideration request process.	~~09/30/16~~ 08/15/16		0%
	Empowered Community Enhancements	Implement processes and mechanisms in support of the empowered community.	~~09/30/16~~ 08/15/16		0%
	Caretaker budget	Develop a caretaker budget	08/15/16		0%

** Assuming a 90-days NTIA inter-agency review period*

Key Dates:



Project Update:
- ✓ Drafting of bylaws in progress

Upcoming Activities:
- ▪ Community review of draft Bylaws

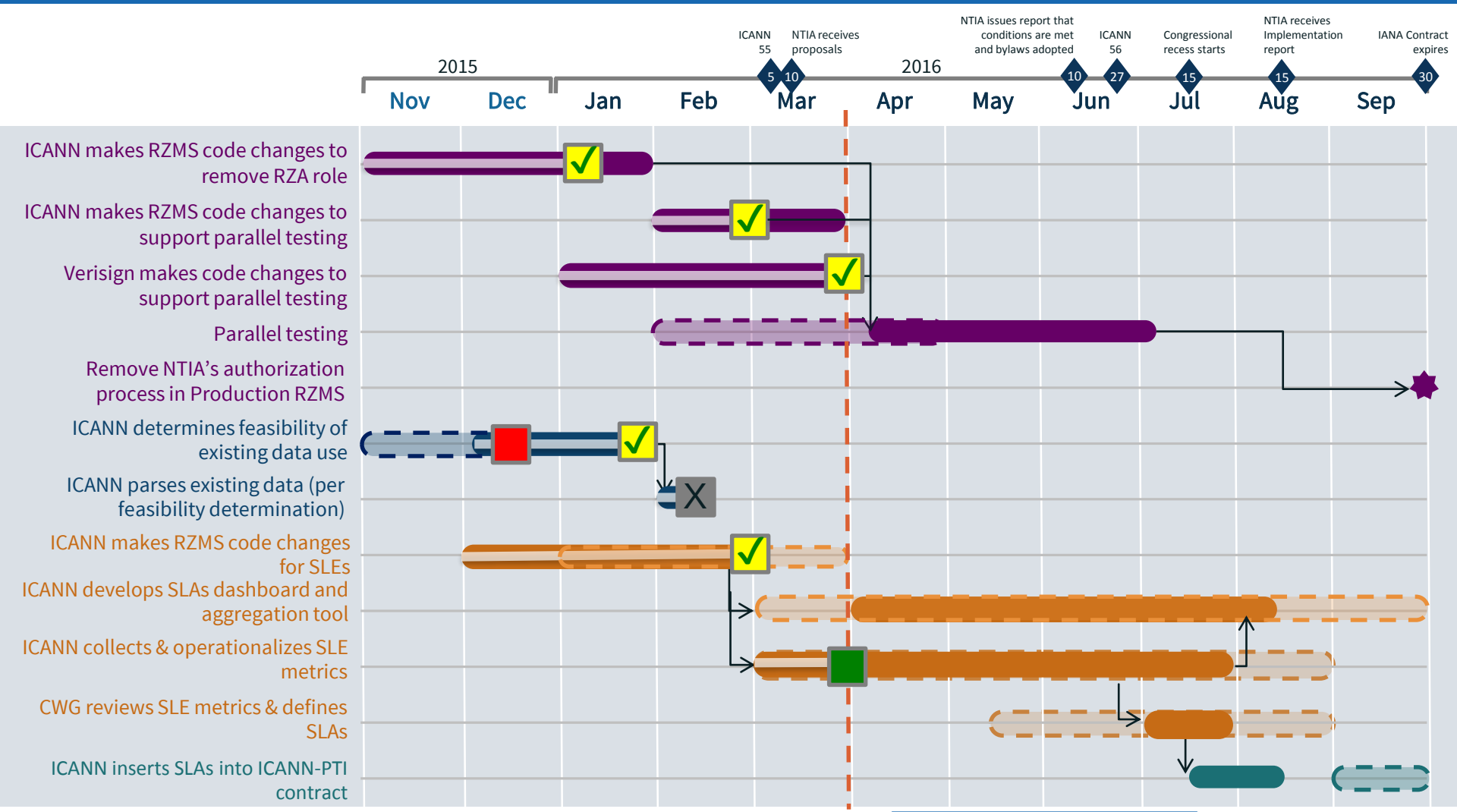
Open Items:

Appendix 1
High-Level Projects Plans

RZMS & SLEs for Naming Community

% Complete: 40%

Status: On-track



Project Updates:

- ✓ Integration testing of 2 parallel systems started
- ✓ Met with SLE WG on 07 March to agree on future work phases and approach

Upcoming Activities:

- Start Parallel Testing

Open Items:

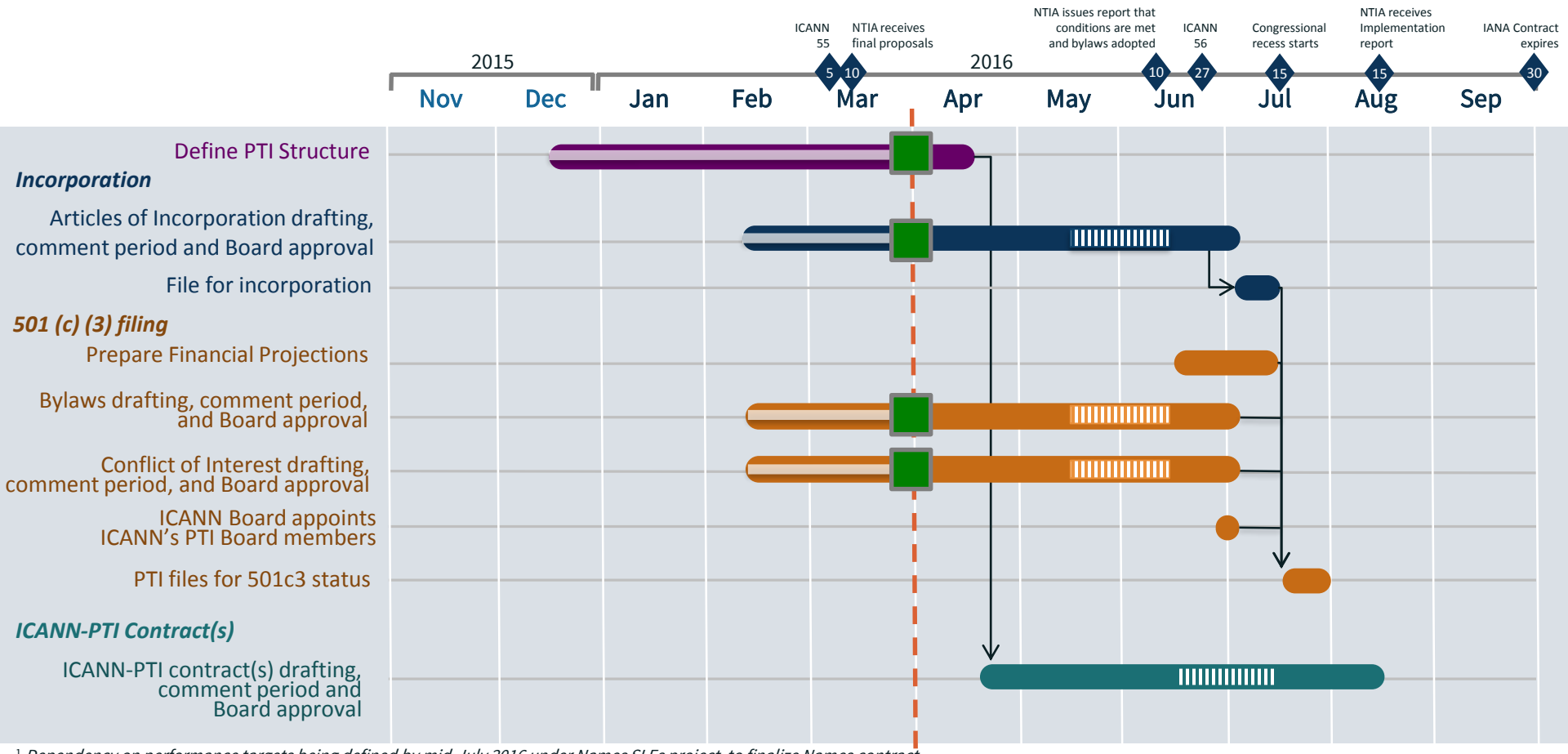
Baseline
Current Plan

RZMS & parallel testing activities
Parsing of existing data activities
SLE activities
ICANN-PTI contract activity

Post-Transition IANA (PTI)

% Complete: 10%

Status: on track



[1] *Dependency on performance targets being defined by mid-July 2016 under Names SLEs project to finalize Names contract*

Project Updates:

- ✓ PTI structure agreed to with IOTF
- ✓ PTI implementation timeline adjusted to take into account new engagement with IOTF

Upcoming Activities:

- Discuss PTI staffing with IOTF
- Discuss PTI governing documents (Articles of Incorporation, Conflict of Interest and Whistleblower policies, and Bylaws) with IOTF

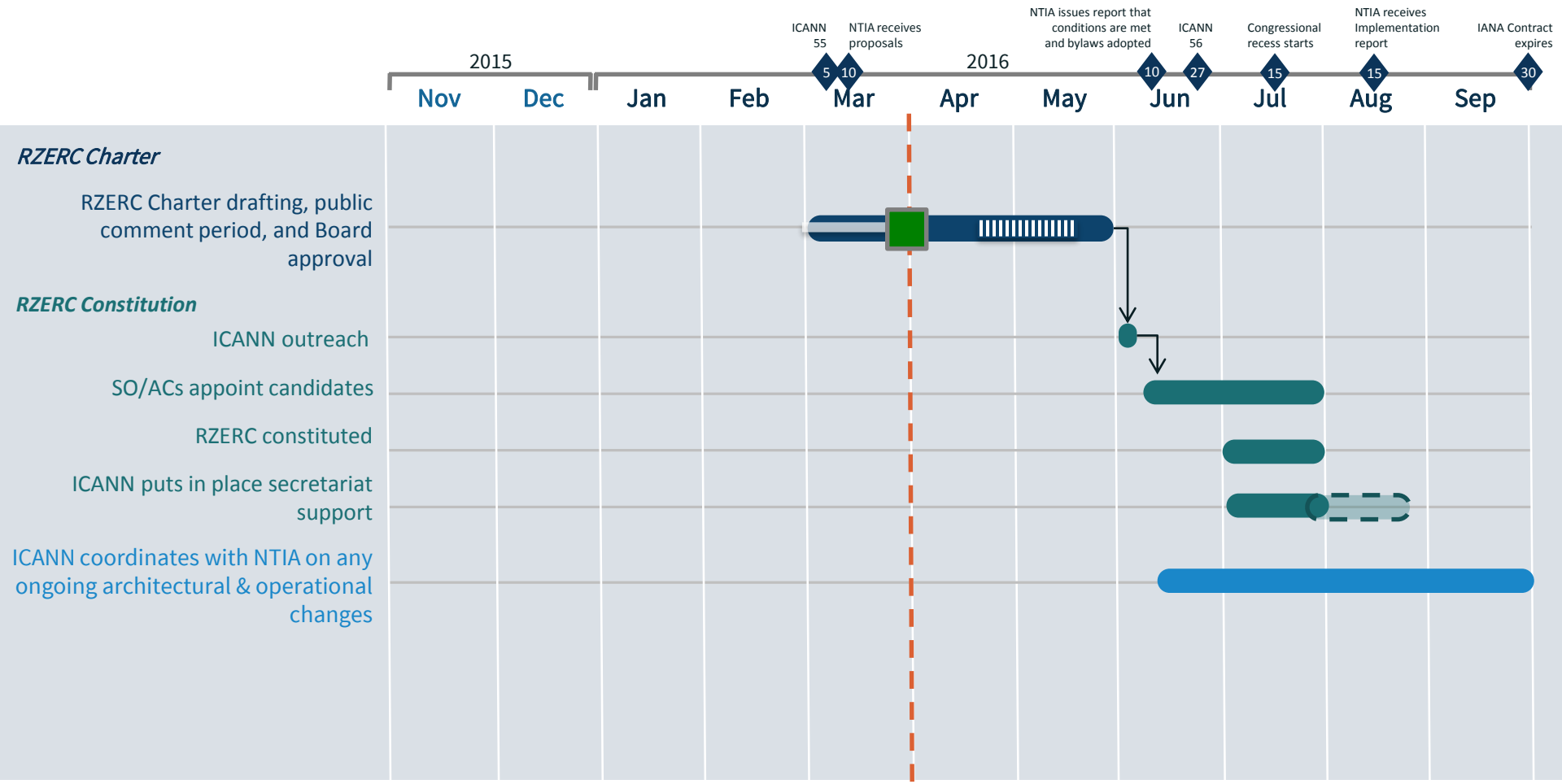
Open Items:

- ICANN memo regarding PTI staffing

RZERC (Root Zone Evolution Review Committee)

% Complete: 10%

Status: On-track



Project Updates:

- ✓ Reviewed high-level description of RZERC charter with IOTF
- ✓ Added 30-day public comment period for review of RZERC charter

Upcoming Activities:

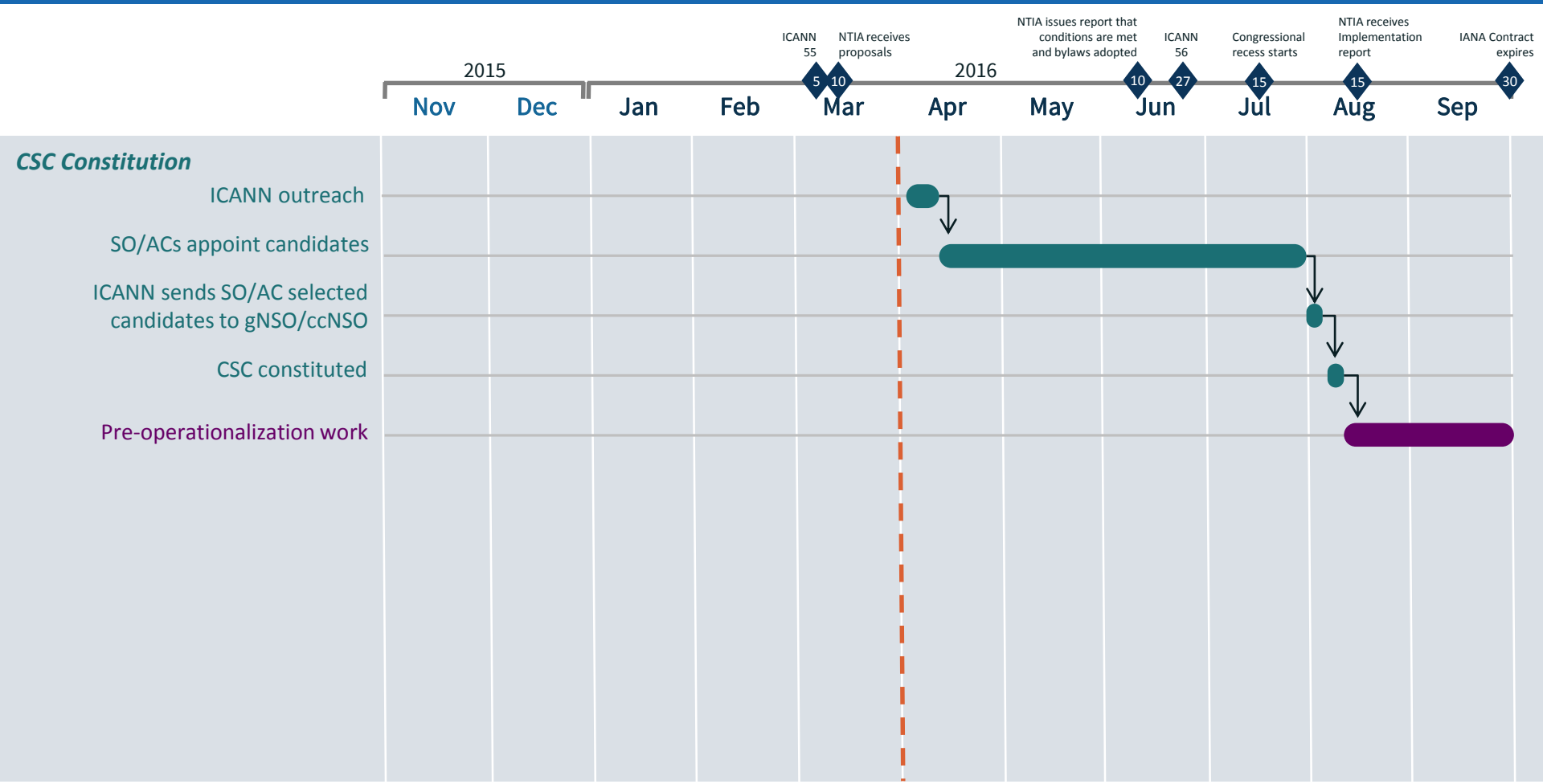
- Draft RZERC charter

Open Items:

Customer Standing Committee (CSC)

% Complete: 10%

Status: On-track



Project Updates:

- ✓ Shared list of items requiring clarification with IOTF

Upcoming Activities:

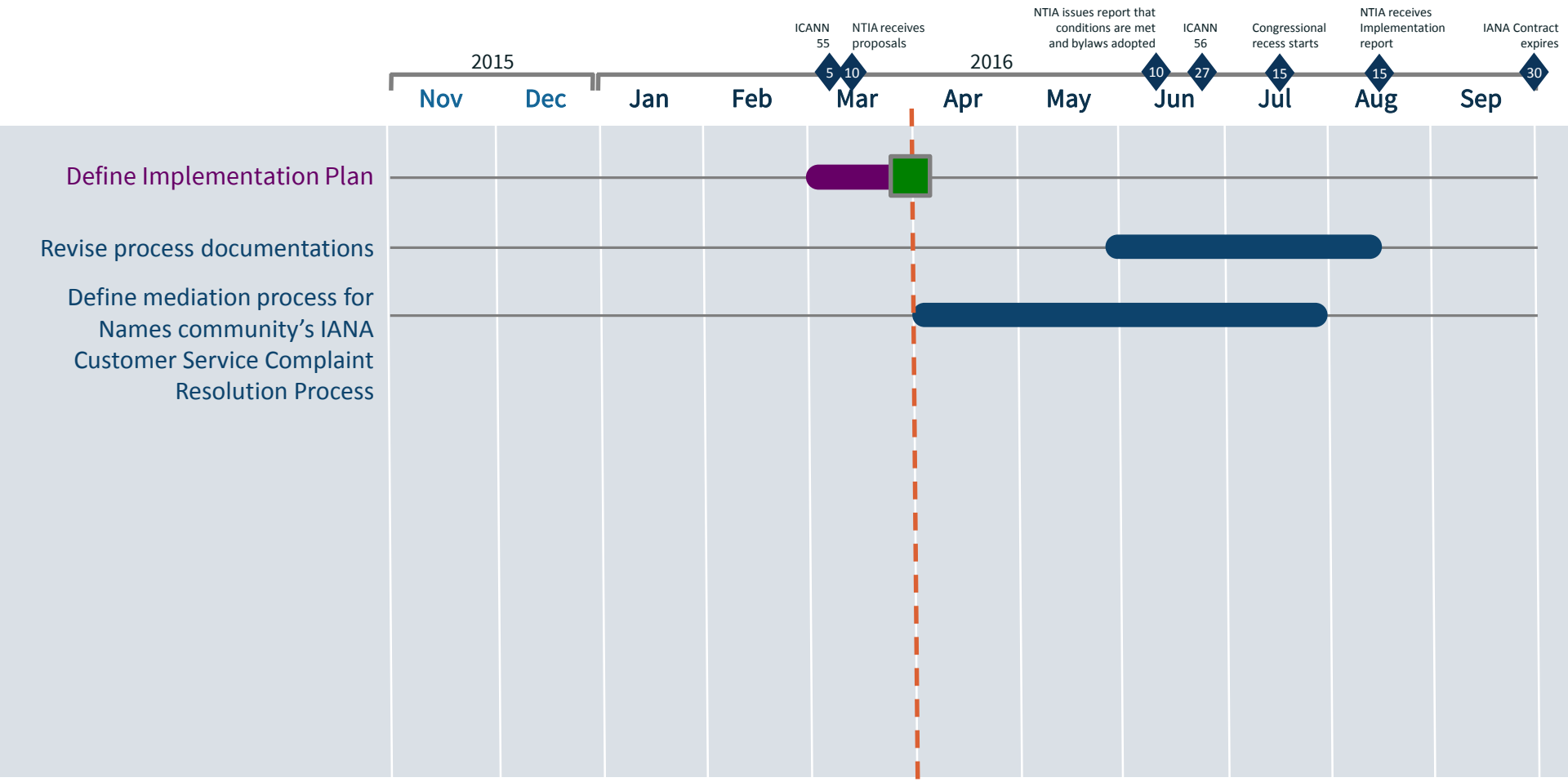
- Engagement with CSC Design Team on CSC implementation

Open Items:

IANA Operational Escalation Processes

% Complete: 0%

Status: On-track



Project Updates:

✓

Upcoming Activities:

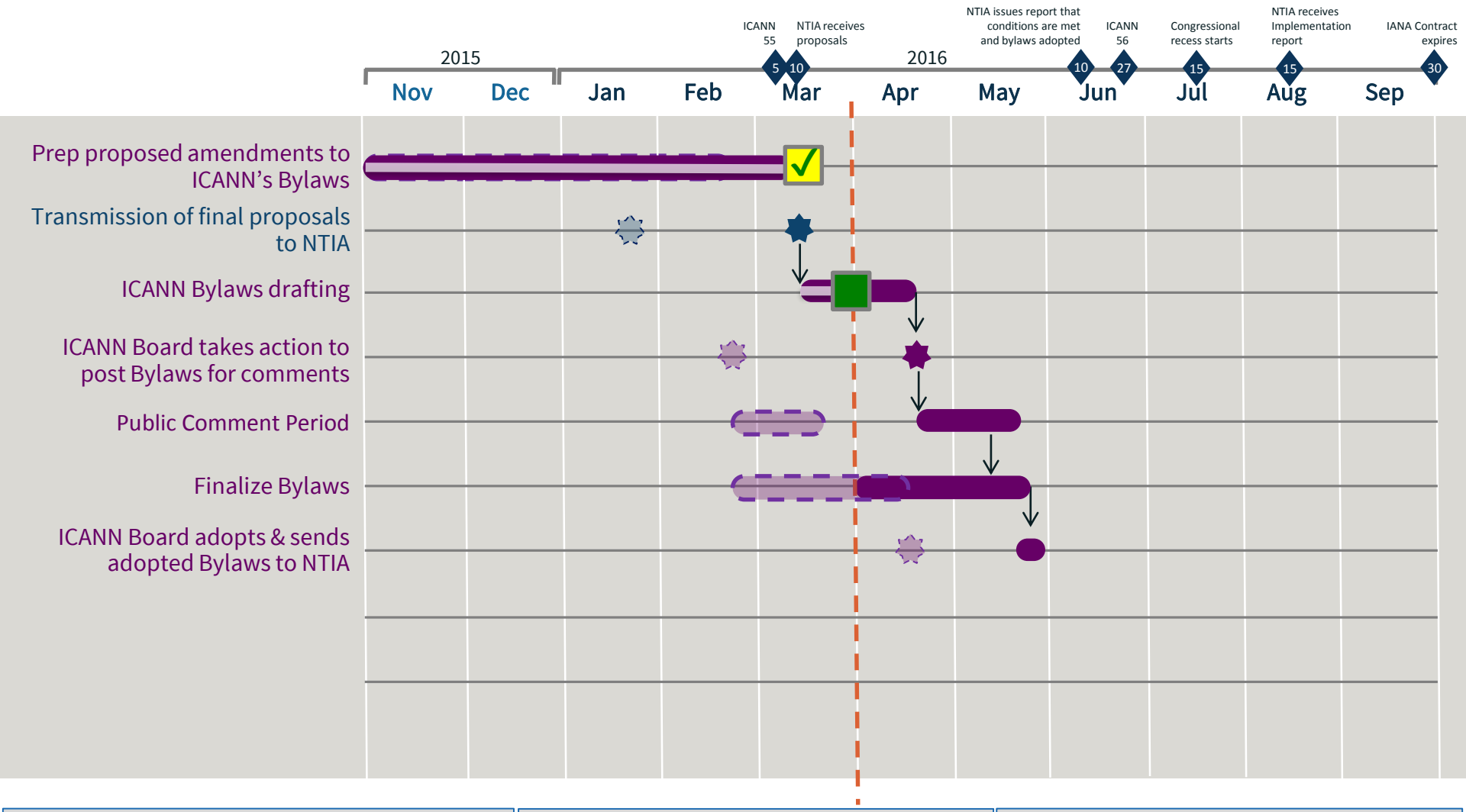
- Share with IOTF items requiring clarification

Open Items:

ICANN Bylaws

% Complete: 10%

Status: On-track



Project Updates:

✓ Drafting of bylaws in progress

Upcoming Activities:

- Community review of draft Bylaws

Open Items:

Appendix 2

RIRs and IETF Status Updates

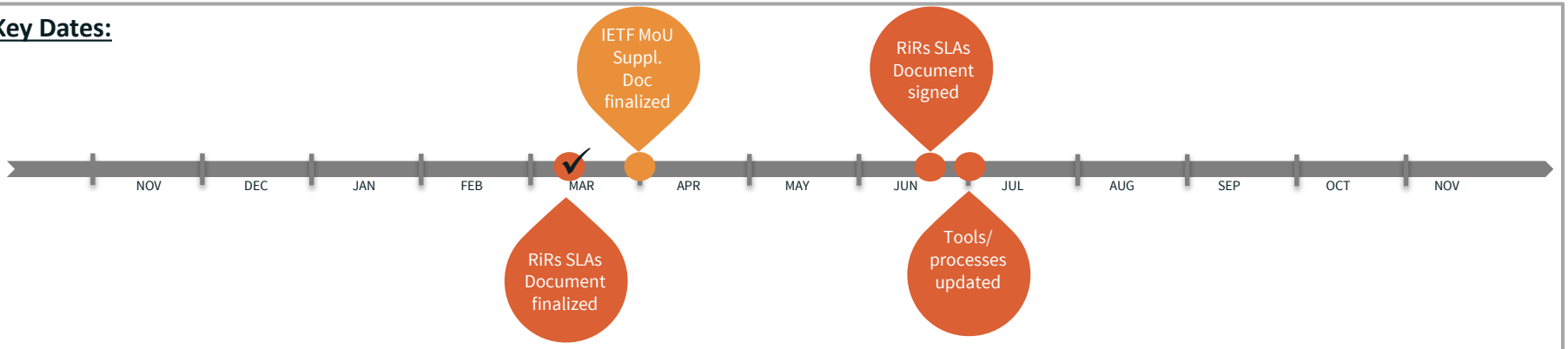
RIRs SLAs & IETF MoU Supplemental Agreement

Status As Of Date: 03/30/16

	Project	Description	Plan Completion Date*	Status	% Complete
	RIRs SLAs	Completion of draft agreement, including SLAs with RIRs, and operationalization of SLAs.	06/30/16		50%
	IETF MoU Supplemental Agreement	Completion of draft ICANN-IETF MoU Supplemental Agreement.	06/30/16		50%

** Completion Date dependent on USG's approval of proposals*

Key Dates:



Updates:

✓ Version 5 of the agreement posted by RIRs after discussions with ICANN in Marrakech

Upcoming activities:

▪ ICANN posts agreement for community review

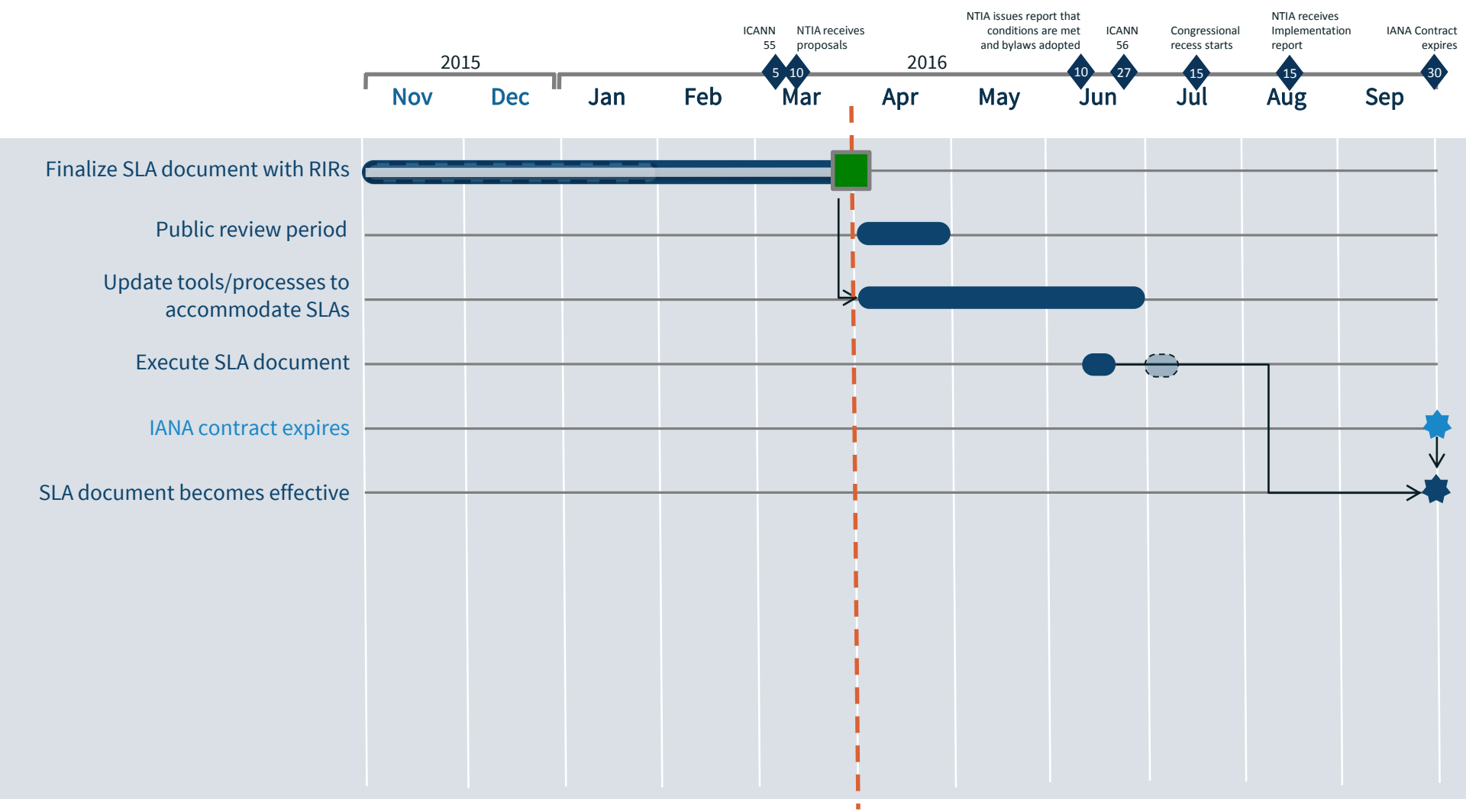
Open Items:

• Pending NTIA's approval of condition precedent language

RIRs SLAs

% Complete: 55%

Status: On-track



Project Updates:

✓ Version 5 of the agreement posted by RIRs after discussions with ICANN in Marrakech

Upcoming Activities:

- ICANN posts agreement for community review

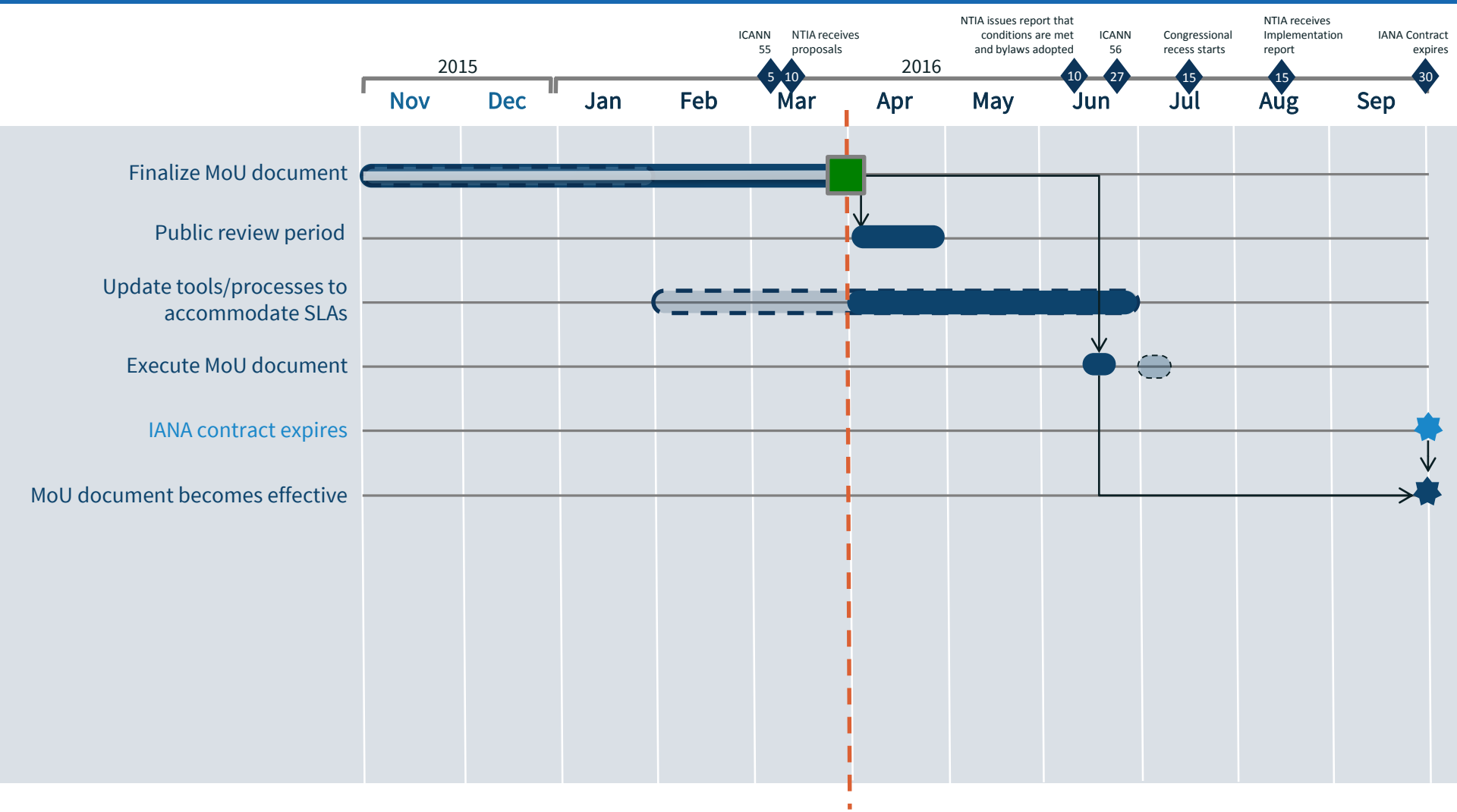
Open Items:

- Pending NTIA's approval of condition precedent language

IETF MoU Supplemental Agreement ("MoU")

% Complete: 50%

Status: On-track



Project Updates:

Upcoming Activities:

Open Items:

Appendix 3
Post Transition Projects

Post Transition Projects

	Project	Description
–	IANA Remedial Action Procedures	New remediation process for the CSC to address poor performance with IANA before escalation to the ccNSO/GNSO. To be finalized between CSC and IANA post transition. (CWG)
–	IANA Function Review (IFR)	Review of PTI's performance against the ICANN-PTI contract and SOW. First IFR to take place 2 years after transition. (CWG)
–	Special IFR	To be initiated when CSC remedial action procedures and IANA problem resolution process are followed and failed to correct deficiency. (CWG)
–	Separation Cross Community Working Group (SCWG)	To be formed if the special IFR results in a recommendation for separation. (CWG)
–	Transition to Successor IFO	Plan for Transition to Successor IFO. (CWG)
–	Formal study on changes to root zone content	To investigate whether there is need to increase the robustness of the operational arrangements for making changes to the root zone content to reduce or eliminate single point of failure. (CWG)
–	CCWG-Accountability Work Stream 2	To commence at ICANN55 and complete by end of 2016; WS 2 elements include: Diversity, SO/AC accountability, Transparency, Human Rights, Jurisdiction, Interim Bylaw. (CCWG)