



ICANN | 54  
*Dublin*



18-22 OCTOBER 2015

**Pre-ICANN 54 Policy Update Webinar**

**8 October 2015**

# Welcome



## David Olive

ICANN Vice-President for  
Policy Development  
Support

General Manager of the  
ICANN Regional  
Headquarters Hub in  
Istanbul



# ICANN 54 Outlook:

## **Leadership Training Program 2015**

- ⦿ 14-16 October 2015
- ⦿ Community leaders from the majority of AC/SO/SGs and Board
- ⦿ Interactive course that includes facilitation skills training and an orientation on ICANN polices and processes

## **Monday, 19 October 2015**

- ⦿ Welcome Ceremony (08:30-10:00)

## **Tuesday, 20 October 2015**

- ⦿ Constituency Day Sessions

## **Wednesday, 21 October 2015**

- ⦿ GNSO Council Public Meeting Part 1 & 2 (13:00-15:00 & 15:15-16:00)
- ⦿ ccNSO Council Meeting (16:00-18:00)
- ⦿ European/EURALO Joint Networking Event (18:30-20:00)

## **Thursday, 22 October 2015**

- ⦿ GNSO Wrap-up Session(12:30-14:00)
- ⦿ ICANN Public Forum (14:00-17:30)
- ⦿ ICANN Public Board Meeting (17:30-18:30)

# ICANN 54 In Focus:

## **Sunday, 18 October 2015**

- ⦿ ICG Face-to-Face (09:00-12:00)

## **Monday, 19 October 2015**

- ⦿ IANA Stewardship Transition / Enhancing ICANN Accountability Joint Townhall (10:30–12:30)

## **Wednesday, 21 October 2015:**

- ⦿ CCWG-IG F2F Working Session (08:30-09:30)
- ⦿ CWG Stewardship Working Session (09:30-11:00)
- ⦿ IANA Stewardship Transition Implementation (15:30-16:30)

## **Thursday, 22 October 2015:**

- ⦿ CCWG-Accountability Working Session (08:00-10:00)
- ⦿ ICG Working Session (10:30-12:30)

## **Friday, 23 October 2015:**

- ⦿ ICG Working Session (09:00-17:00)



# IANA Stewardship Transition:

One of the focus areas for the ICANN 54 will be the the IANA Stewardship Transition and the work carried out by the Cross Community Working Group on Enhancing ICANN Accountability.

*For more information about the IANA Stewardship Transition and the Cross Community Working Group on Enhancing ICANN Accountability please refer to the links below:*

- ① [IANA Stewardship & Accountability Space](#)
- ① [IANA Stewardship Transition Coordination Group \(ICG\)](#)
- ① [CWG to Develop an IANA Stewardship Transition Proposal on Naming Related Functions](#)
- ① [Consolidated RIR IANA Stewardship Proposal Team \(CRISP Team\)](#)
- ① [Cross Community Working Group on Enhancing ICANN Accountability](#)
- ① [ICANN Board Inputs in the Process](#)

# Policy Development Support:

## ASSIST the Community

Create policies and guidelines that are:

- Implementable and effective
- Developed through a highly participative, fair, and balanced process in a timely and efficient way

## SUPPORT the Community

- Engage and support the participation of all necessary stakeholders
- Inform and educate stakeholders

## MANAGE Processes

- Manage the policy development process efficiently and effectively to benefit the global Internet community

# Policy Development:

## ICANN Supporting Organizations (SO)

- ⦿ Address Supporting Organization—ASO
- ⦿ Country Code Names Supporting Organization—ccNSO
- ⦿ Generic Names Supporting Organization—GNSO

## ICANN Advisory Committees (AC)

- ⦿ At-Large Advisory Committee (ALAC)
- ⦿ Governmental Advisory Committee (GAC)
- ⦿ Root Server System Advisory Committee (RSSAC)
- ⦿ Security and Stability Advisory Committee (SSAC)





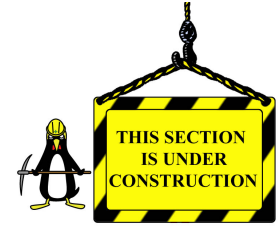
# Activity – Most Recent Completed Quarter

3

## Activity

Matrix of Call, Call Hours, Avg/Hour, Face to Face, Mail List Activities

## Most Recent Quarter



475

Meetings/Calls

VZ & PGI only

66.0

Average Meeting Length in Minutes

VZ & PGI only

512.4

Meeting/Call Hours

VZ & PGI only

000,000

Mailing List Exchanges

Awaiting Maliman lists from ccNSO, GAC, ASO, SSAC, RSSAC

000

Face to Face Meetings

Process yet to be defined

155

Publicly Archived Mail Lists

GNSO & ALAC only

# Generic Names Supporting Organization

# Issues being discussed in the GNSO

- ⦿ New gTLD Subsequent Procedures
- ⦿ Data & Metrics for Policy Making
- ⦿ Next generation new gTLD Registration Data Services
- ⦿ Review of Rights Protection Mechanisms, including the UDRP
- ⦿ Privacy & Proxy Services Accreditation
- ⦿ Curative Rights Protections for IGO/INGOs
- ⦿ Others – currently there are over 15 projects underway





# Preliminary Issue Report - New gTLD Subsequent Procedures

Steve Chan

# Why is it Important?



## Background

The community felt that analysis and discussion of the 2012 round of the New gTLD Program could begin and the GNSO Council formed a Discussion Group (DG) in June 2014 to discuss experiences gained from the 2012 round and identify subjects for a future issue report that might lead to changes or adjustments for subsequent application procedures.



## GNSO Council Requests Preliminary Issue Report

With the DG completing its work in June 2015, the GNSO Council considered the DG's final deliverables and resolved to request a Preliminary Issue Report on New gTLD Subsequent Procedures, using the deliverables as the basis for research and analysis.



## Public Comment

ICANN Staff completed the Preliminary Issue Report on New gTLD Subsequent Procedures and published it for public comment on 31 August 2015, with a close date of 30 October:

<https://www.icann.org/public-comments/new-gtld-subsequent-prelim-2015-08-31-en>

# Current Status & Next Steps

## Current status

- Preliminary Issue Report on New gTLD Subsequent Procedures establishes the initial scope for a possible PDP
- Includes 5 Provisional groupings, each with a set of subjects – total of 38 subjects
- Includes preliminary thoughts on work processes

nxt

## Next Steps

- Complete public comment summary and analysis
- Complete Final Issue Report and provide to GNSO Council for deliberations and possible initiation of a PDP



# More Information

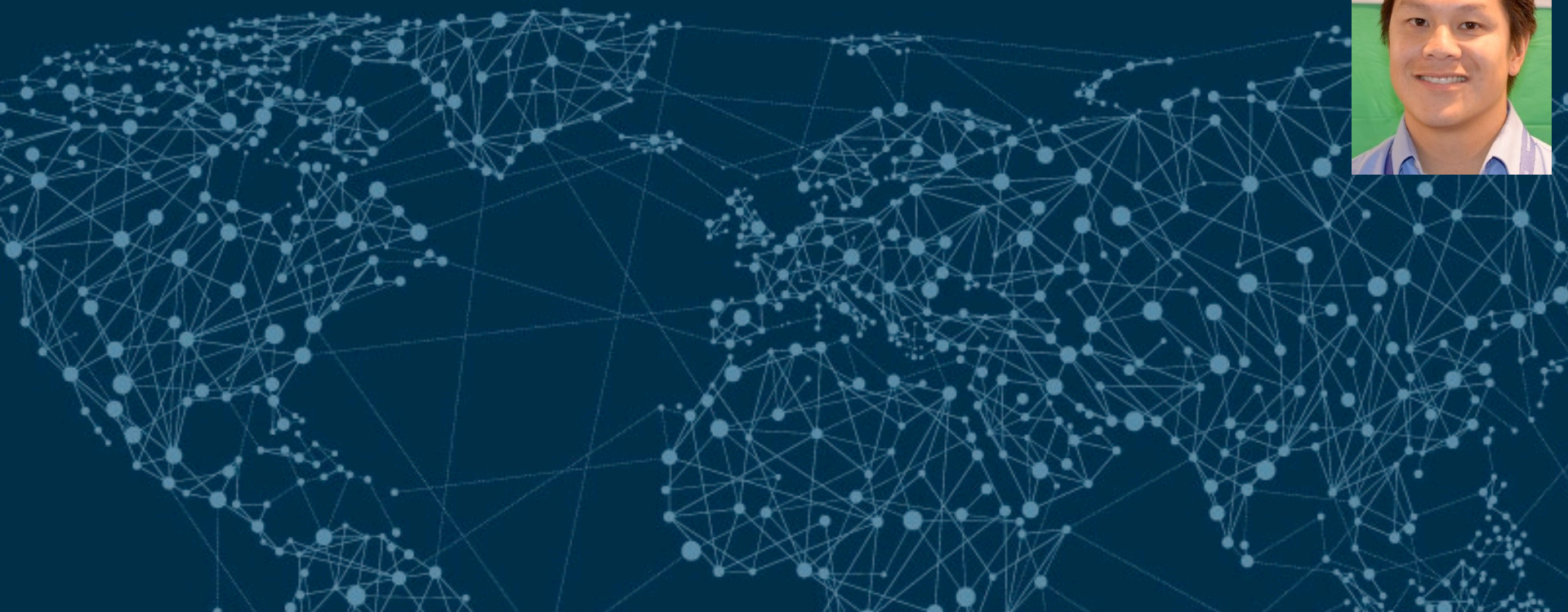
- ⦿ Public Comment for Preliminary Issue Report on New gTLD Subsequent Procedures. Comments are due by 30 September - <https://www.icann.org/public-comments/new-gtld-subsequent-prelim-2015-08-31-en>

## Dublin Activities

- ⦿ New gTLD Program: Reviews and Related Activities  
14:00-15:15
- ⦿ Preliminary Issue Report on New gTLD Subsequent Procedures Update  
– Wednesday 21 October from 10:45 – 12:15 local time

## Other information

- ⦿ Summary of DG Activities:  
<http://gnso.icann.org/en/group-activities/active/non-pdp-new-gtld>



# Data & Metrics for Policy Making (DMPM)

Steve Chan

# Why is it Important?



## Background

The Data & Metrics for Policy Making Working Group (DMPM) explored opportunities to review standard methodologies of reporting and metrics that could better inform fact-based policy development and decision making; including how the community can collaborate with Contracted Parties and other service providers in the sharing of metrics and data.



## Tasks of the DMPM

In connection to the group's charter questions, the DMPM has deliberated on the improved use of data in:

1. Issue identification, scoping and prioritization
2. Key performance metrics for recommended policy
3. Fact based assessment of policy outcomes



## Public Comment

The WG launched a public comment forum based on its Initial Report and draft recommendations and has since reviewed those comments to prepare its Final Report:

<https://www.icann.org/public-comments/data-metrics-policy-making-2015-07-29-en>

# Proposed Recommendations

1

## Recommendation 1

Initiate pilot effort for SGs/Cs to make tactical based data & metric requests within the GNSO to enhance issue development of policy issues.

4&5

## Recommendations 4&5

Update Charter and Final Report templates with standard recommendation to measure effectiveness of future consensus policies post implementation.

2

## Recommendation 2

Update Working Group Guidelines within GNSO OP Procedures regarding early outreach to include quantitative measures and expand audience beyond ICANN.

6

## Recommendation 6

Create Metrics Request introduction into Working Group Guidelines.

3

## Recommendation 3

Staff to create formal templates of Issue Report, Charter, and Final Reports and document accordingly in Working Group Guidelines.

7

## Recommendation 7

Import metrics request decision tree and form into Working Group Guidelines.

\*\*Initial Report can be viewed on Project Page, as there will not be substantial changes to recommendations in Final Report



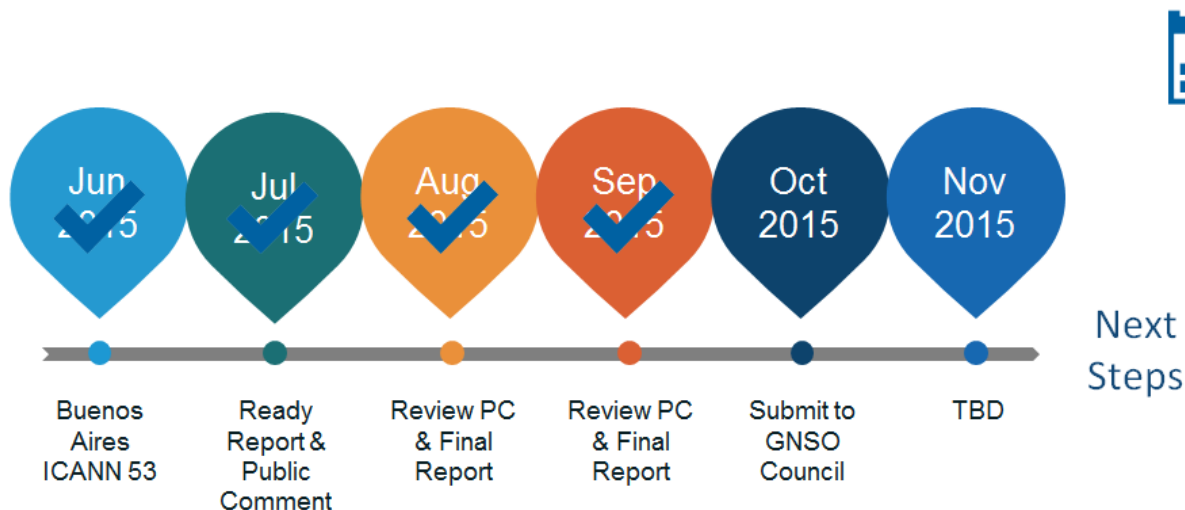
# Current Status & Next Steps

## Current status

- Updated GNSO Council of preliminary recommendations
- Completed review of public comments
- Updating its Final Report

## Next Steps

- Submit Final Report to GNSO Council for its review in Dublin
- Should WG recommendations be adopted, Policy staff will begin implementation





# Next-Generation gTLD Registration Directory Services to Replace WHOIS Final Issue Report

Marika Konings

# Current Status

- ⦿ On 26 May, 2015, the ICANN Board passed a resolution adopting the Process Framework developed by the EP-WG, consisting of Board and GNSO Council members, and reaffirming its 2012 request for a Board-initiated PDP to define the purpose of collecting, maintaining and providing access to gTLD registration data, and to consider safeguards for protecting data, using the recommendations in the EWG's Final Report as an input to, and, if appropriate, as the foundation for a new gTLD policy.
- ⦿ Staff published this Preliminary Issue Report for public comment on 13 July – 12 Comments were submitted (see <https://www.icann.org/public-comments/rds-prelim-issue-2015-07-13-en>).
- ⦿ Final Issue Report incorporates input received and follows the approach as outlined by the EP-WG Process Framework (see <http://whois.icann.org/sites/default/files/files/next-generation-rds-framework-26apr15-en.pdf>).

# Final Issue Report

1

Extensive background information on the issue

2

Additional input relevant to the PDP (e.g. GAC communiqués, Art. 29 WP letters, WHOIS Studies, SG/C views)

3

Expert Working Group Final Report & Recommendations

4

Introduces process framework to address the many significant and interdependent policy areas

5

Discussion of Proposed Issues

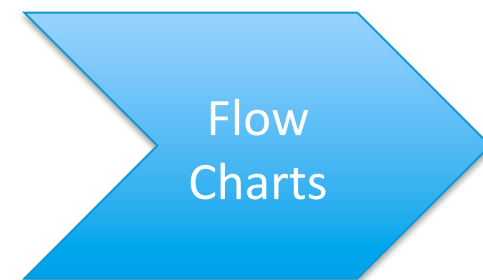
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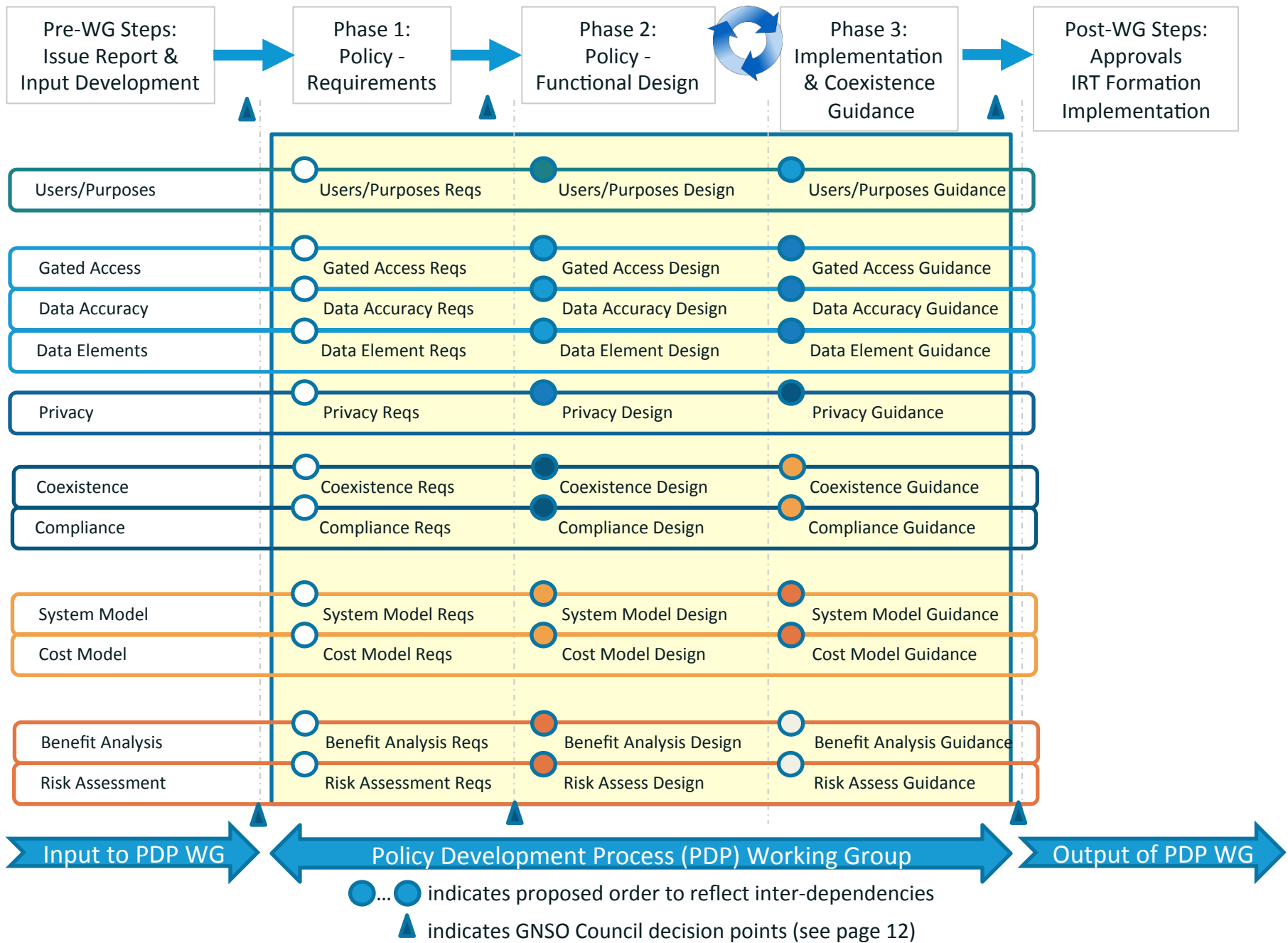
Draft PDP WG Charter



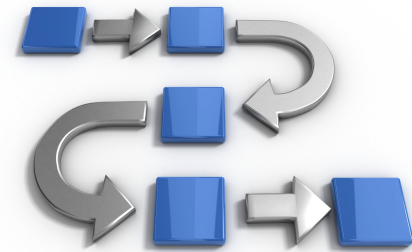
# EP-WG recommendations for RDS PDP WG

- ◉ The EP-WG recommends a 3-Phase PDP WG approach:  
<http://whois.icann.org/sites/default/files/files/next-generation-rds-framework-26apr15-en.pdf>
- ◉ Groups and sequences principles in the EWG's Final Report
  - ◉ Phase 1: Policy Requirements Definition (IF & WHY)
  - ◉ Phase 2: Policy Functional Design (WHAT)
  - ◉ Phase 3: Implementation Guidance (HOW)
- ◉ Pre-WG Steps: New Issue Report (including needed inputs and draft PDP WG Charter); Public Comment; Final Issue Report; GNSO Council consideration; PDP WG formation.
- ◉ Post-WG Steps: GNSO Council and Board Approval; IRT Formation; Implementation informed by PDP WG guidance





# Recommended methodology and timeline



- ⦿ Oversight
  - ⦿ GNSO Council should **approve Phase 1 outputs** before the PDP WG proceeds to Phase 2
  - ⦿ To ensure alignment with Phase 1 requirements, oversight should be provided by **GNSO PDP WG coordination team**
- ⦿ Timeline
  - ⦿ To foster sustained progress and timely completion, the WG should work towards a **defined timeline and targets**
  - ⦿ Phases 2-3 contain opportunities for parallel progress, sequenced for **inter-dependencies**, subject to **resourcing**
- ⦿ Methodology
  - ⦿ In addition to regular calls, PDP WG may hold **periodic face-to-face meetings**, including subteam and plenary meetings

# Where are we in the PDP process?

Nov 2012	Board	<input checked="" type="checkbox"/> Direct preparation of a (PDP) Issue Report
Nov 2012	Board	<input checked="" type="checkbox"/> Launch the EWG
Mar 2013	Staff	<input checked="" type="checkbox"/> PDP - Preliminary Issue Report
Mar-Apr 2013	Community	<input checked="" type="checkbox"/> Public Comment Forum (on above)
Jun 2013	EWG	<input checked="" type="checkbox"/> EWG Initial Report
Jun-Aug 2013	Community	<input checked="" type="checkbox"/> Public Comment Forum, Consultations (on above)
Nov 2013	EWG	<input checked="" type="checkbox"/> EWG Update Report
Dec-Feb 2014	Community	<input checked="" type="checkbox"/> Public Comment Forum, Consultations (on above)
Jun 2014	EWG	<input checked="" type="checkbox"/> EWG Final Report
Oct 2014- April 2015	EP-WG	<input checked="" type="checkbox"/> Develop Recommendations on PDP WG Process and Charter Guidance
July 2015	Staff	<input checked="" type="checkbox"/> New Preliminary Issue Report reflecting EP-WG output
July – Sept 2015	Community	<input checked="" type="checkbox"/> Public Comment Period on New Issue Report
October 2015	Staff	<input type="checkbox"/> Final Issue Report reflecting Public Comments
October 2015	GNSO Council	<input type="checkbox"/> Refine Charter for PDP Working Group (if needed)
	GNSO Council	<input type="checkbox"/> Adopt Charter (start of PDP WG process)



# Other GNSO Projects

## IGO/ INGO

### **PDP: IGO-INGO Access to Curative Rights Protection Mechanisms**

**What it's about:** Whether to amend existing dispute resolution processes or develop new, separate process to address specific needs and concerns of IGOs and INGOs

**Status:** No further work recommended for INGOs; current focus on IGO needs/restrictions (e.g. standing to file, immunity issues); Group recognized need to engage fully with the GAC and IGOs – questions and communications have been sent and received (early 2015); Group currently awaiting updated proposal from IGOs' representative small group, for consideration as part of GNSO PDP

**Dublin Activity:** Group planning open community session at ICANN54

**Milestones/Target Date:** Initial Report after ICANN54

## PPSAI

### **PDP: Privacy and Proxy Services Accreditation Issues**

**What it's about:** Group chartered in Oct 2013 to develop policy to underpin ICANN's planned accreditation of privacy & proxy services

**Status:** Initial Report containing 20 Preliminary Recommendations and some Open published for public comment in May 2015; Group currently reviewing overwhelming response (>11,000 individual comments, 150 survey responses, >10,000 petition signatories);

**Dublin Activity:** Group planning intensive face-to-face working meeting and also open community session at ICANN54

**Milestones/Target Date:** Target Final Report November / December 2015

## T&T PDP

### **PDP: Translation and Transliteration of Contact Information**

**What it's about** Whether it is desirable to translate or transliterate contact information into a single common language or script? Who should decide who should bear the burden translating or transliterating contact information to a single language or script?

**Status:** Board Adopted Recommendations from Final Report in September 2015

**Dublin Activity:** n/a

**Milestones/Target Date:** Implementation Review Plan to be published by November 2015

## RPMs PDP

### **Issue Report: UDRP and Rights Protection Mechanisms**

**What it's about** In 2011 the GNSO asked staff to prepare an Issue Report on a review of the Uniform Dispute Resolution Policy (UDRP). Following a Community-approved delay until 18 months after the launch of the new gTLD Program, the Preliminary Issue Report, drafted by staff on the review of the UDRP and all new gTLD-related Rights protections Mechanisms (RPMs) is forthcoming.

**Status:** Publication of Preliminary Issue Report is imminent.

**Dublin Activity:** Public Comment period will be open during (and after) Dublin; F2F meeting to discuss the Preliminary Issue Report and receive Community feedback.

**Milestones/Target Date:** Publication of Final Issue Report by 1 December 2015.

## Policy & Impl

### Non-PDP: Policy & Implementation

**What it's about:** GNSO chartered a Working Group to address issues that have been raised in the context of the discussions on policy & implementation that affect the GNSO such as developing a process for developing policy advice other than “Consensus Policy” and a framework for implementation related discussions associated with GNSO Policy Recommendations

**Status:** Recommendations, including three new GNSO processes, policy & implementation principles and Implementation Review Team guidelines were adopted by ICANN Board on 28 September 2015

**Milestones/Target Date:** Implementation of recommendations October/November 2015



- ⦿ **For the GNSO @ ICANN54 – the Essentials, please visit:**

<http://www.gnso.icann.org/en/icannmeeting>

- ⦿ **Pre-ICANN 54 Policy Update Webinar Wiki Space:**

<https://community.icann.org/x/w5hCAw>



# Country Code Names

## Supporting Organization

Bart Boswinkel

- ◎ ccNSO sessions Accountability and Stewardship Transition processes
- ◎ Other sessions ccNSO meeting Dublin
- ◎ Ongoing ccNSO work items
- ◎ New ccNSO Work items
- ◎ Additional Information

## Decision making process ccNSO

- ⦿ ccNSO is chartering organisation of CCWG Accountability and CWG Stewardship
- ⦿ ccNSO Council decision dependent on view ccTLD community
- ⦿ Ultimately support ccTLD community needed (members and non-members ccNSO)

## Tuesday & Wednesday Sessions in Dublin

- ⦿ Overview of CWG, CCWG and ICG Processes - Timelines and Interdependencies
- ⦿ Specific Issues Final Proposal Stewardship Transition (ICG)
  - Reference to ICP-1
  - Need for additional policy work: retirement of ccTLDs and IPR for delegation/redelegations of ccTLDs
- ⦿ CCWG related discussion
  - Accountability principles for ccNSO
  - IPR for ccTLDs
  - Interdependency CWG Stewardship Proposal and CCWG Accountability
  - Proposed next steps CCWG and ccNSO decision making



# Other ccNSO Meeting Sessions in Dublin

- ⦿ Marketing session: Sessions on ccTLD marketing experiences
  - Example: Challenges of the current Market for ccTLDs: the .nl experience.
- ⦿ ccTLD new session
  - ccTLDs sharing information on latest local developments
- ⦿ Discussion on Meeting B Strategy
- ⦿ Session Legal issues: presentations and discussion on legal issues relevant for ccTLDs
  - Example: Sovereignty and property Rights: Conceptualizing the Relationship between ICANN, ccTLDs and National Governments

# Ongoing ccNSO work items

## ◎ ccNSO SOP WG

- Prepare for input of five year Ops Plan update and FY 17 draft Ops Plan & Budget

## ◎ ccNSO Guideline Review committee (GRC)

- Review of all internal guidelines and rules of the ccNSO to reflect changing practices and growth of ccNSO
- Updates when necessary, to be approved by Council
- In spot light result of accountability discussions

# New ccNSO work items

- ◎ ccNSO WG guidance and review Second similarity panel ( WG EPSRP)
  - Board request to provide guidance on extended process similarity review panel
  - Invite SSAC and GAC to participate
  - Recommendations relevant for Fast Track and IDN ccTLD policy
  
- ◎ Standing Oversight Committee TLD-Ops
  - Successor of Secure email working group (SECIR WG)
  - Goals: provide guidance and oversight maintenance email list, outreach to unsubscribed ccTLDs, develop proposal more extended use of list for related to security and stability purposes

# Address Supporting Organization

Carlos Reyes

# ASO Address Council

The ASO AC has 15 members, 3 from each region

- ⦿ The regional policy forum of each RIR elects two members
  - Tomohiro Fujisaki was re-elected at APNIC40 to serve until 31 December 2017.
- ⦿ The Executive Board of each RIR also appoints one person from its respective region.
  - The APNIC Executive Council re-appointed Aftab Siddiqui to serve through 31 December 2018.
  - The ARIN Board of Trustees appointed John Sweeting to finish the term of Ron da Silva through 31 December 2017



# Global Policies and Other Activities

## What is a Global Policy?

- ⦿ The RIRs develop many regional addressing policies
- ⦿ Only very few policies affect IANA and only those are called “Global Policies”

Areas of policy under discussion at the RIR meetings/  
in RIR mailing lists:

- ⦿ Internet number resource policies  
(IPv4, ASNs transfers)
- ⦿ IPv6 allocation policies

Work sessions throughout the week


- ⦿ Discussions on CCWG 2<sup>nd</sup> Draft Proposal
- ⦿ Meeting with the ICANN Board of Directors

## **ASO/NRO Workshop**

Wednesday, 21 October, 09:15—10:30, Auditorium

- ⦿ Policy Development in the RIRs Update
- ⦿ NRO Operational Update





# **Root Server System Advisory Committee Update**

Carlos Reyes and Steve Sheng





## RSSAC Comment on the “Proposal to Transition the Stewardship of IANA Functions from the U.S. NTIA to the Global Multistakeholder Community”

# Overview

- ① The RSSAC has reviewed the ICG plan and observed the ICANN community process that has led to it.
- ① The RSSAC supports the Proposal. From its operational perspective, RSSAC believes that plan is workable and that it will be a positive step to replace US government oversight of the IANA functions with community oversight.





# RSSAC003: Report on Root Zone TTLs

Since 1991, TTLs in the root zone have not been changed. RSSAC proactively consider the extent to which:

- ⦿ the current root zone TTLs are appropriate for today's Internet environment
- ⦿ the impacts that TTL changes would have on the wider DNS
- ⦿ the 2014 change to increase ZSK signature validity to 10 days sufficiently addresses the issues of interactions between the SOA refresh timer and serving stale data

# Findings

1. The root zone delegation TTLs are appropriate for today's environment.
2. Root zone TTL values could be reduced to 1 day without any significant impact on the amount of traffic to root servers.
3. Increasing root zone TTLs should only be done with careful consideration of DNSSEC-related implications.
4. Two potential problems related to the interaction between the SOA Expire value and the root zone's signature validity periods exist.

# Recommendations

- ⦿ The Root Zone Management partners to increase the signature validity periods for signatures generated by both the KSK and the ZSK
  - This issue is *not urgent* and should be addressed within a reasonable amount of time following an update of the necessary procedures documents and software testing.
- ⦿ No changes to Root Zone TTLs should be made at this time

# Root Servers Naming Scheme Work Party

On 9 July 2015, the RSSAC [chartered a work party](#) to produce “**History and Technical Analysis of the Naming Scheme Used for Individual Root Servers**” with the following scope to:

1. Document the technical history of the names assigned to individual root servers;
2. Consider changes to the current naming scheme, in particular whether the names assigned to individual root servers should be moved into the root zone from the root-servers.net zone;
3. Consider the impact on the priming response of including DNSSEC signatures over root server address records;
4. Perform a risk analysis; and
5. Make a recommendation to root server operators, root zone management partners, and ICANN on whether changes should be made, and what those changes should be.

Work Party meets weekly and expects to finish its work in mid-November.



## **Participation in “How It Works” tutorials**

Sunday, 15:45—17:15, Liffey H2

Monday, 15:45—17:15, L4 Foyer

## **Two Work Sessions**

### **Joint Meetings**

- ⦿ Nominating Committee (closed)
- ⦿ Board of Directors

### **Public Session**

Wednesday, 21 October, 14:00—15:15, Auditorium

- ⦿ RSSAC003 Review
- ⦿ root-servers.net Work Party Overview



**Security and Stability  
Advisory Committee Update**  
Julie Hedlund and Steve Sheng



# SAC073: SSAC Comments on ICANN Root Zone Key Signing Key Rollover Plan



- ⦿ Thanks the KSK Rollover Plan Design Team
- ⦿ Noted that the SSAC produced a document (SAC 063).
- ⦿ In SAC 063, the SSAC discussed:
  - Key management in the root zone;
  - Motivations for root zone KSK rollover;
  - Risks associated with root zone KSK rollover, available mechanisms for root zone KSK rollover;
  - Quantifying the risk of failed trust anchor update, and DNS response size considerations.

- The SSAC also notes that:
  - SAC 063 contained five recommendations for ICANN and the root zone management partners.
  - There is not a comprehensive correlation of the recommendations in SAC63 with material presented in the ICANN KSK Rollover Plan.
- Asks the design team to respond directly in its final report to each of the recommendations in SAC 063, and provide rationale if it chooses not to address the SSAC recommendations.





## SSAC Activities at ICANN 54

# SSAC Activities at ICANN 54

- ◉ DNSSEC for Everybody: A Beginner's Guide – 19 October
- ◉ DNSSEC Workshop – 21 October
- ◉ SSAC Public Meeting – 22 October
- ◉ Meeting with the community, including the ALAC, the ICANN Board, and the GNSO.
- ◉ For more information on the SSAC see:
  - ◉ Main web page: <https://www.icann.org/groups/ssac>
  - ◉ Publications: <https://www.icann.org/groups/ssac/documents>

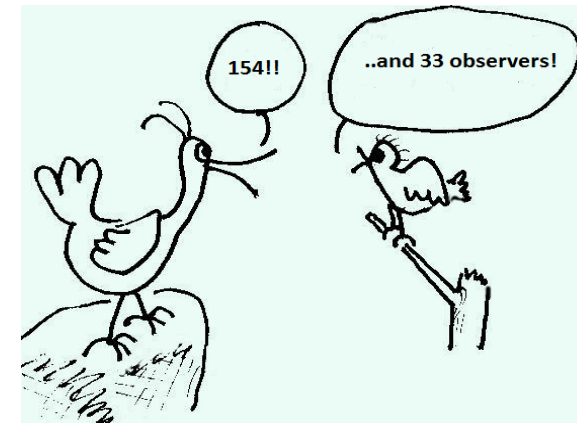


# **GAC Update** Olof Nordling



# Overview

- ⦿ The Governmental Advisory Committee (GAC) currently has 154 governments as members and 33 IGOs as observers
- ⦿ The GAC meets face-to-face at ICANN meetings, with inter-sessional work conducted remotely
- ⦿ The GAC provides advice on public policy matters to the ICANN Board



# Policy-Related Activities

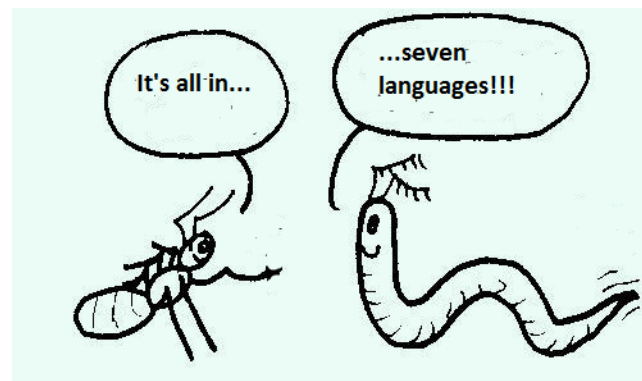
- ⦿ IANA Stewardship Transition and ICANN Accountability
- ⦿ Remaining issues with the New gTLD Program:
  - Protection of IGO names and acronyms
  - Implementation of GAC Safeguard Advice
- ⦿ Topics addressed by GAC Working Groups:
  - Geographic Names
  - Human Rights & International Law
  - Public Safety
- ⦿ Meetings with GNSO, ccNSO, ALAC and the Board





# Other Activities

- ⦿ Election of GAC Vice-Chairs
- ⦿ Review of GAC Operating Principles and preparations for new meeting structure
- ⦿ Preparations for ICANN 55 in Marrakech...
- ⦿ ..featuring the next High-Level Governmental Meeting
- ⦿ The GAC sessions from Saturday to Thursday are all open, except the Communiqué drafting on Wednesday afternoon.
- ⦿ **Welcome to the GAC meeting room:  
Liffey A !**



# ALAC/At-Large Activities in Dublin

Heidi Ullrich | Ariel Liang | Silvia  
Vivanco

# ALAC Main Topics between ICANN 53 and ICANN 54

## ICANN Accountability and Transparency (CCWG Proposal)

ALAC Statement on Enhancing ICANN Accountability 2nd Draft Report (Work Stream 1) [Response to Public Comment – 11 September 2015] <https://community.icann.org/x/a45CAw>

ALAC supports the CCWG Proposal – with some reservations

The current statement is not what the ALAC would have preferred as it has:

- No membership model
- Less legal enforcement – more good will
- A more complex structure than ALAC would like
- The same mechanisms which deliberately make it difficult for the community to override the Board also make it difficult to change as the Internet and world evolve

## The ICG Proposal

ALAC Statement on the IANA Stewardship Transition Proposal [ Response to the ICG Consultation – 8 September 2015 ]

<https://community.icann.org/x/LY1CAw>

A split resulting in IANA Functions being undertaken by more than one IANA Functions Operator would be likely to introduce instability

In the event of an Operational Community reaching the decision to replace the IANA Functions Operator, they should discuss their decision with other Operational Communities prior to proceeding forward, seeking all ways to keep all of the IANA functions undertaken by a single IANA Functions Operator.

## The At-Large Structure Criteria and Expectations Taskforce

The ALAC and regional leaders will continue the process of re-assessing the Criteria and Expectations becoming and remaining an At-Large Structure.

As a by-product of this process, the ALAC will be improving the tools and processes to ensure full engagement of ALSes and their members in ICANN activities.

# ALAC Advice (ICANN 53 – Current)

## GNSO Policy Development Process (PDP)

- **GNSO Privacy & Proxy Services Accreditation Issues Working Group Initial Report** – *Active PDP*
- **New gTLD Subsequent Procedures Preliminary Issue Report** – *Potential PDP*
  - *Consumer trust is above any and all other issues; the next round should not be launched in a rush*
  - *Applicant Support Programs, Public Interest, Community Applications*
- **Next-Generation gTLD Registration Directory Services to Replace WHOIS Preliminary Issue Report** – *Potential PDP, Board-Initiated*
  - *Proceed only after careful consideration of the EWG recommendations*
  - *End user community engagement is a major concern*

## GNSO Non-PDP

- **Data & Metrics for Policy Making Initial Report**
- **Proposed ICANN Bylaws Amendments — GNSO Policy & Implementation Recommendations**
  - *GNSO may not be able to arrive at an equitable solution when public interest is in conflict with the needs of contracted parties*
  - *Implementation of complex policy in reasonable time-frames*

# ALAC Advice (ICANN 53 – Current)

## Organizational Reviews

- **Proposed Schedule and Process/Operational Improvements for AoC and Organizational Reviews**
- **Review of the Generic Names Supporting Organization Draft Report**
  - Recommends caution regarding a default outcome that a new Constituency is admitted

## Cross Community Working Groups

- **Use of Country and Territory Names as Top-Level Domains – *Active CWG***
- **New gTLD Auction Proceeds Discussion Paper – *Potential CWG***





## **Adopted ALAC Advice:**

<http://atlarge.icann.org/correspondence>

## **ALAC Advice Under Development:**

<https://community.icann.org/x/bwFO>

# ALAC and At-Large Activities at ICANN 54

- ⦿ ALAC/At-Large will hold 30 formal meetings
- ⦿ Two new meetings: ALAC Strategy and Working Session on Saturday, 17 October and the ALAC Development Session on Friday, 23 October.
- ⦿ Meetings will be held with the Board, ccNSO, GAC, and SSAC leadership as well as ICANN staff
- ⦿ 9 At-Large Working Groups will be meeting face-to-face to facilitate progress in both policy and process activities
- ⦿ EURALO will be holding a face-to-face General Assembly

# RALO Meetings in Dublin 2015

## RALO

- ⦿ **AFRALO** will hold the **AFRALO-AFRICANN** Joint meeting on [Wednesday 21<sup>st</sup> October](#), between **14:00-15:30** on the theme “IANA and ICANN accountability transition - Africa perspective”.
- ⦿ **APRALO** members will hold its meeting on [Wednesday, 21<sup>st</sup> October 2015](#) between **8:00-9:00**.
- ⦿ **EURALO** will hold its General Assembly in 2 parts on [Wednesday, 21 October 2015](#) between **10:30–12:00 and 15:45-17:30**.
- ⦿ **LACRALO** will hold its meeting on [Monday, 19 October 2015](#) between **15:30-16:30**.
- ⦿ **NARALO** meeting will take place on [Monday, 19 October 2015](#) between **17:00-18:00**.

## CROSS-RALO

- ⦿ [Monday, 19 October 2015](#), The five RALOs will hold the [Regional Secretariat](#) meeting.



- ⦿ **EURALO General Assembly Part 1.** Wednesday, 21 October 2015. Time: 10:30-12:00. Theme: Public Interest. Meeting Room: Wicklow H1.
- ⦿ **GA Part 2.** Time: 15:45-17:30.  
Guest Speakers include:
  - [Wolfgang Kleinwächter](#), (ICANN Board Member)
  - [Rinalia Abdul Rahim](#)(ICANN Board Member selected by ALAC/At-Large)
  - [Alan Greenberg](#) (ALAC Chair)
  - [Jean-Jacques Sahel](#), VP Stakeholder Engagement Europe
- ⦿ **Joint EURALO and European Coordination & Networking Event.**  
Time: 18:30-20:30.
- ⦿ Full Program is posted in the following WIKI page : **EURALO General Assembly :**  
<https://community.icann.org/x/5JNYAw>

**You are welcome to participate in these events!**



We are the At-Large Community

Help us shape

# New At-Large Website Beta Launch

*Tuesday, 20 October @ 14:30 – 15:15*  
*Wicklow H1*

Get Started

[Learn About At-Large](#)

[Get Involved](#)

[Find an Event](#)

## Policy Advice

### Topics and Working Groups

Since 2003, the ALAC developed 315 pieces of Policy Advice on key issues impacting Internet users. Click the graph to explore.

[Topics](#) [Working Group](#)

WHOIS

Legal/Regulatory

### Latest Policy Advice

[Design Team Review of Plan for DNS Root Zone KSK Change](#)

[Drafting Statement](#)

[Draft Report: Review of the Generic Names Supporting Organization](#)





# **Cross Community Working Groups (CWGs) & Joint Efforts**

Lars Hoffmann/Mary Wong

T&T  
PDP

## **CWG: Use of Country and Territory Names in Top-Level Domains**

**What it's about** Provide policy frameworks on 2-character codes, 3-character codes, and full names of countries and territories in all top-level domains.

**Status:** Debate on 2-character codes provisionally completed; community request for feedback on 3-character codes sent out; full names not yet discussed.

**Dublin Activity:** Discussing community responses on 3-character codes representing country and territory names.

**Milestones/Target Date:** Initial Report planned for ICANN55.

## CWG: Framework of CWG Principles

**What is it about:** A cross community effort to develop uniform principles and guidance for future Cross Community Working Groups

**Status:** WG co-chairs refining templates/procedural framework

**Dublin Activity:** n/a

**Milestones/Target Date:** Publication of draft framework following ICANN54

CWG<sup>2</sup>

**Press \*1** to join the queue  
and ask the  
Policy Development Support  
Team  
your questions.

# Questions Received

- ⦿ **If ICANN receives individual complaints about Whois in accuracy from a 3rd party how do they inform the relevant registrar and can they share these requests with the domain owner?**

*For ICANN's compliance approach and processes, please follow this link:*

*<http://www.icann.org/en/resources/compliance/approach-processes>*

*The above link demonstrates how ICANN informs Registrars based on the complaints (e.g. Whois Inaccuracy), and the domain name owner is able to see the requests (complaints). Registrars have obligations to provide affirmative responses, to correspond with the domain name owner, and follow validation methods based on the RAA accreditation.*

- ⦿ **In what ways can ICANN best support those within Iran, including inside the Government, who seek a change toward multistakeholderism in Iran's official policies?**

*ICANN has been working with various stakeholders in Iran. In November 2014 ICANN staff visited Iran and had several meetings with 50+ people from government and non-government stakeholders. As a result of our engagement efforts, we've been witnessing more participation from Iran in our regional activities (ME DNS Forum, School on Internet Governance, etc) as well as in the ICANN fellowship program. We are communicating regularly with fellows and other actors in Iran and encouraging them to become more involved in ICANN and Internet Governance processes. We're currently working on organizing a DNS security workshop in Tehran later this year.*



# How to Stay Updated



**Contact us at: [policy-staff@icann.org](mailto:policy-staff@icann.org)**

**Follow us on Twitter:**

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@ICANN\_GNSO

@ccNSO

@ICANNAtLarge

◎ **Subscribe to the monthly Policy Update:**

<https://www.icann.org/resources/pages/signup-2012-02-25-en> available in Arabic, Chinese, English, French, Russian and Spanish

◎ **GNSO Working Group Newcomer Open House Sessions:**

These community-led sessions are for new GNSO Working Group participants to come together and discuss any questions they may have about GNSO Working Groups, procedures and/or processes in an informal setting.

**Next session: To be confirmed post Dublin**

Please contact [nathalie.peregrine@icann.org](mailto:nathalie.peregrine@icann.org) for more details

# Policy Development Support Staff

**27 FTE**

Subject Matter Experts and  
Secretariat Support Services

5 Time Zones across  
9 Countries



**15 Languages**

- Afrikaans
- Cantonese
- Dutch
- English
- Finnish
- French
- German
- Greek
- Italian
- Japanese
- Mandarin
- Polish
- Spanish
- Swedish
- Turkish

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**Mary Wong**

Senior Policy Director (USA)

# Thank you!

## **Pre-ICANN 54 Policy Update Webinar Wiki Space:**

**<https://community.icann.org/x/w5hCAw>**

**For this webinar's recordings, transcripts, slides and more information in preparation for ICANN 54.**