Transition Implementation Status Reporting

18 July 2016

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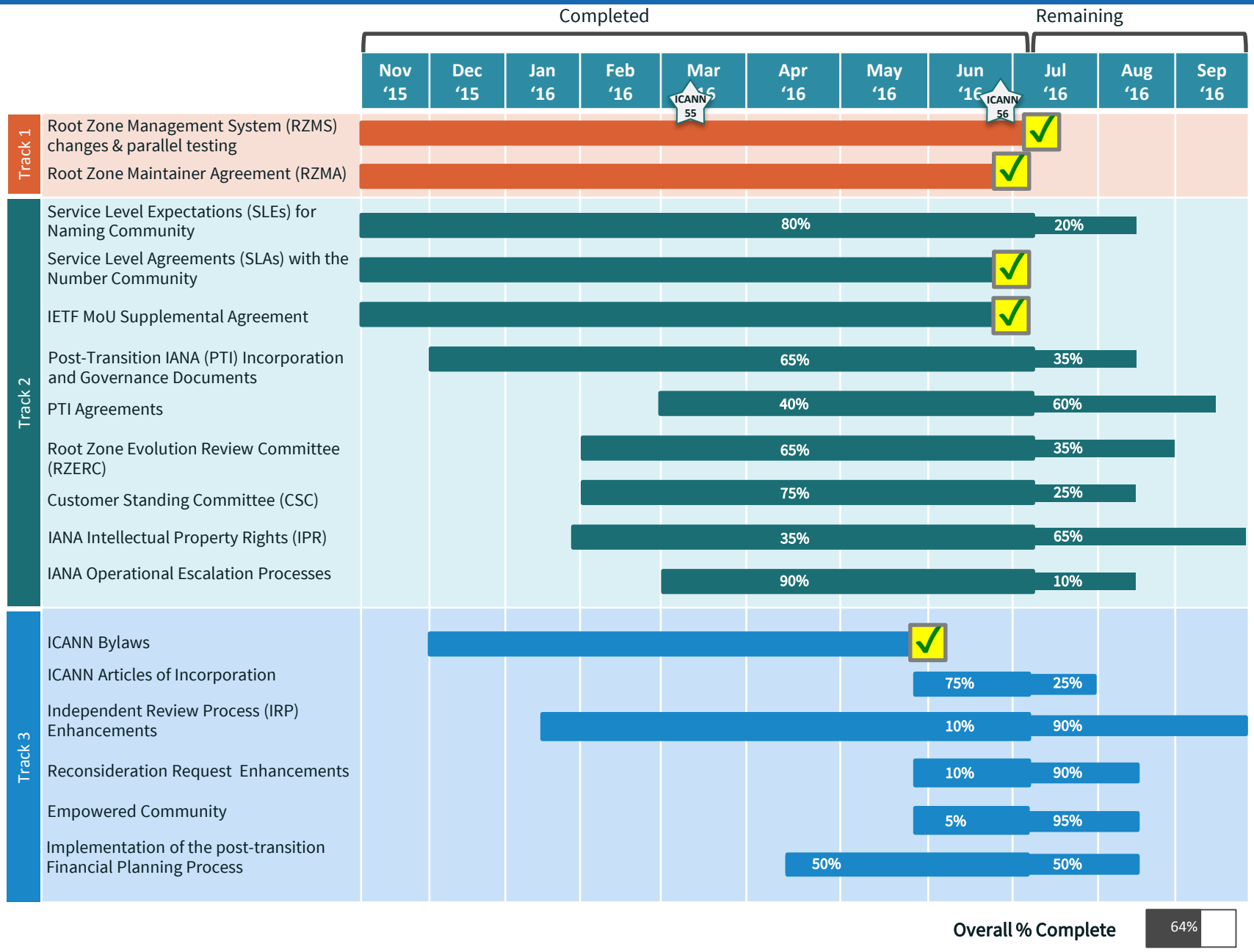
Overall Timeline

Implementation Planning Timeline – Proposals Delivered in March 2016



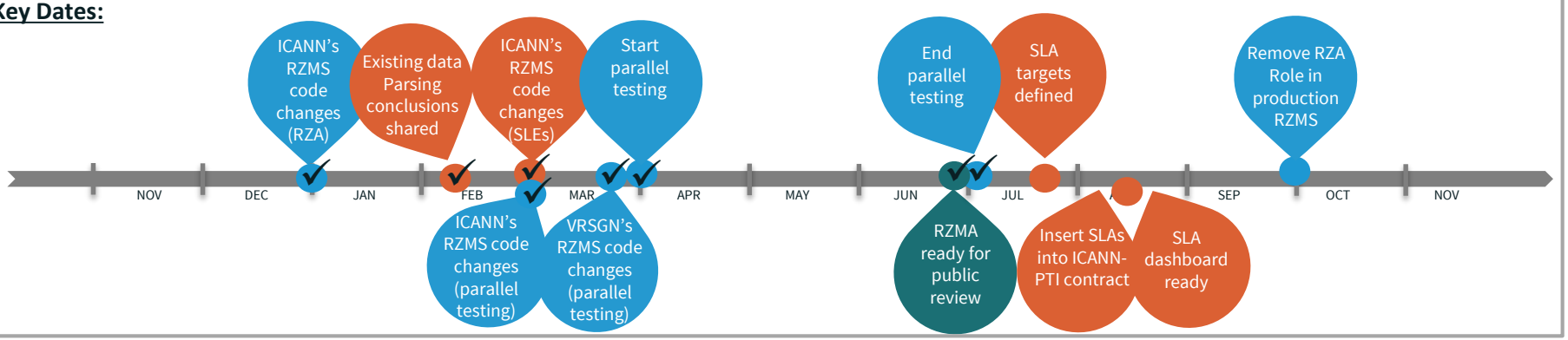
Status Update

RZMS, RZMA & Names SLEs

Status As Of Date: 07/18/16

Project	Description	Plan Completion Date	Status	% Complete
RZMS changes to remove RZA role and support parallel testing	Remove NTIA's authorization process from RZMS (code changes for ICANN and Verisign, and parallel testing).	~~09/30/16~~ ~~08/15/16~~ 07/06/16	✓	100%
Names SLEs (SLEs for Naming Community)	RZMS code changes to accommodate new SLEs, inclusion of performance targets in ICANN-PTI contract, dashboard reporting of SLAs.	~~09/30/16~~ 08/15/16	●	80%
RZMA (Agreement with Root Zone Maintainer)	Completion of draft RZMA.	~~01/31/16~~ / ~~02/29/16~~ ~~04/15/16~~ / ~~04/30/16~~ ~~05/15/16~~ / ~~05/31/16~~/ 06/30/16	✓	100%

Key Dates:



Project Updates:

- ✓ Completed 90-day Parallel Testing period with no unexplained issues.
- ✓ Finalizing SLE performance targets proposal.
- ✓ Continuing to work on the reporting dashboard.
- ✓ Finalized RZMA.

Upcoming Activities:

- Continue to fine-tune dashboard.
- Share proposed Names performance targets with the community.

Open Items:

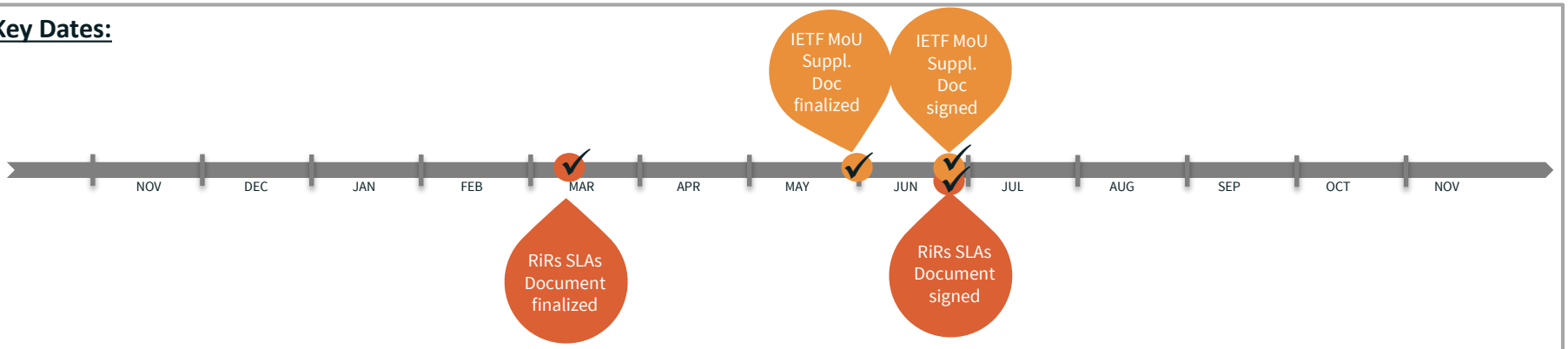
RIRs SLAs & IETF MoU Supplemental Agreement

Status As Of Date: 07/18/16

	Project	Description	Plan Completion Date*	Status	% Complete
	RIRs SLAs (Service Level Agreement with the Number Community)	Completion of draft agreement, including SLAs with RIRs, and operationalization of SLAs.	06/30/16	✓	100%
	IETF MoU Supplemental Agreement	Completion of draft ICANN-IETF MoU Supplemental Agreement.	06/30/16	✓	100%

** Completion Date dependent on USG's approval of proposals*

Key Dates:



Project Updates:

- RIR SLA document signed.
- IETF MoU document signed.

Upcoming activities:

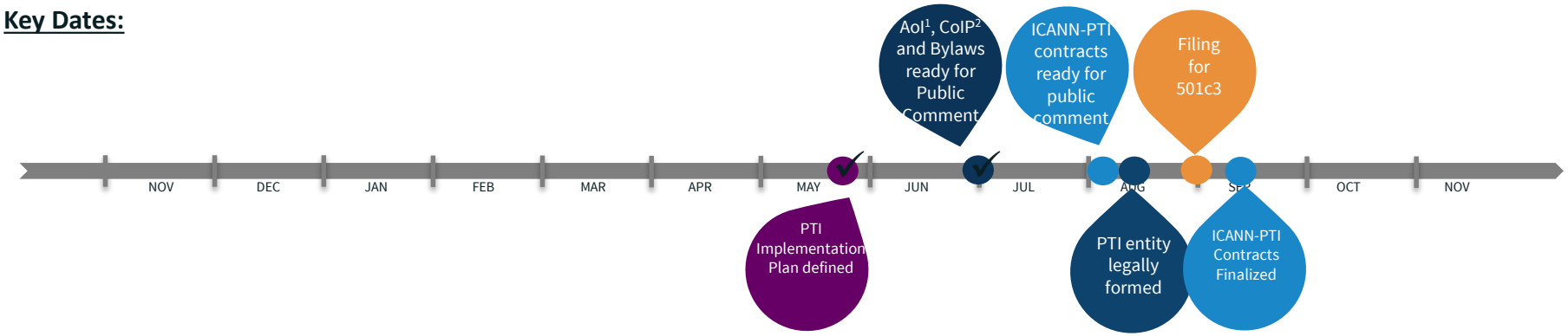
Open Items:

PTI (Post-transition IANA)

Status As Of Date: 07/18/16

	Project	Description	Plan Completion Date	Status	% Complete
	PTI Incorporation and Governance Documents	Formation of PTI entity: legal formation (incorporation of affiliate), and governance documents).	~~09/30/16~~ 08/15/16		65%
	PTI Agreements	PTI Agreements drafting, public comment period and board approval (Naming function contract, Inter-company agreement, Numbers and Protocal Parameters subcontracting agreements)	09/15/16		40%

Key Dates:



[1] *AoI: Articles of Incorporation* / [2] *CoIP: Conflicts of Interest Policy*

Project Updates:

- ✓ Naming function contract, Numbers subcontracting agreement, Protocol Parameters subcontracting agreement, and inter-company services agreement headers have been shared with the community.

Upcoming activities:

- Review agreements with the community.

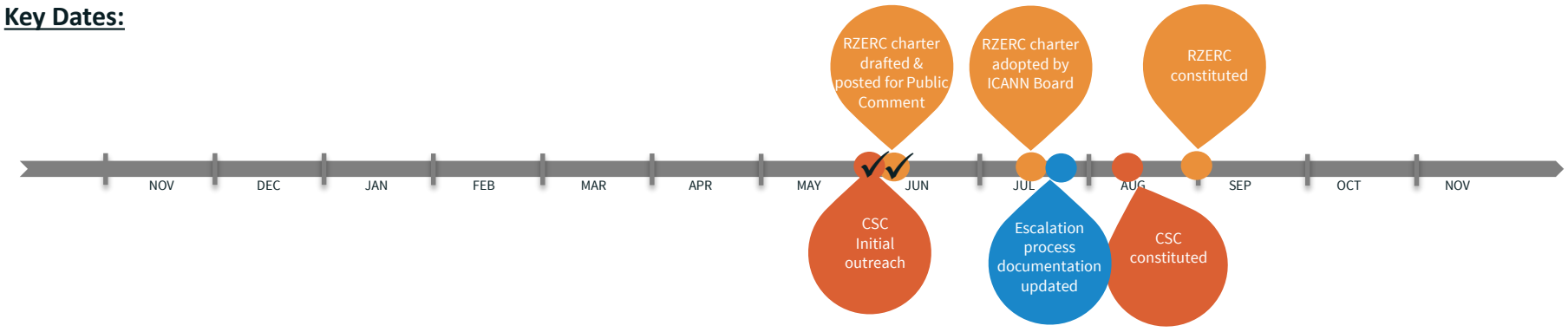
Open Items:

IPR, RZERC, CSC & Escalation Processes

Status As Of Date: 07/18/16

	Project	Description	Plan Completion Date	Status	% Complete
	IANA IPR	Transfer of IANA IPR to a independent trust.	9/30/16		35%
	RZERC *(Root Zone Evolution Review Committee)*	Formation of committee to advise ICANN Board on RZMS architectural and operational changes.	~~09/30/16~~ / ~~08/15/16~~ 08/31/16		65%
	CSC *(Customer Service Standing Committee)*	Formation of committee to monitor PTI performance.	~~09/30/16~~ 08/15/16		75%
	Escalation Processes	Updating of existing IANA operational complaint and escalation processes.	~~09/30/16~~ 08/15/16		90%

Key Dates:



Project Updates:

- ✓ RZERC charter public comment period ended on July 10.
- ✓ Appointing organizations working on appointing candidates.
- ✓ Escalation processes documentation being updated.

Upcoming Activities:

- Analyze public comments on RZERC charter and revise the charter.
- Appointing organizations submit candidates.
- Finalize escalation processes documentation.

Open Items:

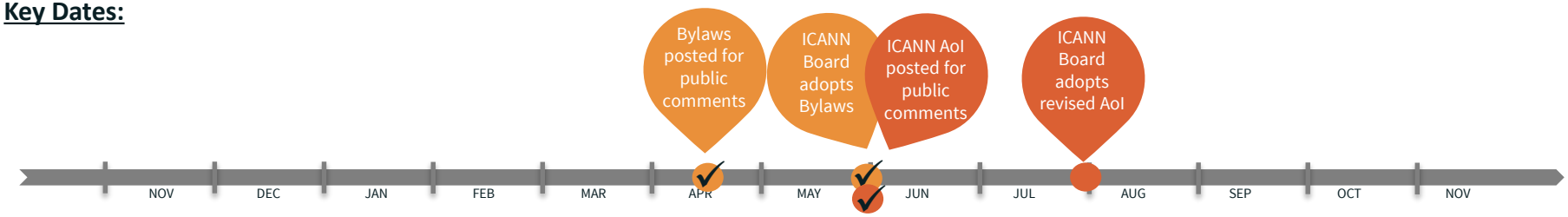
- Waiting on implementation requirements for IANA IPR.

Enhancing ICANN's Accountability

Status As Of Date: 07/18/16

Project	Description	Plan Completion Date	Status	% Complete
ICANN's Bylaws	Updating of ICANN Bylaws.	~~06/09/16~~ 05/27/16	✓	100%
ICANN's Articles of Incorporation (AoI)	Updating of ICANN Articles of Incorporation	07/31/16	●	75%
IRP Enhancements	Implement enhancements to Independent Review Process.	~~09/30/16 - 08/15/16~~ 09/30/16	●	10%
RR Enhancements	Implement enhancements to ICANN's reconsideration request process.	~~09/30/16~~ 08/15/16	●	10%
Empowered Community Support Mechanisms	Implement processes and mechanisms in support of the empowered community.	~~09/30/16~~ 08/15/16	●	5%
Financial Planning Process Updates	ICANN caretaker budget, PTI caretaker budget and PTI budget.	08/15/16	●	50%

Key Dates:



Project Updates:

- ✓ ICANN AoI public comment period ended on Jul 13th.
- ✓ Started initial assessment of items needed to support the Empowered Community.

Upcoming Activities:

- Analyze public comments and finalize ICANN articles of incorporation.
- Finalize financial planning process.

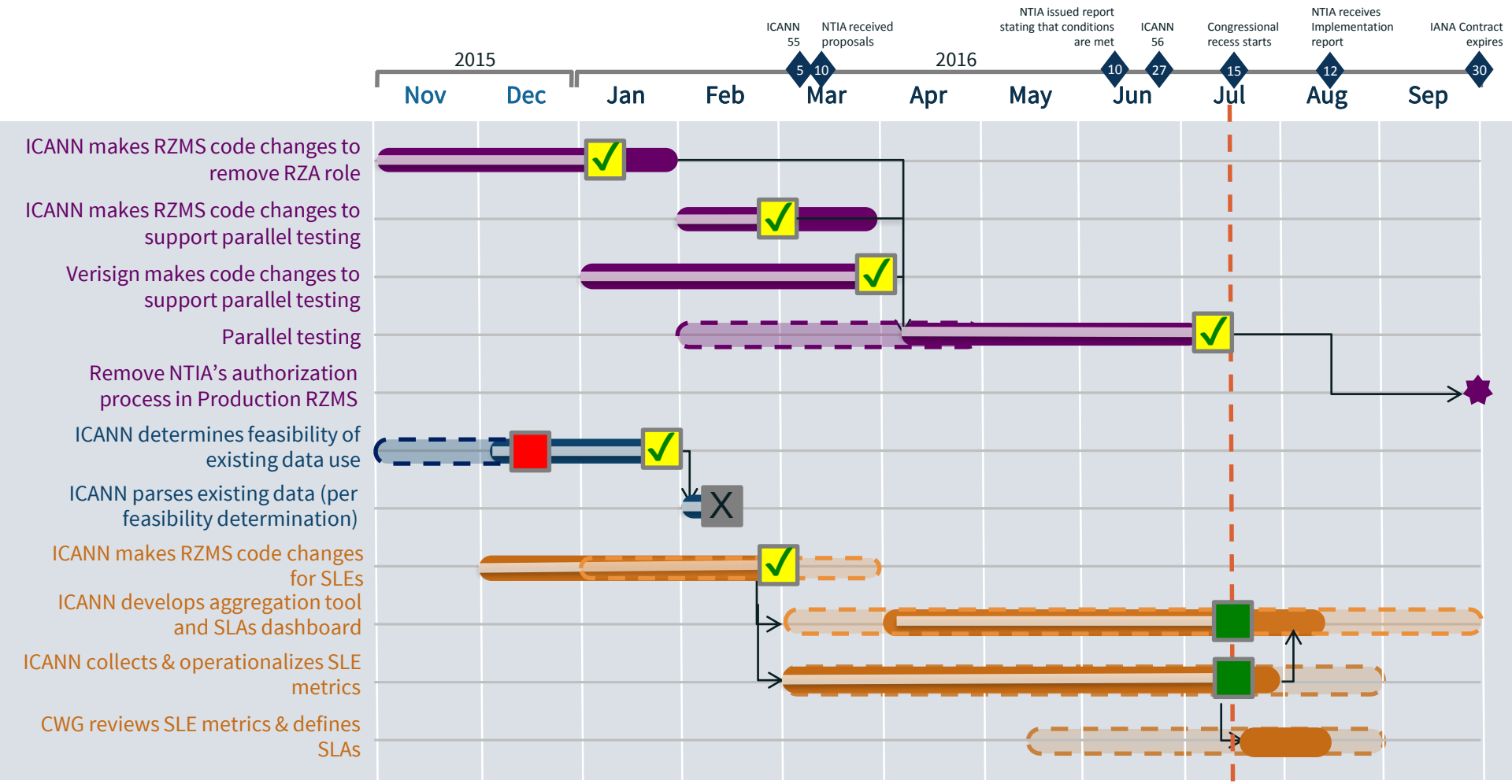
Open Items:

Appendix
High-Level Project Plans

RZMS & SLEs for Naming Community

% Complete: 90%

Status: On-track



Project Updates:

- ✓ Completed Parallel Testing period with no unexplained issues.
- ✓ Finalizing SLE performance targets proposal.
- ✓ Continuing to work on the reporting dashboard.

Upcoming Activities:

- Continue to fine-tune dashboard.
- Share proposed performance targets with the community.

Open Items:

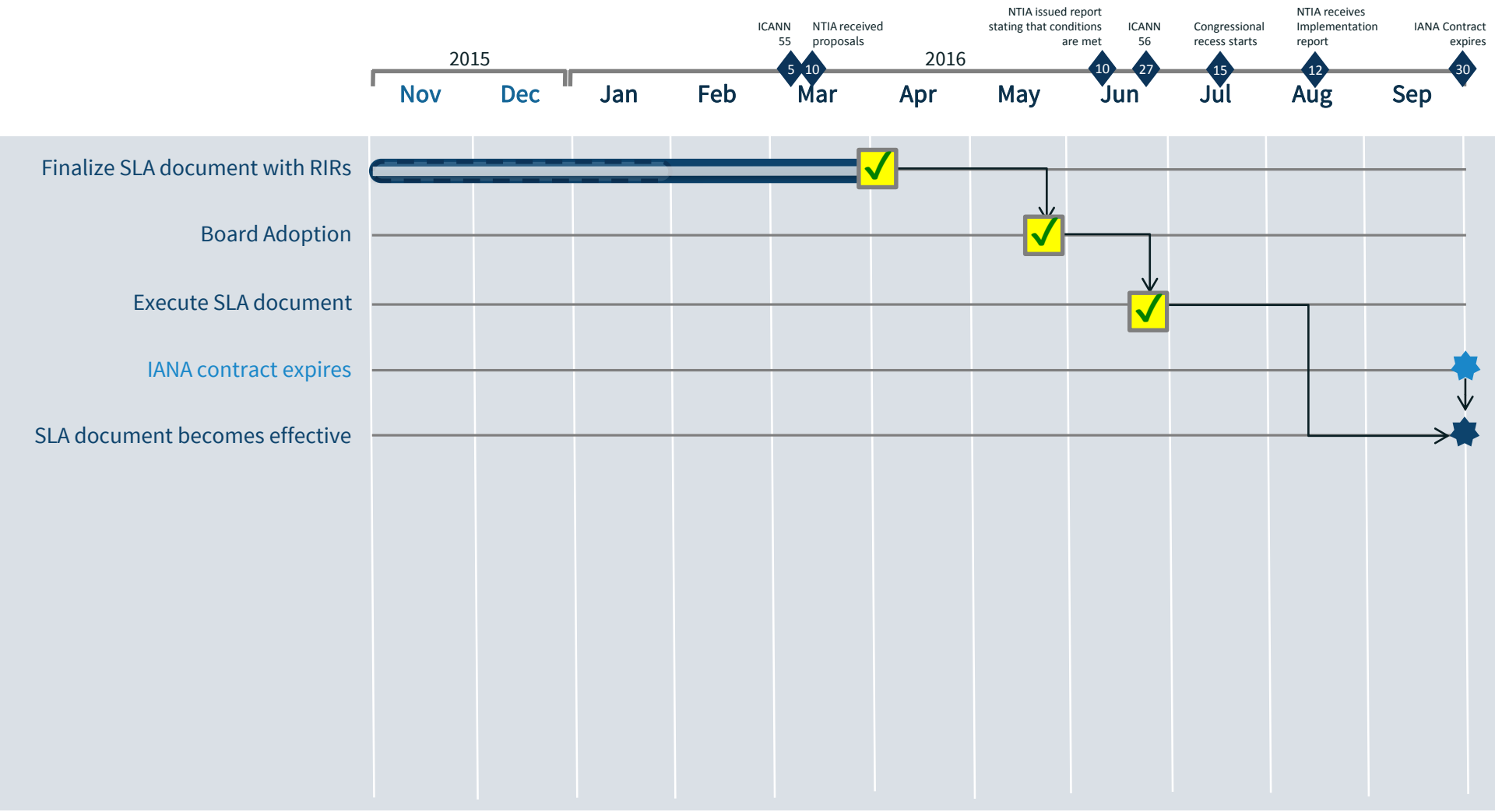
Baseline
Current Plan

RZMS & parallel testing activities
Parsing of existing data activities
SLE activities
ICANN-PTI contract activity

RIRs SLA

% Complete: 100%

Status: Complete



Project Updates:

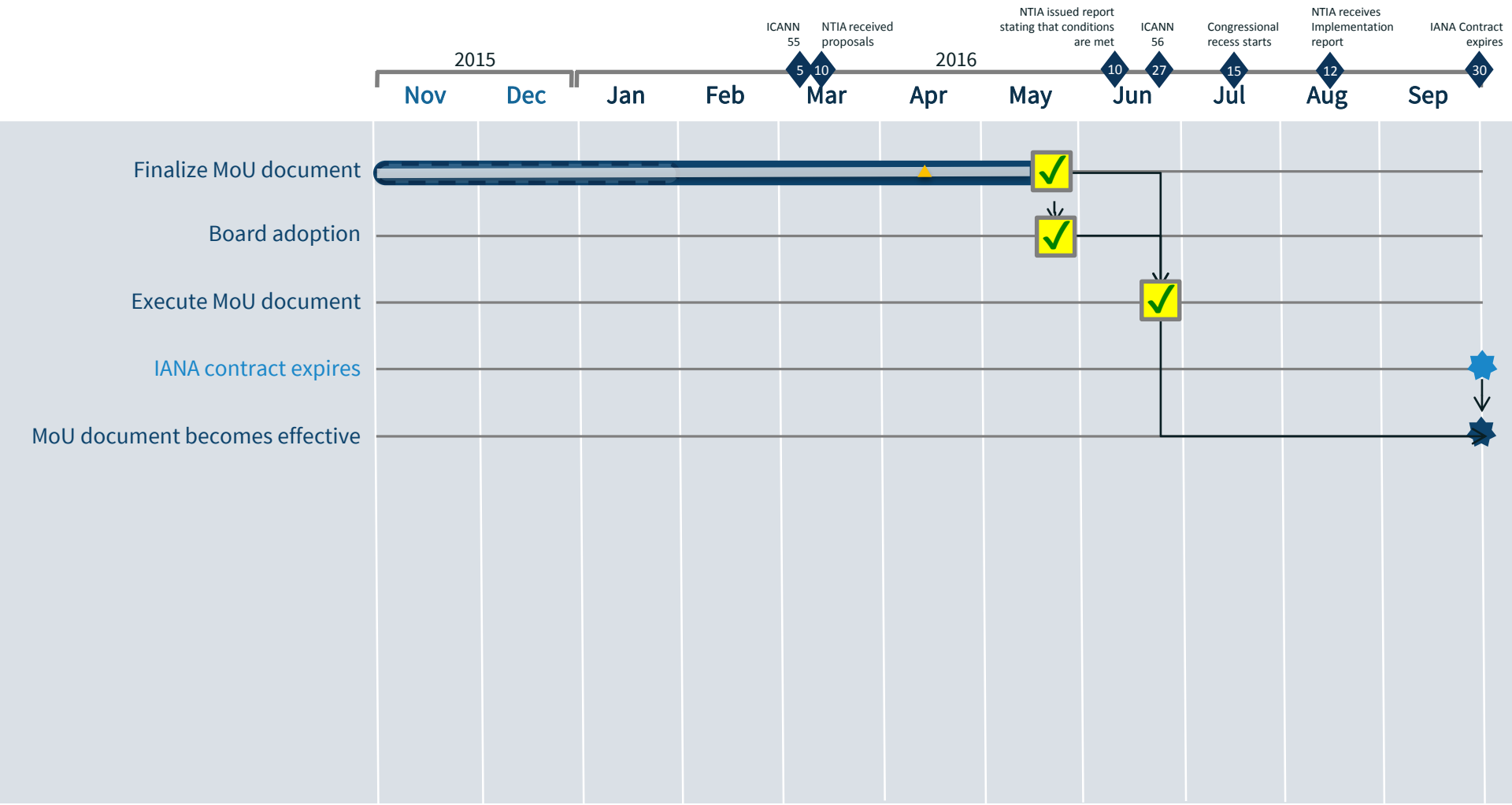
✓ Signed the RIR SLA document.

Upcoming Activities:

Open Items:

IETF MoU Supplemental Agreement ("MoU")

% Complete: 100% **Status:** Complete



Project Updates:

✓ Signed the IETF MoU document.

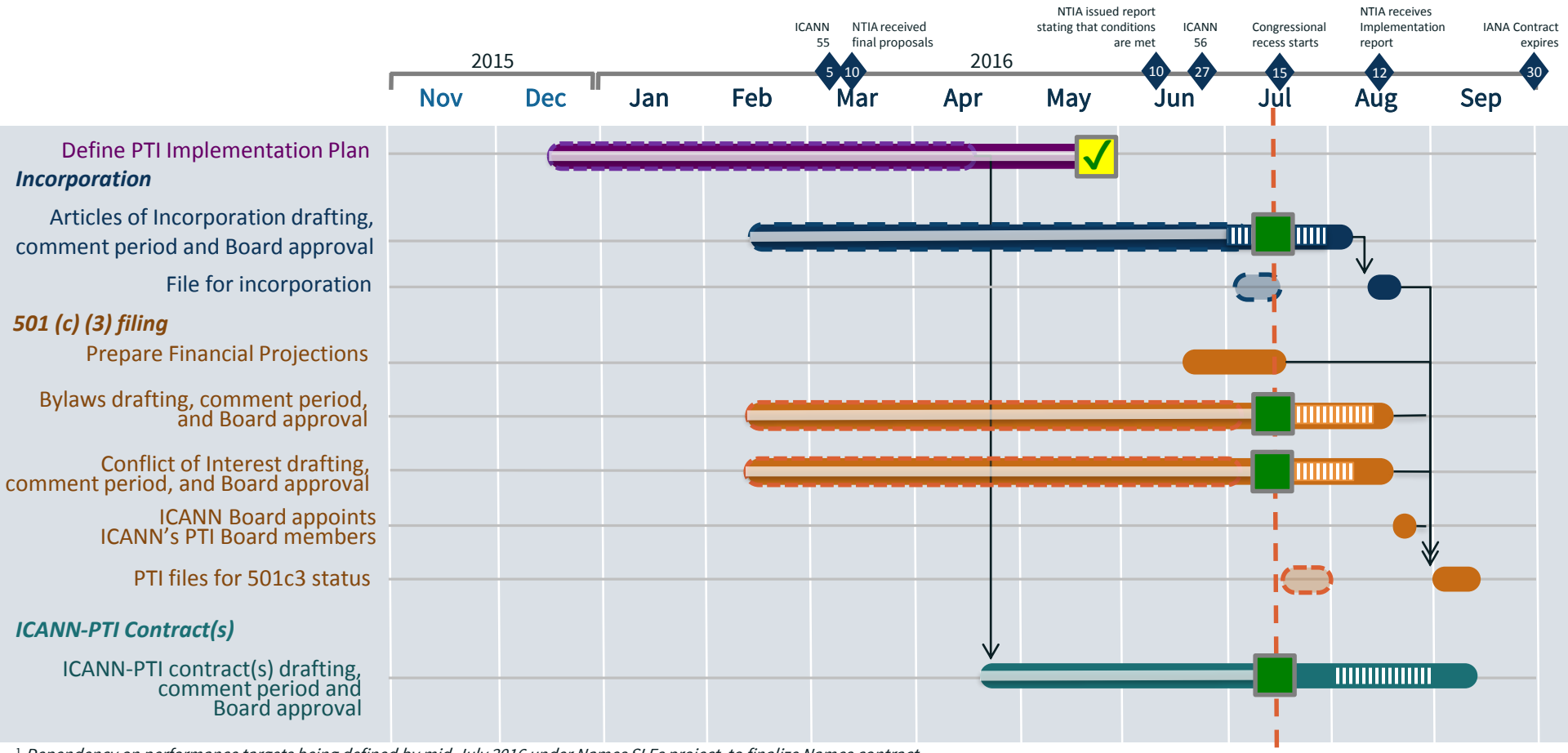
Upcoming Activities:

Open Items:

Post-Transition IANA (PTI)

% Complete: 55%

Status: on track



[1] *Dependency on performance targets being defined by mid-July 2016 under Names SLEs project to finalize Names contract*

Project Updates:

- ✓ Naming function contract, Numbers subcontracting agreement, Protocol Parameters subcontracting agreement, and inter-company services agreement headers have been shared with the community.

Upcoming Activities:

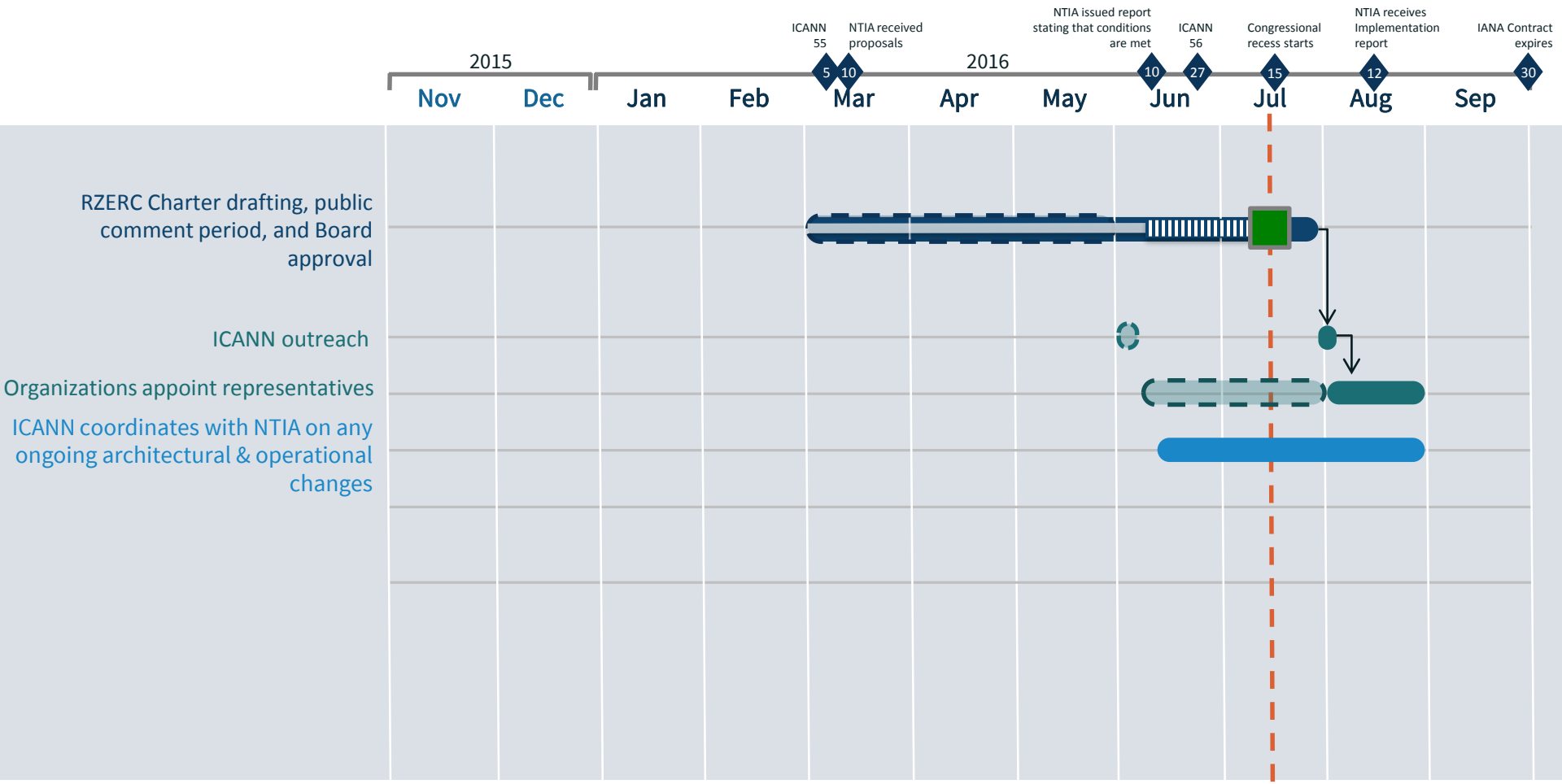
- Review agreements with the community.

Open Items:

RZERC (Root Zone Evolution Review Committee)

% Complete: 65%

Status: On-track



Project Updates:

✓ Public comment period ended on July 10.

Upcoming Activities:

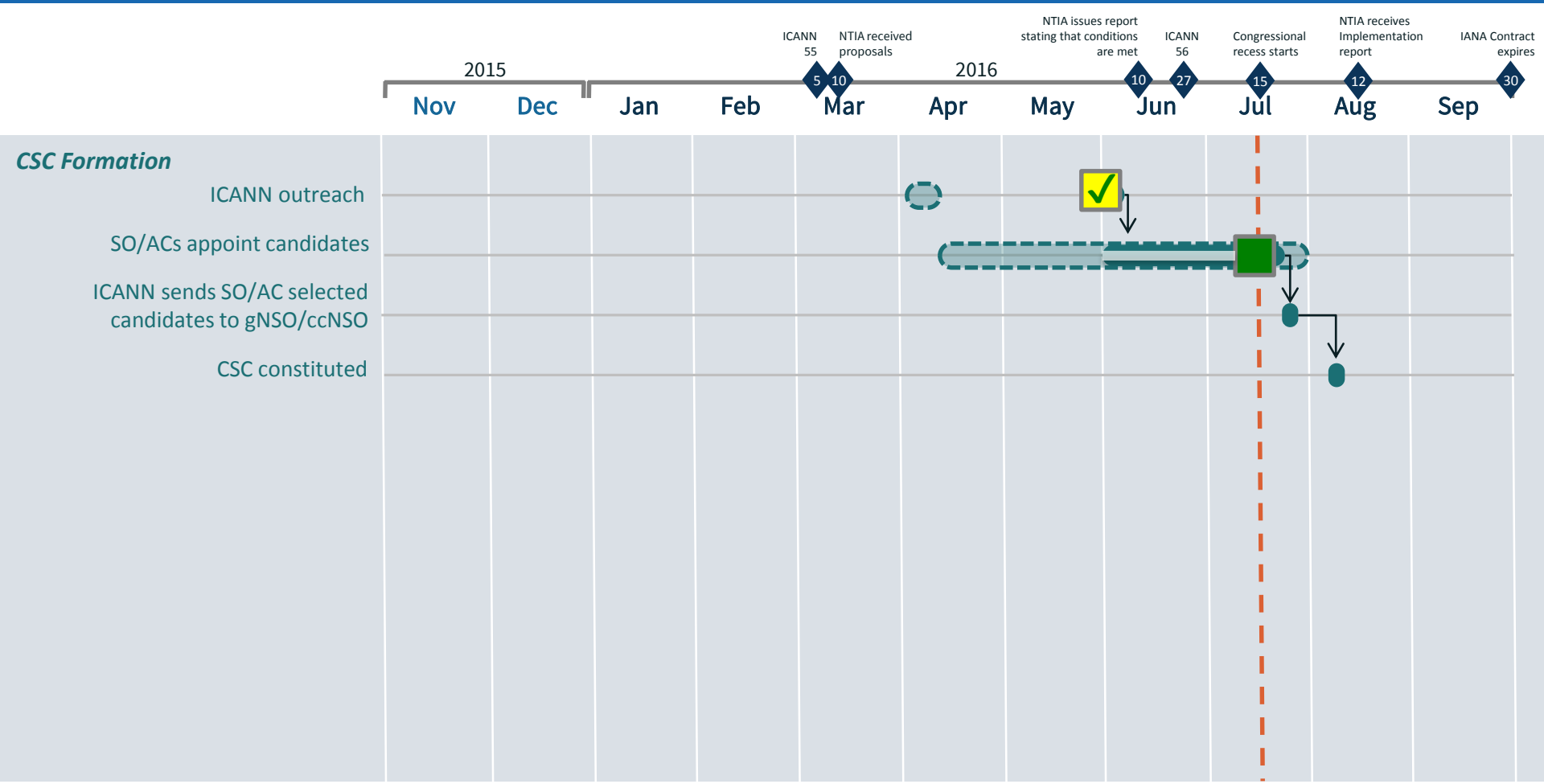
- Analyze public comments on RZERC charter and revise the charter.

Open Items:

Customer Standing Committee (CSC)

% Complete: 75%

Status: On-track



Project Updates:

- ✓ Appointing organizations working on appointing candidates.

Upcoming Activities:

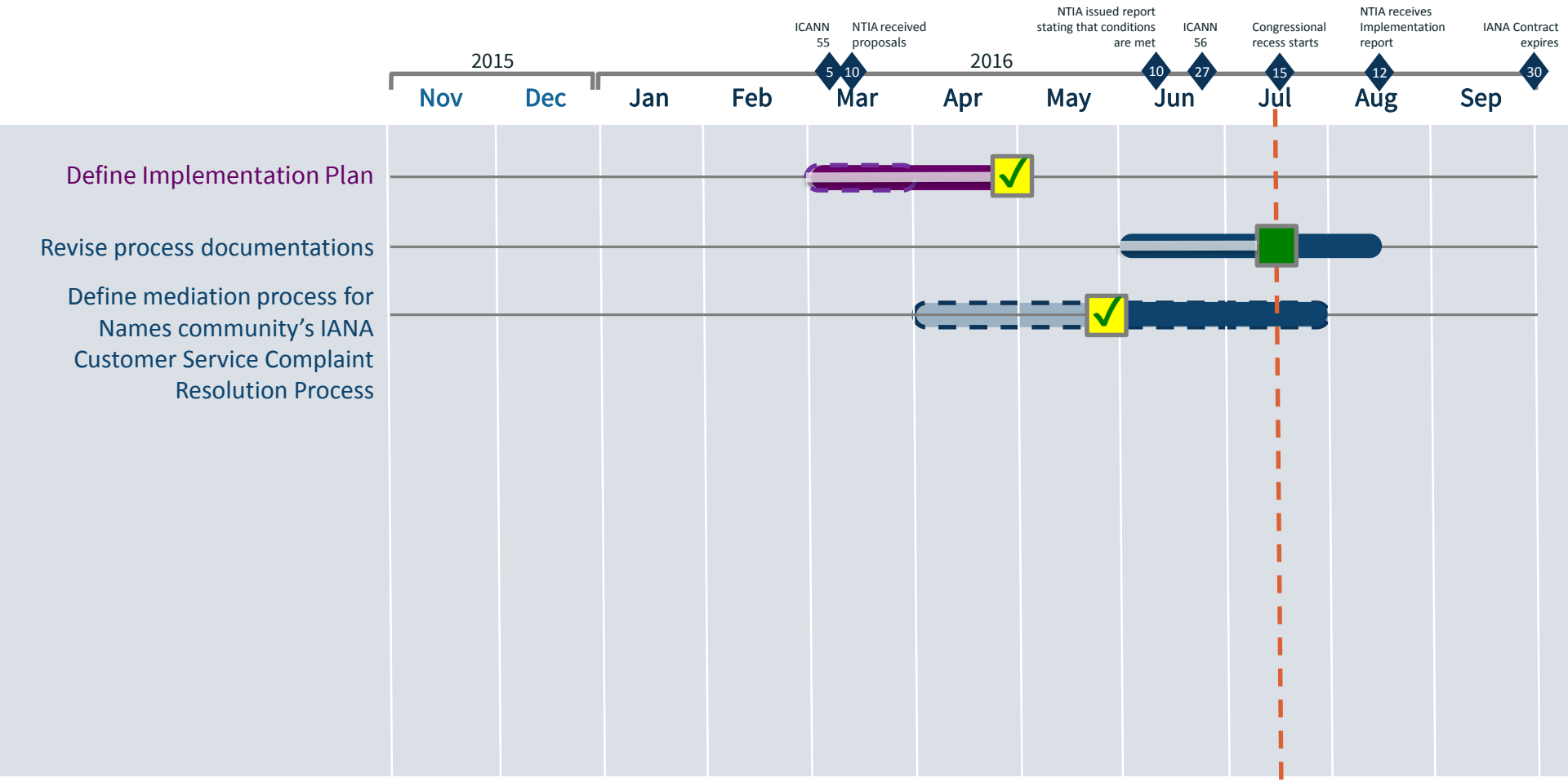
- ▪ Appointing organizations submit candidates.

Open Items:

IANA Operational Escalation Processes

% Complete: 90%

Status: On-track



Project Updates:

- ✓ Escalation processes documentation being updated.

Upcoming Activities:

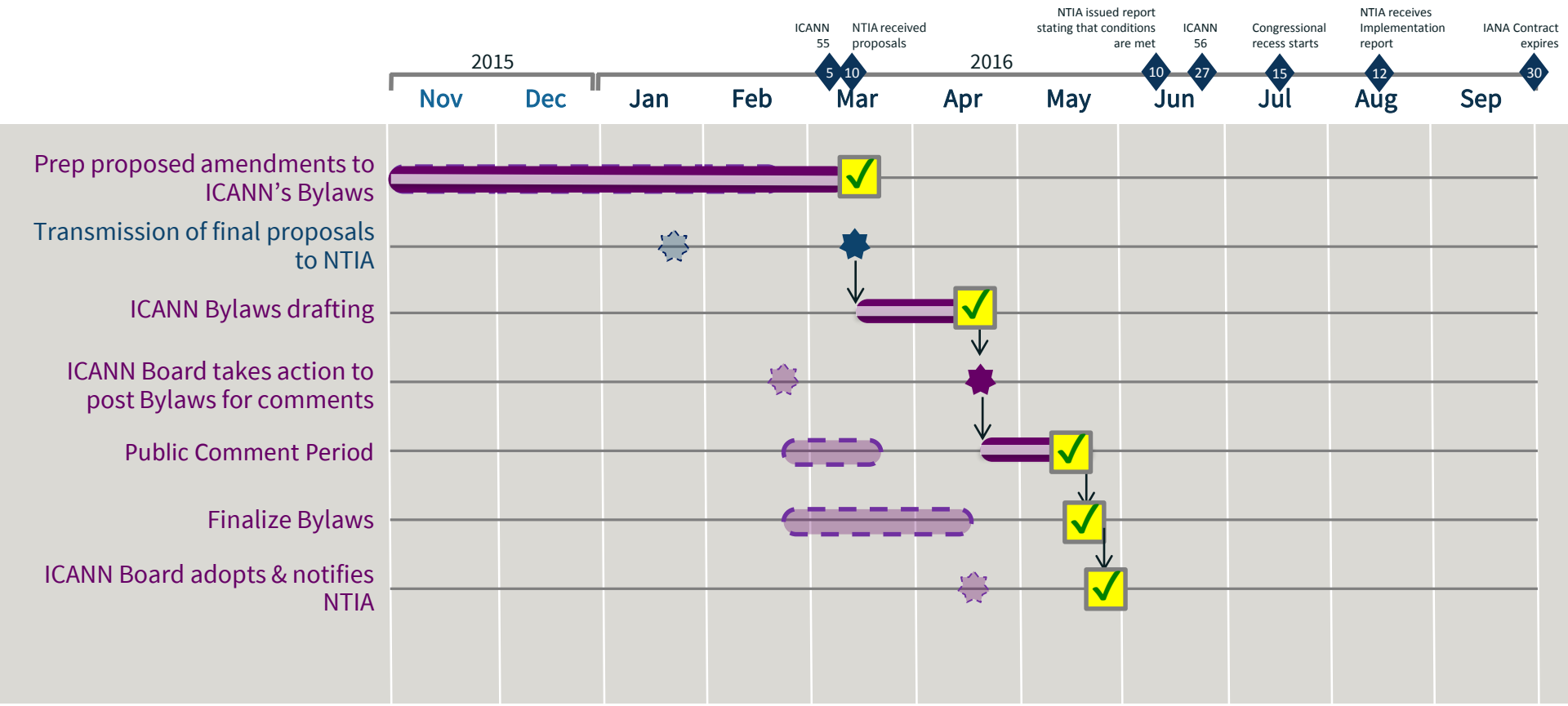
- Finalize escalation processes documentation.

Open Items:

ICANN Bylaws

% Complete: 100%

Status: Complete



Project Updates:

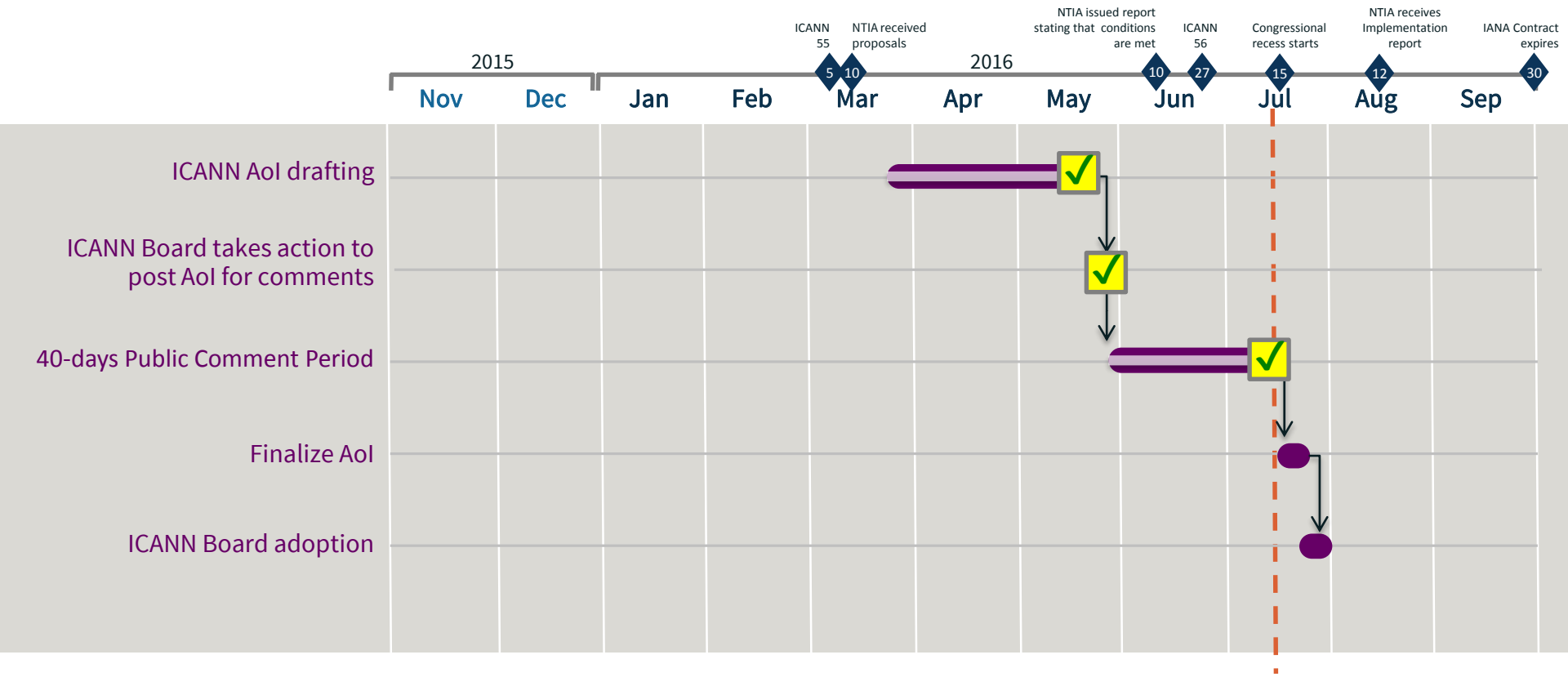
Upcoming Activities:

Open Items:

ICANN Articles of Incorporation (AoI)

% Complete: 75%

Status: On-track



Project Updates:

- ✓ ICANN AoI public comment period ended July 13th.

Upcoming Activities:

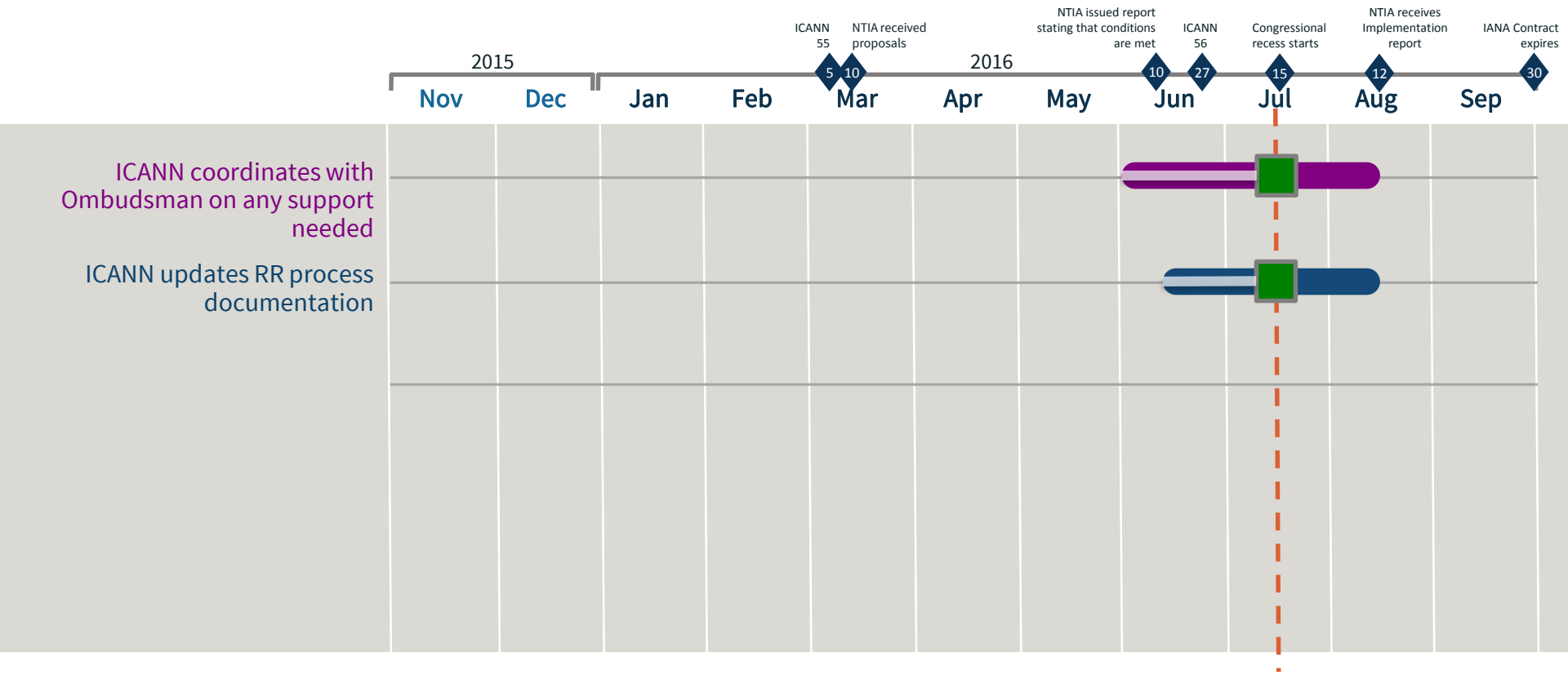
- Analyze public comments and finalize ICANN articles of incorporation.

Open Items:

Request For Reconsideration (RR) Enhancements

% Complete: 10%

Status: On-track



Project Updates:

Upcoming Activities:

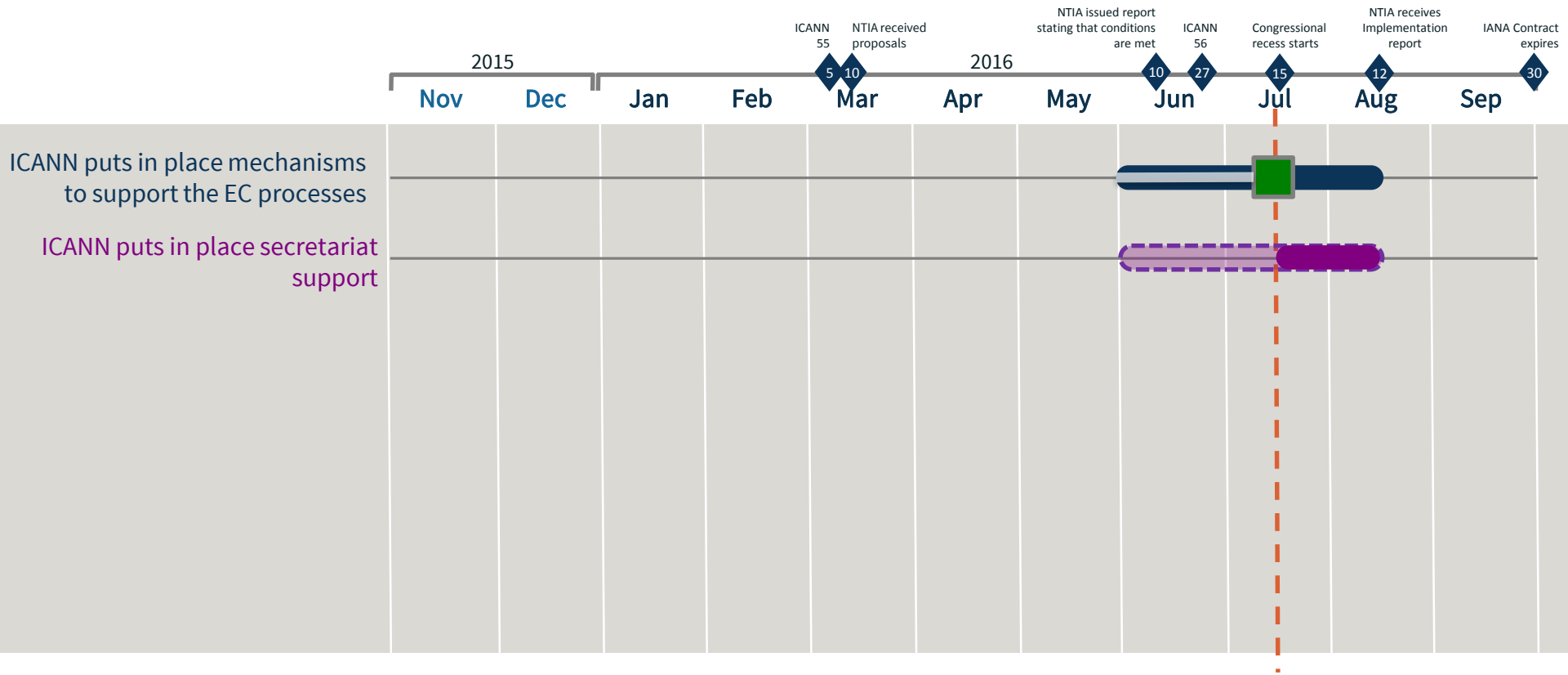
- Update Request for Reconsideration process documentation.

Open Items:

Empowered Community Enhancements

% Complete: 5%

Status: On-track



Project Updates:

- Started initial assessment of items needed to support the Empowered Community.

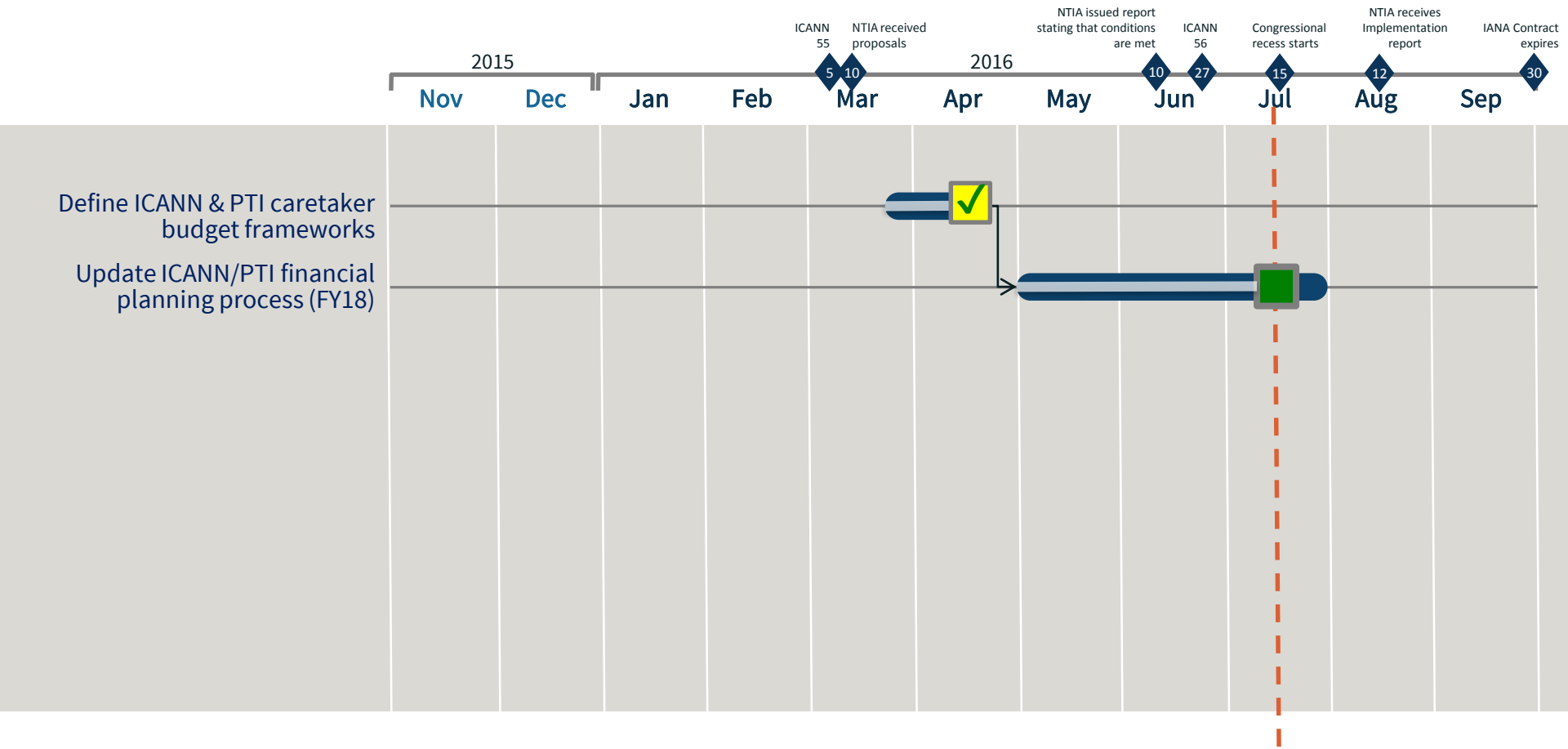
Upcoming Activities:

Open Items:

Post-transition Financial Planning Process

% Complete: 50%

Status: On-track



Project Updates:

Upcoming Activities:

- Finalize financial planning process.

Open Items: