



Transition Implementation Status Reporting

04 February 2016

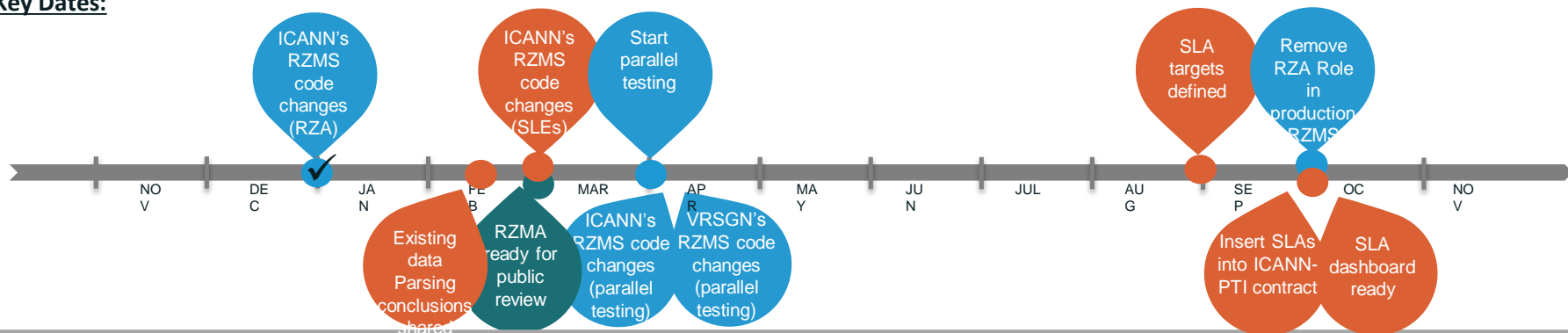
RZMS, RZMA & Names SLEs

Status As Of Date:

02/02/16

Project	Description	Plan Completion Date	Status	% Complete
RZMS changes to remove RZA role and support parallel testing	Remove NTIA's authorization process from RZMS (code changes for ICANN and Verisign), and parallel testing.	09/30/16	●	<div style="width: 30%;"><div style="width: 30%;"></div></div> 30%
Names SLEs (SLEs for Naming Community)	RZMS code changes to accommodate new SLEs, inclusion of performance targets in ICANN-PTI contract, dashboard reporting of SLAs.	09/30/16	●	<div style="width: 10%;"><div style="width: 10%;"></div></div> 10%
RZMA (Agreement with Root Zone Maintainer)	Completion of draft RZMA.	02/29/16	■ ●	<div style="width: 60%;"><div style="width: 60%;"></div></div> 60%

Key Dates:



Project Updates:

- ✓ Presentation of completed work on data parsing to CWG this week
- ✓ Completion of draft RZMA pushed out to end of February
- ✓ Still on track to complete RZMS code changes for SLEs by end of month

Upcoming Activities:

- Continue to work on RZMS code changes for SLEs
- Continuing to work with Verisign to finalize draft RZMA

Open Items:



- Pending Verisign's code change to accommodate parallel testing



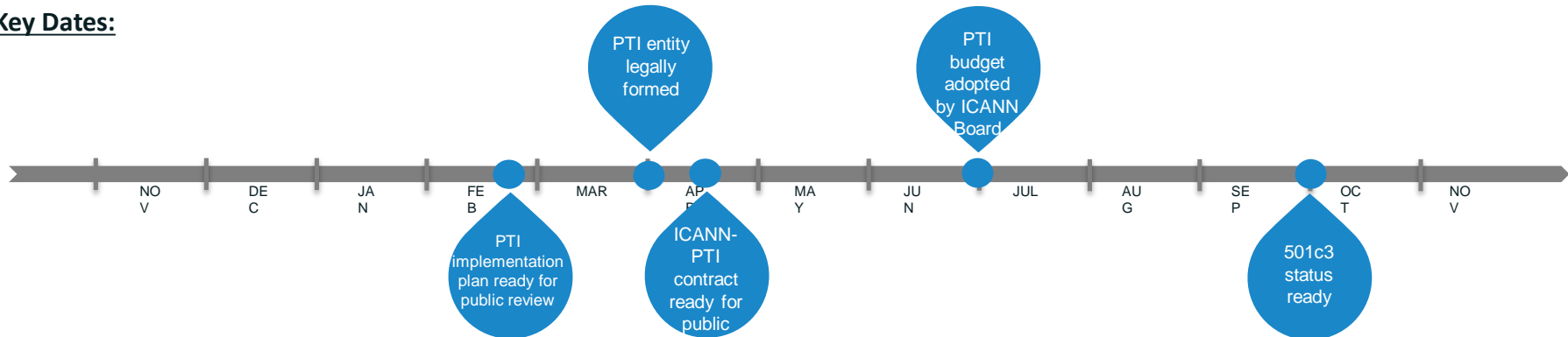
DRAFT for Discussion

- On-track
- ▲ Behind schedule, but recovery still possible
- Not started
- Target will be missed

* All dates are estimates | 2

Project	Description	Plan Completion Date	Status	% Complete
PTI <i>(Post-Transition IANA)</i>	Formation of PTI entity: legal formation (incorporation of affiliate, filing for 501c3, drafting of contract, etc.) and operationalization of PTI entity (board nominations, budget, etc.).	09/30/16		 10%

Key Dates:





Updates:

- ✓ Continuing to draft PTI implementation plan
- ✓ In the process of selecting a name for the PTI entity
- ✓ Drafting annex to the ICANN-PTI contract to incorporate performance targets for the Naming function
- ✓ Incorporation of PTI entity pushed to end of March as expected delivery of final proposals to ICANN Board moved to after Marrakech

Upcoming activities:

- Finalize the draft implementation plan

Open Items:

 On-track	 Not started
 Behind schedule, but recovery still possible	 Target will be missed

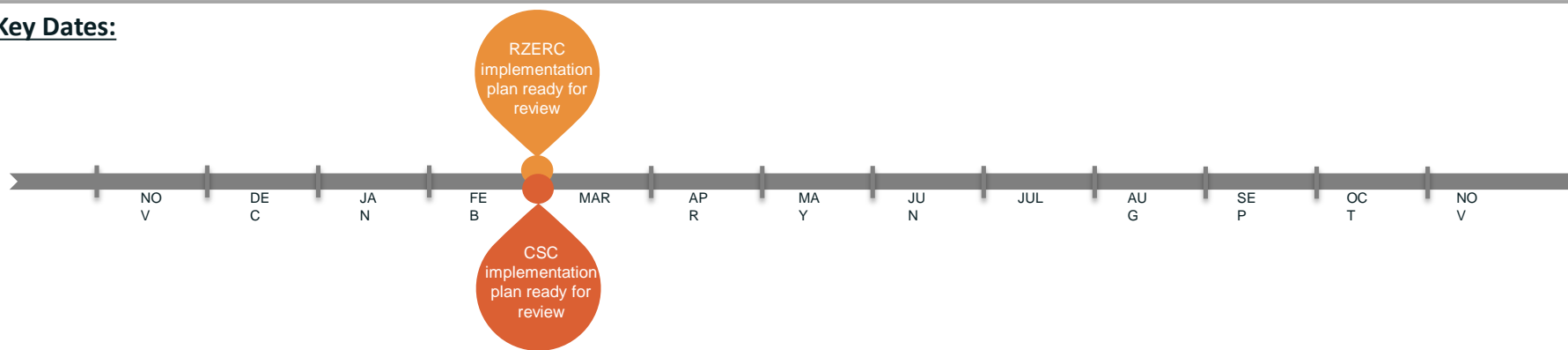
IPR, RZERC, CSC & Escalation Processes

Status As Of Date:

02/02/16

Project	Description	Plan Completion Date	Status	% Complete
IANA IPR	Transfer of IANA IPR to a neutral trust.		■	<input type="text"/> 0%
RZERC (<i>Root Zone Evolution Review Committee</i>)	Formation of committee to advise ICANN Board on RZMS architectural and operational changes.	09/30/16	●	<input type="text"/> 10%
CSC (<i>Customer Service Standing Committee</i>)	Formation of committee to monitor PTI performance.	09/30/16	●	<input type="text"/> 10%
Escalation Processes	Updating of existing IANA operational complaint and escalation processes.	09/30/16	■	<input type="text"/> 0%

Key Dates:



Project Update:

- ✓ Began drafting RZERC and CSC implementation plans
- ✓ Defining scope of RZERC
- ✓ Reviewing CSC charter

Upcoming Activities:

- Continue drafting RZERC and CSC implementation plans
- Drafting of RZERC charter

Open Items:



DRAFT for Discussion









- On-track
- ▲ Behind schedule, but recovery still possible
- Not started
- Target will be missed

* All dates are estimates | 4

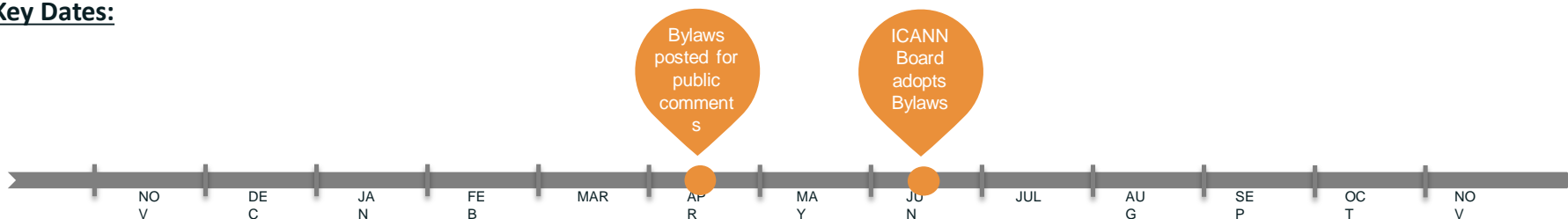
Enhancing ICANN's Accountability

Status As Of Date:

02/02/16

Project	Description	Plan Completion Date	Status	% Complete
ICANN's Bylaws	Updating of ICANN Bylaws.	09/30/16		 10%
IRP Enhancements	Implement enhancements to Independent Review Process.	09/30/16		 0%
RR Enhancements	Implement enhancements to ICANN's reconsideration request process.	09/30/16		 0%
Empowered Community Enhancements	Implement processes and mechanisms in support of the empowered community.	09/30/16		 0%

Key Dates:



* Key dates are based on assumption of proposals being delivered in February 2016

Project Update:

✓ ICANN's Bylaws key dates pushed out as expected delivery of final proposals to ICANN Board moved to after Marrakech

Upcoming Activities:

Open Items:

Final language of CCWG proposal



DRAFT for Discussion

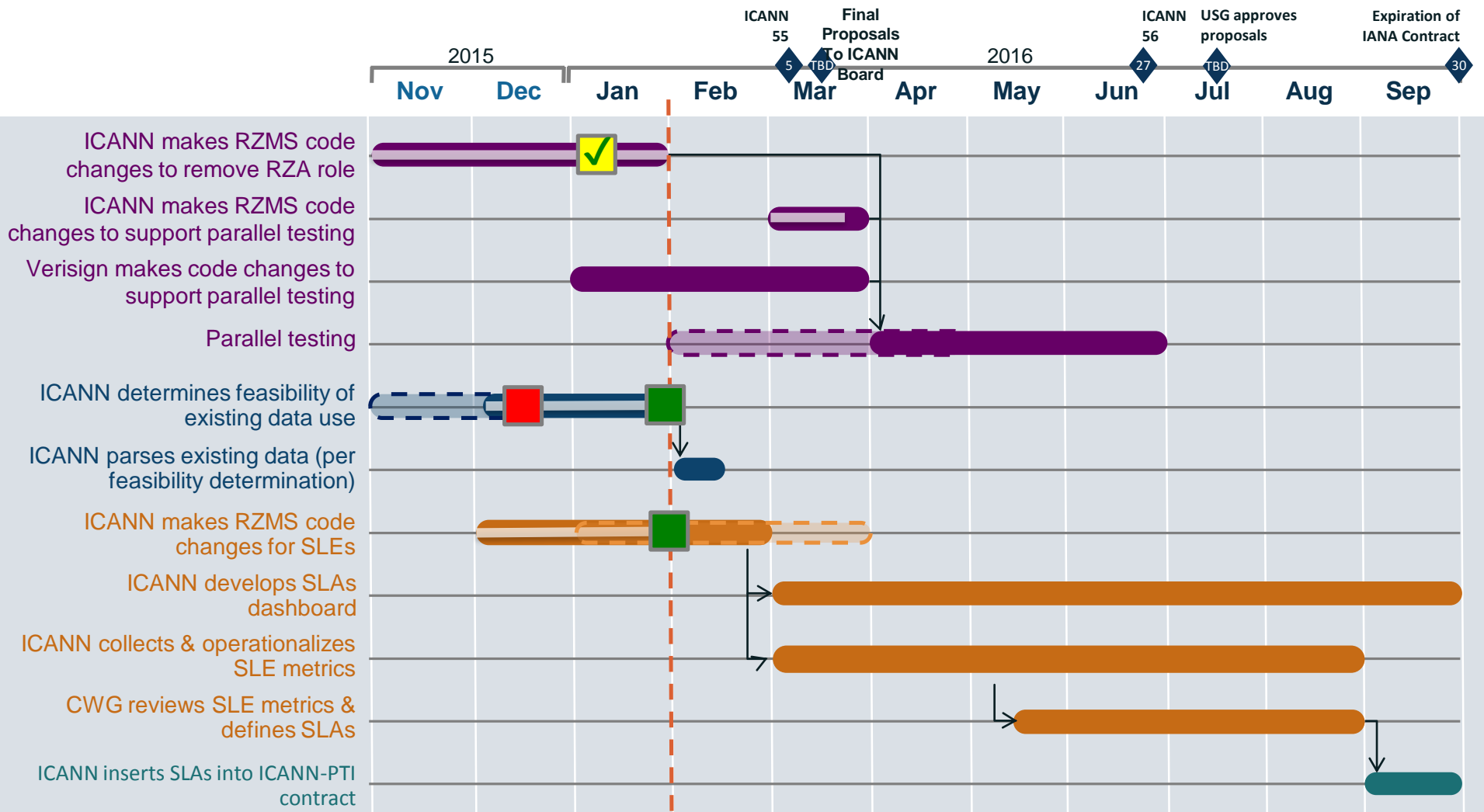
-  On-track
-  Behind schedule, but recovery still possible
-  Not started
-  Target will be missed

* All dates are estimates | 5



Appendix 1

High-Level Projects Plans



Project Updates:

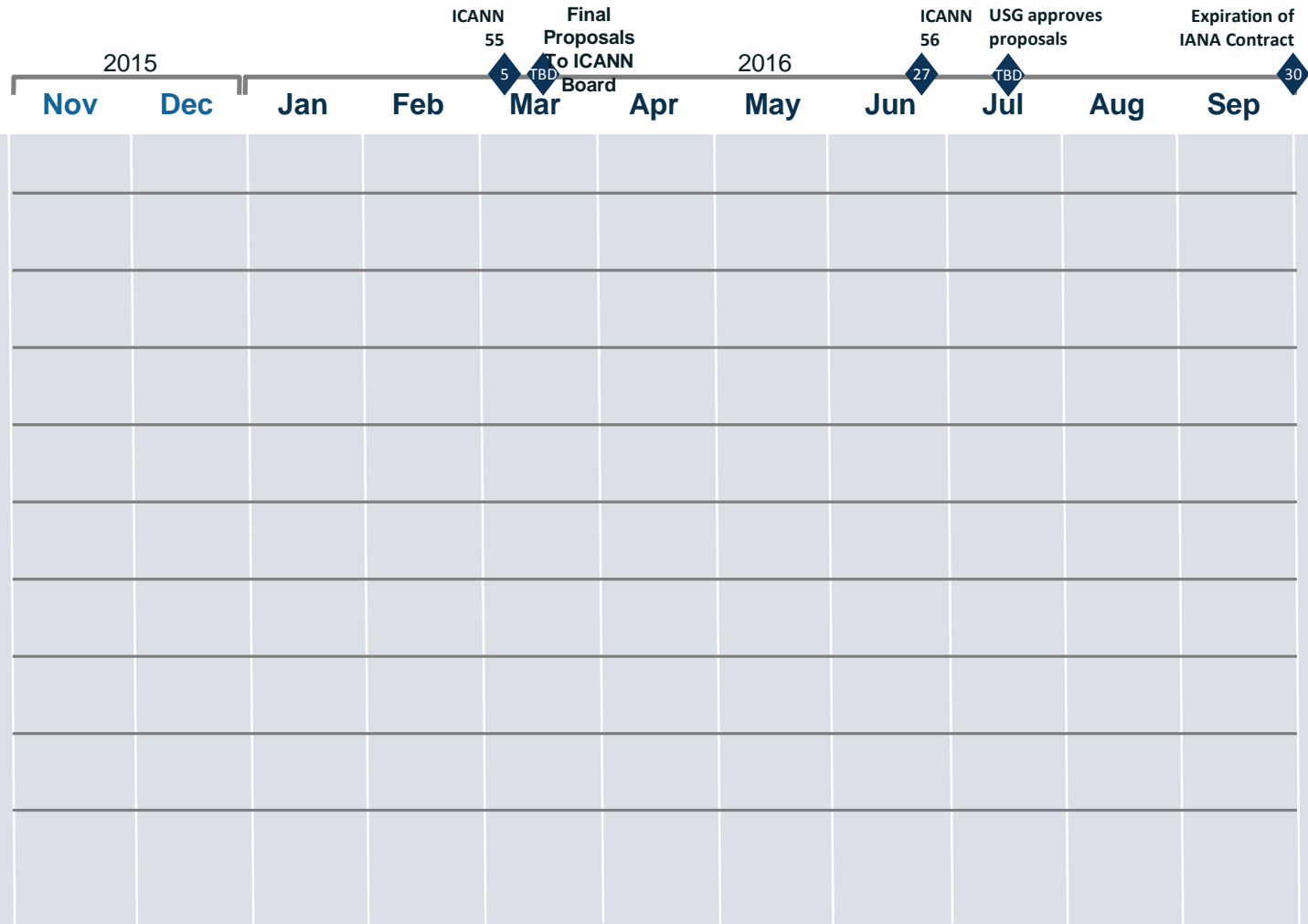
- ✓ Presentation of completed work on data parsing to CWG this week
- ✓ Still on track to complete RZMS code changes for SLEs by end of month

Upcoming Activities:

- Continue to work on RZMS code changes for SLEs

Open Items:

- Pending Verisign’s code change to accommodate parallel testing



Project Updates:

Upcoming Activities:

Open Items:

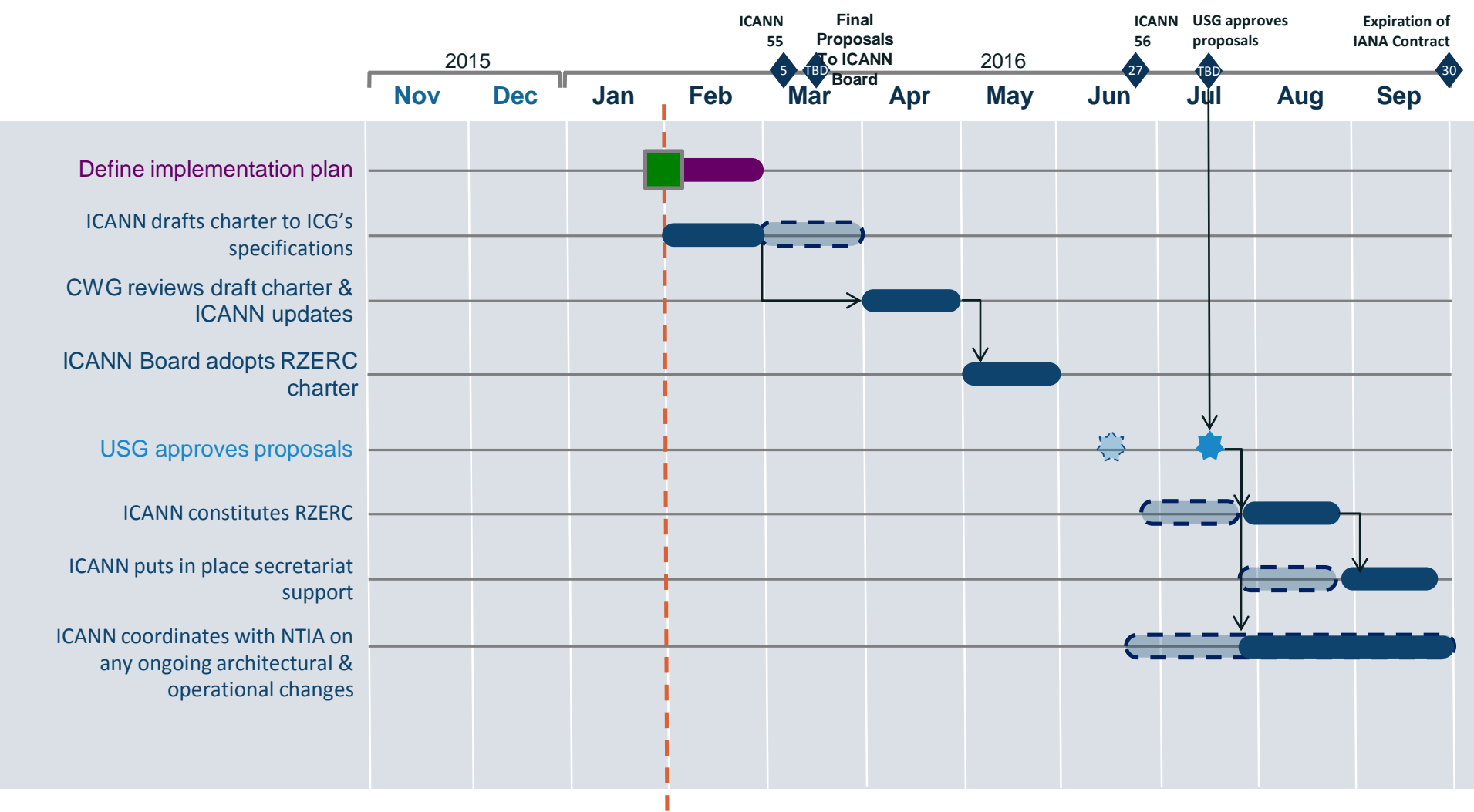


DRAFT for Discussion

--- Baseline
 — Current Plan

■ [insert] activities
 ■ [insert] activities
 ■ [Insert] activities

* Timeframes are estimates | 8



Project Updates:

- ✓ Began drafting RZERC implementation plan
- ✓ Defining scope of RZERC

Upcoming Activities:

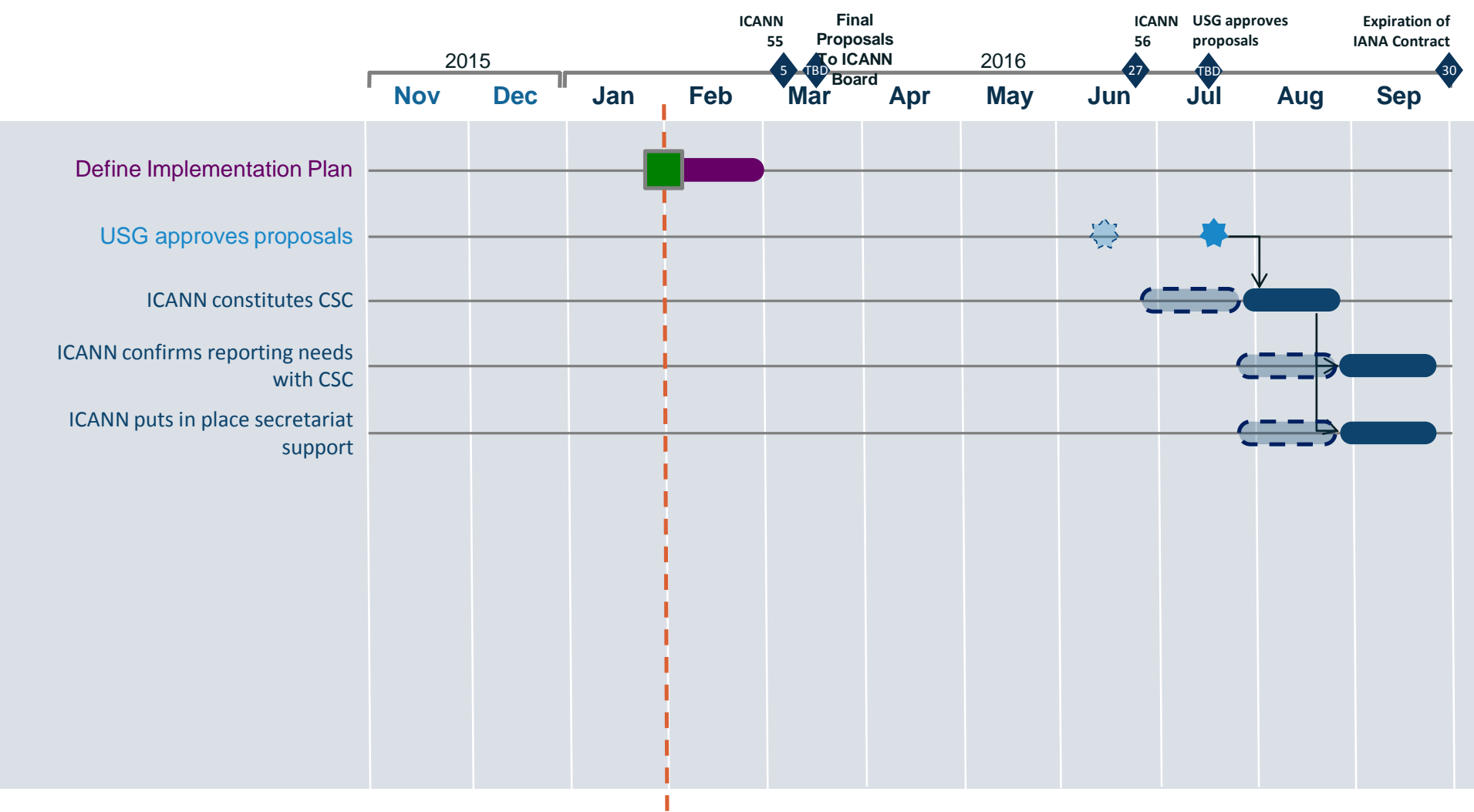
- Continue drafting RZERC implementation plan
- Drafting of RZERC charter

Open Items:

Customer Standing Committee (CSC)

% Complete:

Status: On-track



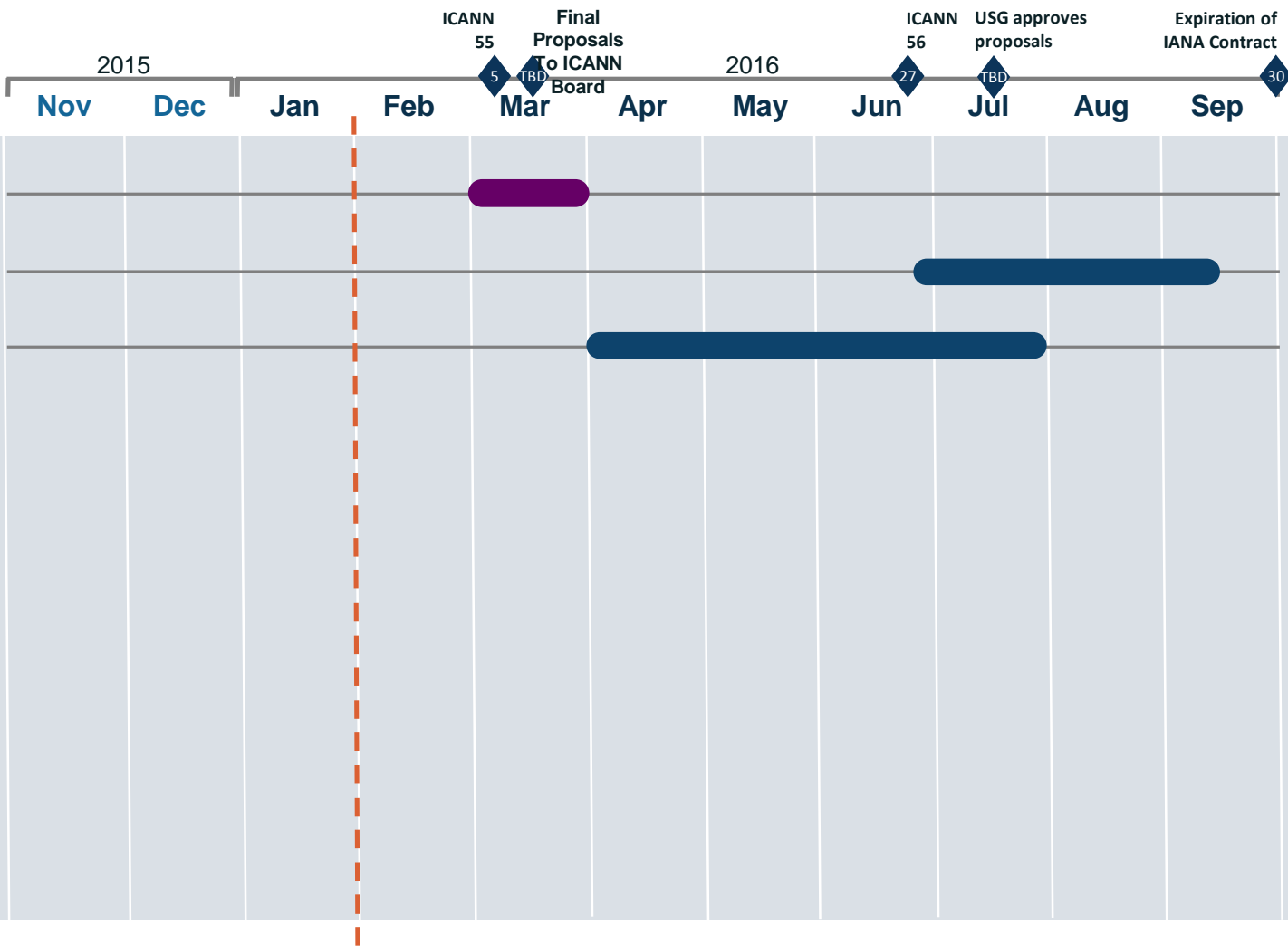
Project Updates:

- ✓ Began drafting CSC implementation plan
- ✓ Reviewing CSC charter

Upcoming Activities:

- Continue drafting CSC implementation plan

Open Items:



Project Updates:

Upcoming Activities:

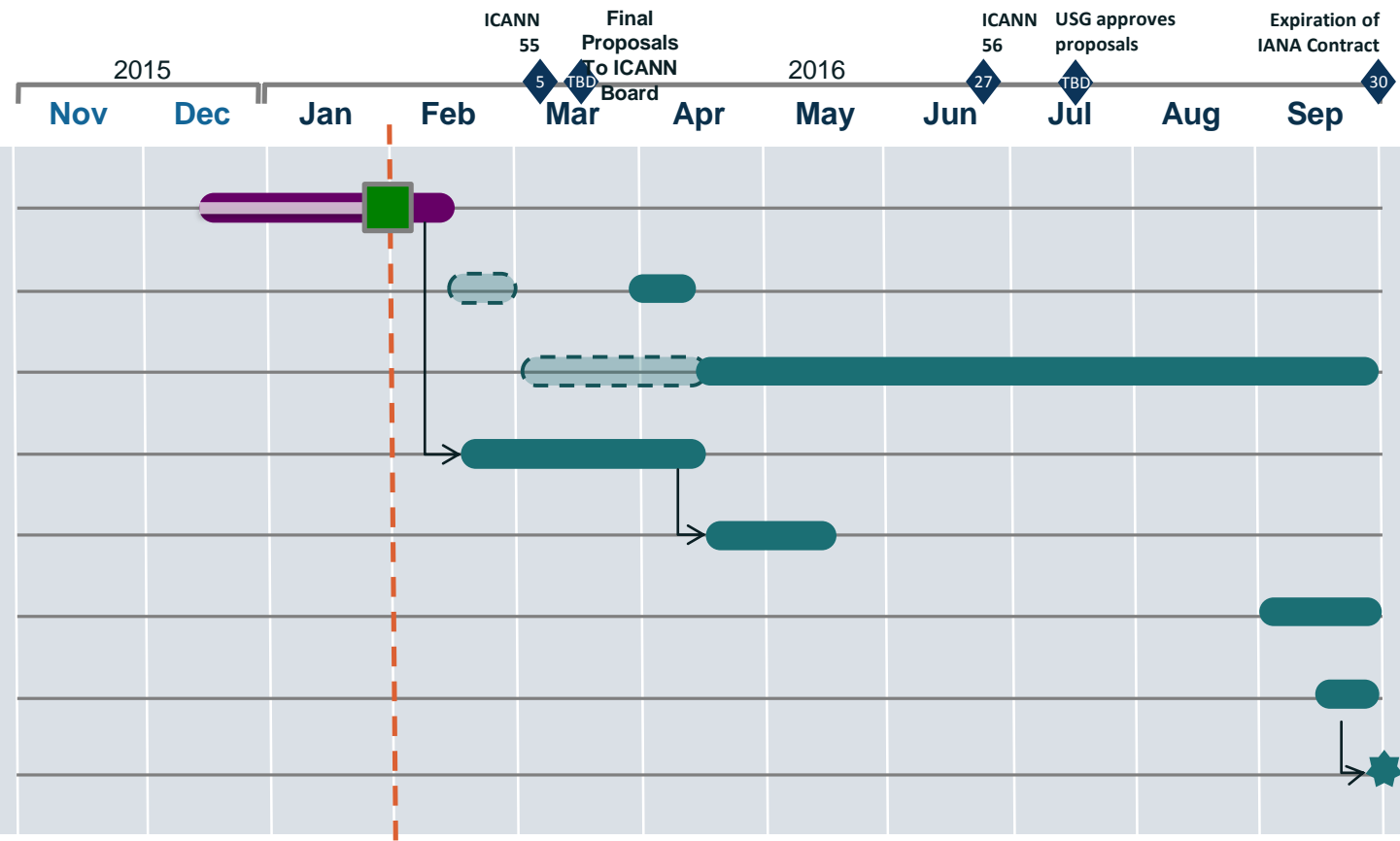
Open Items:



DRAFT for Discussion

- Baseline
- Current Plan
- Implementation plan activity
- Escalation mechanisms activities

* Timeframes are estimates | 11

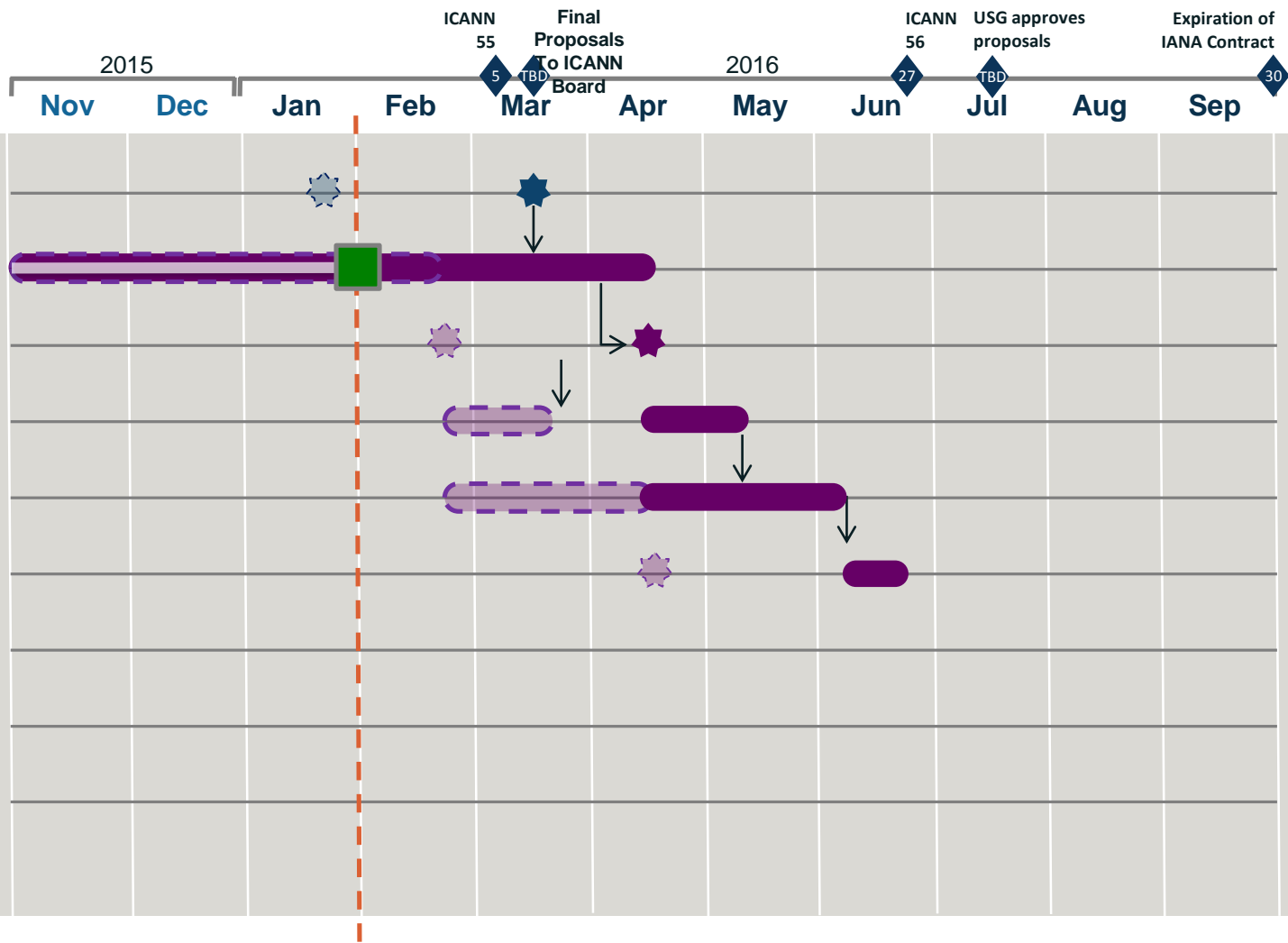


¹ Dependent on performance targets being defined by end of August 2016 under Names SLEs project

- Updates:**
- ✓ Continuing to draft PTI implementation plan
 - ✓ In the process of selecting a name for the PTI entity
 - ✓ Drafting annex to the ICANN-PTI contract to incorporate performance targets for the Naming function
 - ✓ Incorporation of PTI entity pushed to end of March as expected delivery of final proposals to ICANN Board moved to after Marrakech

- Upcoming Activities:**
- Finalize the draft implementation plan

- Open Items:**
-



Project Updates:
 ✓ ICANN's Bylaws key dates pushed out as expected
 delivery of final proposals to ICANN Board moved
 to after Marrakech

Upcoming Activities:

Open Items:
 Final language from CCWG proposal



Appendix 2

RIRs and IETF Status Updates

RIRs SLAs & IETF MoU Supplemental Agreement

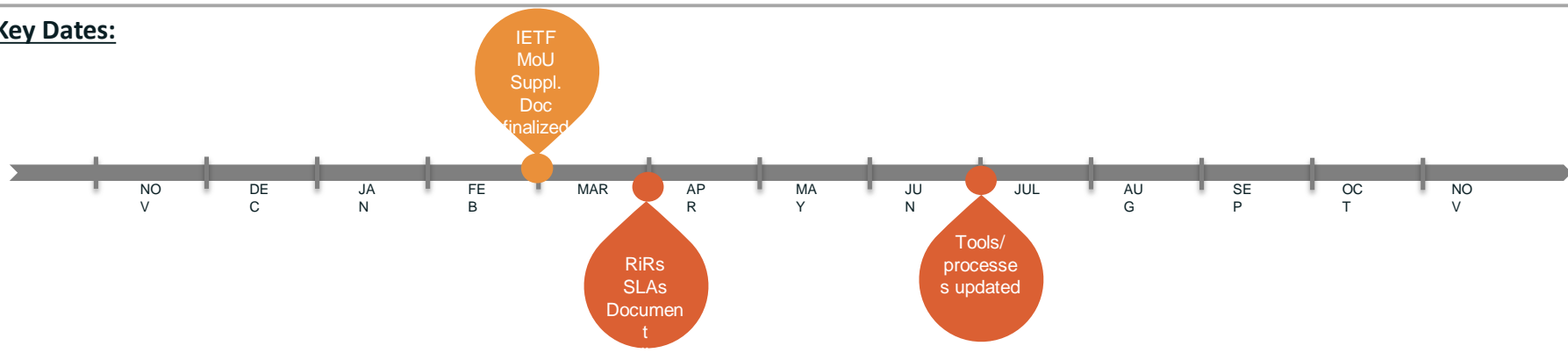
Status As Of Date:

02/01/16

Project	Description	Plan Completion Date*	Status	% Complete
RIRs SLAs	Completion of draft agreement, including SLAs with RIRs, and operationalization of SLAs.	06/30/16		50%
IETF MoU Supplemental Agreement	Completion of draft ICANN-IETF MoU Supplemental Agreement.	06/30/16		50%

* Completion Date dependent on USG's approval of proposals

Key Dates:



Updates:

- ✓ ICANN/RIRs discussed remaining items week of 25 January
- ✓ Timeline for finalization of RIRs SLA and IETF documents pushed out to allow time for discussion and final review

Upcoming activities:

- Follow-up call with RIRs week of 15 February
- Continue review of IETF MoU Supplemental Agreement

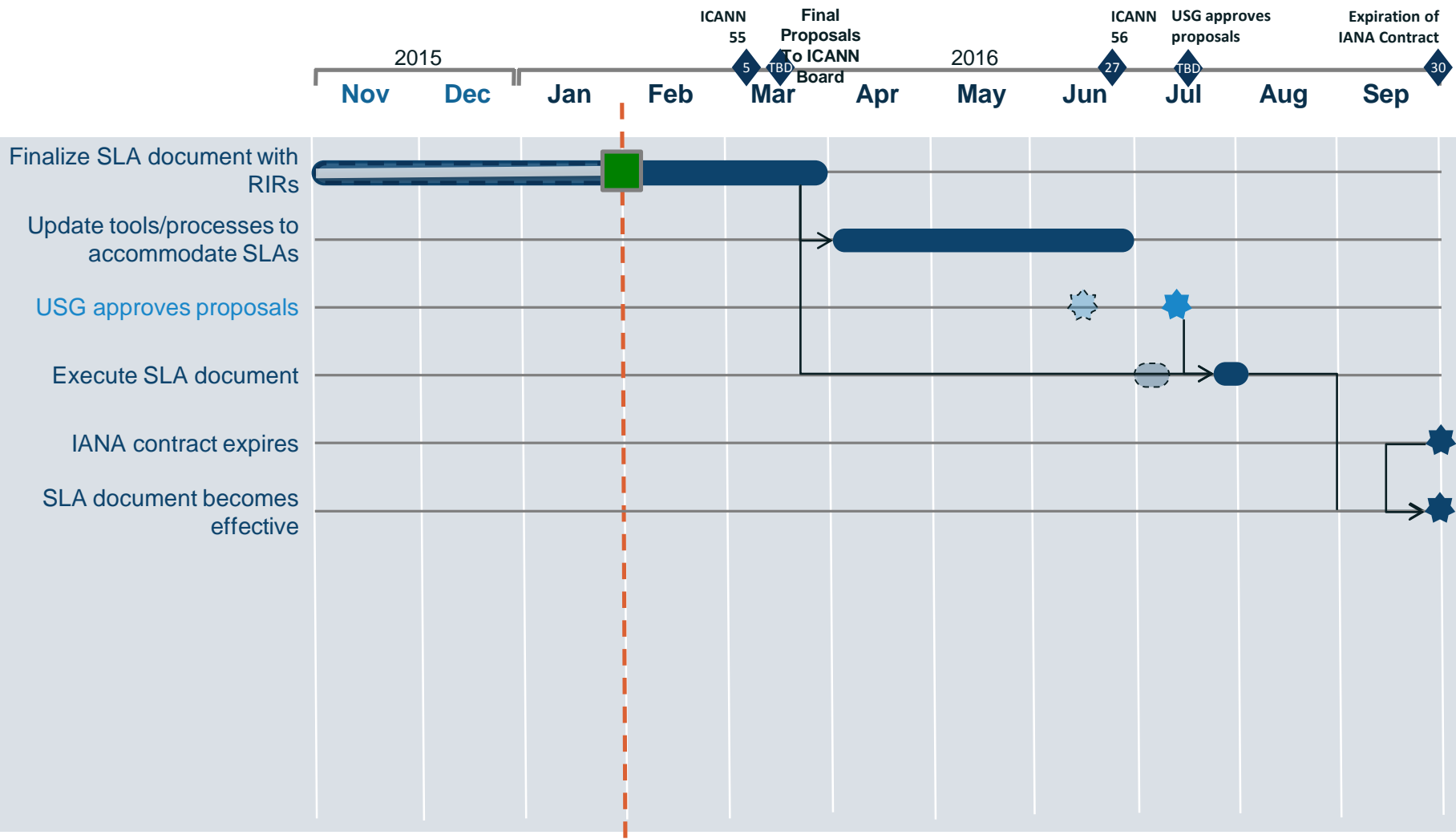
Open Items:



DRAFT for Discussion

- On-track
- Behind schedule, but recovery still possible
- Not started
- Target will be missed

* All dates are estimates | 15



Project Updates:

- ✓ ICANN/RIRs discussed remaining items week of 25 January
- ✓ Timeline for finalization of RIRs SLA documents pushed out to allow time for discussion and final review

Upcoming Activities:

- Follow-up call with RIRs week of 15 February

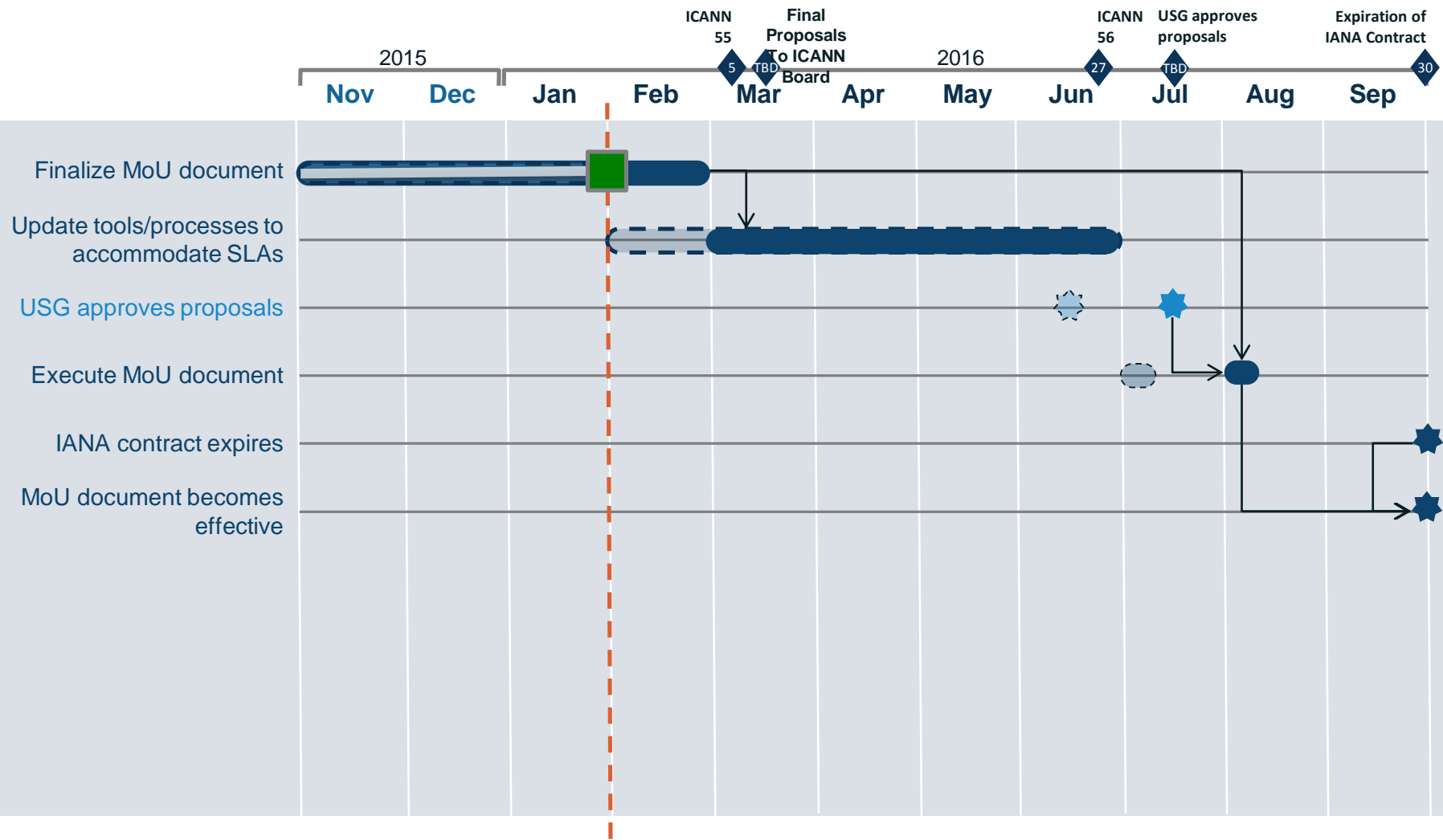
Open Items:



DRAFT for Discussion

 Baseline
 Current Plan
 RIRs SLAs activities
 USG activity

* Timeframes are estimates | 16



Project Updates:
 ✓ Timeline for finalization of IETF documents pushed out to allow time for discussion and final review

Upcoming Activities:
 ▪ Continue review of IETF MoU Supplemental Agreement

Open Items:

Appendix 3

Post Transition Projects

Post Transition Projects

	Project	Description
–	IANA Remedial Action Procedures	New remediation process for the CSC to address poor performance with IANA before escalation to the ccNSO/GNSO. To be finalized between CSC and IANA post transition. (CWG)
–	IANA Function Review (IFR)	Review of PTI's performance against the ICANN-PTI contract and SOW. First IFR to take place 2 years after transition. (CWG)
–	Special IFR	To be initiated when CSC remedial action procedures and IANA problem resolution process are followed and failed to correct deficiency. (CWG)
–	Separation Cross Community Working Group (SCWG)	To be formed if the special IFR results in a recommendation for separation. (CWG)
–	Transition to Successor IFO	Plan for Transition to Successor IFO. (CWG)
–	Formal study on changes to root zone content	To investigate whether there is need to increase the robustness of the operational arrangements for making changes to the root zone content to reduce or eliminate single point of failure. (CWG)
–	CCWG-Accountability Work Stream 2	To commence at ICANN55 and complete by end of 2016; WS 2 elements include: Diversity, SO/AC accountability, Transparency, Human Rights, Jurisdiction, Interim Bylaw. (CCWG)