ICANN|55
MARRAKECH
5 – 10 MARCH 2016

Briefing on Key Multistakeholder Strategy and Strategic Initiatives Projects

GNSO Briefing | 6 March 2016

Agenda

1 Intro

2 NTIA IANA Functions Stewardship Transition and Enhancing ICANN Accountability
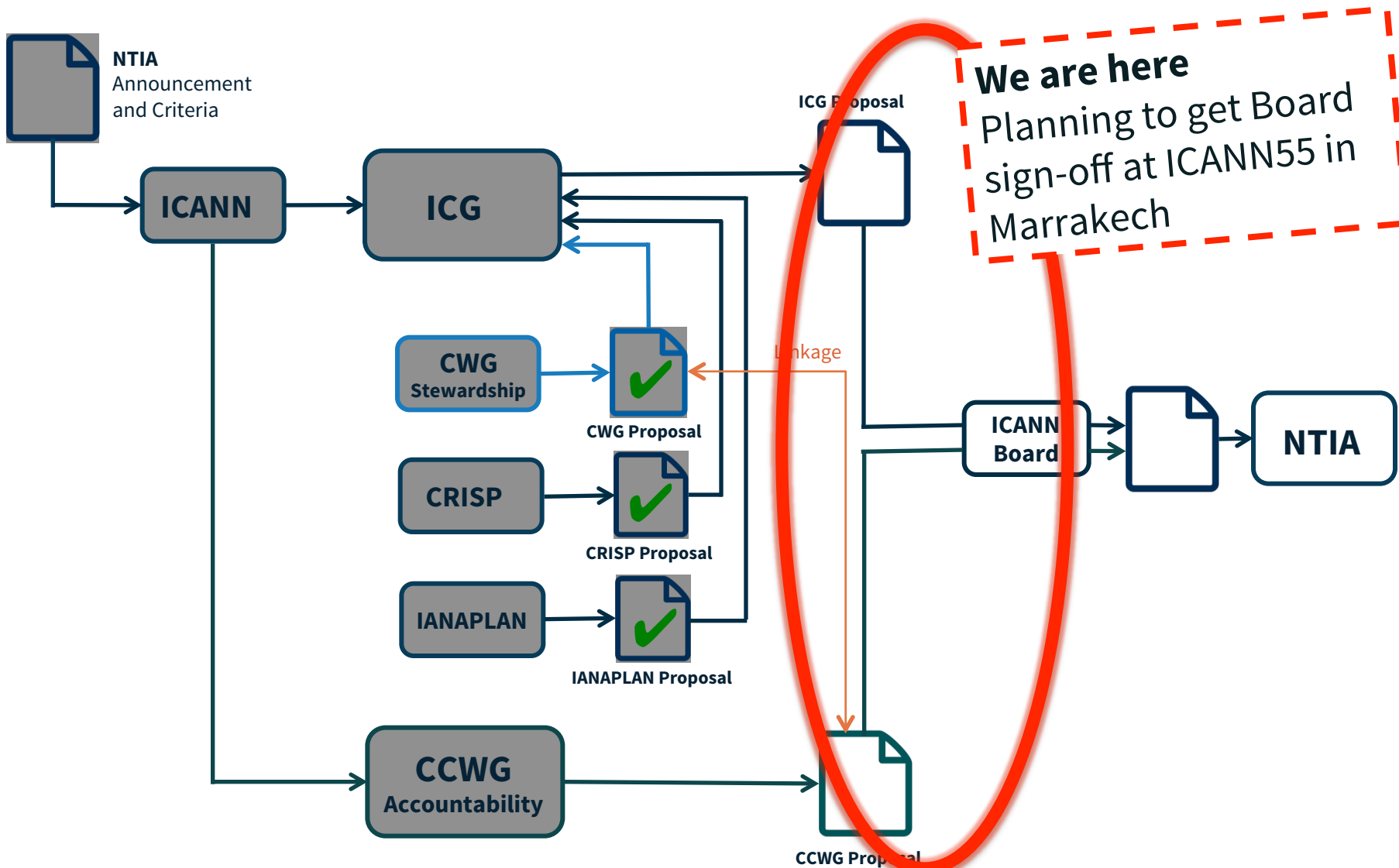
3 AoC and Organizational Reviews

4 Q&A

NTIA IANA Functions Stewardship Transition and Enhancing ICANN Accountability

Theresa Swinehart

Developing Proposals ... Almost There!

AS OF: 1 March 2016

IANA STEWARDSHIP TRANSITION & ENHANCING ICANN ACCOUNTABILITY

Two parallel processes, supported by a globally diverse, inclusive and extensive multistakeholder dialogue

MAJOR WORKING GROUP EFFORTS

800+
Working Hours in Meetings

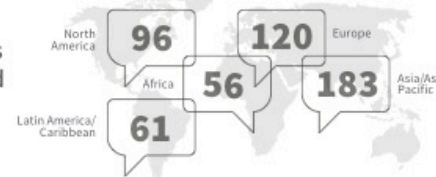**33,100+**
Total Mailing List Exchanges

600+
Total Calls/Meetings

A SUPPORTING GLOBAL DISCUSSION

More than 1,100

Events around the world where the IANA transition was discussed, debated, organized and planned

* 590+ Webinars

Between March 2014 and March 2016

North America **96**
Europe **120**
Africa **56**
Asia/Asia Pacific **183**
Latin America/Caribbean **61**

ICG + CCWG ACCOUNTABILITY

■ ICG   ■ CCWG-Accountability

30 Members

203 Total Participants   **28** Members   **175** Participants

111 Mailing List Observers

Number Resources CRISP Team
15 Members = 3 Members x 5 RIRs

Protocol Parameters IANAPLAN
2,250 Mailing List Exchanges

Domain Names CWG-Stewardship
153 Members & Participants
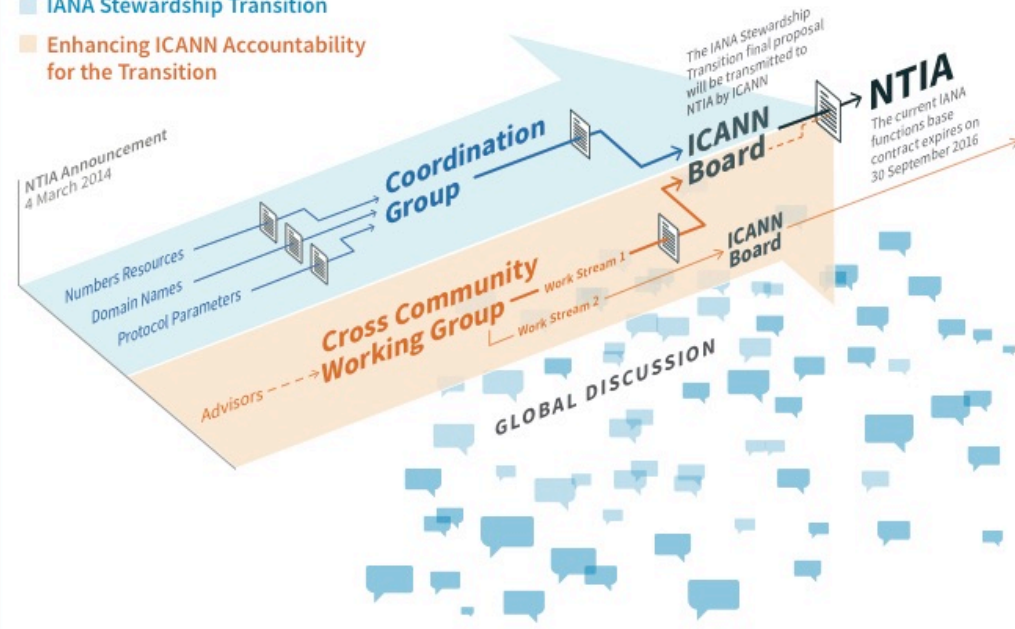**152** Calls and Meetings

REGIONAL REPRESENTATION

Europe **28%** **30%**
North America **31%** **30%**
Asia/Asia Pacific **26%** **17%**
Africa **7%** **13%**
Latin America/Caribbean **8%** **10%**

* 48 countries are represented by members/participants of the CCWG-Accountability

ORGANIZATIONAL STAKEHOLDER REPRESENTATION

71 (no affiliation)
59 GNSO
30 GAC
17 ALAC
16 ccNSO/ccTLD
6 ASO
2 SSAC

2 RSSAC
2 ALAC
5 GAC
3 GNSO
2 gTLD Registries
4 ccNSO & non-ccNSO ccTLD operators

2 ISOC
2 NRO
2 IETF
2 IAB
2 SSAC
1 ASO
1 ICC & BASIS

THE TWO PARALLEL PROCESSES

■ IANA Stewardship Transition
■ Enhancing ICANN Accountability for the Transition
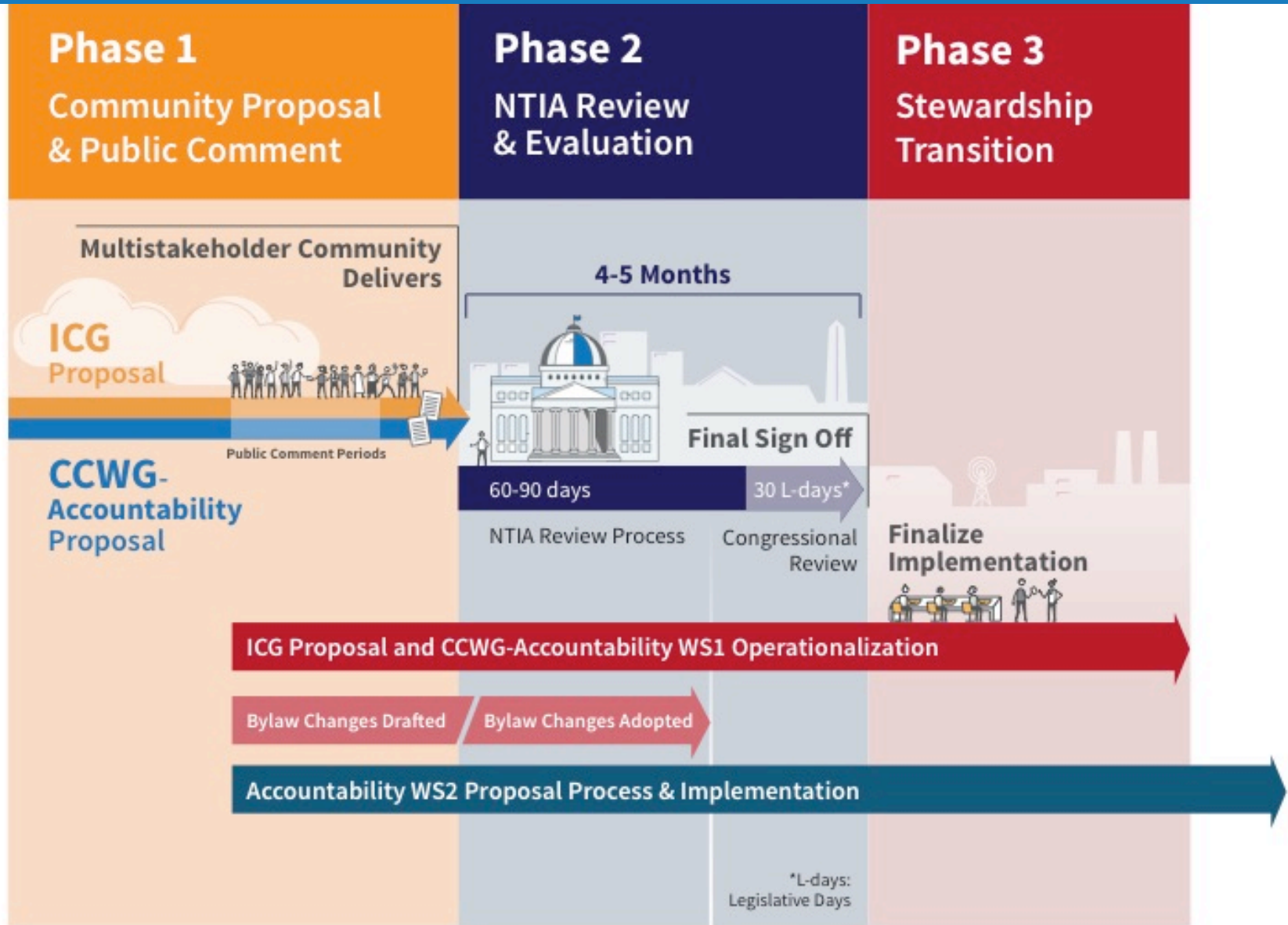
The IANA Stewardship Transition final proposal will be transmitted to NTIA by ICANN

NTIA Announcement 4 March 2014

Numbers Resources
Domain Names
Protocol Parameters

Coordination Group

ICANN Board

NTIA
The current IANA functions base contract expires on 30 September 2016

Cross Community Working Group — Work Stream 1 — Work Stream 2

ICANN Board

Advisors

GLOBAL DISCUSSION

Where are we now?

AoC and Organizational Reviews

Margie Milam and Larisa Gurnick

Reviews: what is coming up

	JUL 2014 **GNSO2**
	OCT 2015 **CCT**
	APR 2016 **At-Large2**
	JUN 2016 **SSR2**
	OCT 2016 **WHOIS2**
	JAN 2017 **ATRT3**
	FEB 2017 **NomCom2**
	APR 2017 **RSSAC2**
	MAY 2017 **ASO2**
	JUN 2017 **SSAC2**
	JUL 2017 **ccNSO2**

Lines represent the Planning, Conducting, and Implementation phases of the Review process

Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4
2016				**2017**				**2018**				**2019**			

TODAY

LEGEND: ■ **AoC REVIEW** ■ **ORG REVIEW**
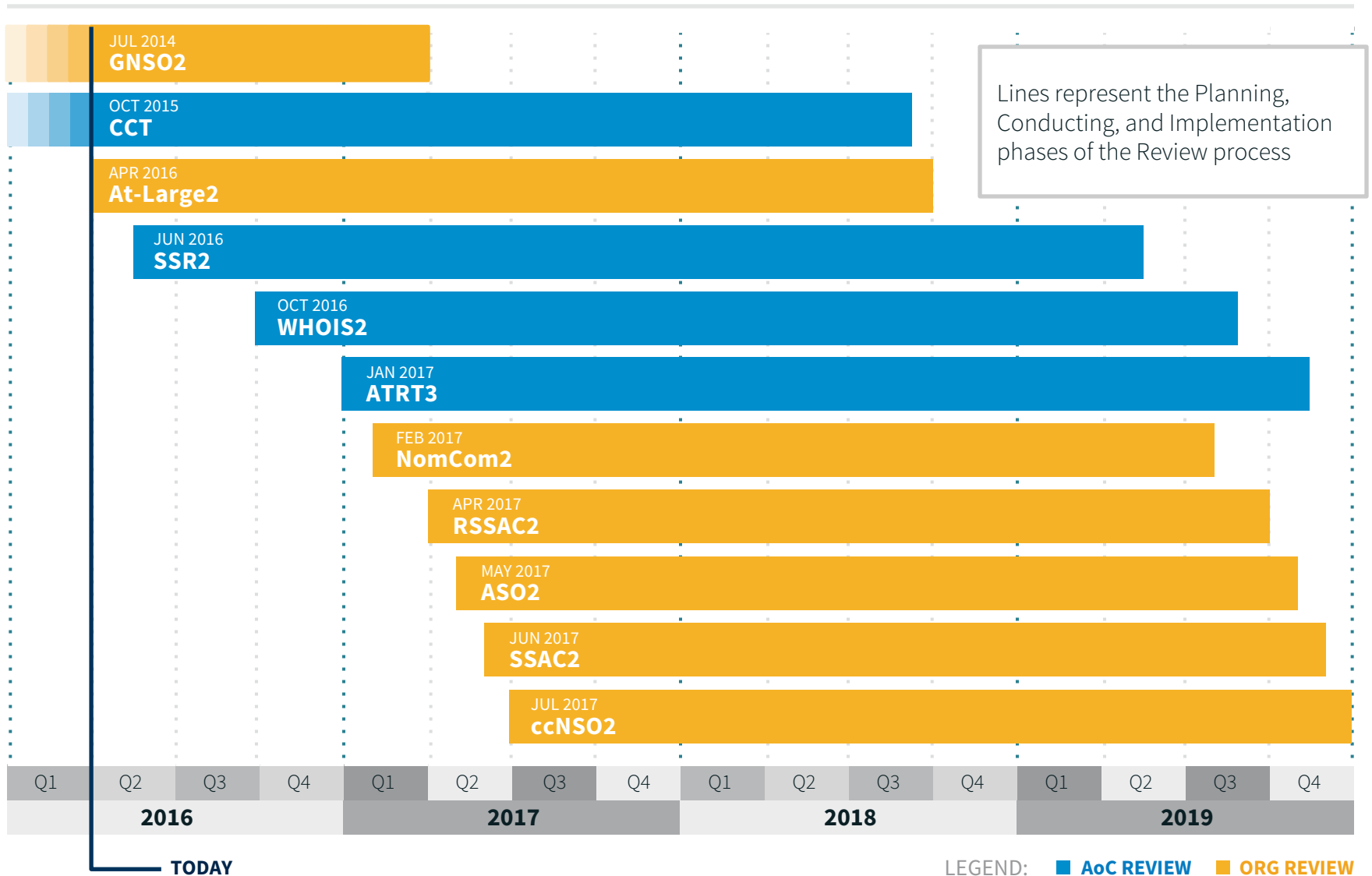
Focus on Accountability: AoC Reviews

- **CCWG Proposal moves AoC reviews into Bylaws**
  - Increased scrutiny on community-led reviews
  - ICANN is committed to continued improvements
  - Recent Board Workshop – oversight to ensure delivery of the outstanding commitments
  - Focus on data gathering & implementation reporting
  - Additional Review proposed – IANA Review
- **Next 12 months: 3 new AoC Reviews**
  - Preparations underway to support launch
  - Call for Volunteers: SSR-2 June, WHOIS-2 Oct, ATRT-3 Jan

AoC Reviews–Implementation Status of Recommendations
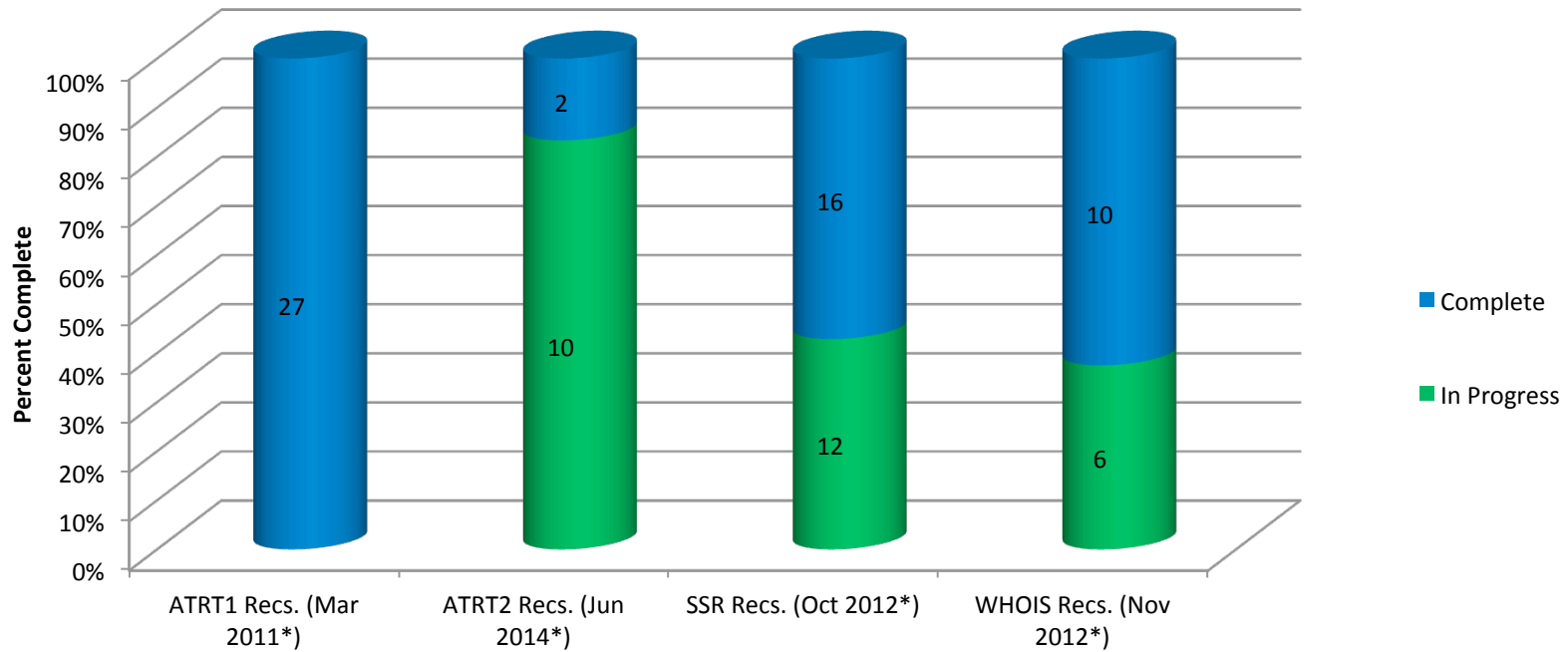
* Date of Board action on the Review Team's recommendations.

- Information on AoC Reviews and status of implementation of recommendations can be found on new web pages: https://www.icann.org/resources/reviews/aoc
- Consequence of AoC Review recommendations is that they stimulate long-term improvement efforts

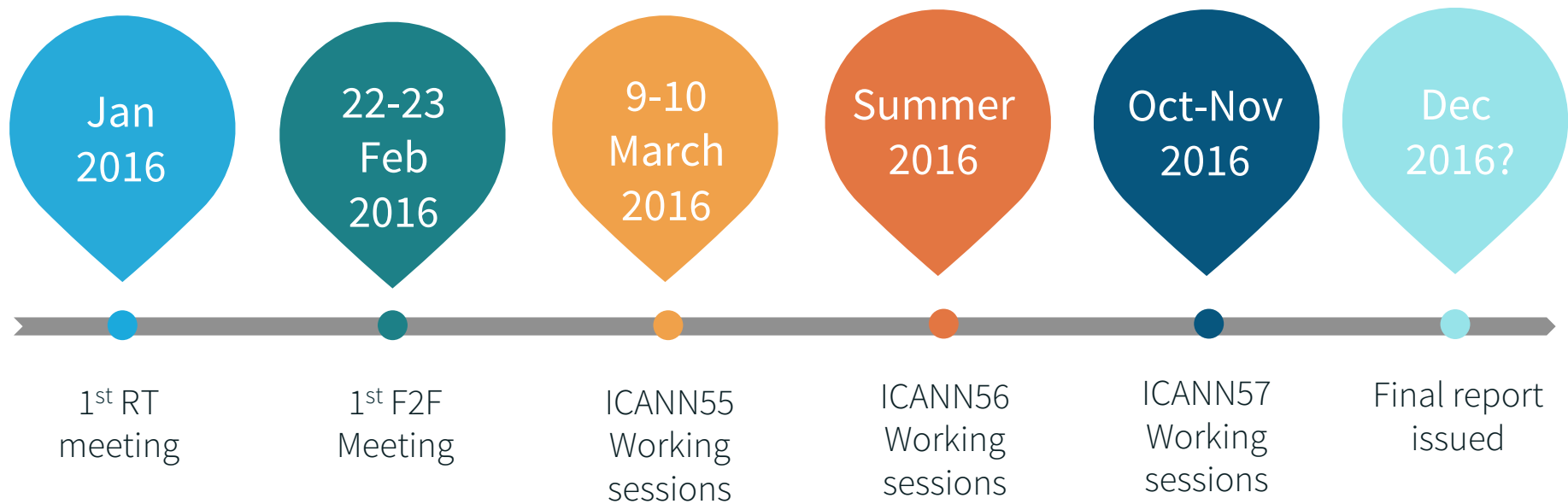# Competition, Consumer Trust and Consumer Choice (CCT) Review

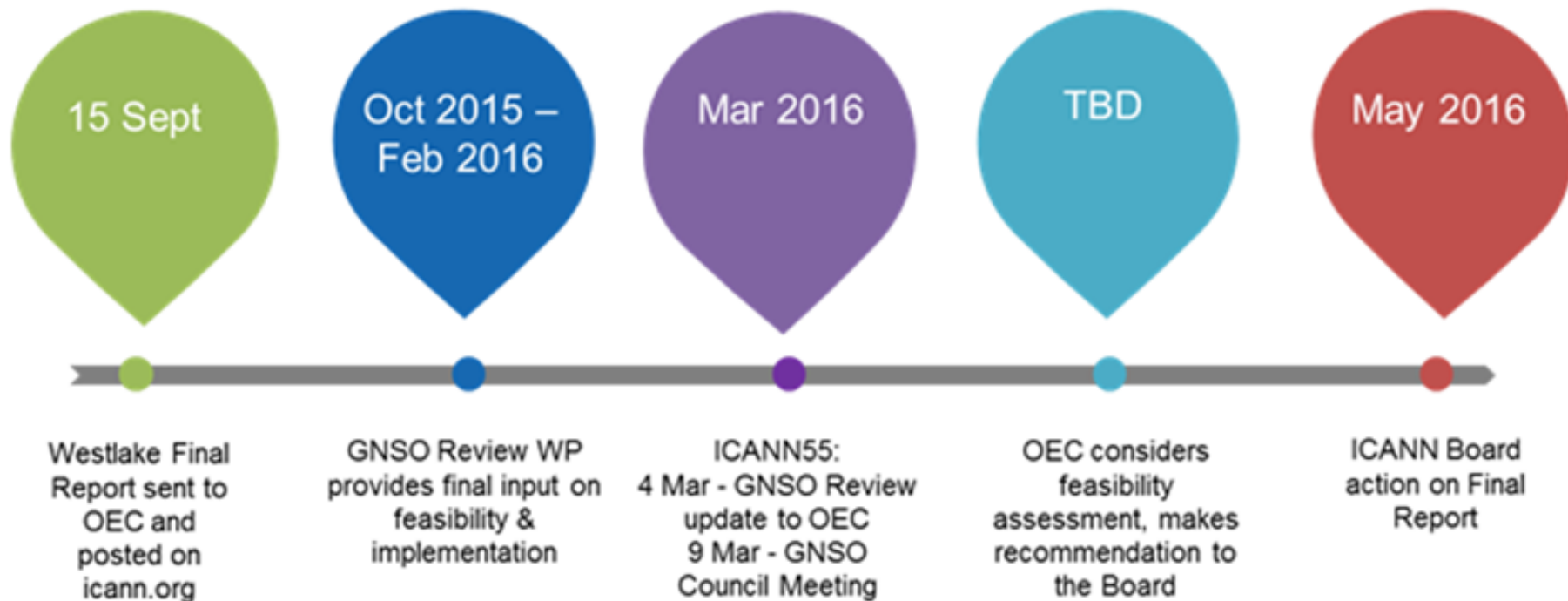|	
Overview	The CCT Review Team (RT) will evaluate the New gTLD Program for its progress in enhancing competition, consumer trust and consumer choice. Data on a number of community-recommended metrics have been collected and published.
Key Points	- Review team work in progress - Team understands work affects the next round - Working to produce Interim Recommendations to identify areas for additional policy work - May take 18 months to complete - Evaluating Metrics recommended by the Community

CCT Review - Timeline

Jan 2016	22-23 Feb 2016	9-10 March 2016	Summer 2016	Oct-Nov 2016	Dec 2016?
1st RT meeting	1st F2F Meeting	ICANN55 Working sessions	ICANN56 Working sessions	ICANN57 Working sessions	Final report issued

GNSO Review Update

15 Sept — Westlake Final Report sent to OEC and posted on icann.org

Oct 2015 – Feb 2016 — GNSO Review WP provides final input on feasibility & implementation

Mar 2016 — ICANN55: 4 Mar - GNSO Review update to OEC 9 Mar - GNSO Council Meeting

TBD — OEC considers feasibility assessment, makes recommendation to the Board

May 2016 — ICANN Board action on Final Report

Feasibility Assessment & Prioritization

GNSO Review Working Party evaluated and prioritized 36 recommendations issued by the independent examiner:

- ✓ Ease or difficulty of implementation,
- ✓ Cost of implementation,
- ✓ Alignment with the strategic plan of the GNSO
- ✓ Impact on existing or other work

Process Improvement

Category	High	Medium	Low	Total	
Agreed	3	7	3	13	36%
Work already underway	4	7	3	14	39%
Agreed with modifications	0	3	3	6	17%
Did not agree	0	0	3	3	8%
	7	17	12	36	100%
	19%	47%	33%	100%	

(Priority header spans High, Medium, Low columns)

Highlights from Lessons Learned

1 Community buy-in is essential for successful implementation of improvements

2 Feedback loop & measurable improvements contribute to buy-in

3 Link Review outputs to Strategic Planning and other ICANN processes

4 Reviews of individual organizations should be aligned with ICANN strategic direction and overall structure

5 Plan thoughtfully: apply relevant elements, realistic timelines, clear directions and definitions

6 Implementation plans must contain required elements to be approved by the Board

Q&A