

IANA Transition Comments on the Draft FY17 Operating Plan & Budget from Chuck Gomes - Draft 1

These comments are submitted personally by Chuck Gomes with the support of the following participants in the CWG Stewardship Design Team O (IANA Budget): . The comments are provided after references to the relevant sections of the Draft FY17 Budget.

To facilitate understanding of the comments it may be helpful to refer to the Draft FY17 Operating Plan & Budget and supplemental documents. Links are provided at <https://www.icann.org/public-comments/op-budget-fy17-five-year-2016-03-05-en> in Section III to the Draft FY17 Operating Plan & Budget and to breakdown of costs at the project level.

4 - Overview of the IANA Stewardship Transition and implementation (including PTI)

4.2 – Focus on the Post-Transition IANA (PTI) implementation

A graph is provided on page 24 to illustrate the planned post transition state. The following elements of the graph and the explanations that are provided on subsequent pages raise the following questions:

- Will there need to be an IANA Department in ICANN after PTI is formed?
 - It is our understanding that PTI will provide IANA services for all three operational communities even though at the outset the protocol and numbers communities will likely contract directly with ICANN, not PTI. If our understanding is correct:
 - Why would there need to be any IANA operations in an IANA Department?
 - Why would there need to be an IANA Department budget as part of the ICANN budget?
 - If there is an IANA Department in ICANN, what would it consist of?
 - It is our understanding that all of the current IANA staff will be moved to PTI:
 - Is that correct?
 - If so, why would dedicated resources be needed?
- What is IAOC in the Protocols Parameter Community portion of the graph?
- On page 25, the post-transition ICANN Budget shows separate components for PTI (#4) and IANA Operations Budget (#6).
 - Why wouldn't the IANA Operations Budget be included in the PTI Budget?
 - In fact, why wouldn't the following elements shown for the IANA Operations Budget simply be parts of the PTI Budget: #3 – Direct Costs/Dedicated Resources; #7 – Direct Costs/Shared Resources; #8 – Support Services Allocations.
- On page 26, the description of Item #4, Post-Transition IANA (PTI) is: “New legal entity, destined to host activities and costs of the IANA functions in service of the Names community.”
 - As noted above, it is our understanding that PTI will provide IANA services for all three operational communities.
- If our understandings above are accurate, then edits should be made to the graph on page 24, the budget tables on page 25 and the descriptions on page 26.

4.3 - Focus on the IANA Functions Operating Plan and Budget

A table is provided at the top of page 28 that shows a summarized view of the total IANA Functions costs with breakdowns by operational community and by the three sources of costs. It is our understanding that these costs include the costs for PTI and the IANA Department as shown in the diagram of the IANA implementation in Section 4.2 on page 24.

- First of all we want to say that the breakdowns are very helpful.
- Second, we would like to know what the PTI Specific Costs represent.
 - Aren't the three categories of costs above in the 'Names' column also specific to PTI?
 - A more detailed breakout of the PTI Specific costs is requested.
- Third, if our assumptions in our comments above for Section 4.2 are correct, then it seems like all of the costs shown in the table on page 28 should be shown as PTI costs; if not, an explanation is requested.

7 - FY17 Operating Plan & Budget – Description

7 - 2.1.7 Implementation of IANA Functions Stewardship Transition & Enhancing ICANN Accountability

On page 47, we note that \$1.1M is budgeted for this portfolio:

- The equivalent of one full-time person at a cost of \$300K
- \$800K for Professional Services.

From the spreadsheet that contains the breakout of costs by project, we see that this portfolio contains two projects both of which relate to enhancing ICANN Accountability.

- Is it safe to assume that the costs for implementation of the IANA Functions Stewardship are contained elsewhere?
 - If so it would be helpful to provide a reference in this section to where they can be found, especially since the portfolio title includes the implementation of the IANA functions.
- Is the \$1.1M the total amount planned in FY17 for ICANN Accountability?
 - If not, where are other funds budgeted?
- It is difficult to determine whether the budgeted costs will be sufficient for implementing the ICANN Accountability recommendations in FY17.
 - How were the cost estimates determined?
 - Is it possible to see the cost build-up?

7.12 – 3.2 Ensure Structured coordination of ICANN's technical resources

For this goal, the second activity listed on pages 54-55 is: "Measure and compare metric for the IANA Functions against baseline for YoY improvement". It undoubtedly does not need to be said that this will need to include the new SLEs once they are finalized.