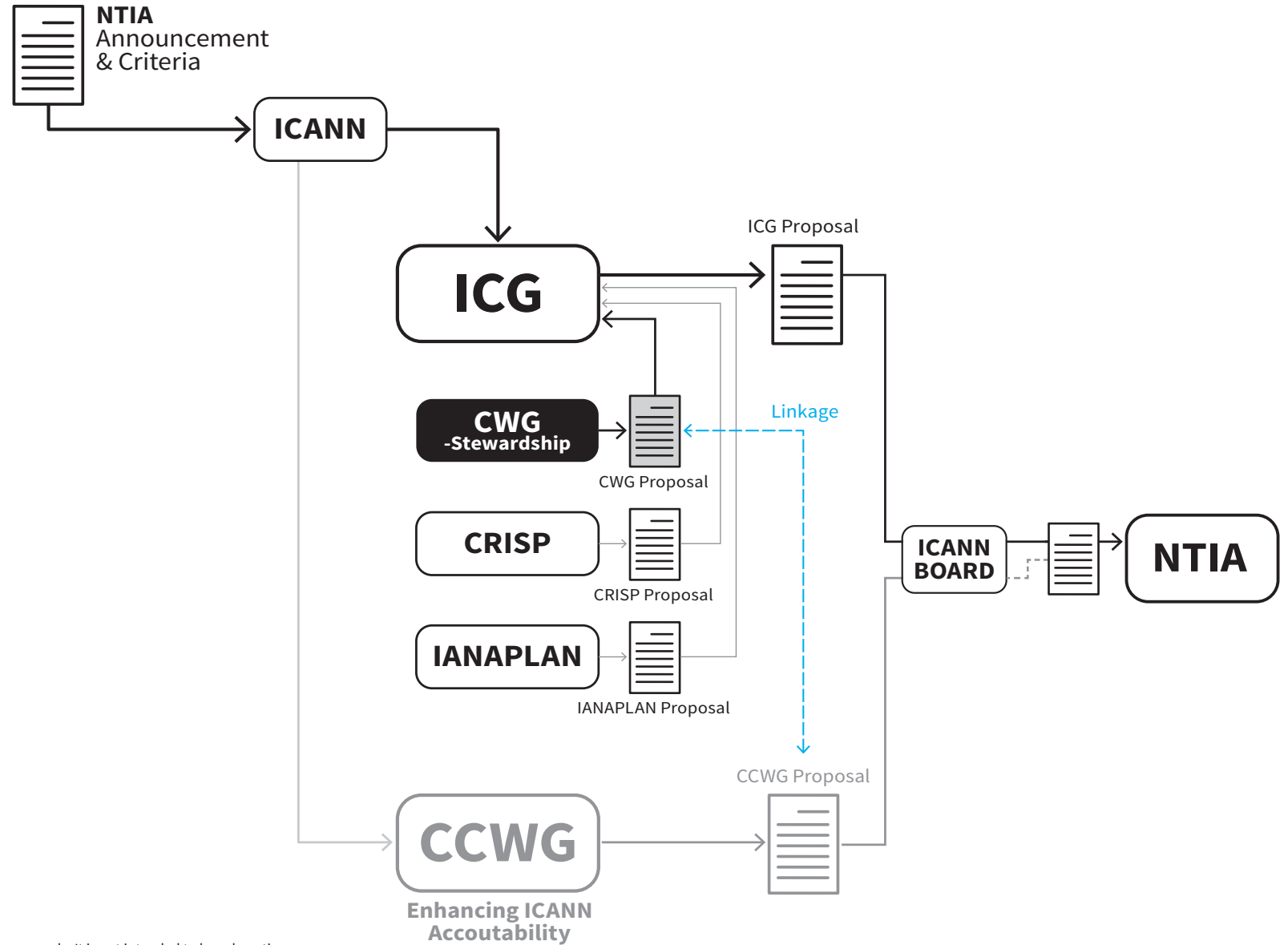# CWG-Stewardship

Cross Community Working Group to Develop an IANA Stewardship Transition Proposal on Naming Related Functions

Towards a Final Proposal

April 23, 2015 • v3.0

CWG-Stewardship: **Part of a Process**

Note: the information on this page is for illustrative purposes only. It is not intended to be exhaustive.

CWG-Stewardship: **Goal & Scope**

The Goal of CWG-Stewardship

Produce a consolidated transition proposal for the elements of the IANA Functions relating to the Domain Name System.

The proposal must meet the needs of:
- The naming community in general
- All of the CWG-Stewardships' chartering organizations (ccNSO, SSAC, GNSO, ALAC, GAC)
- Direct consumers of IANA naming services including gTLDs and ccTLDs

The Scope of CWG-Stewardship

Based on a summary and description of the IANA Functions drawn from the NTIA's statement of work for that IANA Contract, IANA Functions Operator performs 11 functions.

The CWG-Sterwardship is focused on 2-9 and 11

(but may also comment on 1 or 10).

1. Coordinates the assignment of technical protocol parameters including the management of the Address and Routing Parameter Area (ARPA) TLD;
2. Performs administrative functions associated with root zone management;
3. Manages root zone file change requests;
4. Manages "WHOIS" change requests and the WHOIS database;
5. Implements changes in the assignment of Country Code Top Level-Domains (ccTLDs) in accordance with established policy;
6. Implements decisions related to the delegation and redelegation of Generic Top Level Domain (gTLD) in accordance with ICANN policy;
7. Undertakes projects to increase root zone automation;
8. Manages Root Domain Name System Security Extensions (DNSSEC) keys;
9. Provides a Customer Service Complaint Resolution Process (CSCRP);
10. Allocates Internet numbering resources; and
11. Performs other services (operate the .INT TLD, implement modifications in performance of the IANA
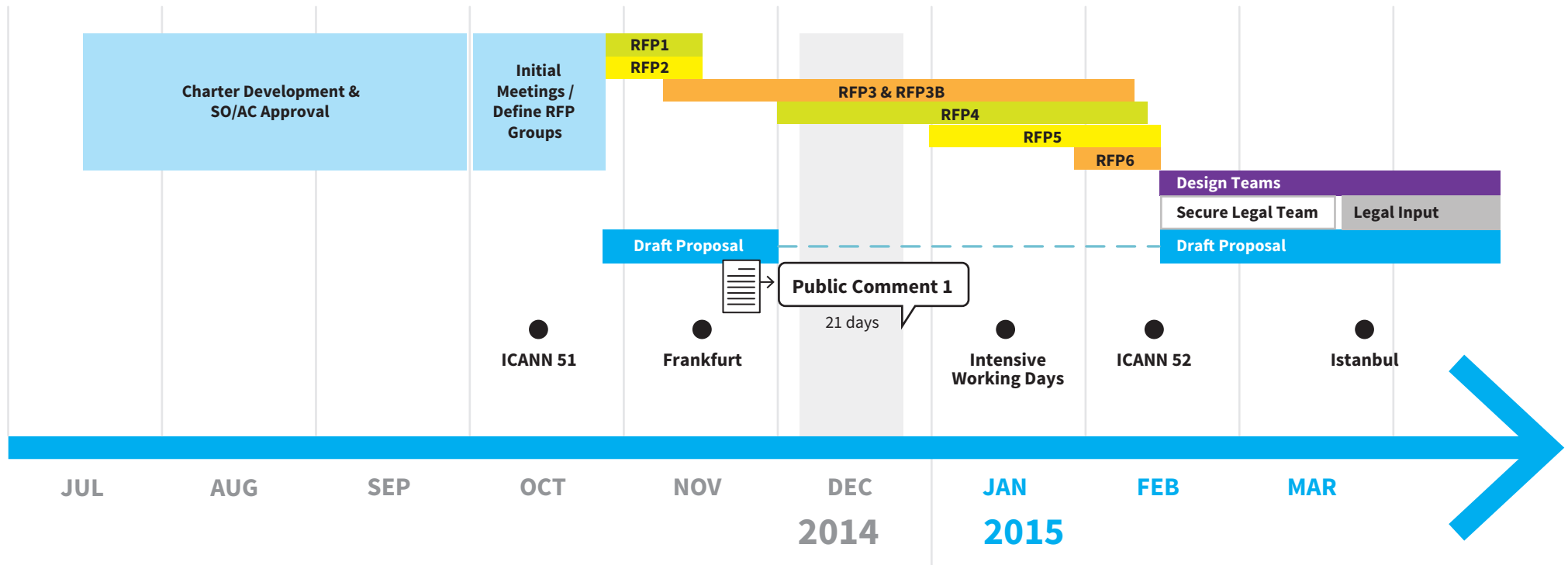
CWG-Stewardship: **Proposal Development Overview**
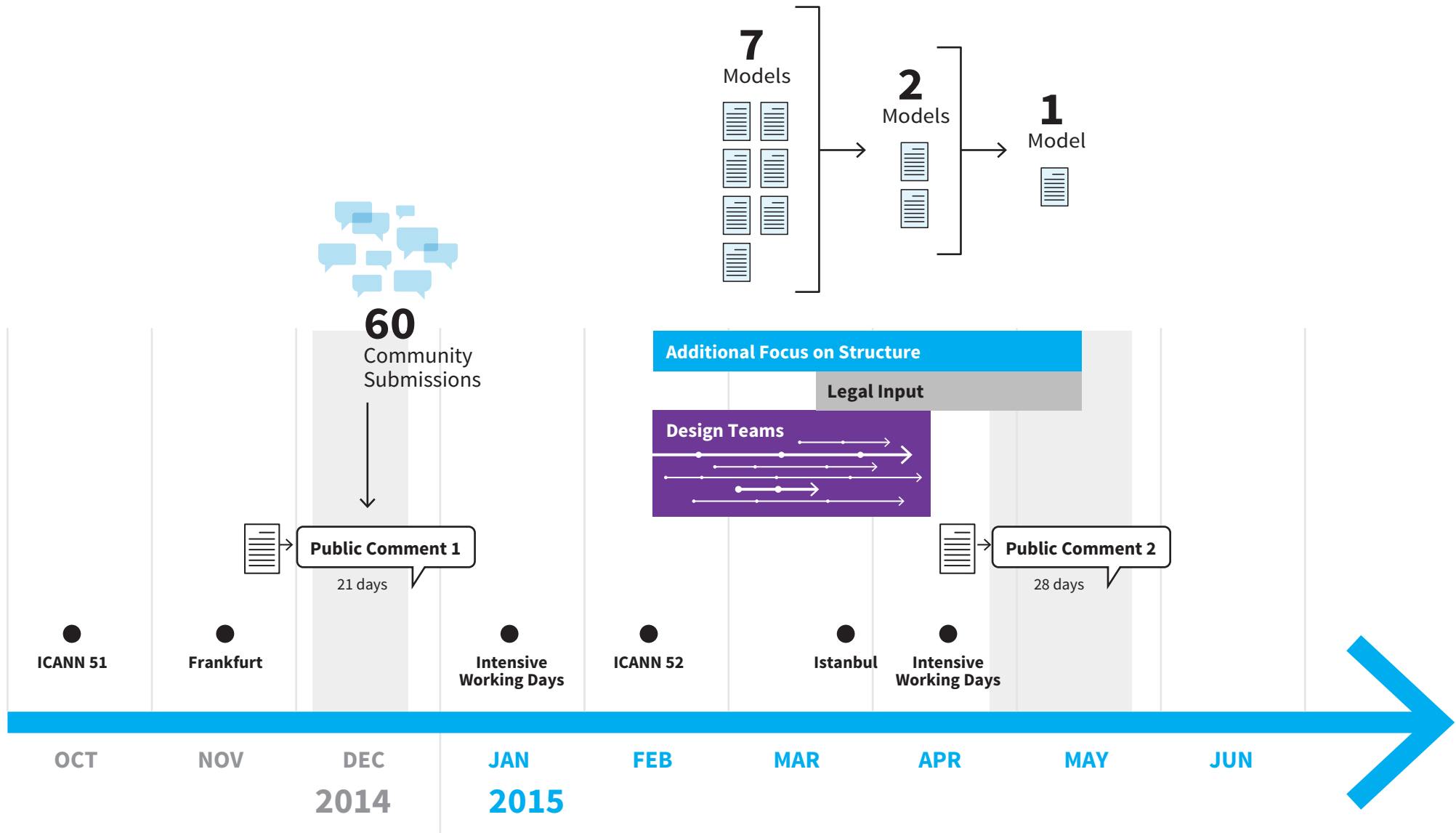
7 Models

2 Models

1 Model

60 Community Submissions

Additional Focus on Structure

Legal Input

Design Teams

Public Comment 1

21 days

Public Comment 2

28 days

ICANN 51

Frankfurt

Intensive Working Days

ICANN 52

Istanbul

Intensive Working Days

OCT   NOV   DEC   JAN   FEB   MAR   APR   MAY   JUN

2014   **2015**

CWG-Stewardship: **Linkage & Coordination with the CCWG-Accountability**

High Level Overview of the IANA Stewardship Transition and Enhancing ICANN Accountability Processes
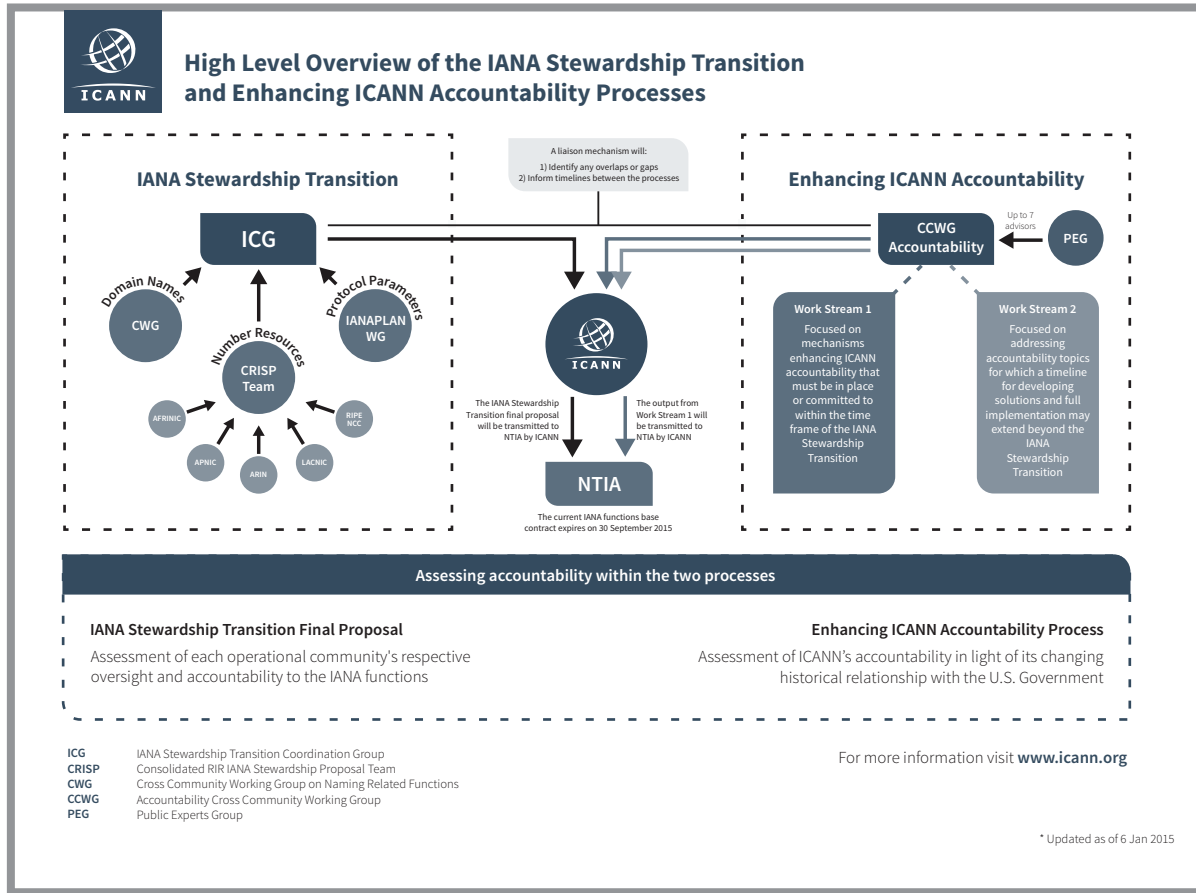
The Chairs recognize that continued and close engagement with the CCWG-Accountability is essential to the elaboration of a complete proposal, since aspects of the CWG-Stewardship proposal will be conditional on the output of the CCWG-Accountability.

What follows is a summary of the CWG-Stewardship's input, which was formally submitted to the CCWG-Accountability in a letter on 15 April, available here: **https://community.icann.org/x/DiknAw**.

Download full graphic here: **https://www.icann.org/en/system/files/files/iana-stewardship-accountability-07jan15-en.pdf**

CWG-Stewardship: **Linkage & Coordination with the CCWG-Accountability**

ICANN Budget

(in particular, relating to a confidence vote option)

The CWG-Stewardship supports the CCWG's proposal(s) for a budget veto tool, where the community has the option to reject an ICANN budget and send it back for further consideration and revision;

The CWG-Stewardship recommends as a part of its transition plan:

1. The IANA Function's comprehensive costs should be transparent for any future state of the IANA Function

2. Future FY ICANN Operating Plans & Budgets (including FY16 if possible) include at a minimum itemization of all IANA operations costs down to the project level and below as neededto the project level and below as needed

Community Empowerment Mechanisms

(in particular, relating to a confidence vote option)

The CWG-Stewardship will be relying on the community empowerment and accountability mechanisms that the CCWG-Accountability is currently considering and developing being in place at the time of the stewardship transition.

- The ability to review ICANN Board decisions relating to periodic or special reviews of the IANA Function

- The ability to approve or reject board decisions on the IANA Function Review as well as the related creation of a stakeholder community/ member group

Review and Redress Mechanisms

(in particular, relating to a 'fundamental bylaw' mechanism)

The CWG-Stewardship recommends regular reviews of the IANA Function take place.

- Reviews should happen every 5 years, and should operate in a manner analogous to an AoC review

- Reviews should be incorporated into ICANN's bylaw as part of a 'fundamental bylaw'

- A special review may be initiated following the CSC raising concerns with the GNSO and/or the ccNSO or by concerns raised by TLDs directly with the ccNSO or the GNSO

Appeal Mechanisms

(especially with regard to ccTLD related issues)

The CWG-Stewardship notes that ccTLDs may decide to develop their own appeals mechanism regarding re/delegation at a later date (post-transition), as such the CWG-Stewardship recommends:

- The CCWG-Accountability should not develop appeal mechanism(s) related to ccTLD delegation/ re-delegation issues

- The CCWG-Accountability should develop appeal mechanism(s) related to any other issues that may involve the IANA Function(s)

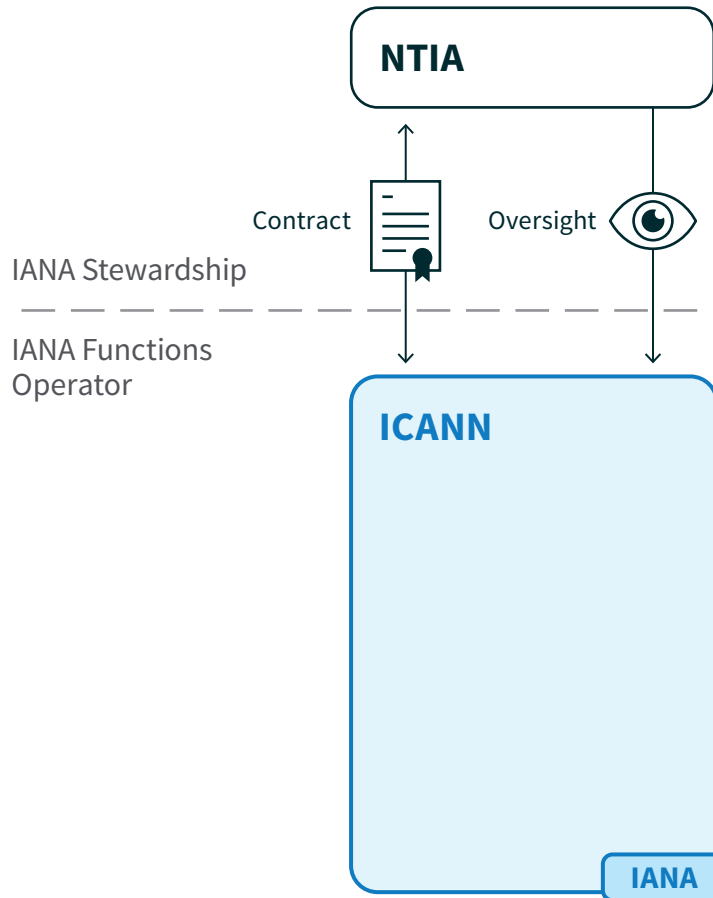# CWG-Stewardship: **Design Teams Status • End of High Intensity Meetings** **14 APR**

		Priority	Status	Proposed Status	Links/Dependencies	Deadline
A	IANA Service Level Expectations	1	In Progress	Prov. Complete	C, M, N / D	17 April
B	Delegations / Redelegations Appeal	1	Submitted*	Complete		10 April
C	Customer Standing Committee	1	Submitted*	Prov. Complete	J, H, N / A, D, M	10 April
D	Authorization Function	1	Complete	Complete	F	_
E	SAC 69	1	Complete	Complete	Red Team	_
F	Relationships NTIA/IANA/Root Zone Maintainer	1	In Progress	Prov. Complete	D	17 April
G	IANA Intellectual Property Rights	2	To Be Started	Not Needed/ Covered Elsewhere		_
H	.INT Operations	2	To Be Started	Not Needed/ Covered Elsewhere		_
I	Competition policy and Conflicts of Interest	2	To Be Started	Not Needed/ Covered Elsewhere		_
J	CSC/MRT confidentiality and conflicts of interest	2	To Be Started	Not Needed/ Covered Elsewhere		_
K	OFAC Licensing	2	To Be Started	Not Needed/ Covered Elsewhere		_
L	Framework for Transition to Successor IANA Operator	1	Submitted*	Complete		10 April
M	Escalation Mechanisms	1	Submitted*	Prov. Complete	A, C, N	10 April
N	Periodic Review of the IANA Functions	1	Submitted*	Prov. Complete	A, C, M	10 April
O	IANA Budget [NEW!]	_	Submitted*	Complete		10 April
●	Red Team	_	To Be Started	Not Needed/ Covered Elsewhere		10 April

*Proposed content was submitted for review during high intensity meetings on 13-14 April

CWG-Stewardship: **Internal Accountability Model**

Current Contract

Post Transition

NTIA

Contract

Oversight

IANA Stewardship

IANA Functions Operator

ICANN

IANA

Accountability Mechanisms from the CCWG-Accountability

ICANN   Board

Contract

Legal Separation

IFR⁴
IANA Function Review

Post Transition IANA (PTI)   Board

CSC⁴
Customer Standing Committee

Inital Service Issues or Complaints

Secondary Service Issues or Complaints

Customers
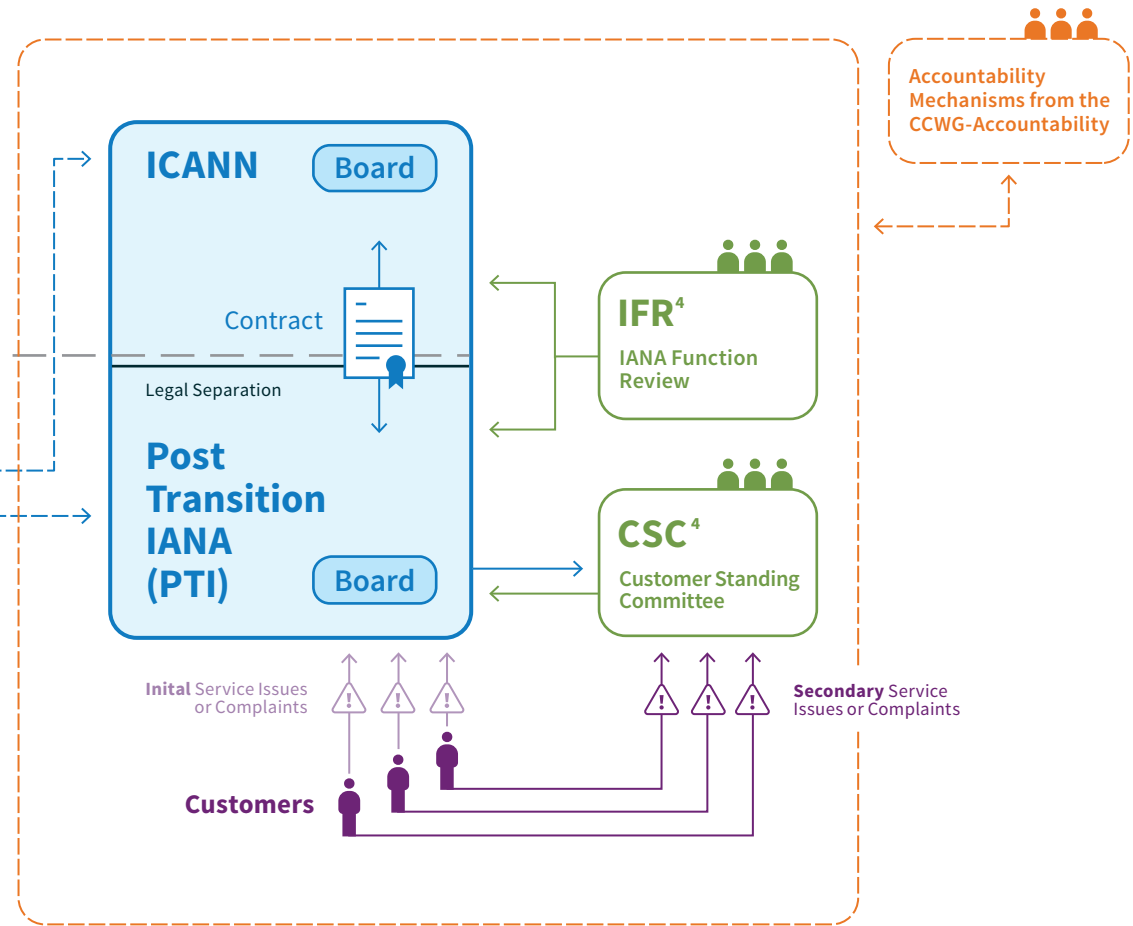
¹ The ultimate accountability mechanism is dependent on the work of the CCWG-Accountability.

⁴ Group, But Not Necessarily a Legal Entity

CWG-Stewardship: **Our Timeline • The Road Ahead**

Note: the information on this page is for illustrative purposes only. It is not intended to be exhaustive.

24 April
Webinar
Briefing Days

Review Public Comments /
Continue Proposal Development

22 April
Start of
Public
Comment
for 30 days

8 June
Deliver
Names
Proposal
to
SOs/ACs

25 June
Deliver
Names Proposal
to ICG

Design Teams

Legal Input

Draft Proposal

Public Comment 2
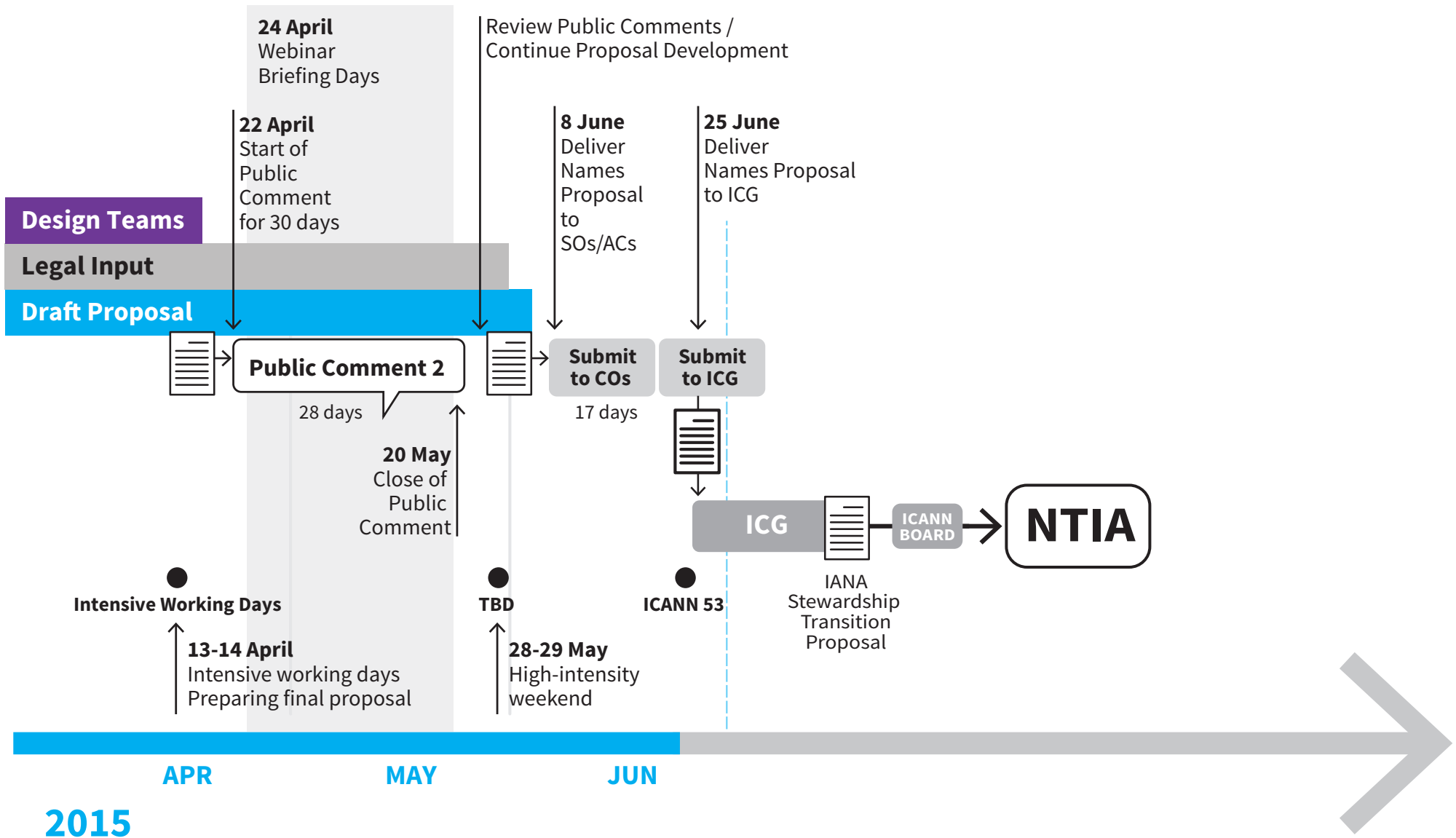
28 days

Submit to COs

17 days

Submit to ICG

20 May
Close of
Public
Comment

ICG

ICANN
BOARD

NTIA

IANA
Stewardship
Transition
Proposal

Intensive Working Days

TBD

ICANN 53

13-14 April
Intensive working days
Preparing final proposal

28-29 May
High-intensity
weekend

APR   MAY   JUN

2015

CWG-Stewardship: **Design Teams Status • Pre-Istanbul Meeting**

April 23, 2015 • v3.0

	Priority	Status	Dependencies	Links
A IANA Service Level Expectations	1 (Final)	Submitted*	Dependent on **[D]**	Links with **[C] [M] [N]**
B Delegations / Redelegations Appeal	1 (Final)	In Progress		
C Customer Standing Committee	1 (Final)	Submitted*	Needs input from **[A]** Dependent on **[D] [M]**	Links with **[J] [H] [N]**
D Authorization Function	1	Submitted*		
E SAC 69	_	Submitted*	Needs input from **[Red Team]** Dependent on **[A] [D]**	
F Relationships NTIA/IANA/Root Zone Maintainer	1 (Provisional)	_		
G IANA Intellectual Property Rights	2 (Provisional)	To Be Started		
H .INT Operations	2 (Provisional)	To Be Started		
I Competition policy and Conflicts of Interest	2 (Provisional)	To Be Started		
J CSC/MRT confidentiality and conflicts of interest	2 (Provisional)	To Be Started		Links with **[C]**
K OFAC Licensing	2	To Be Started		
L IANA Function Separation Mechanism	2	Submitted*		
M Escalation Mechanisms	1 (Provisional)	Submitted*		Links with **[A] [C]**
N Periodic Review of the IANA Functions	1 (Provisional)	In Progress		Links with **[A] [C]**
- _	_	_		
- _	_	_		
● Red Team	_	To Be Started		Links with **[E]**

*Proposed content was submitted for review in Istanbul

CWG-Stewardship: **Design Teams Status • Post-Istanbul Meeting**

2 APR

April 23, 2015 • v3.0

		Priority	Status	Proposed Status	Links/Dependencies	Deadline
A	IANA Service Level Expectations	1	In Progress	Prov. Complete	C, M, N / D	10 April
B	Delegations / Redelegations Appeal	1	In Progress	In Progress		10 April
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D	Authorization Function	1	Submitted*	Complete	F	_
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K	OFAC Licensing	2	To Be Started	To Be Started		_
L	IANA Function Separation Mechanism	1	In Progress	In Progress		10 April
M	Escalation Mechanisms	1	Submitted*	In Progress	A, C	10 April
N	Periodic Review of the IANA Functions	1	In Progress	In Progress	A, C	10 April
O	IANA Budget [NEW!]	1	To Be Started	In Progress		10 April
●	Red Team	_	To Be Started	To Be Started		10 April

*Proposed content was submitted for review in Istanbul