

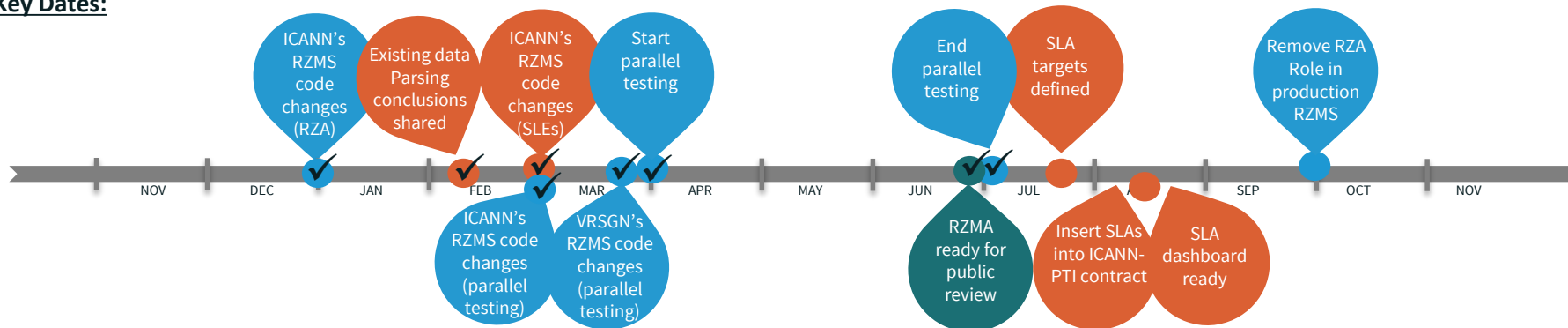


Transition Implementation Status Reporting

14 July 2016

Project	Description	Plan Completion Date	Status	% Complete
RZMS changes to remove RZA role and support parallel testing	Remove NTIA's authorization process from RZMS (code changes for ICANN and Verisign, and parallel testing).	09/30/16 08/15/16 07/06/16		100%
Names SLEs (SLEs for Naming Community)	RZMS code changes to accommodate new SLEs, inclusion of performance targets in ICANN-PTI contract, dashboard reporting of SLAs.	09/30/16 08/15/16		80%
RZMA (Agreement with Root Zone Maintainer)	Completion of draft RZMA.	01/31/16 / 02/29/16 04/15/16 / 04/30/16 05/15/16 / 05/31/16/ 06/30/16		100%

Key Dates:



Project Updates:

- ✓ Completed 90-day Parallel Testing period with no unexplained issues.
- ✓ Finalizing SLE performance targets proposal.
- ✓ Continuing to work on the reporting dashboard.
- ✓ Finalized RZMA.

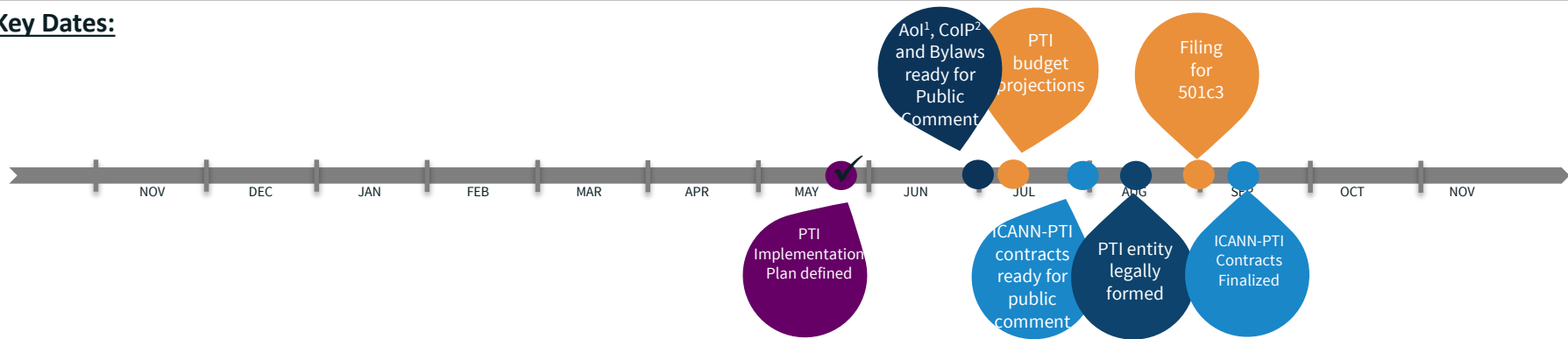
Upcoming Activities:

- Continue to fine-tune dashboard.
- Share proposed Names performance targets with the community.

Open Items:

Project	Description	Plan Completion Date	Status	% Complete
PTI Incorporation <i>(Post-Transition IANA)</i>	Formation of PTI entity: legal formation (incorporation of affiliate).	09/30/16 08/15/16		65%
PTI Governance Documents and Agreements	PTI governance documents, filing for 501c3, drafting of contracting agreements, etc. and operationalization of PTI entity (board nominations, budget, etc.).	09/15/16		40%

Key Dates:



¹ Aol: Articles of Incorporation / ² CoIP: Conflicts of Interest Policy

Project Updates:

- ✓ PTI articles of incorporation, conflict of interest policy, code of conduct and expected standard of behavior posted for 30-day public comment period.

Upcoming activities:

- Finalize PTI Bylaws for public comment posting.
- Share draft of Naming function contract with the community.

Open Items:

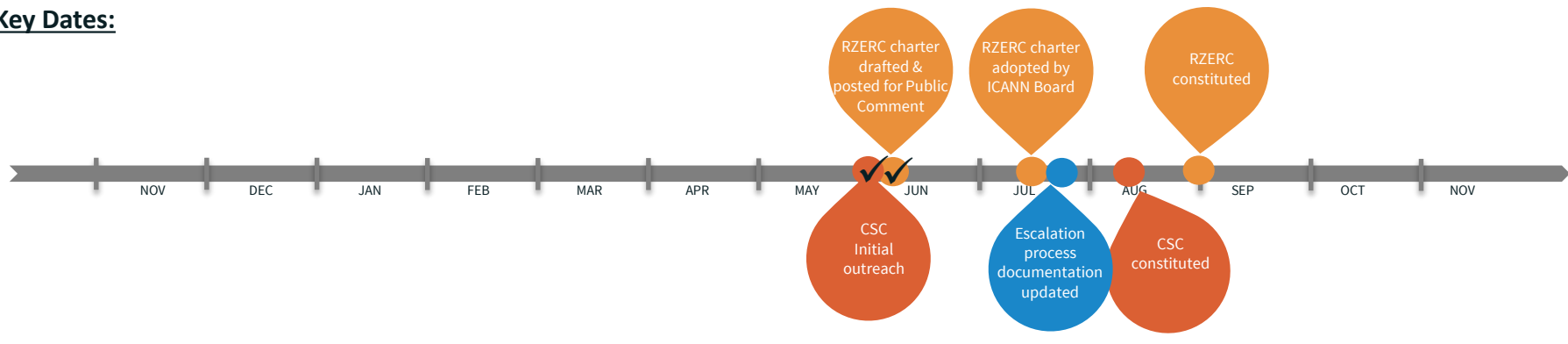
IPR, RZERC, CSC & Escalation Processes

Status As Of Date:

07/08/16

Project	Description	Plan Completion Date	Status	% Complete
IANA IPR	Transfer of IANA IPR to a independent trust.	9/30/16		35%
RZERC (<i>Root Zone Evolution Review Committee</i>)	Formation of committee to advise ICANN Board on RZMS architectural and operational changes.	09/30/16 / 08/15/16 08/31/16		65%
CSC (<i>Customer Service Standing Committee</i>)	Formation of committee to monitor PTI performance.	09/30/16 08/15/16		75%
Escalation Processes	Updating of existing IANA operational complaint and escalation processes.	09/30/16 08/15/16		90%

Key Dates:



Project Updates:

- ✓ RZERC charter public comment period ends on July 10.
- ✓ Appointing organizations working on appointing candidates.
- ✓ Escalation processes documentation being updated.

Upcoming Activities:

- Analyze public comments on RZERC charter and revise the charter.
- Appointing organizations submit candidates.
- Finalize escalation processes documentation.

Open Items:

- Waiting on implementation requirements for IANA IPR.



DRAFT for Discussion

- On-track
- Behind schedule, but recovery still possible
- Not started
- Target will be missed

* All dates are estimates

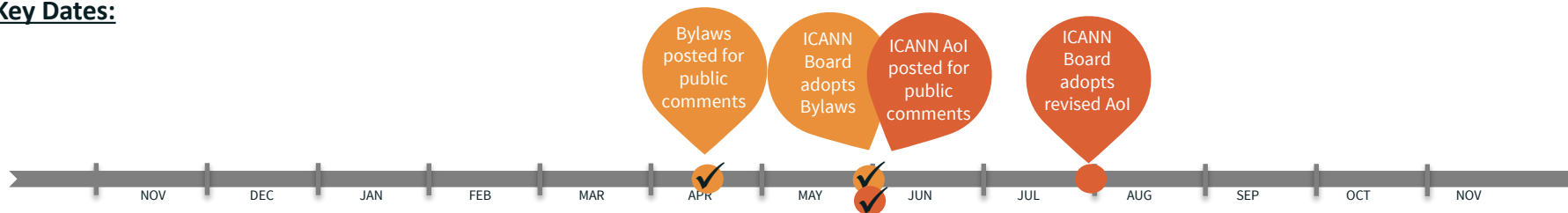
Enhancing ICANN's Accountability

Status As Of Date:

07/08/16

Project	Description	Plan Completion Date	Status	% Complete
ICANN's Bylaws	Updating of ICANN Bylaws.	06/09/16 05/27/16		100%
ICANN's Articles of Incorporation (Aol)	Updating of ICANN Articles of Incorporation	07/31/16		55%
IRP Enhancements	Implement enhancements to Independent Review Process.	09/30/16 – 08/15/16 09/30/16		10%
RR Enhancements	Implement enhancements to ICANN's reconsideration request process.	09/30/16 08/15/16		10%
Empowered Community Support Mechanisms	Implement processes and mechanisms in support of the empowered community.	09/30/16 08/15/16		5%
Financial Planning Process Updates	ICANN caretaker budget, PTI caretaker budget and PTI budget.	08/15/16		50%

Key Dates:



Project Updates:

- ✓ Monitoring public comments on ICANN Aol.
- ✓ Started initial assessment of items needed to support the Empowered Community.

Upcoming Activities:

- Analyze public comments and finalize ICANN articles of incorporation.
- Finalize financial planning process.

Open Items:



DRAFT for Discussion

- On-track
- Behind schedule, but recovery still possible

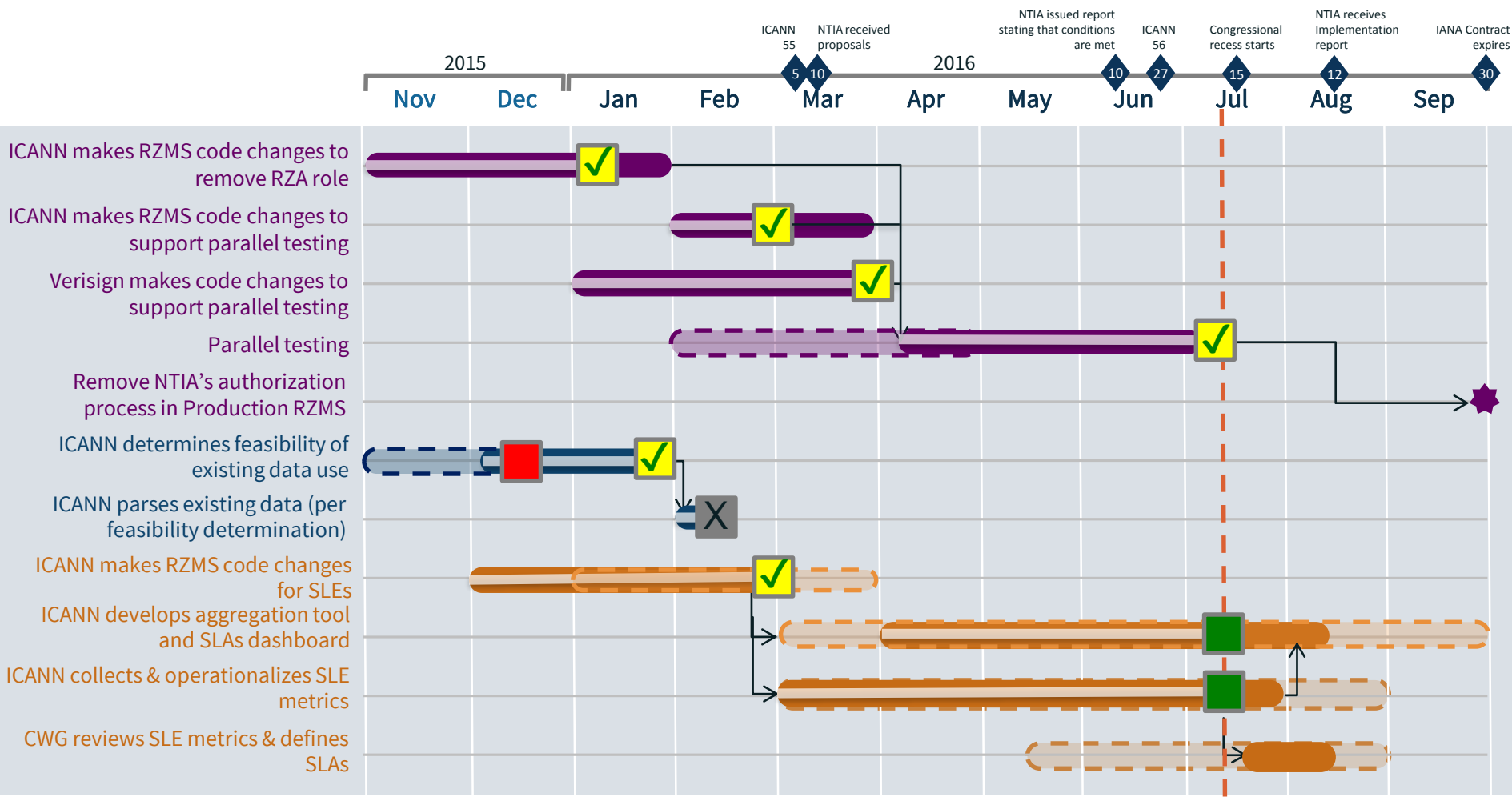
- Not started
- Target will be missed

* All dates are estimates | 5



Appendix 1

High-Level Projects Plans



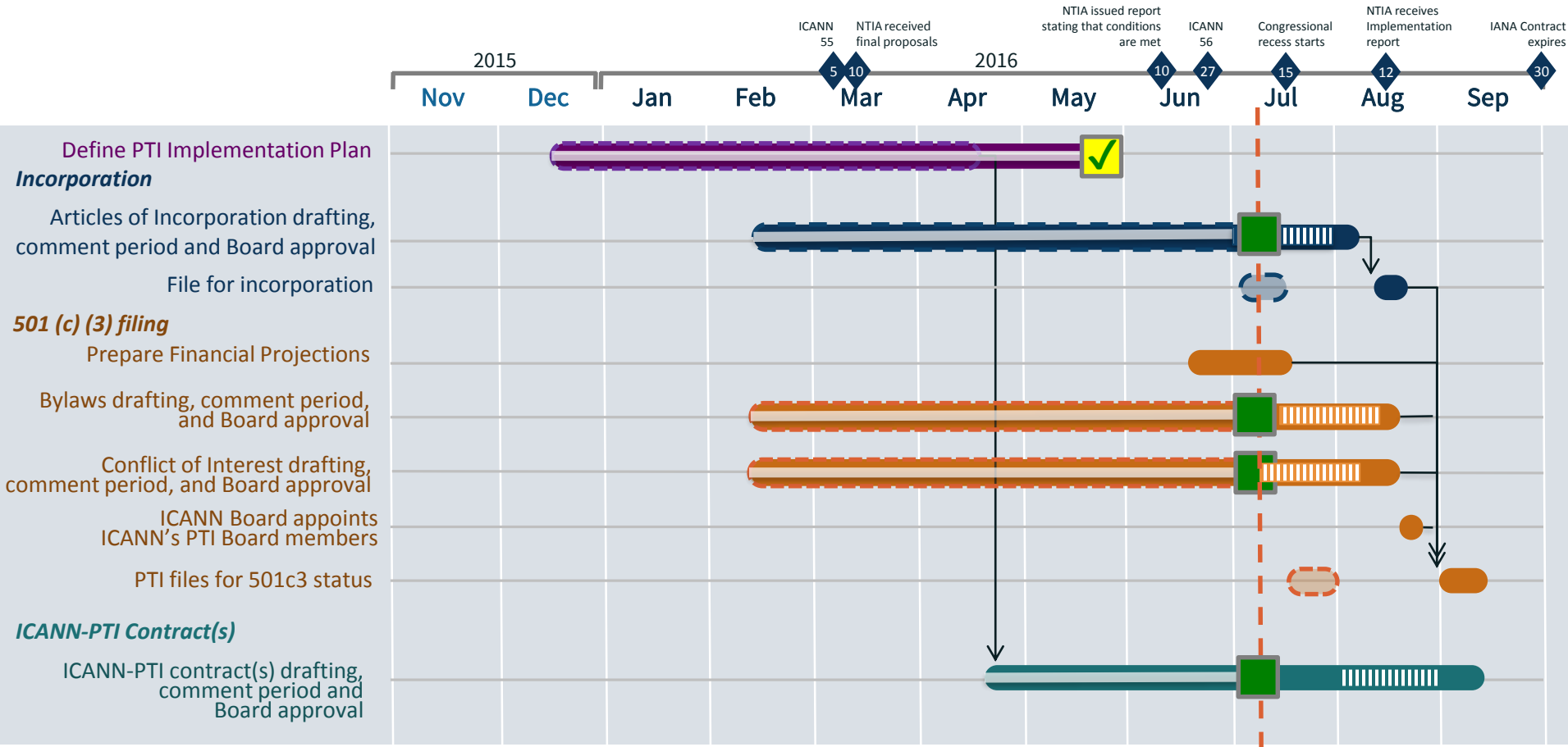
Project Updates:

- ✓ Completed Parallel Testing period with no unexplained issues.
- ✓ Finalizing SLE performance targets proposal.
- ✓ Continuing to work on the reporting dashboard.

Upcoming Activities:

- Continue to fine-tune dashboard.
- Share proposed performance targets with the community.

Open Items:



¹ Dependency on performance targets being defined by mid-July 2016 under Names SLEs project to finalize Names contract

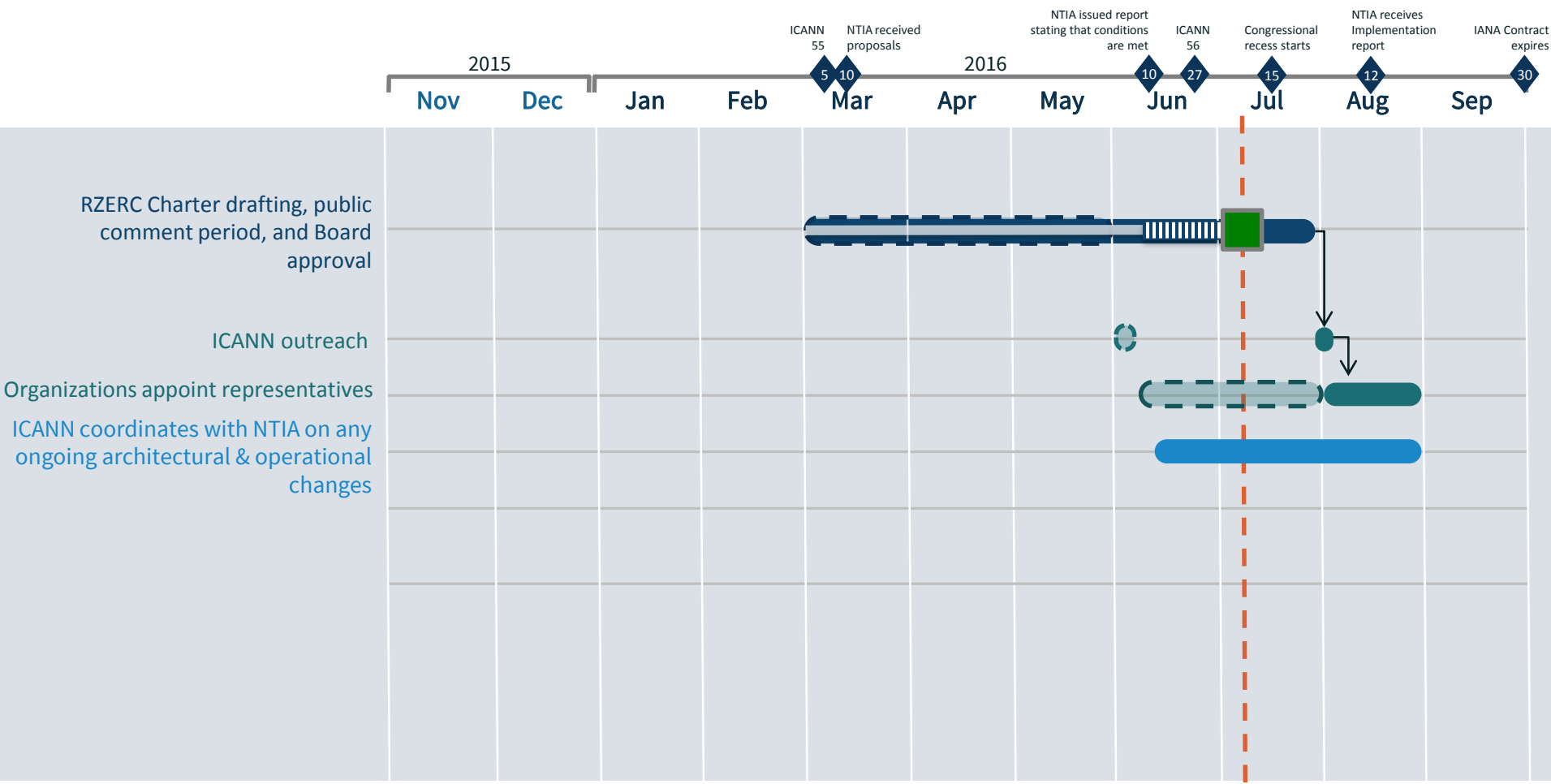
Project Updates:

- ✓ PTI articles of incorporation, conflict of interest policy, code of conduct and expected standard of behavior posted for 30-day public comment period.

Upcoming Activities:

- Finalize PTI Bylaws for public comment posting.
- Share draft of Naming function contract with the community.

Open Items:

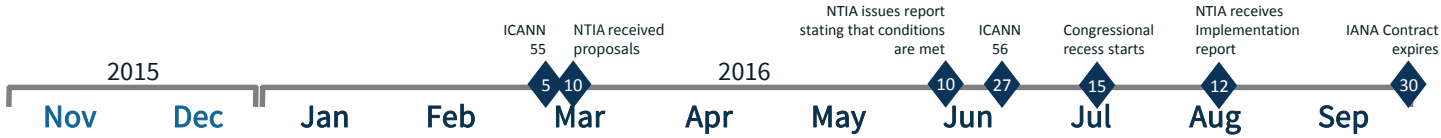


Project Updates:
 ✓ Public comment period ends on July 10.

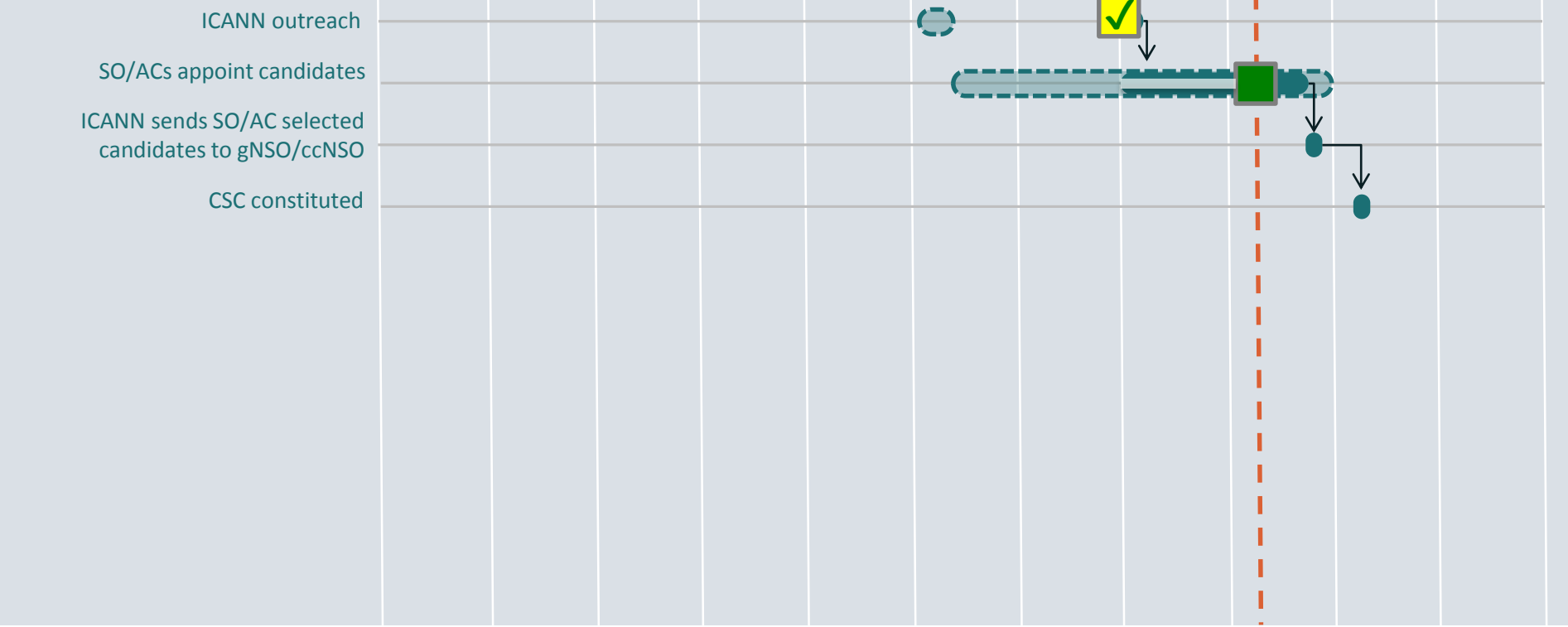
Upcoming Activities:

- Analyze public comments on RZERC charter and revise the charter.

Open Items:



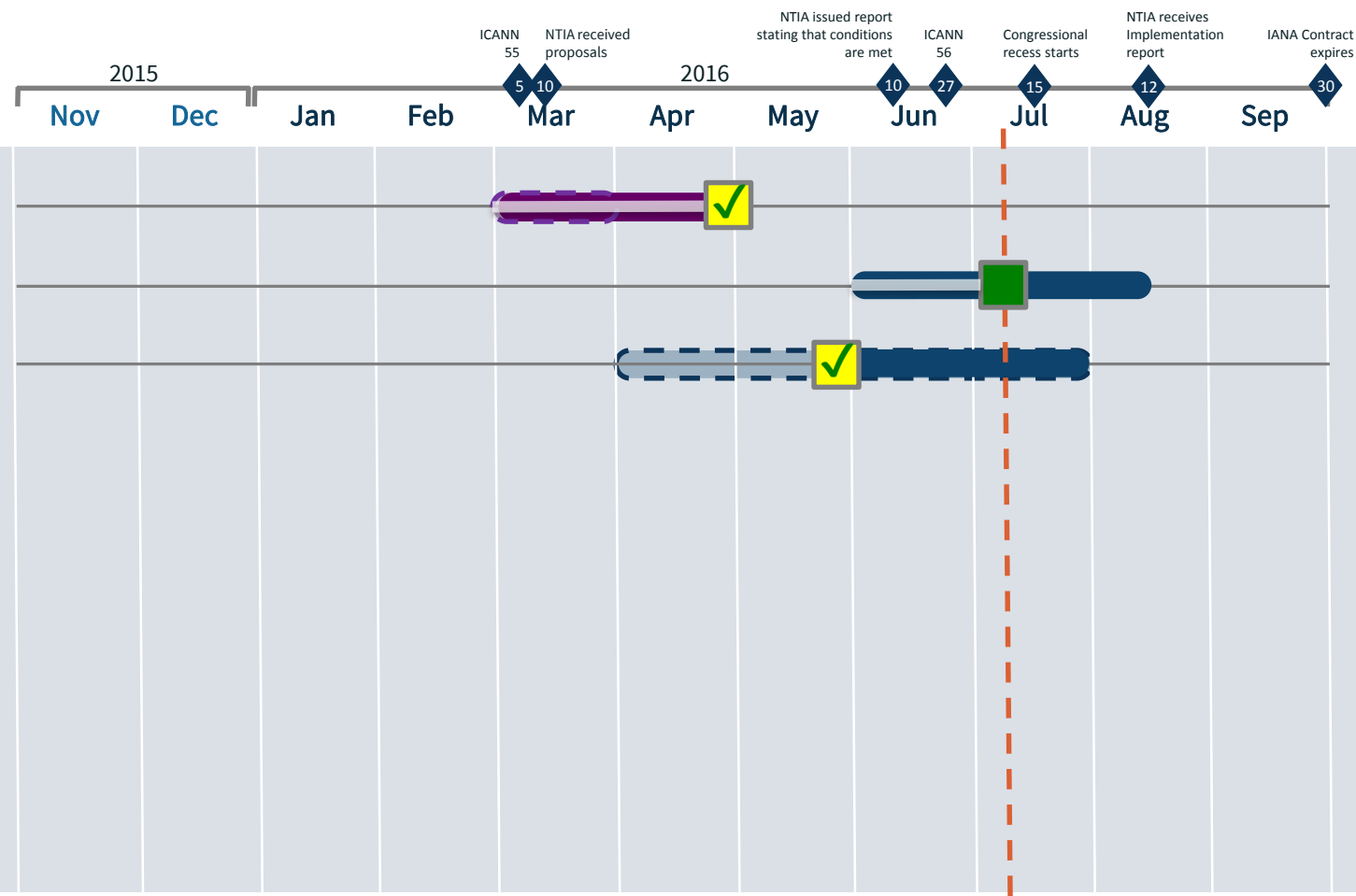
CSC Formation



Project Updates:
 ✓ Appointing organizations working on appointing candidates.

Upcoming Activities:
 ▪ Appointing organizations submit candidates.

Open Items:

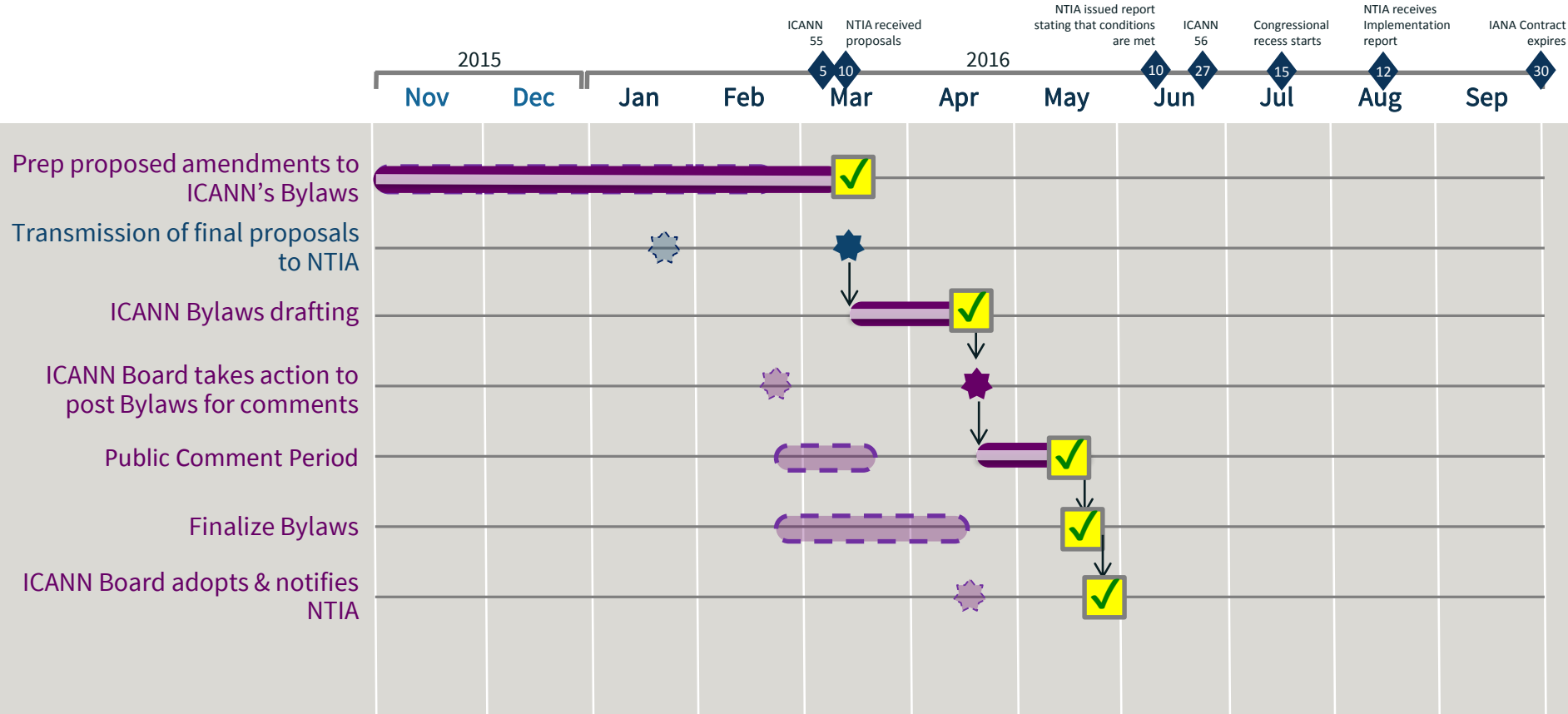


Project Updates:
 ✓ Escalation processes documentation being updated.

Upcoming Activities:

- Finalize escalation processes documentation.

Open Items:



Project Updates:

Upcoming Activities:

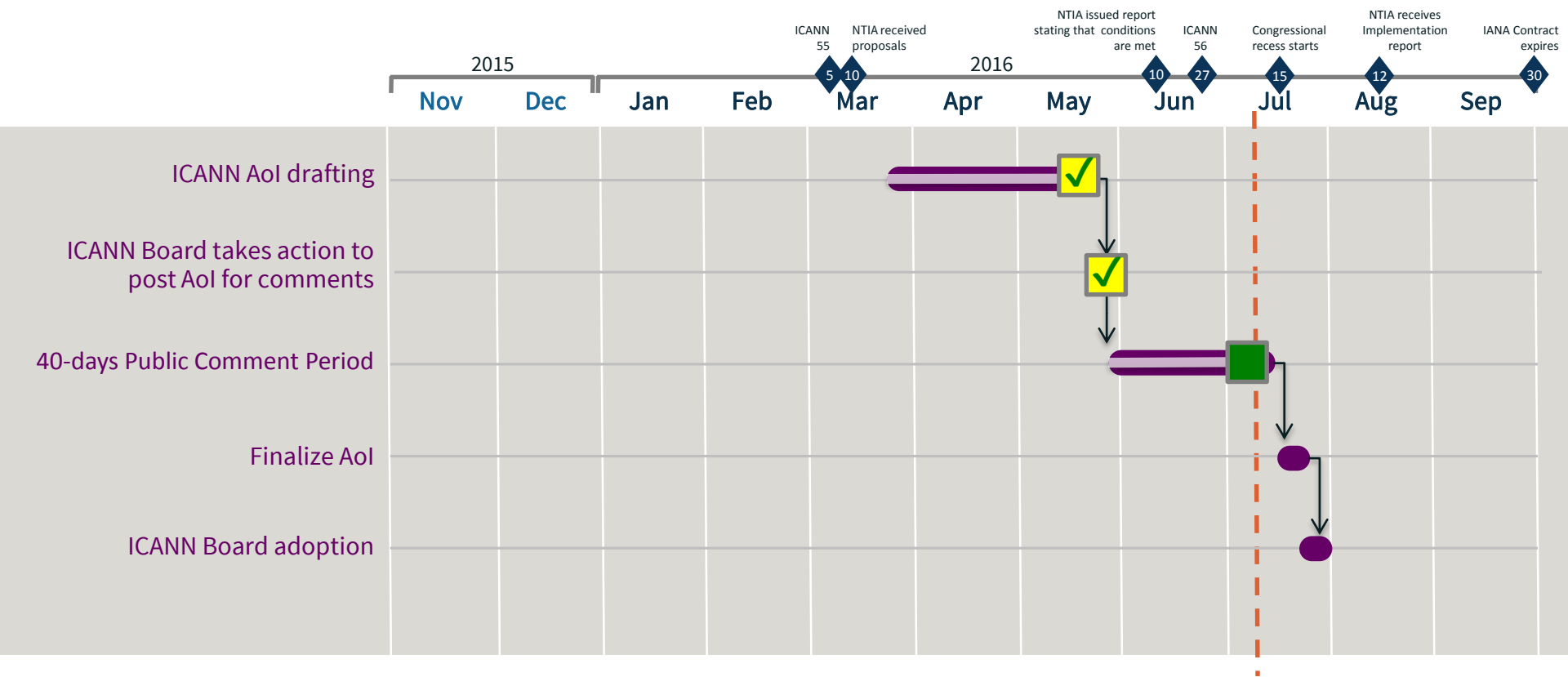
Open Items:



DRAFT for Discussion

- Baseline
- Bylaws activity
- Current Plan
- USG activity

* Timeframes are estimates | 12



Project Updates:

- ✓ Monitoring public comments.

Upcoming Activities:

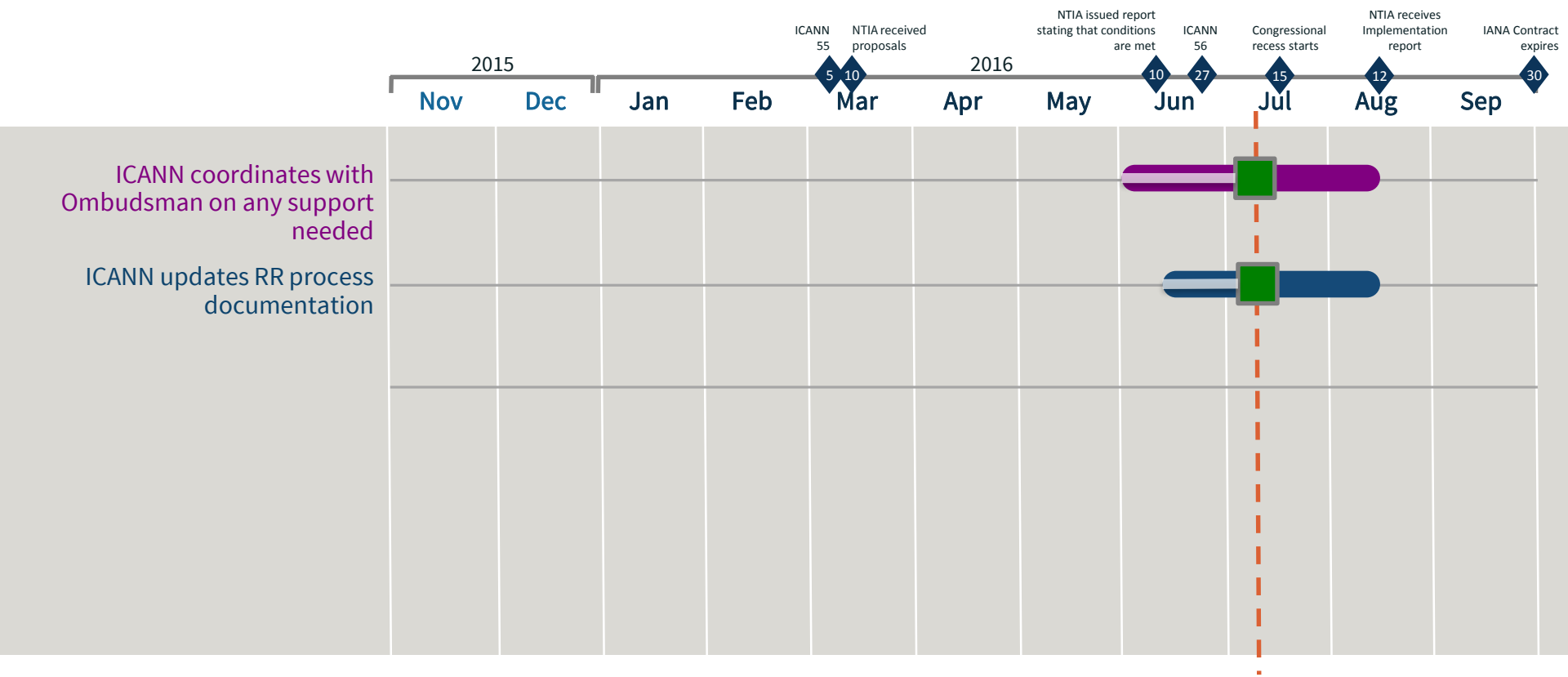
- Analyze public comments and finalize ICANN articles of incorporation.

Open Items:

Request For Reconsideration (RR) Enhancements

% Complete: 10%

Status: On-track



ICANN coordinates with Ombudsman on any support needed

ICANN updates RR process documentation

Project Updates:

Upcoming Activities:

- Update Request for Reconsideration process documentation.

Open Items:

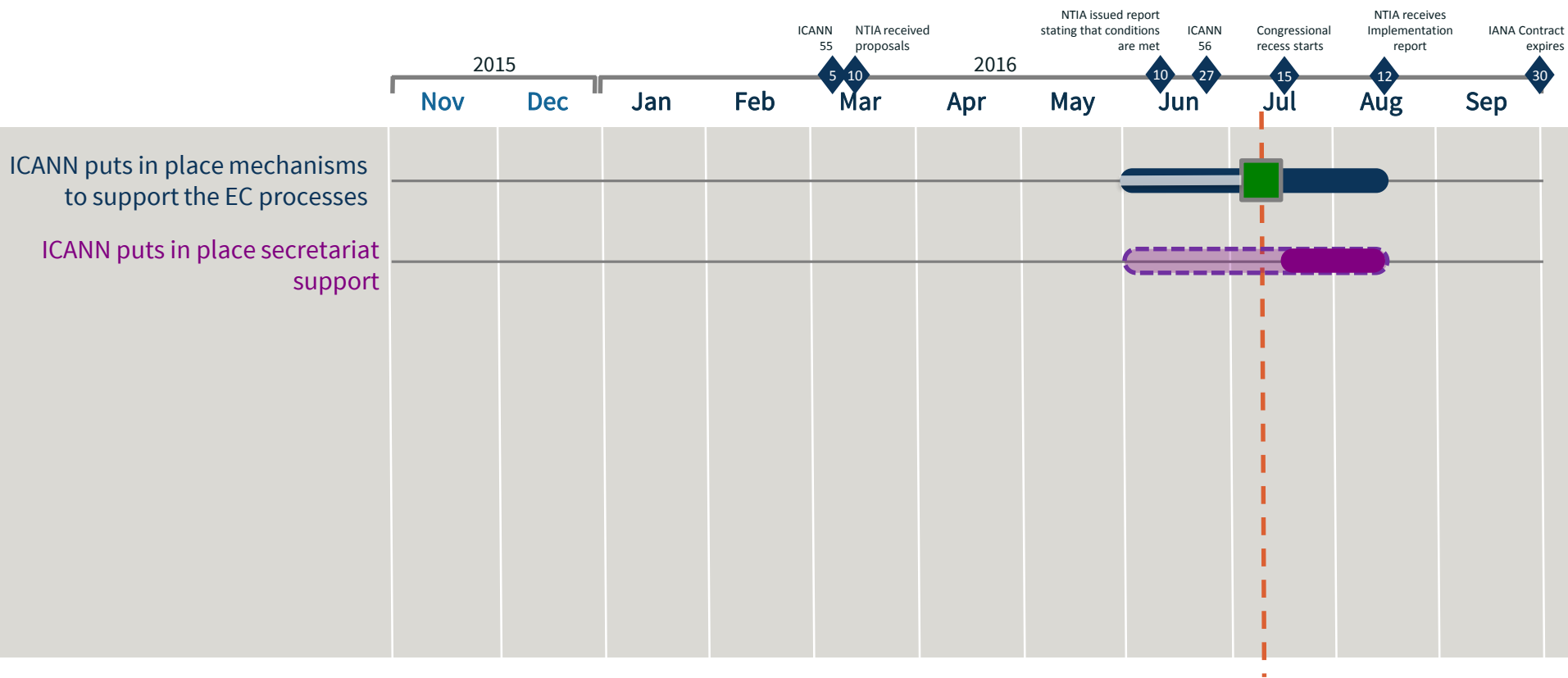


DRAFT for Discussion

--- Baseline
 — Current Plan

■ RR activities

* Timeframes are estimates | 14

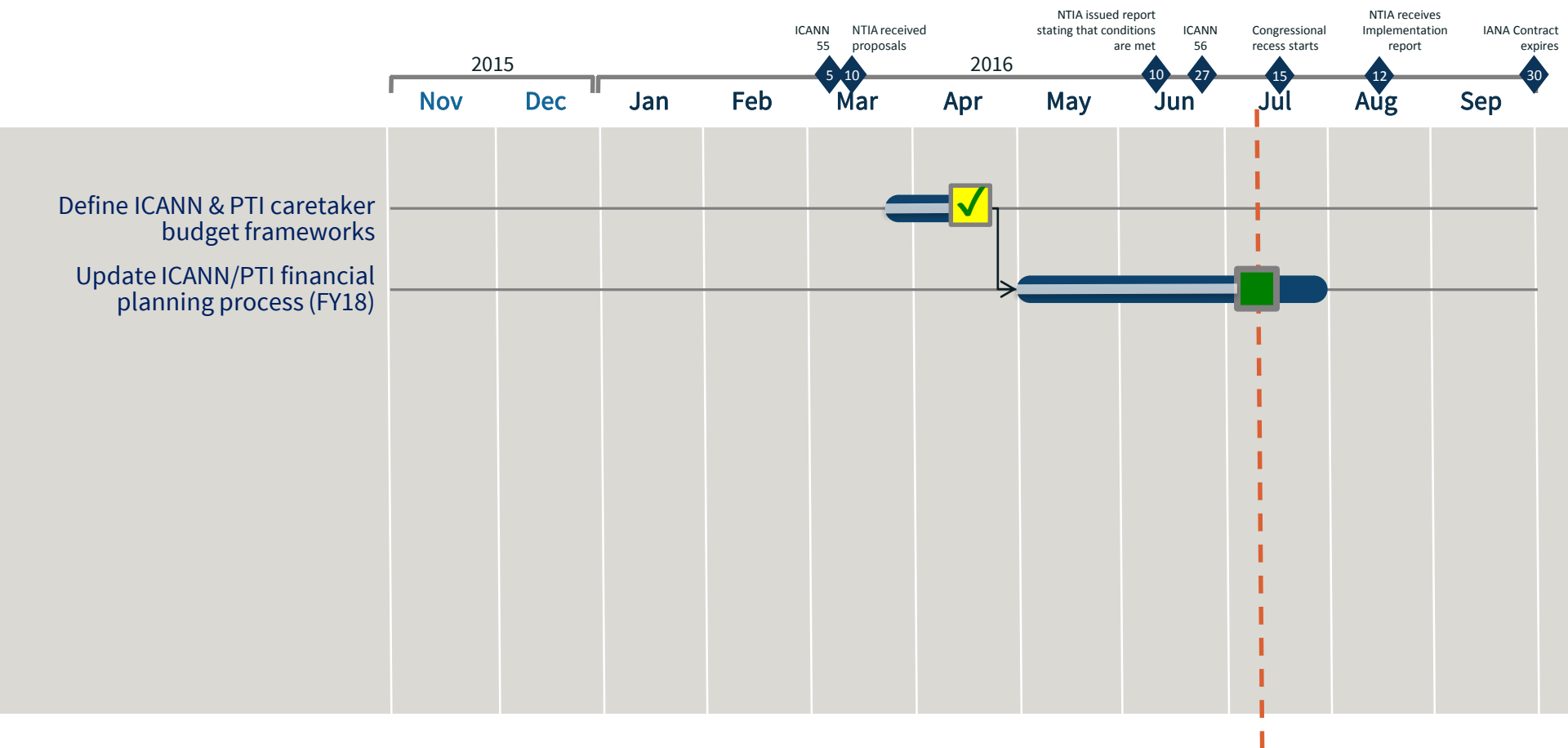


Project Updates:

- Started initial assessment of items needed to support the Empowered Community.

Upcoming Activities:

Open Items:



Project Updates:

Upcoming Activities:

- Finalize financial planning process.

Open Items:







Appendix 2

RIRs and IETF Status Updates

RIRs SLAs & IETF MoU Supplemental Agreement

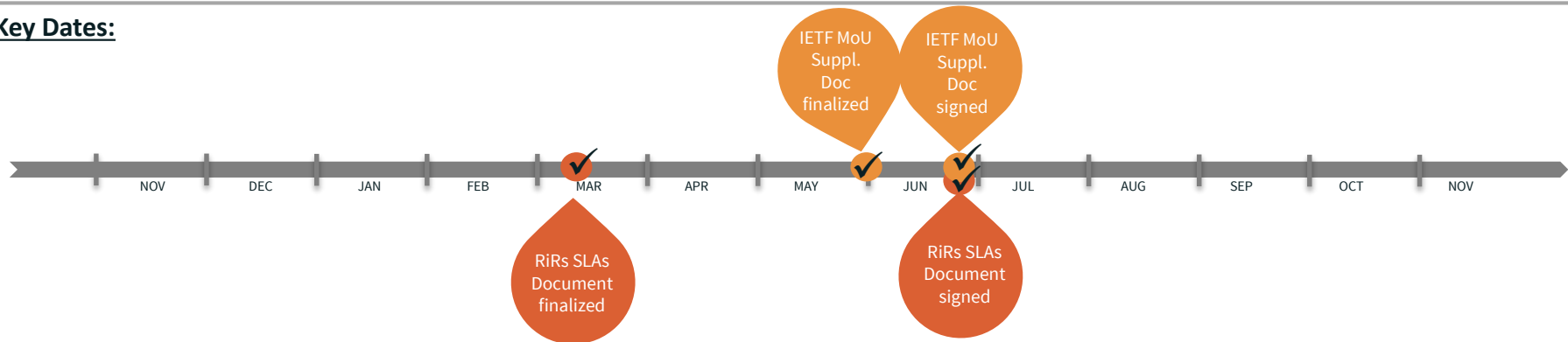
Status As Of Date:

07/08/16

Project	Description	Plan Completion Date*	Status	% Complete
RIRs SLAs (Service Level Agreement with the Number Community)	Completion of draft agreement, including SLAs with RIRs, and operationalization of SLAs.	06/30/16		 100%
IETF MoU Supplemental Agreement	Completion of draft ICANN-IETF MoU Supplemental Agreement.	06/30/16		 100%

* Completion Date dependent on USG's approval of proposals

Key Dates:



Project Updates:

- RIR SLA document signed.
- IETF MoU document signed.

Upcoming activities:

Open Items:



DRAFT for Discussion



On-track



Behind schedule, but recovery still possible

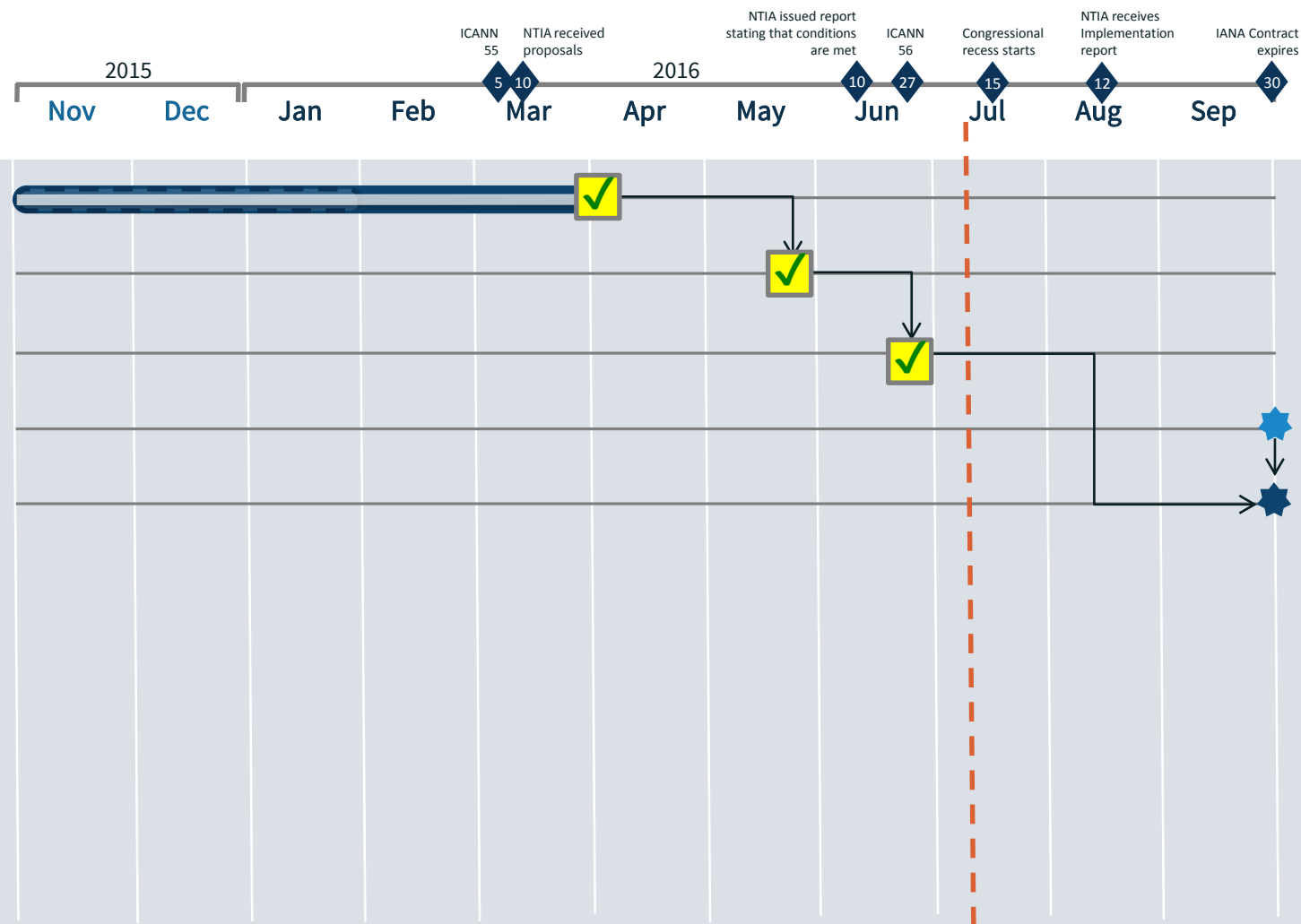


Not started



Target will be missed

* All dates are estimates | 18



Project Updates:
 ✓ Signed the RIR SLA document.

Upcoming Activities:

Open Items:



DRAFT for Discussion

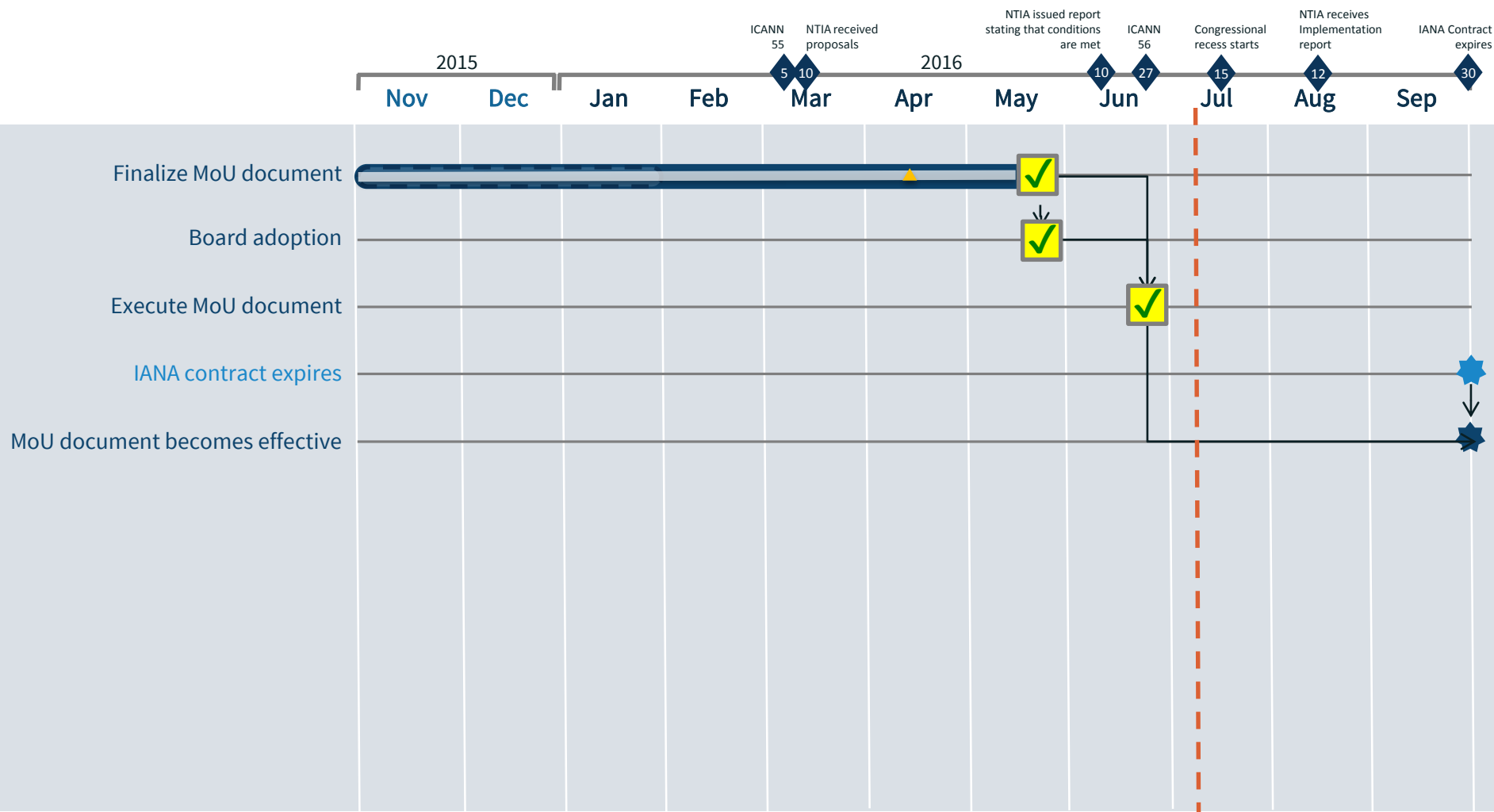
Baseline
 Current Plan
 RIRs SLAs activities
 USG activity

* Timeframes are estimates | 19

IETF MoU Supplemental Agreement (“MoU”)

% Complete: 100%

Status: Complete



Project Updates:
 ✓ Signed the IETF MoU document.

Upcoming Activities:

Open Items:



DRAFT for Discussion

--- Baseline
 — Current Plan

■ MoU activities
 ■ USG activity

* Timeframes are estimates | 20

Appendix 3

Post Transition Projects

Post Transition Projects

	Project	Description
–	IANA Remedial Action Procedures	New remediation process for the CSC to address poor performance with IANA before escalation to the ccNSO/GNSO. To be finalized between CSC and IANA post transition. (CWG)
–	IANA Function Review (IFR)	Review of PTI's performance against the ICANN-PTI contract and SOW. First IFR to take place 2 years after transition. (CWG)
–	Special IFR	To be initiated when CSC remedial action procedures and IANA problem resolution process are followed and failed to correct deficiency. (CWG)
–	Separation Cross Community Working Group (SCWG)	To be formed if the special IFR results in a recommendation for separation. (CWG)
–	Transition to Successor IFO	Plan for Transition to Successor IFO. (CWG)
–	Formal study on changes to root zone content	To investigate whether there is need to increase the robustness of the operational arrangements for making changes to the root zone content to reduce or eliminate single point of failure. (CWG)
–	CCWG-Accountability Work Stream 2	To commence at ICANN55 and complete by end of 2016; WS 2 elements include: Diversity, SO/AC accountability, Transparency, Human Rights, Jurisdiction, Interim Bylaw. (CCWG)