

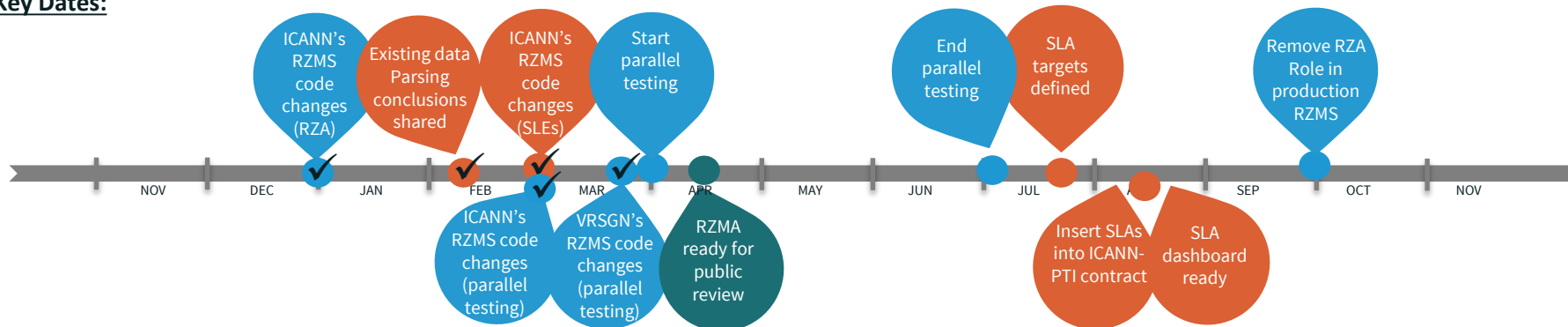


Transition Implementation Status Reporting

31 March 2016

| Project | Description | Plan Completion Date | Status | % Complete |
|--|---|----------------------------------|--------|------------|
| RZMS changes to remove RZA role and support parallel testing | Remove NTIA's authorization process from RZMS (code changes for ICANN and Verisign, and parallel testing). | 09/30/16 08/15/16 07/06/16 | | 50% |
| Names SLEs (SLEs for Naming Community) | RZMS code changes to accommodate new SLEs, inclusion of performance targets in ICANN-PTI contract, dashboard reporting of SLAs. | 09/30/16 08/15/16 | | 30% |
| RZMA (Agreement with Root Zone Maintainer) | Completion of draft RZMA. | 01/31/16 02/29/16 04/15/16 | | 90% |

Key Dates:



Project Updates:



- ✓ Testing of integration of 2 parallel systems started.
- ✓ Met with SLE WG on 07 March to agree on future work phases and approach.
- ✓ Blog update on RZMA [published](#).

Upcoming Activities:

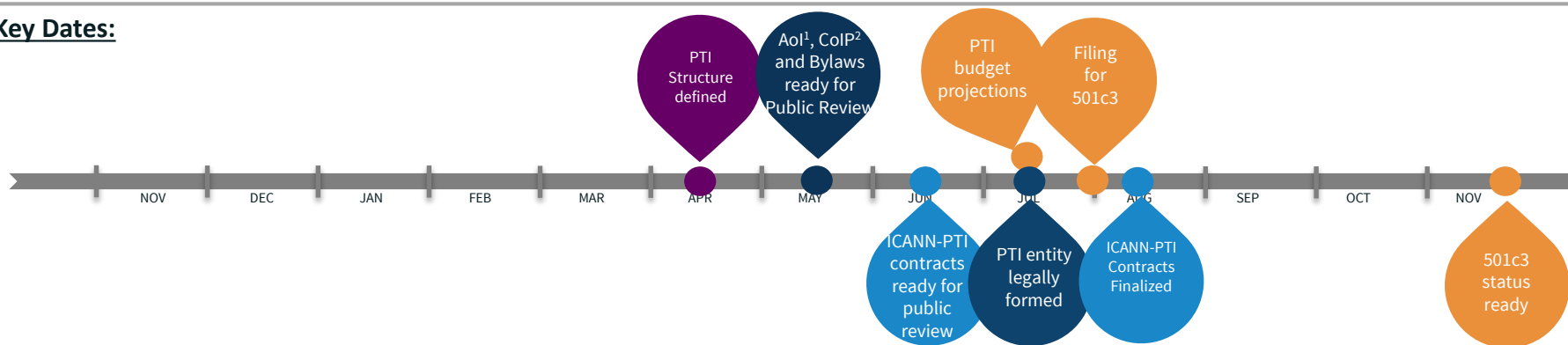
- Start Parallel Testing on 06 April.
- Finalize draft RZMA.

Open Items:

● On-track
▲ Behind schedule, but recovery still possible
■ Not started
■ Target will be missed

| Project | Description | Plan Completion Date | Status | % Complete |
|-------------------------------|---|----------------------|---|---|
| PTI (Post-Transition IANA) | Formation of PTI entity: legal formation (incorporation of affiliate, filing for 501c3, drafting of contract, etc.) and operationalization of PTI entity (board nominations, budget, etc.). | 09/30/16 08/15/16 |  |  10% |

Key Dates:



¹ AoI: Articles of Incorporation / ²CoIP: Conflicts of Interest Policy

Updates:

- ✓ PTI structure agreed to with IOTF
- ✓ PTI implementation timeline adjusted to take into account new engagement with IOTF

Upcoming activities:

- Discuss PTI staffing with IOTF
- Discuss PTI governing documents (Articles of Incorporation, Conflict of Interest and Whistleblower policies, and Bylaws) with IOTF

Open Items:

- ICANN memo regarding PTI staffing



On-track



Not started



Behind schedule, but recovery still possible



Target will be missed

PTI Structure activities

Incorporation activities

501 c3 filing activities

ICANN-PTI contracts activities

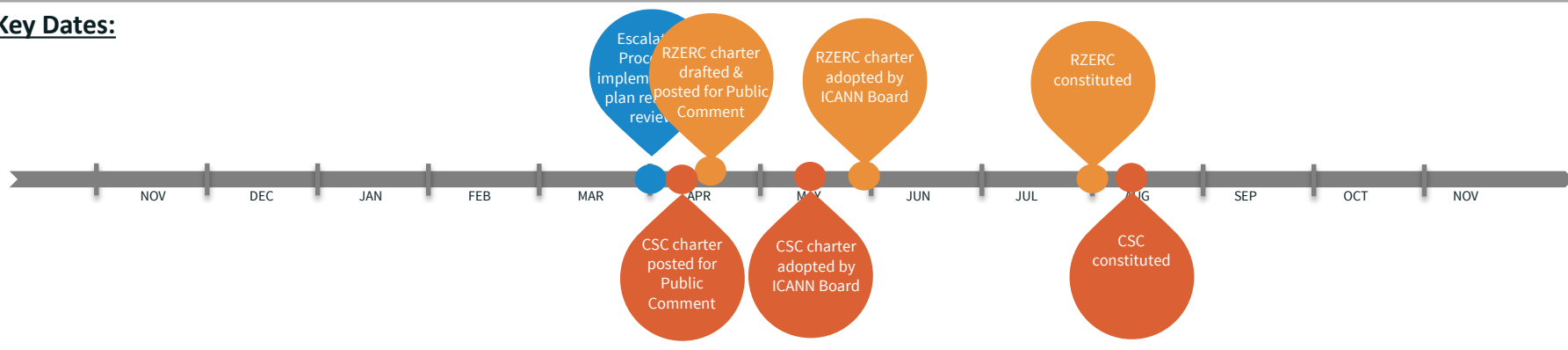
IPR, RZERC, CSC & Escalation Processes

Status As Of Date:

03/30/16

| Project | Description | Plan Completion Date | Status | % Complete |
|---|---|----------------------|--------|-------------------------------------|
| IANA IPR | Transfer of IANA IPR to a neutral trust. | | ■ | <div style="width: 0%;"></div> 0% |
| RZERC (<i>Root Zone Evolution Review Committee</i>) | Formation of committee to advise ICANN Board on RZMS architectural and operational changes. | 09/30/16 08/15/16 | ● | <div style="width: 10%;"></div> 10% |
| CSC (<i>Customer Service Standing Committee</i>) | Formation of committee to monitor PTI performance. | 09/30/16 08/15/16 | ● | <div style="width: 10%;"></div> 10% |
| Escalation Processes | Updating of existing IANA operational complaint and escalation processes. | 09/30/16 08/15/16 | ● | <div style="width: 10%;"></div> 10% |

Key Dates:



Project Update:

- ✓ Reviewed high-level description of RZERC charter with IOTF
- ✓ Added 30-days public comment period for review of RZERC and CSC charters

Upcoming Activities:

- Share with IOTF items requiring clarification

Open Items:

- Waiting on implementation requirements for IANA IPR



DRAFT for Discussion











- On-track
- ▲ Behind schedule, but recovery still possible
- Not started
- Target will be missed

* All dates are estimates

Enhancing ICANN's Accountability

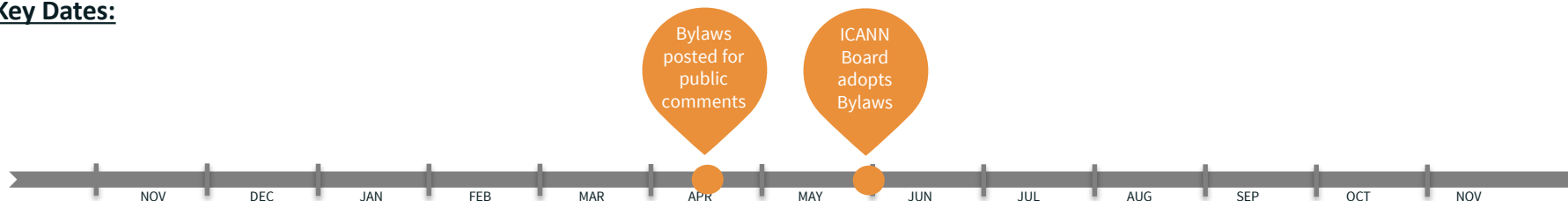
Status As Of Date:

03/30/16

| Project | Description | Plan Completion Date | Status | % Complete |
|----------------------------------|---|-----------------------|---|---|
| ICANN's Bylaws | Updating of ICANN Bylaws. | 06/09/16 05/27/16* |  |  10% |
| IRP Enhancements | Implement enhancements to Independent Review Process. | 09/30/16 08/15/16 |  |  0% |
| RR Enhancements | Implement enhancements to ICANN's reconsideration request process. | 09/30/16 08/15/16 |  |  0% |
| Empowered Community Enhancements | Implement processes and mechanisms in support of the empowered community. | 09/30/16 08/15/16 |  |  0% |
| Caretaker budget | Develop a caretaker budget | 08/15/16 |  |  0% |

*Assuming a 90-days NTIA inter-agency review period

Key Dates:



Project Update:

✓ Drafting of bylaws in progress



Upcoming Activities:



- Community review of draft Bylaws

Open Items:



DRAFT for Discussion

 On-track
 Behind schedule, but recovery still possible

 Not started
 Target will be missed

* All dates are estimates | 5



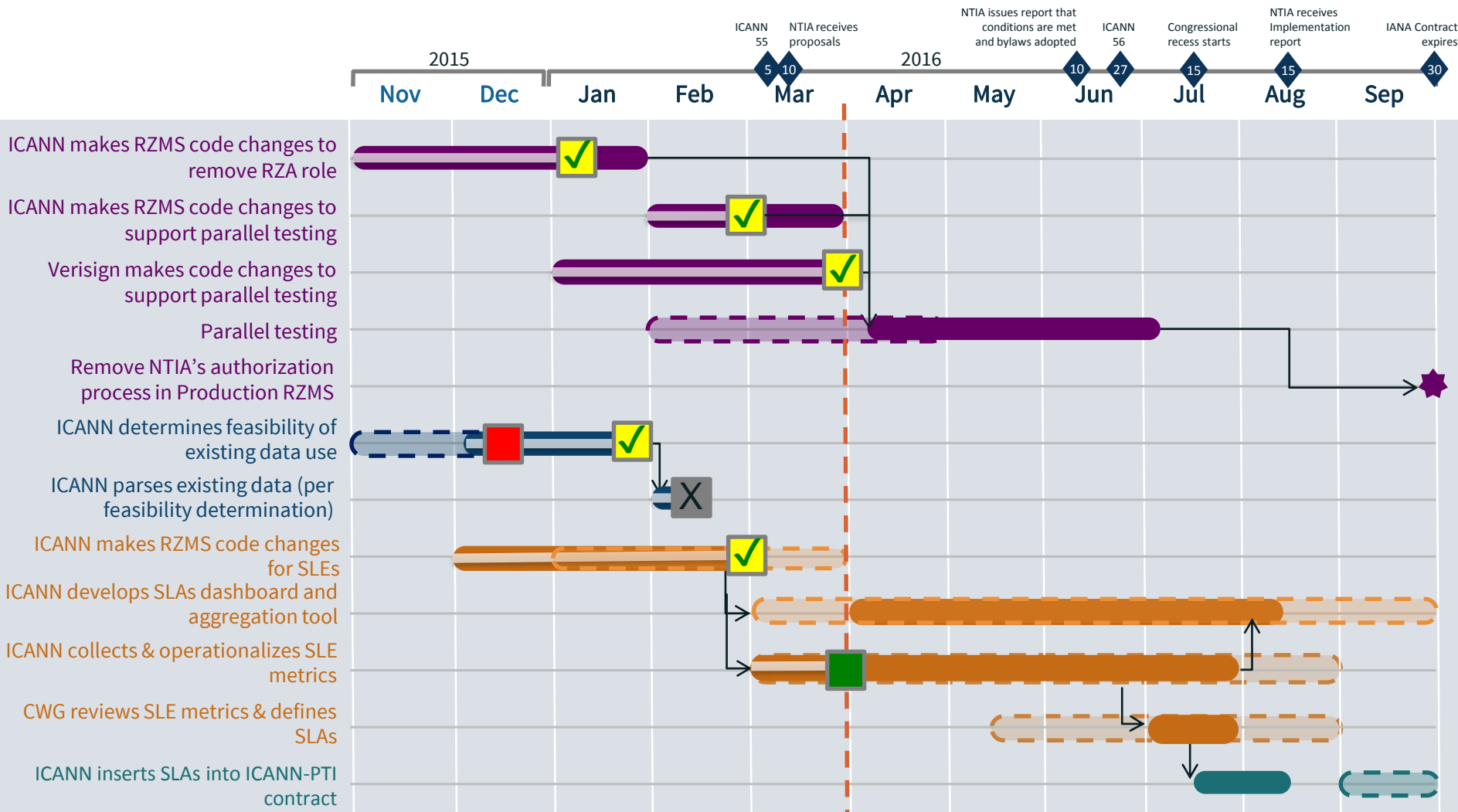
Appendix 1

High-Level Projects Plans

RZMS & SLEs for Naming Community

% Complete:  40%

Status: On-track



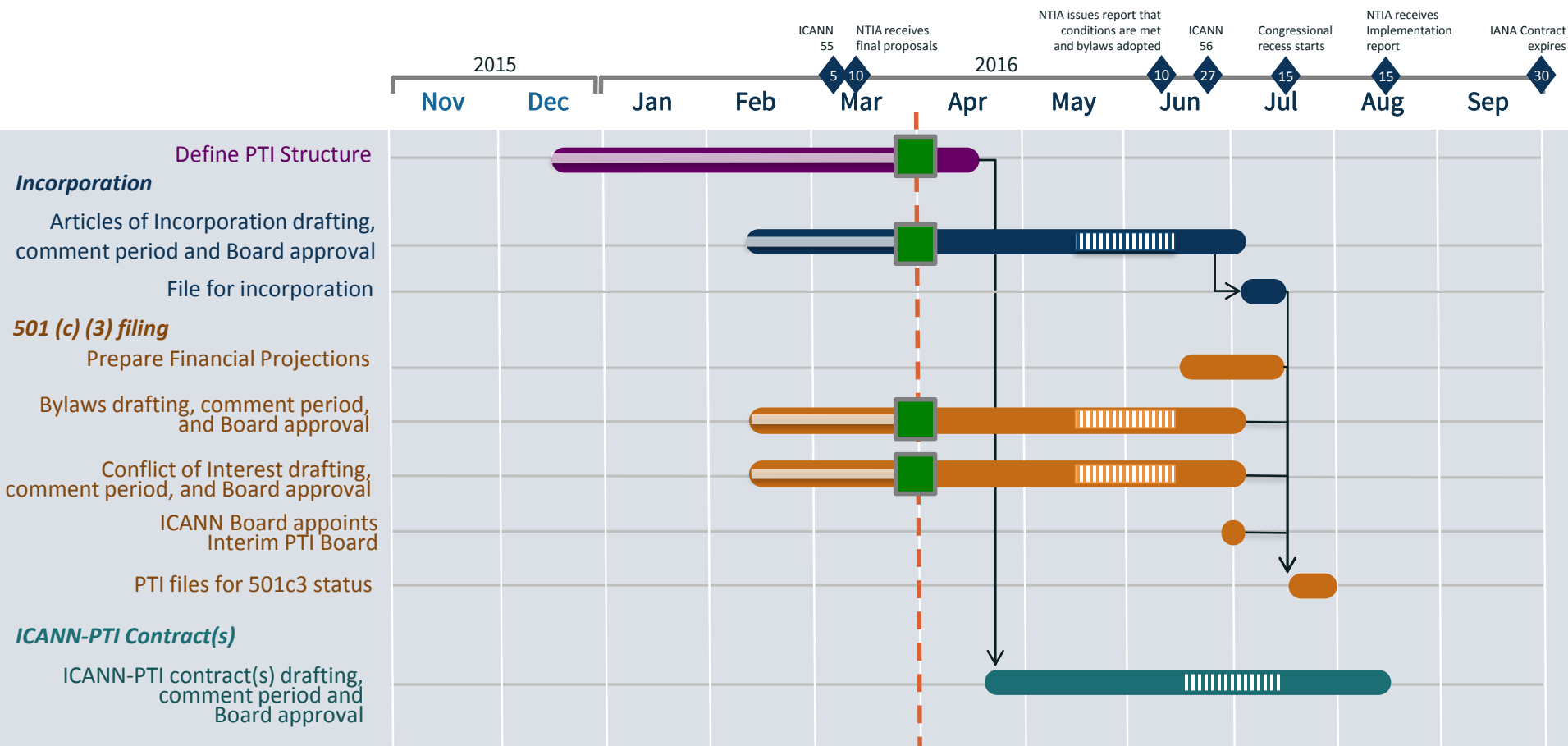
Project Updates:

- ✓ Integration testing of 2 parallel systems started
- ✓ Met with SLE WG on 07 March to agree on future work phases and approach

Upcoming Activities:

- Start Parallel Testing

Open Items:



¹ Dependency on performance targets being defined by mid-July 2016 under Names SLEs project to finalize Names contract

Project Updates:

- ✓ PTI structure agreed to with IOTF
- ✓ PTI implementation timeline adjusted to take into account new engagement with IOTF

Upcoming Activities:

- Discuss PTI staffing with IOTF
- Discuss PTI governing documents (Articles of Incorporation, Conflict of Interest and Whistleblower policies, and Bylaws) with IOTF

Open Items:

- ICANN memo regarding PTI staffing



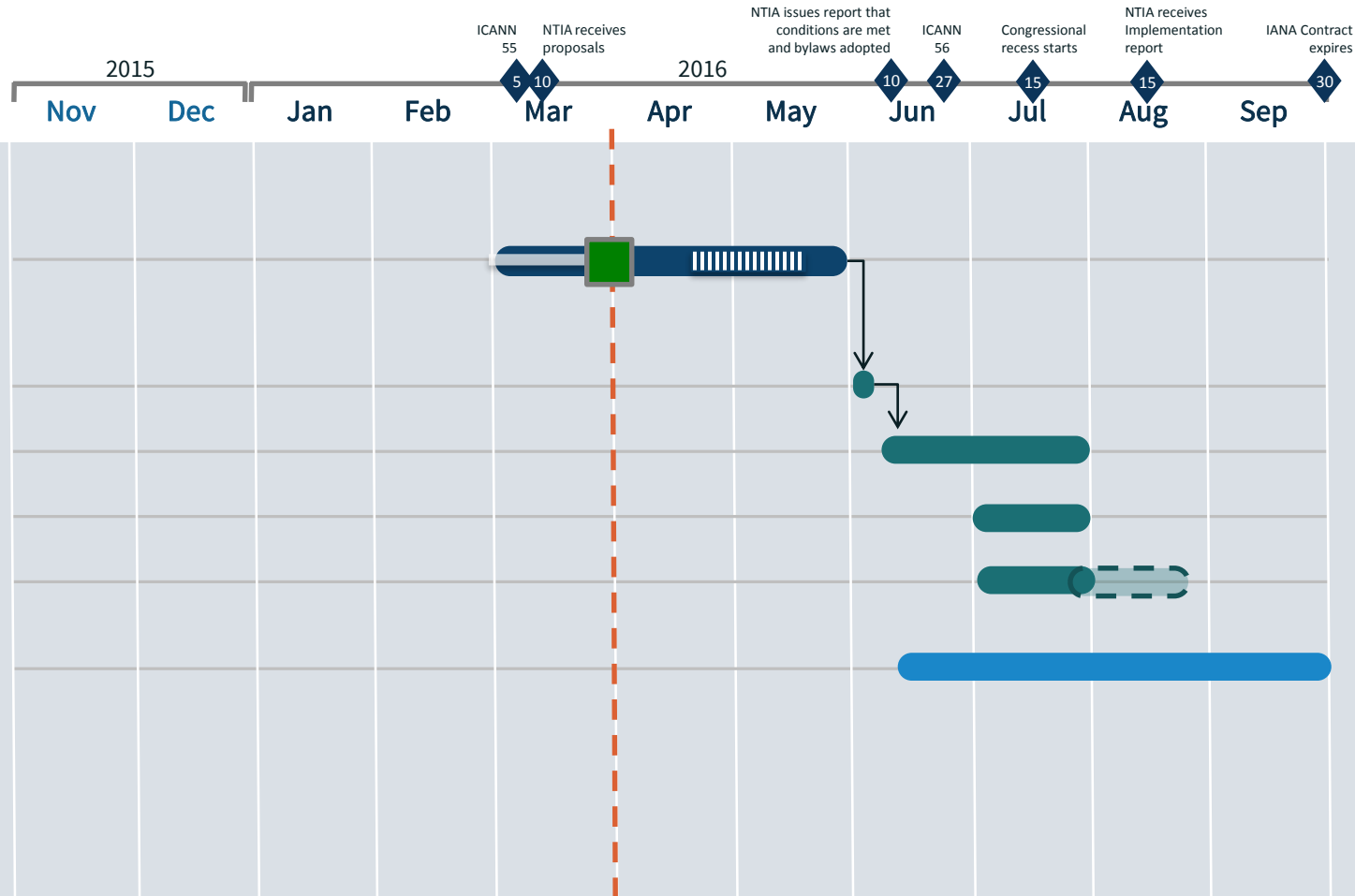
- Task 1
- Task 2
- Task 3
- Task 3
- Task 4
- Task 5
- Task 6
- Task 7
- Task 8

| | | | | | | | | | | | | | | | | | | | | |
|--------|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| Task 1 | | | | | | | | | | | | | | | | | | | | |
| Task 2 | | | | | | | | | | | | | | | | | | | | |
| Task 3 | | | | | | | | | | | | | | | | | | | | |
| Task 3 | | | | | | | | | | | | | | | | | | | | |
| Task 4 | | | | | | | | | | | | | | | | | | | | |
| Task 5 | | | | | | | | | | | | | | | | | | | | |
| Task 6 | | | | | | | | | | | | | | | | | | | | |
| Task 7 | | | | | | | | | | | | | | | | | | | | |
| Task 8 | | | | | | | | | | | | | | | | | | | | |

Project Updates:

Upcoming Activities:

Open Items:



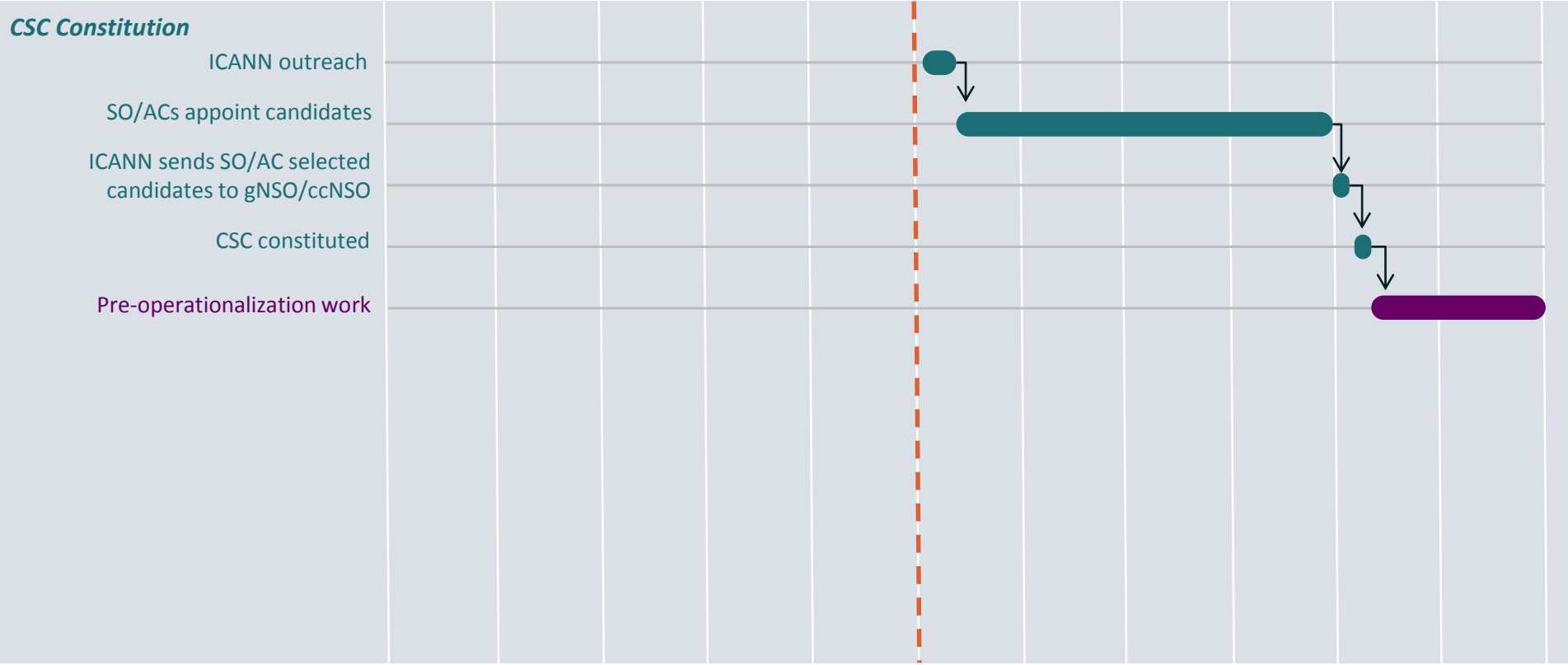
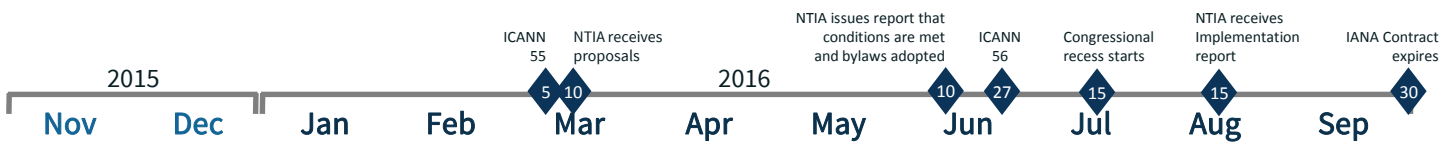
Project Updates:

- ✓ Reviewed high-level description of RZERC charter with IOTF
- ✓ Added 30-day public comment period for review of RZERC charter

Upcoming Activities:

- Draft RZERC charter

Open Items:



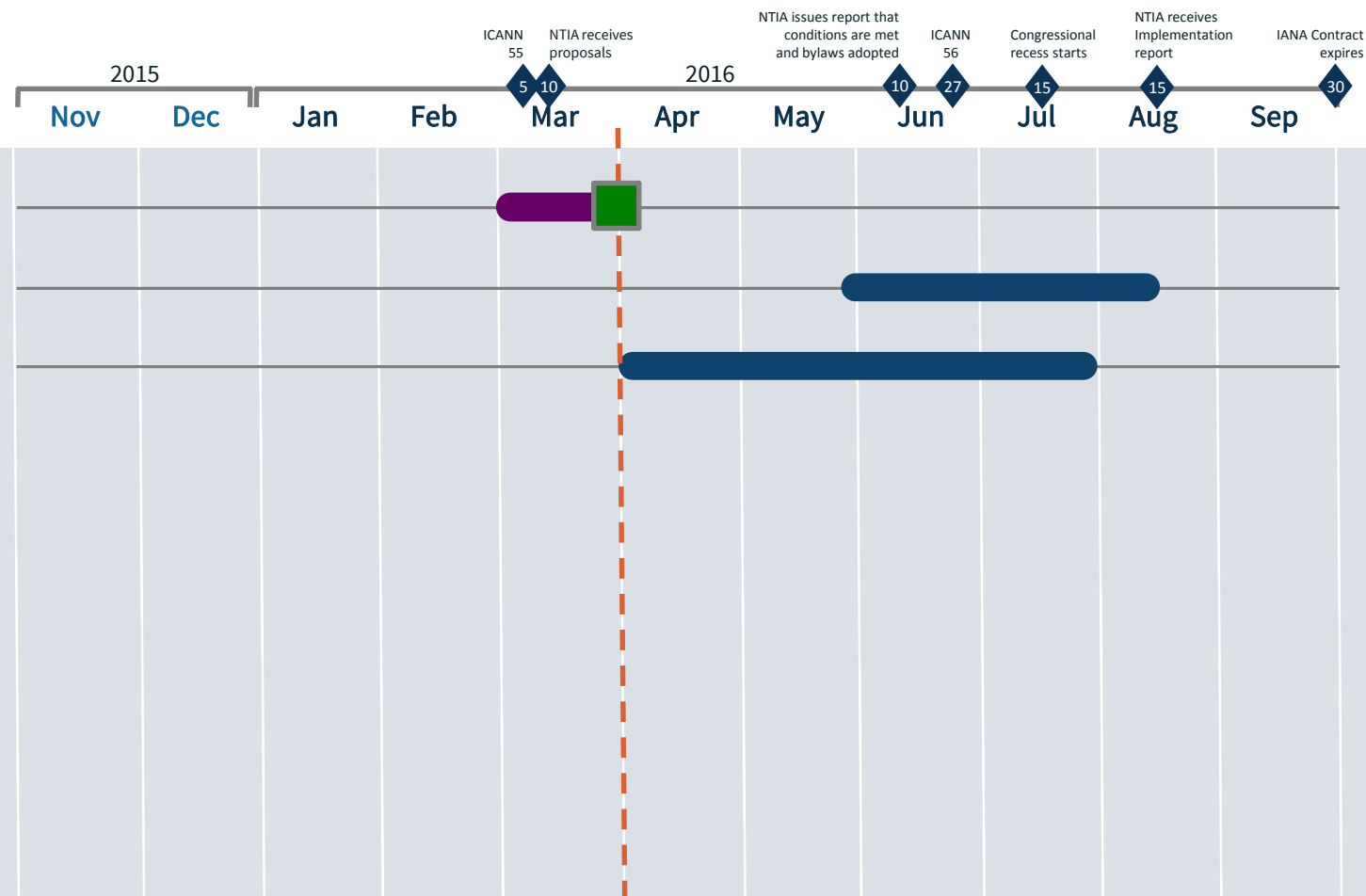
Project Updates:

- ✓ Shared list of items requiring clarification with IOTF

Upcoming Activities:

- Engagement with CSC Design Team on CSC implementation

Open Items:

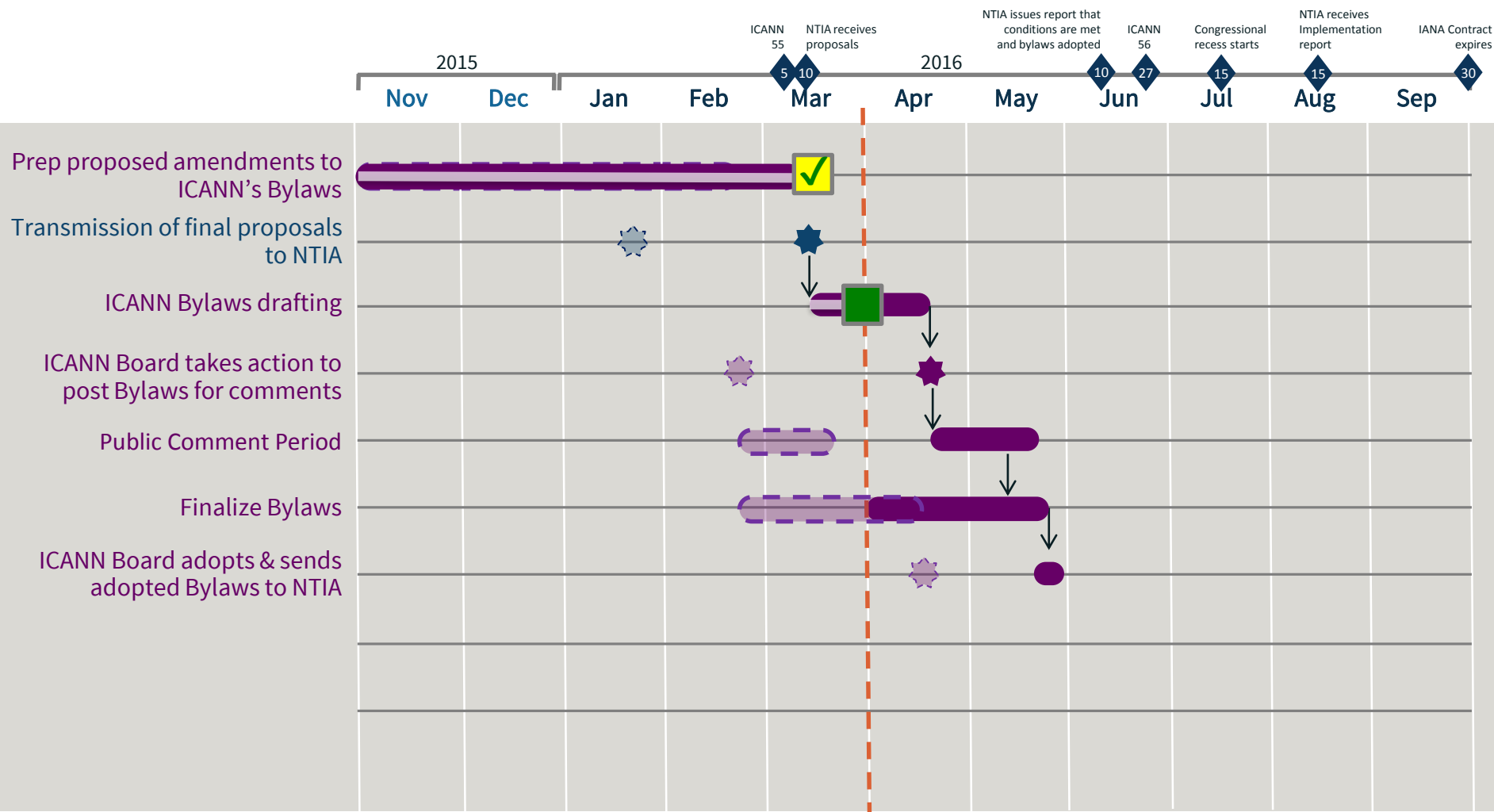


Project Updates:
✓

Upcoming Activities:

- Share with IOTF items requiring clarification

Open Items:



Project Updates:

- ✓ Drafting of bylaws in progress

Upcoming Activities:

- Community review of draft Bylaws

Open Items:







Appendix 2

RIRs and IETF Status Updates

RIRs SLAs & IETF MoU Supplemental Agreement

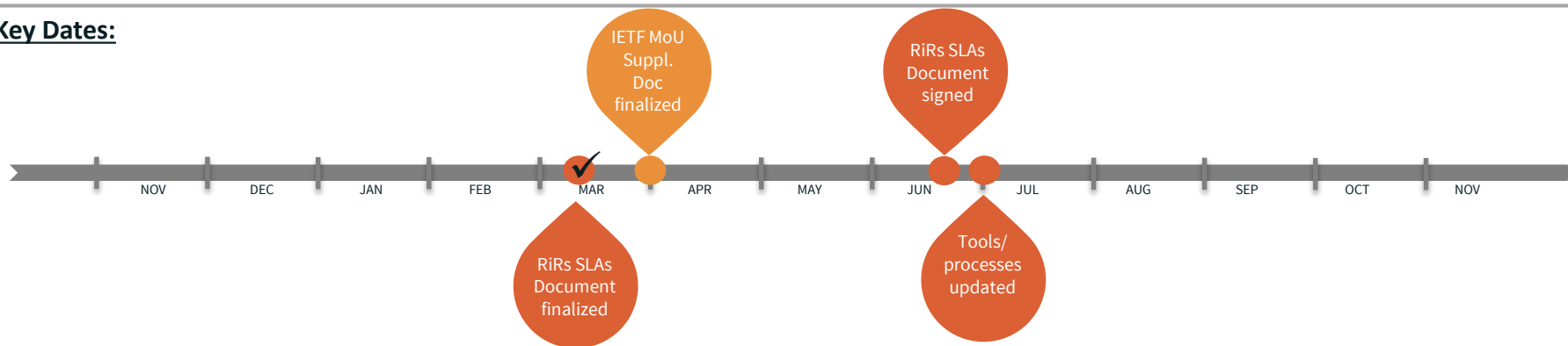
Status As Of Date:

03/30/16

| Project | Description | Plan Completion Date* | Status | % Complete |
|---------------------------------|--|-----------------------|---|---|
| RIRs SLAs | Completion of draft agreement, including SLAs with RIRs, and operationalization of SLAs. | 06/30/16 |  |  50% |
| IETF MoU Supplemental Agreement | Completion of draft ICANN-IETF MoU Supplemental Agreement. | 06/30/16 |  |  50% |

* Completion Date dependent on USG's approval of proposals

Key Dates:



Updates:

- ✓ Version 5 of the agreement posted by RIRs after discussions with ICANN in Marrakech

Upcoming activities:



- ICANN posts agreement for community review



Open Items:

- Pending NTIA's approval of condition precedent language

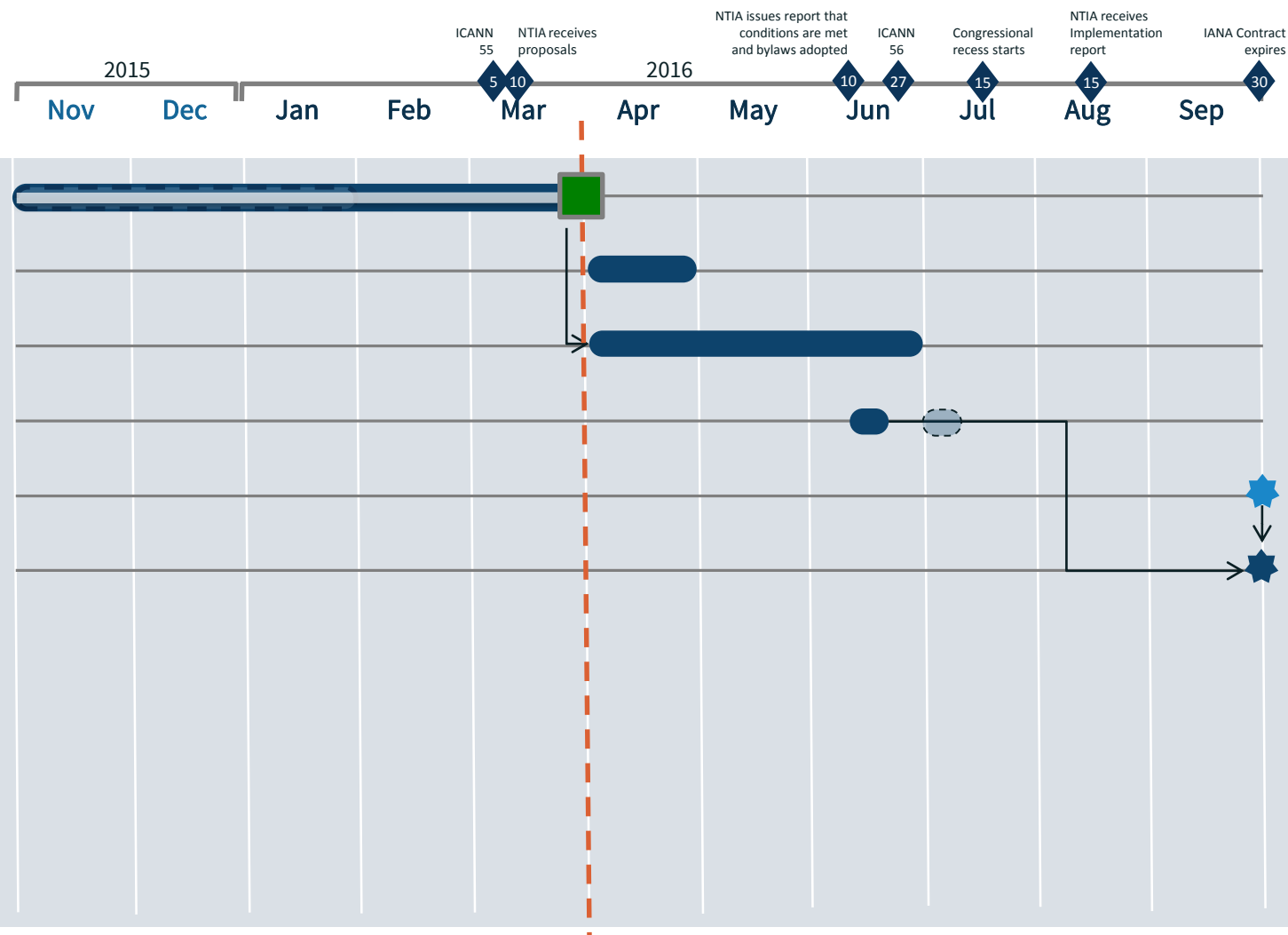


DRAFT for Discussion

-  On-track
-  Behind schedule, but recovery still possible

-  Not started
-  Target will be missed

* All dates are estimates | 15



Project Updates:

- ✓ Version 5 of the agreement posted by RIRs after discussions with ICANN in Marrakech

Upcoming Activities:

- ICANN posts agreement for community review

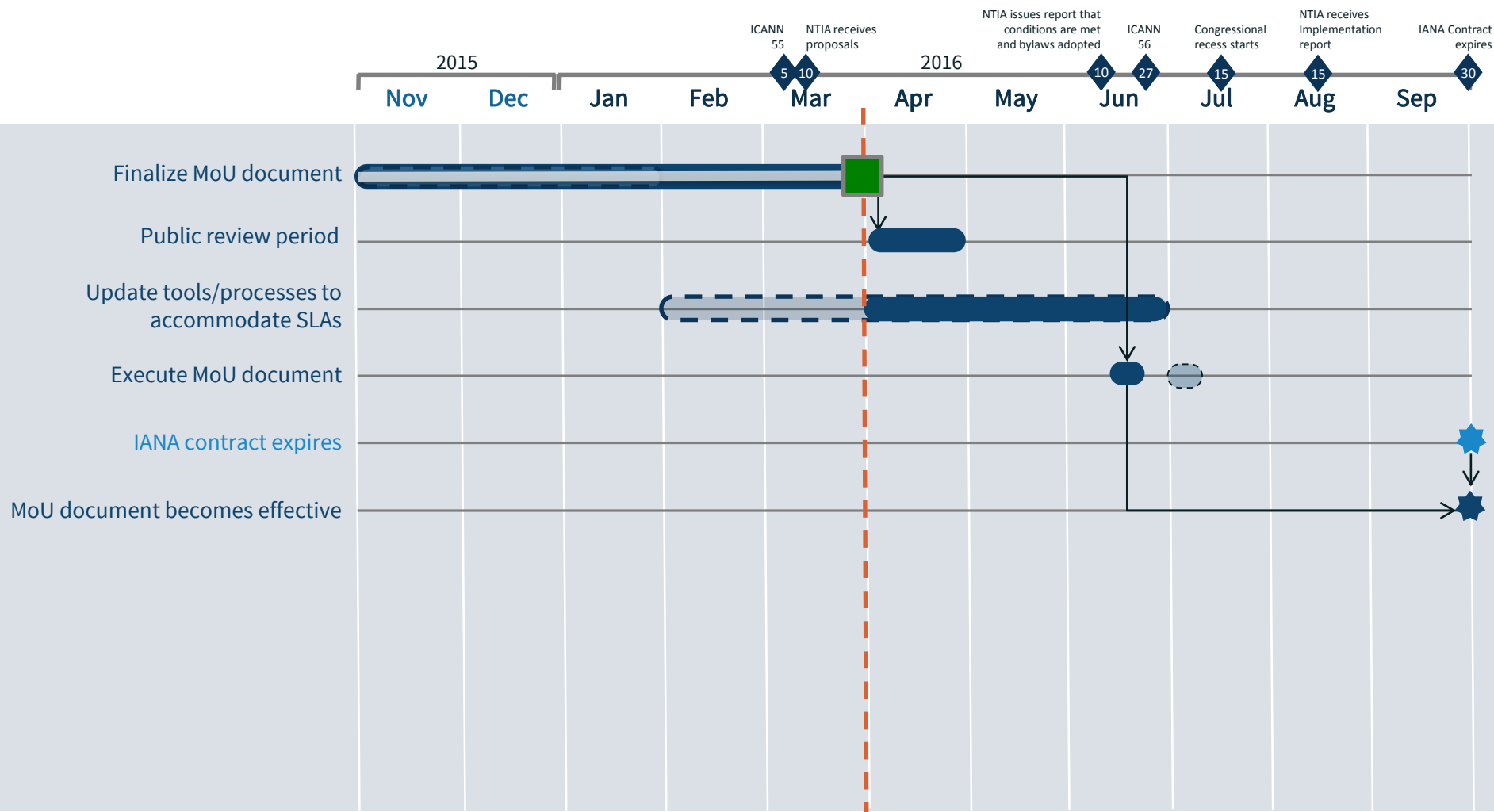
Open Items:

- Pending NTIA's approval of condition precedent language

IETF MoU Supplemental Agreement (“MoU”)

% Complete:  50%

Status: On-track



Project Updates:

Upcoming Activities:

Open Items:



DRAFT for Discussion

--- Baseline
 — Current Plan

■ MoU activities
 ■ USG activity

* Timeframes are estimates | 17

Appendix 3

Post Transition Projects

Post Transition Projects

| | Project | Description |
|---|---|---|
| – | IANA Remedial Action Procedures | New remediation process for the CSC to address poor performance with IANA before escalation to the ccNSO/GNSO. To be finalized between CSC and IANA post transition. (CWG) |
| – | IANA Function Review (IFR) | Review of PTI's performance against the ICANN-PTI contract and SOW. First IFR to take place 2 years after transition. (CWG) |
| – | Special IFR | To be initiated when CSC remedial action procedures and IANA problem resolution process are followed and failed to correct deficiency. (CWG) |
| – | Separation Cross Community Working Group (SCWG) | To be formed if the special IFR results in a recommendation for separation. (CWG) |
| – | Transition to Successor IFO | Plan for Transition to Successor IFO. (CWG) |
| – | Formal study on changes to root zone content | To investigate whether there is need to increase the robustness of the operational arrangements for making changes to the root zone content to reduce or eliminate single point of failure. (CWG) |
| – | CCWG-Accountability Work Stream 2 | To commence at ICANN55 and complete by end of 2016; WS 2 elements include: Diversity, SO/AC accountability, Transparency, Human Rights, Jurisdiction, Interim Bylaw. (CCWG) |