



ICANN | 52  
*Singapore*

8-12 FEBRUARY 2015



Pre-ICANN 52 Policy Update  
Webinar

29 January 2015

# Welcome



## David Olive

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Support

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# ICANN 52 Outlook:

## Monday, 9 February 2015

- ⦿ Welcome Ceremony (08:30—10:00)
- ⦿ SO/AC High-Interest Topic Session (14:00—15:15)

## Tuesday, 10 February

- ⦿ Constituency Day sessions
- ⦿ Music Night (20:30—24:00)

## Wednesday, 11 February

- ⦿ Joint Meeting of ICANN Board and GAC (08:30—09:30)
- ⦿ GNSO Public Council Meeting (13:00 – 15:00)
- ⦿ ccNSO Council Meeting (16:00 – 17:30)
- ⦿ APRALO Showcase (18:30 – 21:00)

## Thursday, 12 February

- ⦿ ICANN Public Forum (13:30—17:00)
- ⦿ Community Recognition and ICANN Public Board Meeting (17:00—18:00)

# ICANN 52 In Focus:

## **Monday, 9 February**

- ⦿ Responses to the ICG RFP regarding the IANA Stewardship Transition (10:15 – 13:00)
- ⦿ AOC and Organizational Reviews: Supporting ICANN Accountability (14:00-15:15)
- ⦿ CCWG – Accountability Working Session (15:30 – 18:30)

## **Wednesday, 11 February**

- ⦿ CCWG Accountability Engagement Session, 10:30-12:00
- ⦿ CCWG: Development of a Framework for Future CC Groups, 11:15-12:45
- ⦿ CWG Stewardship Working Session, 17:15-19:15

## **Thursday, 12 February:**

- ⦿ CCWG Accountability Working Session (7:00 – 10:00)
- ⦿ CWG – Stewardship Questions and Answers (10:30 – 11:45)

# Policy Development at ICANN

## ICANN Supporting Organizations

- ⊙ ASO | Address Supporting Organization
- ⊙ ccNSO | Country-Code Names Supporting Organization
- ⊙ GNSO | Generic Names Supporting Organization

## ICANN Advisory Committees

- ⊙ ALAC | At-Large Advisory Committee
- ⊙ GAC | Governmental Advisory Committee
- ⊙ RSSAC | Root Server System Advisory Committee
- ⊙ SSAC | Security and Stability Advisory Committee



# ONE WORLD. ONE INTERNET.

## WHAT DOES ICANN DO?

To reach another person on the Internet you have to type an address into your device – a name or a number. That address must be unique, so computers will know where to find each other. ICANN maintains and administers these unique identifiers across the world. Without ICANN's management of this system, known as the Domain Name System or DNS, we wouldn't have a global, scalable Internet where we can find each other.

## Community-Driven Policy

To keep pace with dynamic technologies and rapid innovation, ICANN enables consensus-driven, multistakeholder policy development, with broad representation from the global Internet community.

## Multistakeholder Model:

Civil Society & Internet Users, the Private Sector, National & International Organizations, Governments, Research, Academic and Technical Communities are all represented.

## Competition & Choice

From accrediting over 1000 registrars, to introducing new Top Level Domains (TLDs), ICANN works to expand consumer choice by fostering competition and innovation in the domain name marketplace.

## WHICH FUNCTIONS DOES ICANN COORDINATE?

- Domain Name System (DNS)
- Internet Protocol (IP) address allocation
- Protocol-Parameter Registry
- Root Server Systems
- Generic Top-Level Domain name (gTLD) system management
- Country Code Top-Level Domain name (ccTLD) DNS
- Time zone database management

## Security & Stability

ICANN supports DNS security through technical training and engagement, coordinating and collaborating with the community in the implementation of standards such as DNSSEC.

## Interoperability

ICANN's work enables new technologies to flourish while maintaining interoperability across the global Internet. For example, management of the unique protocol identifiers allows communication using secure connections between users.

## Contractual Compliance

ICANN oversees the contracts it maintains and enforces the consensus policies developed through the community-driven process. ICANN's Contractual Compliance function seeks to ensure compliance with the agreements and the consensus policies.

## HOW DO I PARTICIPATE?

- Sign up for updates at [myicann.org](http://myicann.org)
- Join one of the many Public Comment Forums on ICANN's website
- Attend ICANN's Public Meetings in person or online to provide input at a Public Forum
- Join one of ICANN's Supporting Organizations or Advisory Committees

## WHO'S INVOLVED?

A number of groups, each of which represents a different interest on the Internet. All of them come together with the Board of Directors to shape ICANN decisions.

### Supporting Organizations

- Addressing
- Country Code Names
- Generic Names

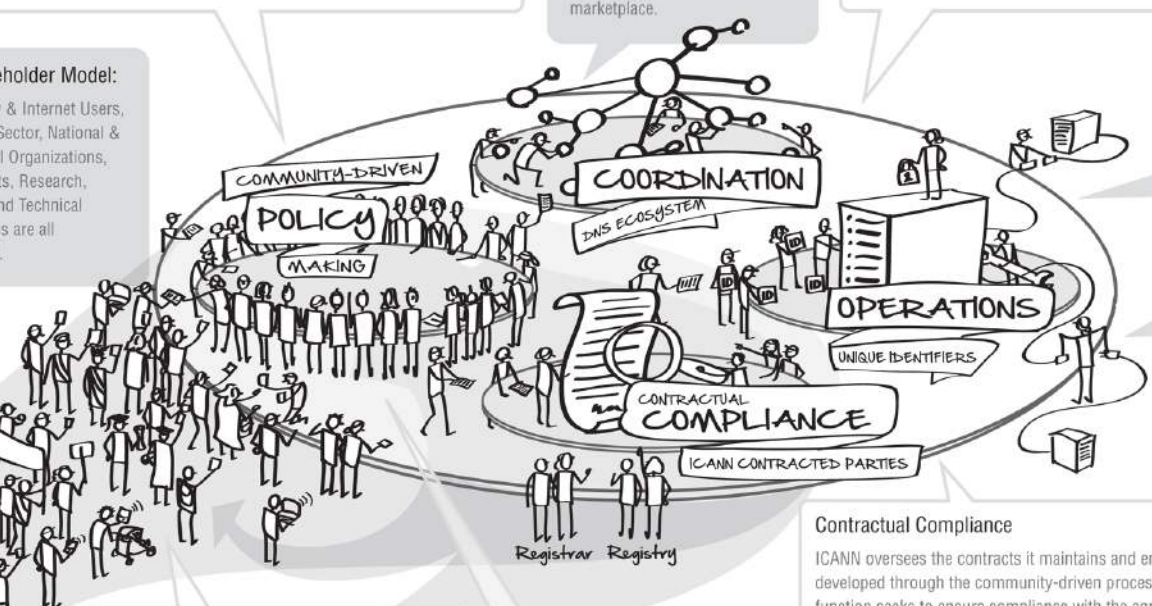
### Advisory Committees

- At-Large
- Governmental
- Root Server System
- Security & Stability

### Technical Advisory Bodies

- Technical Liaison Group
- Internet Engineering Task Force

### Board of Directors



For more information or to get involved, please visit [www.ICANN.org](http://www.ICANN.org)



# Goals for this Webinar

- ⦿ Update on current policy work and encourage participation
- ⦿ Review issues to be discussed
- ⦿ Brief on upcoming initiatives and opportunities to provide input
- ⦿ Answer any questions and solicit feedback
- ⦿ Webinar hashtag: **#ICANNPolicy**

A world map where the continents are defined by a network of white nodes and connecting lines, set against a dark blue background. The nodes vary in size and are connected by thin white lines, creating a complex, interconnected web that outlines the major landmasses of the world.

# Cross Community Working Groups (CWGs) & Joint Efforts





# CWG to develop an IANA Stewardship Transition Proposal on Naming Related Functions

Marika Konings

# Why is it important?



The National Telecommunications and Information Administration (NTIA) has requested that ICANN “convene a multistakeholder process to develop a plan to transition the U.S. government stewardship role” with regard to the IANA Functions and related root zone management



Stewardship Transition proposals for each of the IANA functions should be developed by the directly affected communities



Primary goal of the CWG is to produce a consolidated transition proposal for the elements of the Internet Assigned Numbers Authority (IANA) Functions relating to the Domain Name System. CWG chartered by ccNSO, GNSO, ALAC, SSAC and GAC.



Draft Transition Proposal published for public comment on 1 December - 60 contributions received

# Current Status

- ⦿ CWG held an intensive work weekend on 10-11 January 2015 (four, two-hour virtual meetings held over two days)
- ⦿ Significant focus on input received during public comment period
- ⦿ As a result of diversity of comments received, it has become apparent that more time is needed to review and refine the proposal
- ⦿ CWG developed input for the CCWG-Accountability – identifying components of the CWG proposal that are likely to be contingent on key areas of the CCWG-Accountability work
- ⦿ CWG is keeping up intensity of its meetings leading up to ICANN meeting in Singapore. Key next steps include:
  - Obtaining legal advice on relevant elements of the proposal
  - Further engagement with the CCWG-Accountability
  - Further work on developing proposal to seek support from the Chartering Organizations

# Further Information

1

CWG Sessions in Singapore:

- Working Session on Wednesday 11 February from 17:15 – 19:15 local time in meeting room 'Padang'
- Q & A Session on Thursday 12 February from 10:30 – 11:45 local time in meeting room 'Padang'

2

CWG Draft Transition Proposal & Public Comment Forum -

<https://www.icann.org/public-comments/cwg-naming-transition-2014-12-01-en>

3

CWG Workspace - <https://community.icann.org/x/37fhAg>



# CCWG on Enhancing ICANN Accountability

Bart Boswinkel

# Background

- ⦿ The scope of the Enhancing ICANN Accountability process is defined as ensuring that ICANN remains accountable in the absence of its historical contractual relationship with the U.S. Government and the perceived backstop with regard to ICANN's organization-wide accountability provided by that role, such as the renewal process of the IANA functions contract.
- ⦿ The CCWG is to organize its output in two Work Streams, consistent with the [Revised Enhancing ICANN Accountability Process](#):
  - **Work Stream 1 (WS1)**: focused on mechanisms enhancing ICANN accountability that must be in place or committed to within the time frame of the IANA Stewardship Transition; and
  - **Work Stream 2 (WS2)**: focused on addressing accountability topics for which a timeline for developing solutions and full implementation may extend beyond the IANA Stewardship Transition.

# Current Status & Next Steps

## Current status

- ⦿ Developed overview of existing accountability mechanism
- ⦿ Reviewing issues identified by CWG-Stewardship
- ⦿ Identifying and categorizing contingencies
- ⦿ Finalizing definitions & scope document
- ⦿ Categorizing WS1 and WS2 items
- ⦿ Identification of WS1 requirements

## Next Steps

- ⦿ Focus on mechanisms for WS1 that meet the identified requirements
- ⦿ Continue co-ordination with CWG-Stewardship
- ⦿ Review timeline
- ⦿ Seek input from Advisors
- ⦿ Seek Legal Advice

nxt

# More Information

- ◎ CCWG-Accountability Working Sessions – Monday 9 February from 15:30 – 18:30 local time and Thursday 12 February from 7:00 – 10:00 local time in meeting room ‘Padang’
- ◎ CCWG-Accountability Engagement Session – Wednesday 11 February from 10:30 – 12:00 local time in meeting room ‘Padang’
- ◎ CCWG-Accountability Wiki Space:  
<https://community.icann.org/x/ogDxAq>





# Other CWGs & Joint Projects

Olof Nordling / Mary Wong / Lars Hoffmann

## GAC-GNSO Consultation Group (CG) on GAC Early Engagement in Policy Development Activities

**What is it about:** Joint GNSO – GAC initiative to explore and enhance ways of early engagement of the GAC in relation to GNSO policy development activities.

**Status:** CG plans to update GAC and GNSO on its deliberations and share a number of preliminary recommendations for input

**Singapore Activity:** Joint GAC-GNSO meeting on Sunday 8 February from 15:00 – 16:30 and CG open meeting on Monday 9 February from 12:30 – 14:00 in meeting room ‘Indiana’



GAC-  
GNSO  
CG

## CWG: Framework of CWG Principles

**What is it about:** A cross community effort to develop uniform principles and guidance for future Cross Community Working Groups

**Status:** Working Group developing initial checklist for formation and chartering of CWGs

**Singapore Activity:** Working Group will present initial recommendations for community feedback on Wednesday 11 February from 11:15 – 12:45 in meeting room 'Moor'

**Milestones/Target Date:** ICANN53 for publication of CWG formation/chartering/operations/closure checklist

CWG  
2

# Other CWGs & Joint Projects

## **CWG: Country and Territory Names as Top Level Domains**

**What is it about:** This Cross Community Working Group to draft a harmonized framework for use of names of country and territory names as top level domains to inform ccNSO and GNSO, and recommend further steps



CWG  
CTN

**Status:** CWG has prepared submission to GAC Geographic Region sub-group to inform about potential overlap of activities

CWG in its Working Group Phase, will start to discuss feasibility of harmonized framework

**Singapore Activity:** F2F on Monday 9 February 2015; 12:15-13:45 in meeting room Bras Basah

**Milestones/Target Date:** ICANN 54



# Generic Names Supporting Organization

# Issues being discussed in the GNSO

- ⦿ Translation & transliteration of registration data
- ⦿ Privacy & Proxy Services Accreditation
- ⦿ Policy & Implementation
- ⦿ Data & Metrics for Policy Making
- ⦿ Curative Rights Protections for IGO/INGOs
- ⦿ Purpose of gTLD registration data (EWG)
- ⦿ Cross-Community WG Principles
- ⦿ GNSO Review
- ⦿ Others – currently there are over 15 projects underway



# Translation and Transliteration of Contact Information PDP: Initial Report

Julie Hedlund / Lars Hoffmann

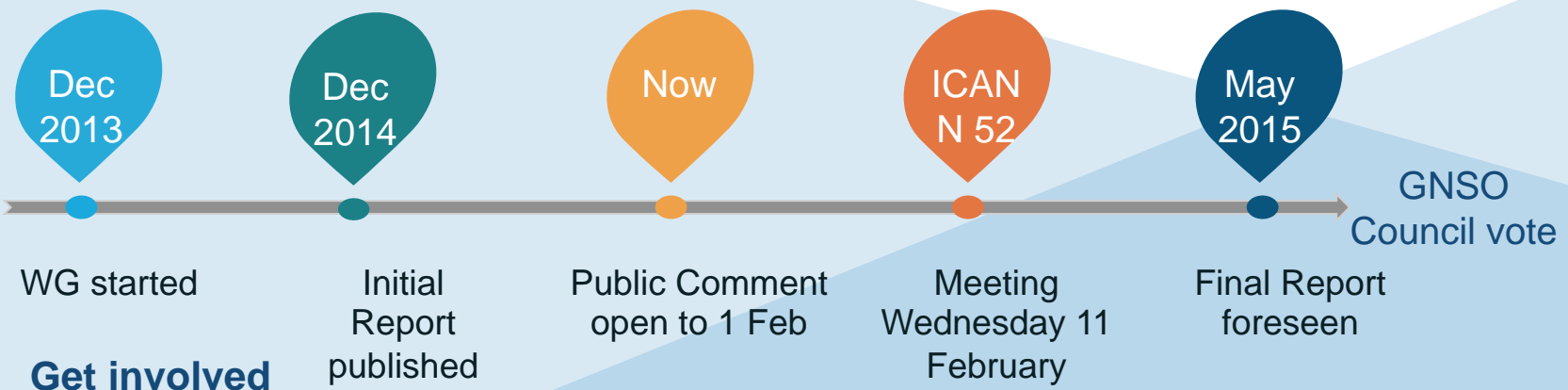
# Charter Questions and Timetable

## Two Charter Questions

1. Whether it is desirable to translate or transliterate contact information into a single common language?
2. Who should decide who should bear the burden transforming\* contact information to a single language?

\* The WG has uses the short form 'transformation' throughout this presentation to replace the term 'translation or transliteration'.

## Timeline



## Get involved

### Working Group is open to everyone!

To join please contact [gns0-secs@icann.org](mailto:gns0-secs@icann.org) ; weekly calls are held Thursdays 14:00 UTC



# Key Arguments

## Arguments **supporting** mandatory transformation

- ⦿ Would allow for a transparent, accessible and, arguably, more easily searchable database.
- ⦿ Would facilitate communication among stakeholders not sharing the same language.
- ⦿ Would avoid possible flight by bad actors to the least translatable languages.
- ⦿ When 'Whois' results are cross-referenced, it may be easier to ascertain whether the same registrant holds different domain names.

VS

## Arguments **opposing** mandatory transformation

- ⦿ It would be near impossible to achieve consistent accuracy in transforming all scripts and languages – mostly of proper nouns – into a common script.
- ⦿ Accurate translation needs to be done manual and is thus very expensive.
- ⦿ The financial burden could have negative impact on less developed regions that often don't use Latin script.
- ⦿ Usability of transformed data is questionable because registered name holders unfamiliar with Latin script would not be able to communicate in Latin script.

# Key (preliminary) Recommendations

1

## No Mandatory Transformation

The Working Group recommends that it is not desirable to make transformation of contact information mandatory. Any parties requiring transformation are free to do it ad hoc outside the Domain Name Relay Daemon.

2

## New RDS and tagged data fields

The Working Group recommends that any new Registration Directory Service database [...] should be capable of receiving input in the form of non-Latin script contact information. All data fields should be tagged in ASCII to allow easy identification of what the different data entries represent and what language/script has been used by the registered name holder.

3

## Submit data in language/script used by Registrar

The Working Group recommends that registered name holders enter their contact information data in the language or script in the language that the registrar operates in.

4

## Registrar verifies

The Working Group recommends that the registrar and registry assure that the data fields are consistent, that the entered contact information data are verified (in accordance with the RAA) and that the fields are correctly tagged to facilitate transformation if needed.

# More Information

- ◎ Initial Report:

<http://gnso.icann.org/en/issues/gtlds/transliteration-contact-initial-15dec14-en.pdf>

- ◎ Public Comment:

<https://www.icann.org/public-comments/transliteration-contact-initial-2014-12-16-en>

- ◎ Webinar on Initial Report:

<https://icann.adobeconnect.com/p2lzjk3zy0f/>

- ◎ Wiki Page: <https://community.icann.org/x/FTR-Ag>



# Privacy & Proxy Services Accreditation Issues Policy Development Process

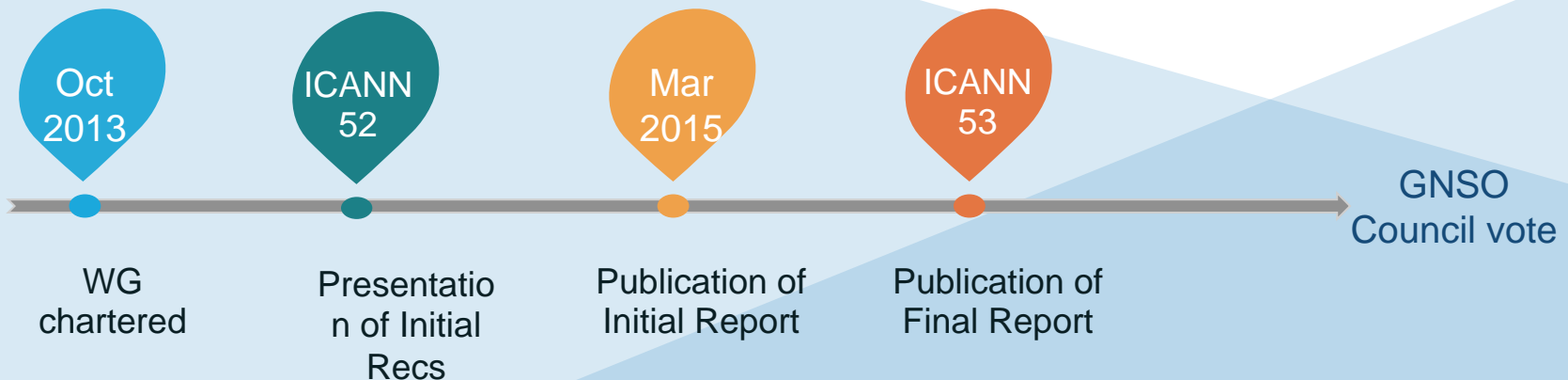
Mary Wong

# Charter Scope and Timeline

## WG chartered by the GNSO Council to develop:

*“policy recommendations regarding the issues identified during the 2013 RAA negotiations, including recommendations made by law enforcement and GNSO working groups, that were not addressed during the 2013 RAA negotiations and otherwise suited for a PDP; specifically, issues relating to the accreditation of Privacy & Proxy Services.”*

## Timeline



**Get involved**  
**Working Group is open to everyone!**

To join please contact [gns0-secs@icann.org](mailto:gns0-secs@icann.org) ; weekly calls are held Tuesdays 15:00 UTC

# Key (preliminary) Recommendations

1

## No distinction in principle between Privacy & Proxy Services

- ⊙ WHOIS fields to indicate when it is a P/P registration
- ⊙ P/P customer data to be validated/verified per 2013 RAA WHOIS Accuracy Specification
- ⊙ Certain mandatory provisions to be included in P/P customer agreements
- ⊙ ICANN to publish list of accredited P/P providers
- ⊙ Notification of customers/other providers in event of de-accreditation

2

## Abuse Reporting

- ⊙ Providers to have designated contact points and standardized reporting form to be developed
- ⊙ Development of requirements/list of malicious conduct to be reported

3

## Relay of Electronic Communications

- ⊙ All communications required by RAA or ICANN Consensus Policies must be forwarded
- ⊙ One of two options recommended for other electronic communications
- ⊙ Requestor to be notified if provider becomes aware of “persistent delivery failure”

## Reveal of Customer Identity/Contact Details

- ⊙ Uniform definitions recommended for “Disclose” and “Publish” (instead of “Reveal”)
- ⊙ Provider’s published Terms of Service to specify:
  - *When references are to “Disclosure” and when to “Publication”, and consequences*
  - *Grounds for suspension or termination of service*
  - *Whether customer will be notified of Disclosure/Publication requests, and if there is option to cancel service in lieu thereof*
  - *Whether requestors are notified of decisions regarding Disclosure/Publication requests*

4

# Issues for which the WG has not yet reached consensus

1

## Whether certain types of commercial activity associated with a domain name should be barred from using P/P services

- ⊙ WG agreed that status of a customer (e.g. commercial entity or non-commercial organization) should not determine availability of P/P services
- ⊙ There is both a majority and a minority view on use of P/P services by certain forms of commercial activity (“transactional” in nature) associated with a domain name

2

## Requirements for contactability and responsiveness

- ⊙ What should be minimum mandatory requirements for escalating relay requests if there is a persistent delivery failure?

### Escalation of Relay Requests

- ⊙ All communications required by RAA or ICANN Consensus Policies must be forwarded
- ⊙ One of two options recommended for other electronic communications
- ⊙ Requestor to be notified if provider becomes aware of “persistent delivery failure”

3

### Disclosure or Publication Requests including from Law Enforcement

- ⊙ Uniform minimum standards to determine when to Disclose or Publish, or in verifying a requestor’s identity?
- ⊙ Mandatory compliance with express LEA requests not to notify a customer?
- ⊙ Mandatory compliance with Disclosure requests for purposes of cease and desist letters or notices of formal legal proceedings?
- ⊙ Mandatory Publication for certain illegal activities? Remedies for unwarranted Publication?

4

# More Information

- ⦿ WG Open F2F Meeting – Wednesday 11 February from 9:30 – 10:45 local time in meeting room ‘Hullet’
- ⦿ Summary Page of WG Activities:  
<http://gnso.icann.org/en/group-activities/active/ppsa>
- ⦿ Draft WG Initial Report (as of 13 January 2015):  
<https://community.icann.org/download/attachments/51418798/DRAFT%20Initial%20Report%20-%202013%20Jan%202015.pdf?version=1&modificationDate=1421778283000&api=v2>
- ⦿ WG Wiki Space: <https://community.icann.org/x/FTR-Ag>





# Policy & Implementation WG: Initial Report

Marika Konings

# Why is it important?



## Background

Increased focus on which topics call for policy and which call for implementation work, including which processes should be used, at what time and how diverging opinions should be acted upon



## GNSO Initiates WG

GNSO Council formed Working Group in July 2013 to address a number of questions specifically related to policy and implementation in the context of the GNSO



## Broad input sought

Community discussion and input requested at an early stage



## Current Status

The WG has now published its Initial Recommendations Report for Community input

# Initial Report - Recommendations

**1**

The WG recommends adhering to the Policy & Implementation principles as outlined in section 4 when policy or implementation related issues arise in the implementation phase

**2**

The WG proposes three new standardized processes for GNSO deliberations, namely 1) a GNSO Input Process, 2) a GNSO Guidance Process, and 3) a GNSO Expedited Policy Development Process

**3**

The WG recommends requiring the creation of an Implementation Review Team (IRT) following the adoption of policy recommendations and adhering to the IRT principles as outlined in Annex H

# How to provide input?

- ⦿ Review the Initial Report -  
<http://gnso.icann.org/en/issues/policy-implementation/pi-wg-initial-recommendations-19jan15-en.pdf>
- ⦿ Provide your input through the survey -  
<https://www.surveymonkey.com/s/PI-InitialReport>
- ⦿ Provide your comments to the public comment forum -  
<https://www.icann.org/public-comments/policy-implementation-2015-01-19-en>
- ⦿ Use the template to organize your input for the public comment forum -  
<http://gnso.icann.org/en/issues/policy-implementation/pi-wg-initial-recommendations-input-tool-19jan15-en.doc>
- ⦿ Attend the WG Session in Singapore – Wednesday 11 February from 15:30 – 17:00 local time in meeting room ‘Hullet’

A world map where the continents are defined by a network of white dots connected by thin white lines. The background is a solid teal color. The text is overlaid on the map.

# Other GNSO Projects

Marika Konings

## IGO/ INGO

### **PDP: IGO-INGO Access to Curative Rights Protection Mechanisms**

**What it's about:** Whether to amend existing dispute resolution processes or develop new, separate process to address specific needs and concerns of IGOs and INGOs

**Status:** Working Group discussing the merits of either approach for just IGOs (not INGOs)

**Singapore Activity:** Working Group face-to-face meeting

**Milestones/Target Date:** Summer 2015 for initial PDP recommendations

## IRTP D

### **PDP: Inter-Registrar Transfer Policy Part D**

**What it's about:** As part of an overall review of this Consensus Policy, to consider various issues and options relating to transfer disputes

**Status:** GNSO Council has adopted 18 recommendations that have been forwarded to the ICANN Board for its consideration.

**Singapore Activity:** ICANN Board will consider and vote on 18 recommendations

**Milestones/Target Date:** ICANN Board vote on 12 February 2015

# GNSO PDPs and non-PDPs

DMPM

## Non-PDP: Data and Metrics for Policy Making

**What it's about:** Establishing a framework to inform fact-based policy development

**Status:** Working Group is working on data request guidelines, templates to support the framework, and beginning to draft preliminary recommendations

**Singapore Activity:** n/a

**Milestones/Target Date:** Initial Report published in Q2 2015

DG new  
gTLD

## Discussion Group: New gTLD Subsequent Procedures

**What it's about:** Reviewing first round of the new gTLD program, which may result in a list of recommended subjects for a future GNSO issue report(s) and implementation guidance.

**Status:** The Discussion Group compiled a list of issues and is working to recommend a mechanism to resolve each set of issues


**Singapore Activity:** N/A

**Milestones/Target Date:** Report to GNSO Council in April 2015

**For the GNSO @ ICANN52 – the Essentials, please visit**

**<http://www.gnsso.icann.org/en/icannmeeting>**



A world map where the continents are defined by a network of white nodes and connecting lines, set against a dark blue background. The nodes are of varying sizes and are densely packed in some areas, particularly in North America and Europe, and more sparse in others. The lines represent connections between these nodes, creating a complex web that outlines the geographical shapes of the continents.

Country Code Names  
Supporting Organization  
Bart Boswinkel

- ⦿ Framework of Interpretation WG
- ⦿ IANA Stewardship & Accountability Processes
- ⦿ Miscellaneous topics

## What will happen in Singapore?

- ⦿ Seek support on Framework of Interpretation and Recommendations from GAC
- ⦿ Submitted to GAC before the LA meeting
- ⦿ On the ccNSO – GAC agenda

# Current State of Play

- ⦿ Framework of Interpretation WG concluded its work before LA meeting (September 2014)
- ⦿ ccNSO Council adopted FOI and recommendations conditionally at its meeting in LA
- ⦿ FOI and recommendations ccTLD specific element in discussion CWG Stewardship and CCWG accountability

# Framework of Interpretation - What is it?

- ⦿ Provide color and depth to current policy to increase predictability and transparency
  - No new policy
- ⦿ Out of scope:
  - IANA Functions Contract
  - Applicable law, which remains paramount
- ⦿ Basic policy document: RFC 1591
- ⦿ Focus is on delegation and Re-delegation topics:
  - Consent
  - Significantly Interested Parties ( aka LIC)
  - Revocation and transfer (unconsented re-delegations)

# IANA Stewardship & Accountability

- ⊙ Highest priority in ccTLD community (members & non-ccNSO members)
- ⊙ 2 Major sessions at ccNSO meeting
  - Explaining the processes
  - Panel discussion on substance to solicit view of ccTLDs present
- ⊙ ccTLD community engaged in:
  - ICG
  - CCWG IANA Stewardship Transition
  - Accountability Process
- ⊙ Creation of ccNSO committee to coordinate ccTLD efforts (chair Byron Holland)

# ccNSO Meeting Sessions

- ◎ ccNSO ( Council and community) discussion of decision- making process:
  - Proposal from CWG Stewardship
  - WS 1 Recommendations of CCWG Accountability
- ◎ ccTLD community discussions on:
- ◎ Current state of affairs CWG Stewardship
  - Tuesday 9 February
- ◎ Substantive elements of CWG Accountability
  - Wednesday 10 February

# Miscellaneous Topics

- ⦿ Annual Council workshop
  - Roles and responsibilities
  - Outreach and engagement of ccTLD community
- ⦿ Presentation of incident response email list for ccTLD community
- ⦿ Discussion of ccNSO Strategic and Operational Planning WG input on Five year operational Plan
- ⦿ Tech day: open for all with operational and technical interest
- ⦿ Discussion of various topics relevant for ccTLDs at ccNSO meeting days Tuesday AND Wednesday



- ◎ ccNSO meeting agenda ( including Tech Day):  
<http://ccnso.icann.org/meetings/singapore52/agenda.htm>
- ◎ ccNSO FOI WG Final Report and related information:  
<http://ccnso.icann.org/workinggroups/foiwg.htm>
- ◎ ccNSO SOP WG  
<http://ccnso.icann.org/workinggroups/sopiwg.htm>



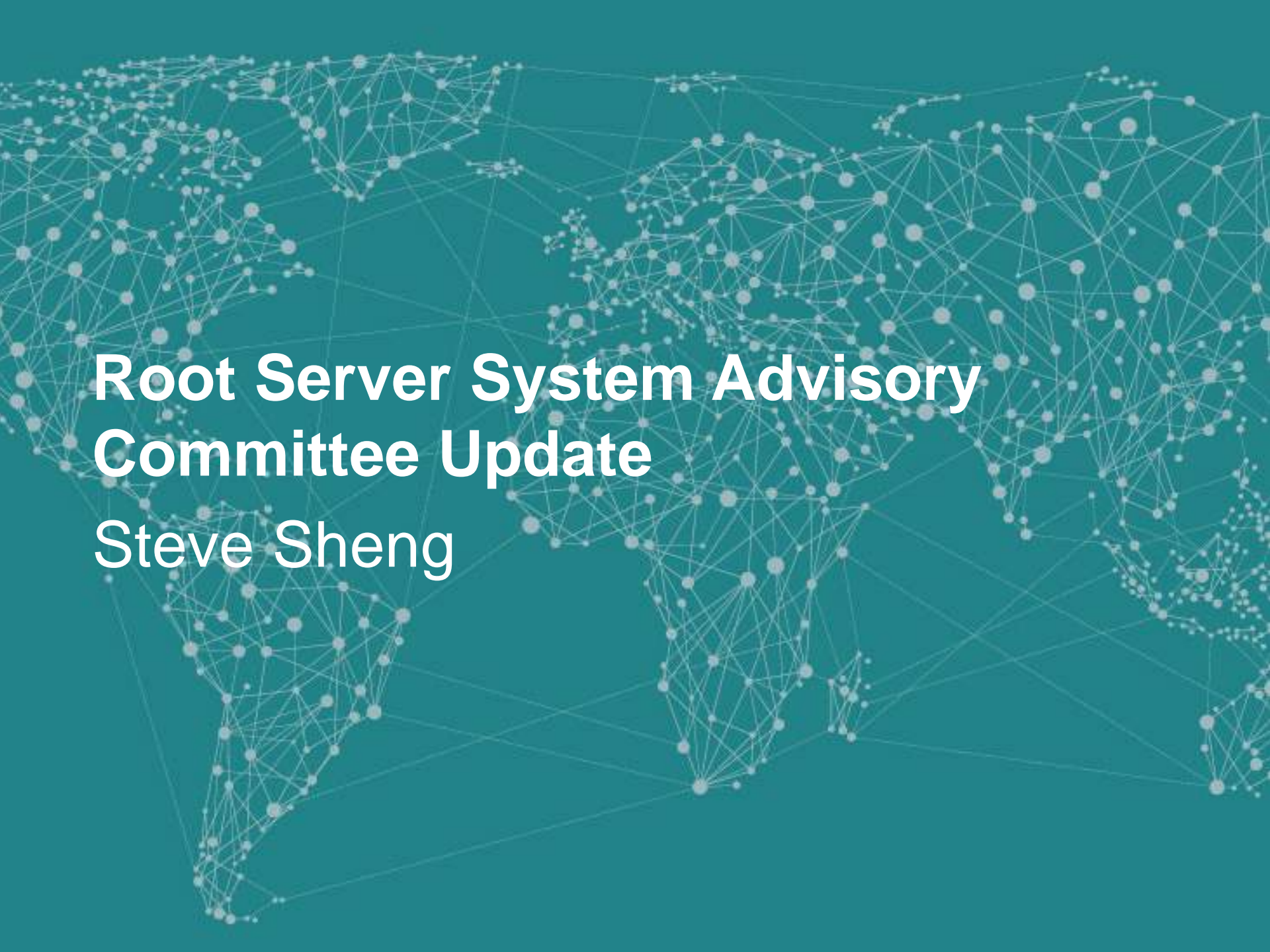
**Address Supporting Organization  
and Regional Internet Registries**  
Barbara Roseman

# RIR Policy Discussions and Achievements

- ⊙ RIR meetings in all regions
  - 50 policy proposals made in total
  - 19 concluded with consensus and being implemented by the respective RIR
- ⊙ AFRINIC
  - New WHOIS implementation
- ⊙ AFRINIC and LACNIC
  - Manage remaining resources and support IPv6 deployment
- ⊙ APNIC
  - Improve processes
- ⊙ ARIN
  - Prepare for IPv4 depletion and improve Number Resource Policy Manual
- ⊙ RIPE NCC
  - New management interface for Resource Certification (RPKI)
  - Reduced workload and facilitating transfers

# CRISP and the RIR Community Proposal

- ⦿ Each RIR community discussed the IANA stewardship in regional forums and mailing lists
- ⦿ The RIRs formed the Consolidated RIR IANA Stewardship Proposal (CRISP) Team to produce a single Internet numbers community response to the ICG request for proposals
- ⦿ A mailing list, [ianaxfer@nro.net](mailto:ianaxfer@nro.net) was established to support comments and feedback from the RIR communities throughout the CRISP Team process
- ⦿ The final response was submitted to the ICG on 15 January: <http://www.nro.net/crisp-proposal-second-draft>

A world map where the continents are defined by a network of white nodes and connecting lines, set against a teal background. The nodes are of varying sizes and are densely packed in some areas, particularly in North America and Europe, and more sparse in others. The lines represent connections between these nodes, creating a complex web that outlines the geographical shapes of the continents.

# Root Server System Advisory Committee Update

Steve Sheng

# Structure and Overview

## RSSAC

- ⊙ 12 Appointed Root Server Operator Representatives
- ⊙ 3 Root Zone Management Partner Representatives
- ⊙ 3 Liaisons
- ⊙ Co-Chairs
  - Lars-Johan Liman, Netnod/RSO I-root (Sweden)
  - Tripti Sinha, University of Maryland/RSO D-root (USA)
- ⊙ Meets monthly via teleconference and in person at ICANN public meetings

## Caucus

- ⊙ 53 Root Server System Experts
- ⊙ New Work Party on Root Zone TTLs
- ⊙ Recently published RSSAC001 and RSSAC002



# RSSAC001: Recommendations on Service Expectations of Root Servers

- ◉ Describes best practice service to be provided by root servers
- ◉ Defines operational expectations that users might reasonably anticipate from root servers
- ◉ Together with an upcoming IAB RFC, replaces early direction on implementation (RFC 2870) with a set of service expectations that root server operators must satisfy

# RSSAC002: Advisory on Measurements of Root Server System

- ⦿ Identifies and recommends an initial set of measurement parameters for establishing a baseline and trends for the root server system
- ⦿ Implementation of the advisory will form an early warning system that will assist in detecting and mitigating any effects associated with growing size of the DNS root zone





**Security and Stability  
Advisory Committee Update**  
Julie Hedlund and Steve Sheng

# SAC069: Maintaining the Security and Stability of the IANA Functions Through the Stewardship Transition

- ⦿ Complements SAC067, “*Overview and History of the IANA Functions,*” and SAC068, “*Report on the IANA Functions Contract.*”
- ⦿ Describes the way in which NTIA currently contributes to the security, stability and resiliency of the IANA Function Activities
- ⦿ Presents questions and issues that must be addressed by the Internet community in order to preserve the security, stability, and resiliency of the IANA Functions activities through (and beyond) the transition.
- ⦿ Makes recommendations to each of the questions and issues raised.

# SAC069 Recommendations – Root Zone Management

- ⦿ Any proposal to replace NTIA's final authorization of root zone changes with an alternative be at least as reliable, resilient, and efficient as the current process.
- ⦿ Effective arrangements should be made for the reliable and timely performance of all aspects of the root zone management process post-transition, including inter-organization coordination if the post-transition RZM process involves more than one root zone management partner.
- ⦿ NTIA should clarify the processes and legal framework associated with the role of the Root Zone Maintainer after transition.

# SAC069 Recommendations – operational communities

Each of the operational communities should:

- ⦿ Determine whether or not the requirements and deliverables defined in the IANA Functions Contract should be retained, and if so which ones; whether or not additional external controls are necessary for requirements that should be retained; and if additional external controls are necessary, how and by whom they should be administered.
- ⦿ Determine whether or not existing mechanisms outside of the IANA Functions Contract are sufficiently robust to hold the IANA Functions Operator accountable to the affected communities for the proper performance of the IANA Functions after the IANA Functions Contract expires; and if they are not, the communities should determine what additional accountability mechanisms will be needed.

# SAC069 Recommendations – operational communities

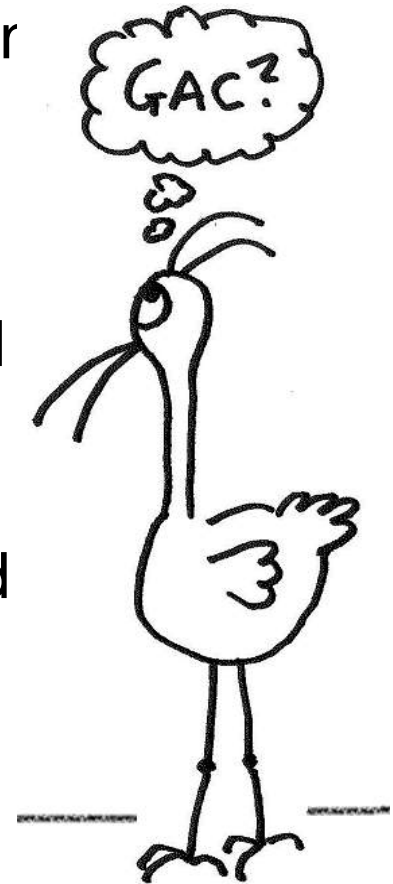
- ⦿ Review and (if necessary) enhance its policy development process to ensure that all of the instructions that it provides to the IANA Functions Operator are clear and implementable.
- ⦿ Investigate and clarify the process for handling the possibility of governmental sanctions and restrictions (e.g., the protocol for obtaining OFAC licenses where US sanctions might interfere with the ability to execute proper instructions to IANA) following the stewardship transition.
- ⦿ Consider the extent to which the importance of transparency and freedom from improper influence in the performance of the IANA Functions might require additional mechanisms or other safeguards.

A world map where the continents are defined by a network of white dots connected by thin white lines. The background is a solid orange color. The text is overlaid on the left side of the map.

# **GAC Update**

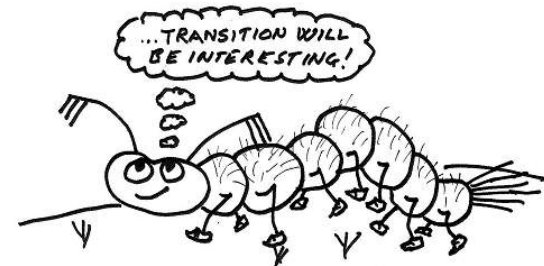
## Olof Nordling

- ◎ The Governmental Advisory Committee (GAC) currently has 148 governments as members and 32 IGOs as observers
- ◎ The GAC meets face-to-face at ICANN meetings, with inter-session work conducted remotely
- ◎ The GAC provides advice to the ICANN Board on public policy matters



# Policy-Related Activities

- ⦿ IANA Stewardship Transition and ICANN Accountability
- ⦿ Framework of Interpretation for ccTLDs
- ⦿ Human Rights & International Law
- ⦿ Remaining issues with the New gTLD Program:
  - Protection of IGO acronyms, Red Cross/Red Crescent national names
  - Implementation of GAC Safeguard Advice
  - Country names on the second level
- ⦿ Session for the community:
  - Geographic Names (GAC WG draft for future rounds – and community input received)
- ⦿ Meetings with GNSO, ccNSO, NomCom and the Board





# Other Activities

- ⦿ GAC-related ATRT2 Recommendations addressed by
  - BGRI-WG
  - WG on Working Methods
  - GES WG in cooperation with GSE
  - GNSO-GAC CG for early GAC engagement in GNSO PDPs
- ⦿ Review of GAC Operating Principles
- ⦿ Update on Compliance, briefing on DNS market
- ⦿ The GAC has a full agenda in Singapore, Saturday - Thursday
- ⦿ All sessions are open, except for the communiqué drafting session Wednesday afternoon.
- ⦿ **Welcome to the GAC meeting room: Collyer!**



A world map where the continents are defined by a network of white dots connected by thin white lines, set against a solid teal background. The dots vary in size and are densely packed in some areas, creating a complex, interconnected web that outlines the major landmasses.

# **ALAC/At-Large Activities**

Heidi Ullrich / Ariel Liang /  
Silvia Vivanco

# ALAC Hot Topics in Singapore

## ⦿ NTIA IANA Functions' Stewardship Transition

- Weekly calls of the [At-Large Ad-hoc WG on the Transition of US Government Stewardship of the IANA Function](#)
- The ALAC position has been developed through a bottom up process
- The ALAC favors an inside ICANN solution rather than creating a Contract Co.
- Topic will be discussed in ALAC Meetings on Sunday and Tuesday; At-Large Ad-hoc WG on the Transition of US Government Stewardship of the IANA Function will meet on Tuesday, 10<sup>th</sup>. Feb. between 17:30 and 19:00 in the VIP Room

## ⦿ **ICANN Accountability and Transparency**

- The ALAC position is to ensure strong accountability and multi-stakeholder involvement in all ICANN decisions.
- Topic will be discussed in ALAC Meetings on Sunday and Tuesday.



# ALAC Policy Advice Development Activities



## Overview

The new ALAC Chair, **Alan Greenberg**, is overseeing the ALAC policy advice development activities; submitted **5 Policy Advice Statements** since the end of ICANN 51



## Public Interest Commitments

**Called for a freeze** on the most sensitive Category 1 TLDs in ICANN 51; submitted a **follow-up Statement** to the ICANN Board; **rallied support** across constituencies; met with **Board New gTLD Process Committee**



## IANA Functions' Stewardship Transition

Championed an internal-to-ICANN proposal on the Naming related transition; held **13 teleconferences** for the At-Large IANA Issues Working Group; organized **3 capacity building webinars** for the At-Large community



## ICANN Accountability

ALAC member **León Sanchez** is working as the **Co-Chair** of the Enhancing ICANN Accountability Cross Community Working Group

To review ALAC Policy Advice Statements, please visit <http://atlarge.icann.org/correspondence>

# ALAC and At-Large Activities in Singapore

- ⦿ ALAC/At-Large will hold 22 Sessions
- ⦿ For their meeting with the ICANN Board of Directors, topics to be discussed include their hot topics of [NTIA IANA Functions' Stewardship Transition](#) and ICANN Accountability and Transparency issues as well as progress on the implementation of the recommendations from the second At-Large Summit
- ⦿ Meetings will be held with the ccNSO, GAC as well as the NomCom and SSAC leadership
- ⦿ 6 At-Large Working Groups will be meeting face-to-face to facilitate progress in both policy and process activities



# RALO Activities in Singapore

- ⦿ **AFRALO** will hold the **AFRALO-AFRICANN** Joint meeting on the theme “ICANN Accountability” on **Wednesday 11<sup>th</sup>. Time: 14:00-15:30**
- ⦿ **APRALO** will hold its monthly meeting on **Tuesday, 10<sup>th</sup>. Time: 13:30-14:30**
- ⦿ **NARALO** will hold its monthly meeting on **Thursday, 12<sup>th</sup>. Time: 10:00-11:00**
- ⦿ **RALO Chairs Meeting and ALAC Leadership Team Working Session** on **Wednesday 11<sup>th</sup>. Time: 07:00-8:15**
- ⦿ **RALO Secretariats** meeting on **Wednesday 11<sup>th</sup>. Time: 12:00-13:30**
- ⦿ See full Agendas : <https://community.icann.org/x/HSjxAg>

# APRALO SHOWCASE 2015 ! Celebrating Diversity



Save the date! Wednesday, 11<sup>th</sup> February 2015. Time: 18:30-21:00  
Meeting Room: VIP & Stamford Ballroom Foyer.

- ◎ ICANN President and CEO Fadi Chehadé, ICANN's Board Chairman Steve Crocker and APRALO's Chair Siranush Vardanyan, among others, will speak at the event.
- ◎ A very special music and dance "Dikir Barat" performance by students from the Malaysian school "Sekolah Menengah Sains Kubang Pasu".
- ◎ A Chinese Calligraphy Demonstration by Brush&Ink!
- ◎ Light Refreshments will be served.
- ◎ Full Program is posted at:  
<http://www.atlarge.icann.org/apralo/singapore52-outreach.htm>

Please join us to see APRALO's cultural diversity and celebrate the achievements of the At -Large community!

**Press \*1** to join the queue  
and ask the  
Policy Development Support  
Team  
your questions.



# Questions Received

- ⦿ **After the US refused to relinquish control of Internet after a proposal that was submitted, what are the next steps?**

*For more information regarding the NTIA IANA Functions' Transition & Enhancing ICANN Accountability Processes please refer to this website:*

<https://www.icann.org/stewardship-accountability>

- ⦿ **Could you provide a short information / update about all WHOIS related policy discussions and Working Groups?**

*The Governmental Advisory Committee (GAC) recently asked the same question and in response ICANN Staff prepared the overview that can be found in Annex 1 of the following document which provides both a detailed as well as a high level overview of all WHOIS related activities:*

<https://www.icann.org/en/system/files/correspondence/crocker-to-schneider-22jan15-en.pdf>

- ⦿ **How can we balance the domain name industry? Is it possible to publicly open the domain auction results?**

*For more information about this please refer to the Contractual Compliance and the New gTLDs Program Auctions websites:*

- <https://www.icann.org/en/resources/compliance>
- <http://newgtlds.icann.org/en/applicants/auctions>

# How to Stay Updated



Contact us at: [policy-staff@icann.org](mailto:policy-staff@icann.org)

Follow us on Twitter:

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@ICANN\_GNSO

@ccNSO

@ICANN\_AtLarge

⦿ **Subscribe to the monthly Policy Update:**

<https://www.icann.org/resources/pages/signup-2012-02-25-en> available in Arabic, Chinese, English, French, Russian and Spanish

⦿ **GNSO Newcomers Webinar Series**

These community-led sessions are for new GNSO Working Group participants to come together and discuss any questions they may have about GNSO Working Groups, procedures and/or processes in an informal setting.

**Next session: Thursday, 12 March 2015, 12:00 UTC**

Please contact [nathalie.peregrine@icann.org](mailto:nathalie.peregrine@icann.org) for more details

# Policy Development Support Staff

## Global Mission

27 FTE Staff

9 Countries

5 Time Zones

12 Languages  
(4 UN)

Subject Experts/  
Secretariat Support



**“Support...  
bottom-up, consensus  
policies and guidelines”**

# ICANN Policy Development Support Team

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**Heidi Ullrich**

Senior Director, At-Large (USA)

**Silvia Vivanco**

Manager, At-Large Regional Affairs (USA)

**Mary Wong**

Senior Policy Director (USA)

# Thank you!

Pre-ICANN 52 Policy Update Webinar Wiki Space:

<https://community.icann.org/x/EpcQAw>

*For this webinar's recordings, transcripts, slides and more information in preparation for ICANN 52.*