

IANA Budget Development & Approval Process Compliance Matrix

The following table maps the CWG Stewardship IANA Budget requirements to the proposed IANA Budget Development & Approval Process. Note that requirements were repeated in different parts of the CWG Stewardship proposal and that each occurrence is quoted separately in the table; to make it easier to relate duplicate requirements they are grouped together and color coded.

#	Requirement	Section	Proposed response	DT-O Assessment	
1	“. . .the IFO’s comprehensive costs should be transparent and ICANN’s operating plans and budget should include itemization of all IANA operations costs to the project level and below as needed . . .”	¶106, Item 1 – ICANN Budget & IANA Budget	There is nothing in the proposed Bylaws but the proposed process provides for transparency and detailing of costs in the same way as with the ICANN Operating Plan & Budget.	DT-O does not believe that this requirement should be in the Bylaws but notes that the proposed process is transparent and will include costs at the project level & below as needed. The DT-O suggests that the CSC monitors the process and takes steps if needed to ensure these requirements are met.	
1	“The IFO’s comprehensive costs should be transparent for any future state of the IANA Function.”	¶161, IANA Budget; ¶162 item 1	See Above	See Above	
1	“Future Fiscal Year (FY) ICANN Operating Plans & Budgets, and if possible even the FY16 ICANN Operating Plan & Budget, include at a minimum itemization of all IANA operations costs in the FY ICANN Operating Plan & Budget to the project level and below as needed.”	¶161, IANA Budget; ¶162 item 2	See Above	See Above	See Above

#	Requirement	Section	Proposed response	DT-O Assessment
1	"The CWG-Stewardship recommends that the IFO's comprehensive costs should be transparent and ICANN's operating plans and budget should include itemization of all IANA operations costs to the project level and below as needed."	Annex Q, <i>last ¶ (bullet)</i>	See Above	See Above
1	"Furthermore, these costs should be itemized into more specific costs related to each specific function to the project level and below as needed."	Annex Q, <i>last ¶ (bullet)</i>	See Above	See Above
2	"An itemization of IANA costs would include "Direct Costs for the IANA department", "Direct Costs for Shared resources" and "Support functions allocation"."	¶106, Item 1 – ICANN Budget & IANA Budget;	There is nothing in the proposed Bylaws but the proposed process provides for inclusion of all PTI costs.	DT-O does not believe that this requirement should be in the Bylaws but notes that the proposed process is transparent and will include costs at the project level & below if needed. The DT-O suggests that the CSC monitors the process and takes steps if needed to ensure these requirements are met.
2	"An itemization of IANA costs would include "Direct Costs for the IANA department", "Direct Costs for shared resources" and "Support functions allocation"."	Annex Q, <i>last ¶ (bullet)</i>	See Above	See Above
3	"PTI should also have a yearly budget that is reviewed and approved by the ICANN community on an annual basis."	¶106 Item 1 – ICANN Budget & IANA Budget	The Bylaws require community review by SOs, ACs, RySG, the IAB & the RIRs plus public comment.	Compliant
3	"A fully approved budget should be developed on an annual basis."	¶161, IANA Budget; ¶163	See Above	See Above

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3	"PTI should also have a yearly budget that is reviewed and approved by the ICANN community on an annual basis."	Annex Q, <i>last ¶ (bullet)</i>	See Above	See Above
4	"PTI should submit a budget to ICANN at least nine months in advance of the fiscal year to ensure the stability of the IANA services."	¶106 Item 1 – ICANN Budget & IANA Budget	The proposed process requires that a proposed (baseline) budget be submitted to the PTI Board & ICANN 270 days before the start of the next fiscal year.	Compliant
4	"PTI should submit a budget to ICANN at least nine months in advance of the fiscal year to ensure the stability of the IANA services."	¶161, IANA Budget; ¶163	See Above	See Above
4	"PTI should submit a budget to ICANN at least nine months in advance of the fiscal year to ensure the stability of the IANA services."	Annex Q, <i>last ¶ (bullet)</i>	See Above	See Above
5	"... the IANA budget should be approved by the ICANN Board in a much earlier timeframe than the overall ICANN budget."	¶106 Item 1 – ICANN Budget & IANA Budget	The proposed process includes ICANN Board approval of the PTI Operating Plan & Budget after PTI Board approval and before the overall ICANN Operating Plan & Budget are approved.	Compliant
5	"... the IANA budget should be approved by the ICANN Board in a much earlier timeframe than the overall ICANN Budget."	¶161, IANA Budget; ¶163	See Above	See Above
5	"... the IANA budget should be approved by the ICANN Board in a much earlier timeframe than the overall ICANN budget."	Annex Q, <i>last ¶ (bullet)</i>	See Above	See Above

#	Requirement	Section	Proposed response	DT-O Assessment
6	<p>“The CWG (or a successor implementation group) will need to develop a proposed process for the IANA-specific budget review, which may become a component of the overall budget review.”</p>	<p>¶106 Item 1 – ICANN Budget &amp; IANA Budget</p>	<p>Staff proposed a process that was reviewed by DT-O and DT-O supports the process.</p>	<p>DT-O believes the process is compliant with the CWG Proposal and recommends CWG approval of that process.</p>
6	<p>“The CWG (or a successor implementation group) will need to develop a proposed process for the IANA-specific budget review, which may become a component of the overall budget review.”</p>	<p>Annex Q, last ¶ (bullet)</p>	<p>See Above</p>	<p>See Above</p>
7				