



ICANN | 54

Dublin



18-22 OCTOBER 2015





Welcome to the Briefing on Key Strategic Initiatives

Strategic Initiatives Dept. | GNSO Briefing | 18 October 2015

Agenda

1

**AoC and
Organizational
Reviews**

2

**NTIA IANA Functions
Stewardship Transition
and Enhancing ICANN
Accountability**

3

Q&A

A world map where the continents are defined by a network of white dots of varying sizes connected by thin white lines. The background is a solid teal color.

1. AoC and Organizational Reviews

Margie Milam and Larisa Gurnick

Proposed AoC and Organizational Reviews Timelines

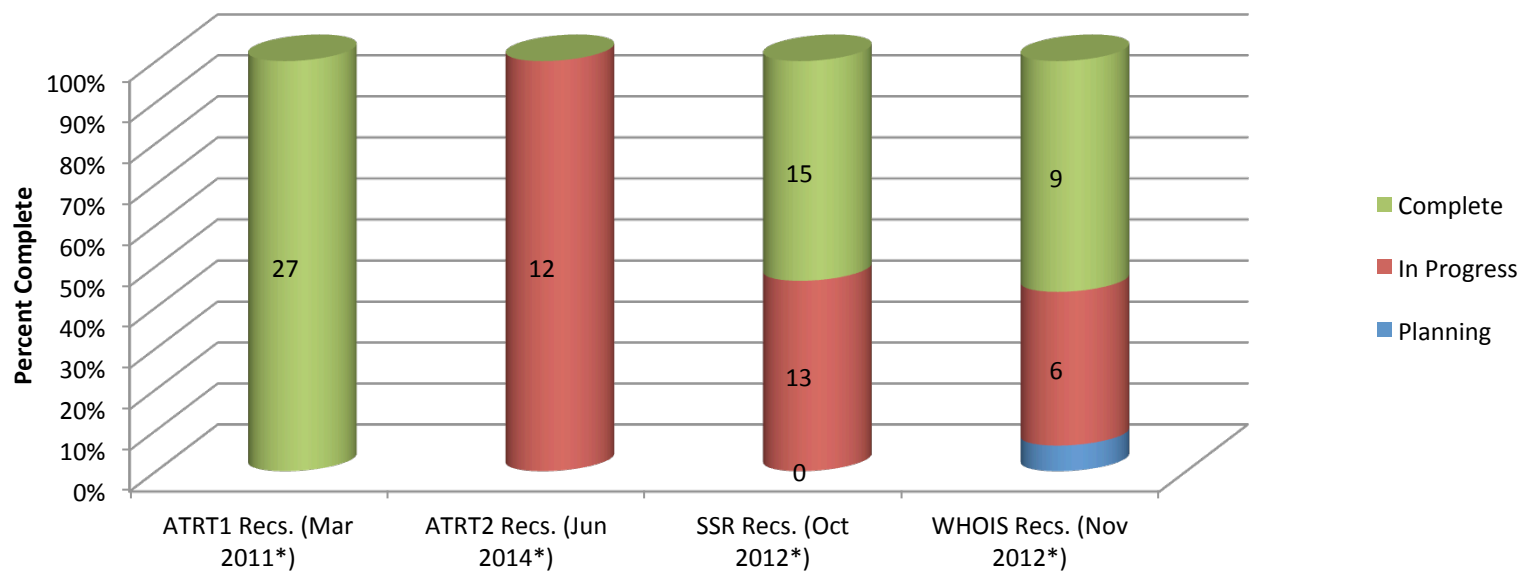
ID	Review	Start	2015				2016				2017				2018				2019				2020			
			Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2		
1	GNSO2 (Implementation)	10/1/2015																								
2	CCT	10/1/2015																								
3	At-Large2	4/1/2016																								
4	SSR2	6/1/2016																								
5	WHOIS2	10/3/2016																								
6	ATRT3	1/2/2017																								
7	NomCom2	2/1/2017																								
8	RSSAC2	4/3/2017																								
9	SSAC2	6/1/2017																								

- AoC Reviews schedule is pending dialogue with NTIA
- The timeline reflects: (1) AoC – call for volunteers, conduct of review, Board consideration and action and implementation; (2) Organizational – conduct of review, board consideration and action and implementation
- Organizational reviews pre-planning activities will take place in the months leading up to the start of the Review.

The background of the slide is a dark blue color. Overlaid on this is a stylized world map. The map is constructed from a network of small, light blue circular nodes connected by thin, white lines. The nodes are more densely packed in some areas, particularly in North America and Europe, and more sparse in others. The overall effect is a digital, interconnected representation of the world's geography.

AoC Reviews

AoC Reviews–Implementation Status of Recommendations



* Date of Board action on the Review Team's recommendations.

- Information on AoC Reviews and status of implementation of recommendations can be found on new web pages: <https://www.icann.org/resources/reviews/aoc>
- Consequence of AoC Review recommendations is that they stimulate long-term improvement efforts

Section 9.3 of the Affirmation of Commitments states:

*“If and when new gTLDs...have been in operation for one year, ICANN will organize a review that will examine the extent to which the introduction or expansion of gTLDs has promoted competition, consumer trust and consumer choice, as well as **effectiveness of (a) the application and evaluation process, and (b) safeguards put in place to mitigate issues involved in the introduction or expansion.**”*

Overview

The CCT Review Team (RT) will evaluate the New gTLD Program for its progress in enhancing competition, consumer trust and consumer choice. Data on a number of community-recommended metrics have been collected and published.

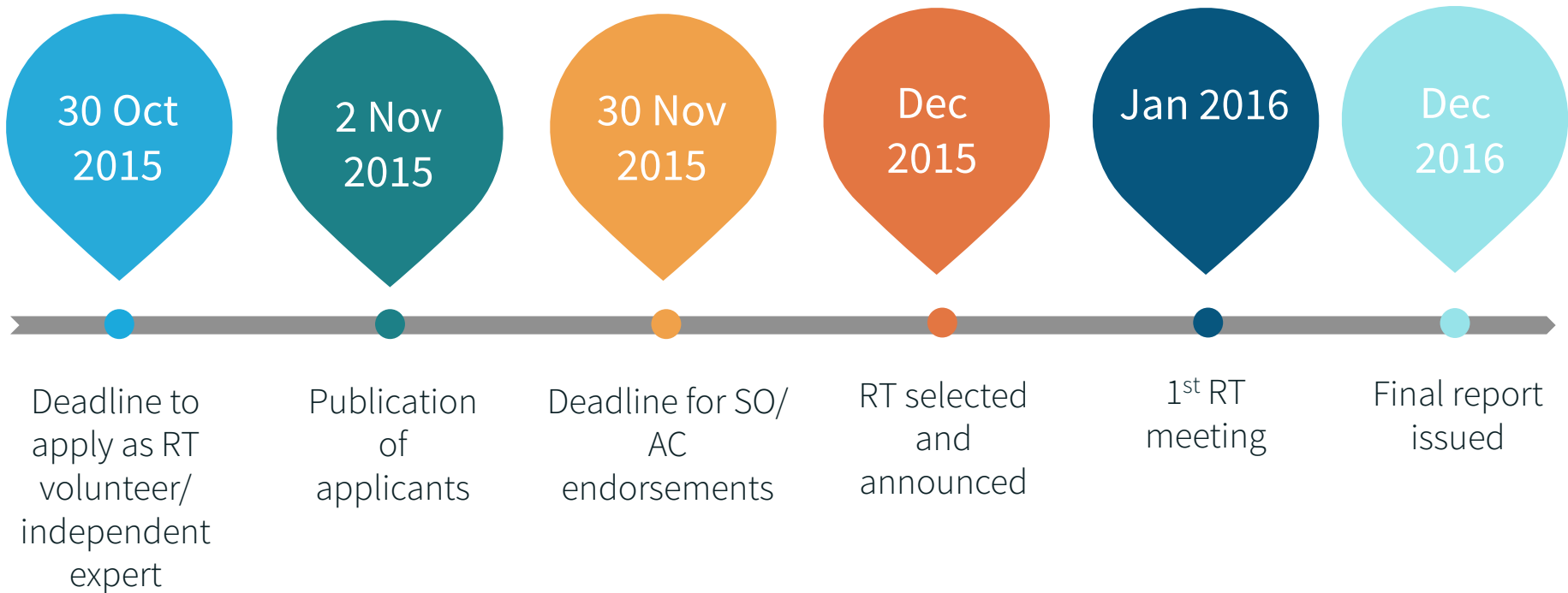
Key Points

- Call for Volunteers:
<https://www.icann.org/news/announcement-2-2015-10-01-en>
- List of applicants will be published
- Looking for range of volunteers with expertise in CCT
- CEO and GAC chair will select RT members
- Some community-recommended metrics are now available online.

CCT Review Team - Knowledge and Expertise

- ◆ Knowledge of ICANN and its working practices and culture, including the New gTLD Program;
- ◆ Familiarity with the multistakeholder model and procedures;
- ◆ Expertise in consumer protection matters;
- ◆ Understanding of the New gTLD rights application processes and protection mechanisms;
- ◆ Expertise in or knowledge of mitigating DNS and potential security threats;
- ◆ Experience in evaluating competition and market forces in the gTLD space or in other industries;
- ◆ Expertise in quantitative analysis and information systems;
- ◆ Expertise in or knowledge of intellectual property rights protection;
- ◆ Knowledge of competition, consumer choice and consumer trust in the domain name or other marketplaces; and
- ◆ Capacity to draw fact-based conclusions and feasible and useful recommendations.

CCT Review - Timeline



The background of the slide is a solid orange color. Overlaid on this is a stylized world map. The map is constructed from a network of white dots of varying sizes, connected by thin white lines. The dots are more densely packed in some areas, particularly in North America and Europe, and more sparse in others. The overall effect is a digital, interconnected representation of the world's continents.

Organizational Reviews

Organizational Reviews

Review Schedule and Process Improvements

- Proposed Schedule and Process/Operational Improvements was [posted](#) for Public Comment and the Board [endorsed](#) a revised schedule:
 - At-Large 2 -- April 2016
 - NomCom2 – February 2017
 - RSSAC2 – April 2017
 - SSAC2 – June 2017

GNSO2 – in process

- Final Report [published](#) – 15 September
- OEC to consider final report, along with feasibility assessment after ICANN54 (Feb 2016)
- Plan for implementation after Board action

At-Large2 - in process/planning

- Board approved schedule; kickoff in April 2016
- Working Party conducts self-assessment and review prep through March 2016
- Competitive bidding November 2015-March 2016; At-Large Review Working Party will have input into review scope and independent examiner selection criteria

NomCom2 | RSSAC2 | SSAC2 – deferred until 2017

- Planning to start in second half of 2016

New Reviews Section on icann.org



GET STARTED

NEWS & MEDIA

POLICY

PUBLIC COMMENT

RESOURCES

COMMUNITY

IANA STEWARDSHIP
& ACCOUNTABILITY

Resources

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▶ [Board](#)

▼ [Accountability](#)

▶ [Accountability Mechanisms](#)

▼ [Reviews](#)

▼ [Organizational Reviews](#)

[ALAC](#)

[ASO](#)

[Board](#)

[ccNSO](#)

[GNSO](#)

[Nominating Committee](#)

[RSSAC](#)

Accountability

ICANN has a proven commitment to accountability and transparency in all of its practices. Indeed, ICANN considers these principles to be fundamental safeguards in ensuring that its international, bottom-up and [multi-stakeholder operating model](#) remains effective.

The mechanisms through which ICANN archives accountability and transparency are built into every level of its organization and mandate - beginning with its [Bylaws](#) and [Affirmation of Commitments](#).

ICANN Accountability Mechanisms

Accountability Mechanisms

- [Reconsideration](#)
- [Ombudsman](#)
- [Independent Review Process](#)
- [Document Information Disclosure Policy](#) ^[1]
- [Other Mechanisms](#) ^[1]

Organizational Reviews

- [At-Large](#)
- [ASO](#)
- [ccNSO](#)
- [GNSO](#)
- [Nominating Committee](#)
- [RSSAC](#)
- [SSAC](#)
- [Board of Directors](#) ^[2]
- [Technical Liaison Group](#) ^[2]

AoC Reviews

- [Accountability and Transparency-\(ATRT\)](#)
- [Security, Stability and Resiliency of the DNS-\(SSR\)](#)
- [WHOIS Policy](#)
- [Competition, Consumer Trust, and Consumer Choice](#)

2. NTIA IANA Functions Stewardship Transition and Enhancing ICANN Accountability

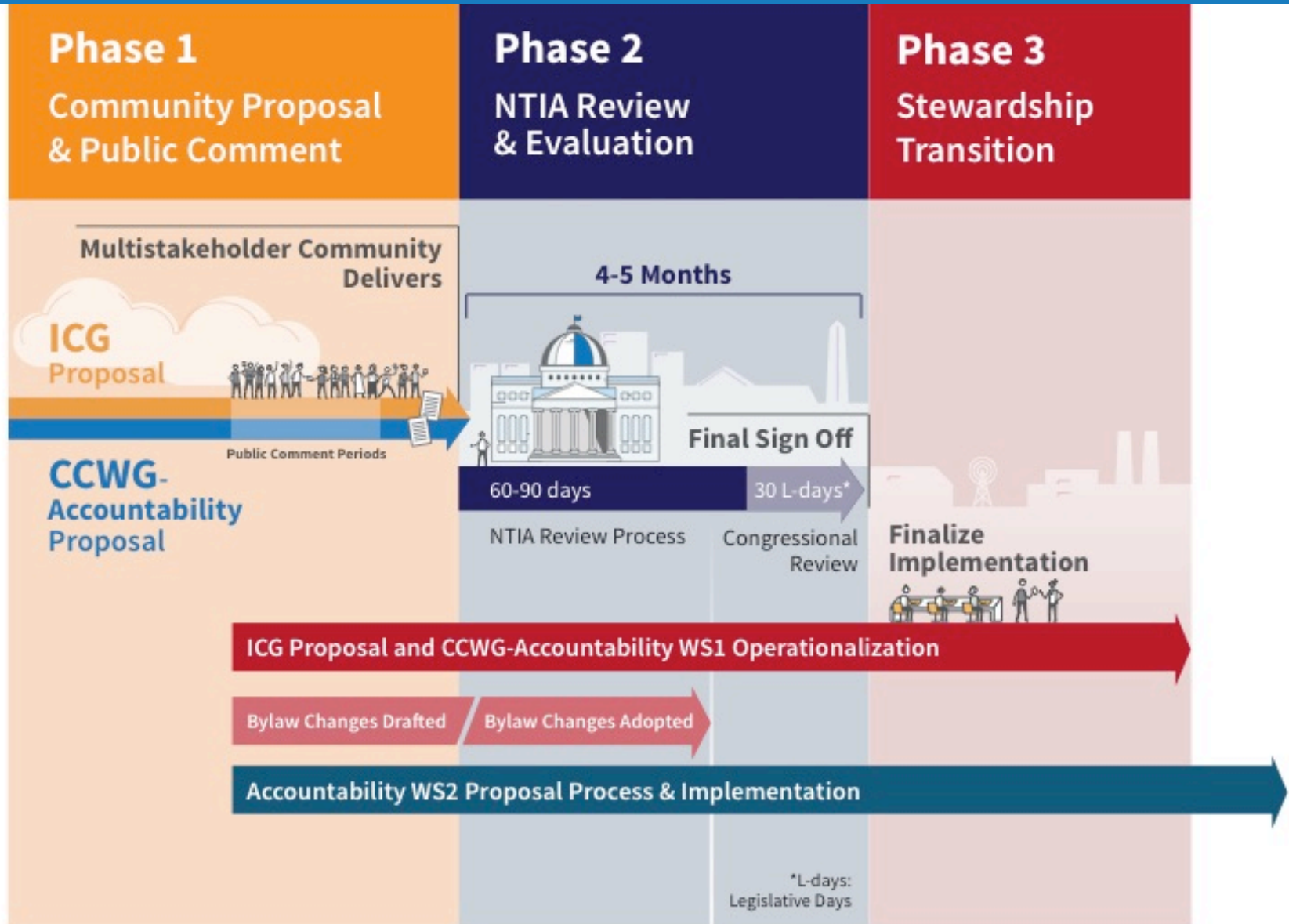
Theresa Swinehart

Elements to enhance ICANN accountability

Elements to enhance ICANN accountability for a successful IANA Stewardship Transition	Broad community agreement on elements replacing USG backstop role in IANA Contract	Broad community agreement on additional elements	Broad community agreement on requirements but not on implementation approach
1. Oversight of IANA operations by operational communities	✓		
2. Assurance of IANA service levels	✓		
3. Guarantee of continued IANA funding	✓		
4. Community right to remove Board Directors	✓		
5. Community power to appeal IANA decisions	✓		
6. Establish higher thresholds to modify Bylaws deemed 'Fundamental'	✓		
7. Reaffirm in Bylaws current requirement of Board/GAC consultation on consensus advice	✓ *		
8. Include AOC reviews into Fundamental Bylaws	✓		
9. Strengthen, make binding, and improve timeliness and effectiveness of IRP		✓	
10. Broaden scope and improve effectiveness of Reconsideration process		✓	
11. Promote diversity within ICANN's community and activities		✓	
12. Adhere to Mission and Core Values and new Commitments (within remit)		✓	
13. Community consent to change all Bylaws		✓	
14. Continue accountability improvements post IANA Stewardship Transition		✓	
15. Empower community role in developing and objecting to Strategic and Operating Plans and Budget			○
16. Empower community with new legal enforcement (statutory rights under California law or binding arbitration)			○

 Elements addressing all CWG-Stewardship dependencies on the CCWG-Accountability * GAC has not taken a position

Where are we now?



Effect of Possible Delays on Overall Timeline

Phase 1: Community Proposal & Public Comment - **Phase 2:** NTIA Review/Evaluation & Implementation Planning - **Phase 3:** Impl. Execution

Delivery to ICANN Board/NTIA in November 2015



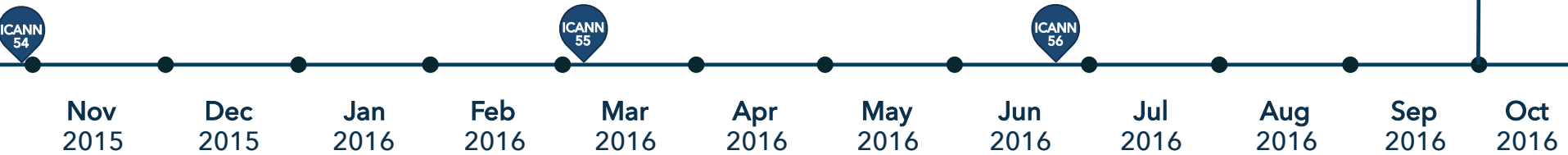
Delivery to ICANN Board/NTIA in December 2015



Delivery to ICANN Board/NTIA in January 2016



Delivery to ICANN Board/NTIA at ICANN55 in Marrakesh in March 2016



◆ Multistakeholder Community Delivers to ICANN Board

▲ NTIA Approval

30 NTIA Contract Expiration - 30 Sep 2016

A world map where the continents are defined by a complex network of white dots and thin white lines. The dots vary in size, and the lines connect them to form a web-like structure. The background is a solid dark blue color.

3. Q&A

A world map where the continents are defined by a complex network of white dots and thin white lines, resembling a network or data visualization. The background is a solid dark blue color.

Thank You!