FY17 Planning – USG Transiti

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USG Transition – Expected OP&B impacts

1 **Creation of Post-Transition IANA**
- New Legal entity, receiving the IANA operations

2 **Transfer of IANA Operations into PTI**
- Assumptions to be established for Personnel, Assets, Systems, …
- Costs of all contributing resources to be included in PTI financials

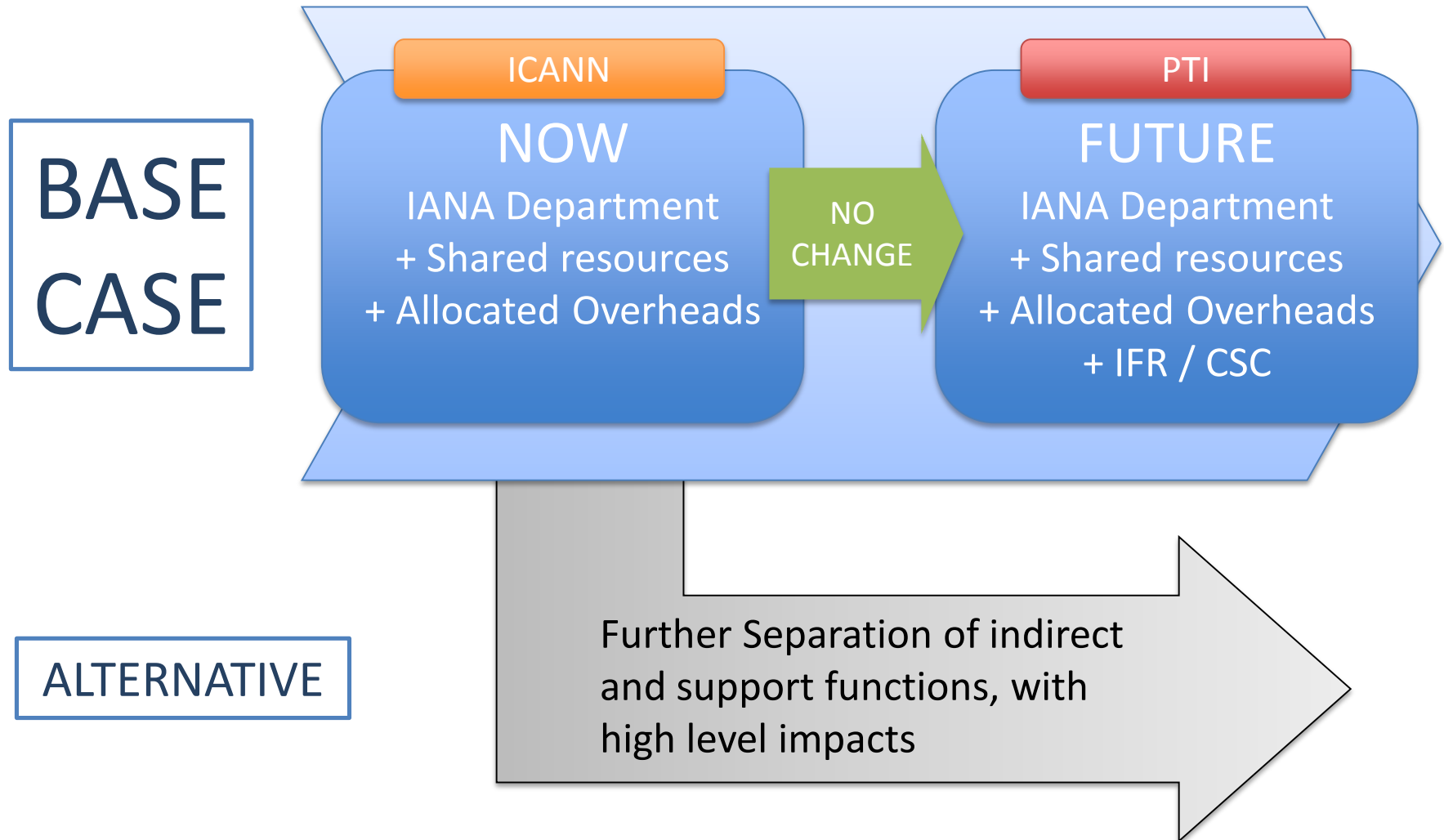**3** **Creation of new processes (incl. costs) for IFR, CSC**
- IFR (IANA Functions Review)
- CSC (Customer Standing Committee)

4 **New accountability mechanisms**
- Bylaws writing
- Community powers: Operational impacts to be determined

FY17 Planning: approach to PTI/IANA

BASE CASE

ICANN

NOW
IANA Department
+ Shared resources
+ Allocated Overheads

NO CHANGE →

PTI

FUTURE
IANA Department
+ Shared resources
+ Allocated Overheads
+ IFR / CSC

ALTERNATIVE

Further Separation of indirect and support functions, with high level impacts →

FY17 Planning: approach to PTI/IANA

	No LATER than 15 Jan.	No EARLIER than 30 Apr
Assumptions	**PLANNING: Minimum info required**	**IMPLEMENTATION: More detailed mini. info required**
Separate Legal entity	None	Legal form, bylaws, board composition, officers,…
Direct resources	Standard IANA department budget	Names, positions, job descriptions, benefits plan, full activity list, SOW, SLE,…
Shared resources	Base: Projects/costs for each contributing dept. Alternative: High-level estimates of cost changes.	Detailed activity list, full costing details, deliverables and service levels for Management fee agreement,…
Overheads allocation	High-level estimates	Detailed activity list, full costing details, deliverables and service levels for Management fee agreement,…
Assets	High-level estimates	Detailed asset list, Fair value evaluation (external), Asset purchase agreement,…
IFR / CSC processes	High-level estimates	Detailed operating processes, membership composition, members names,…

Type of activity	Description
Activities specific and exclusive to IANA Operations	These costs cover direct and dedicated personnel (12 employees) and associated costs assigned to delivering the IANA functions: registration and maintenance of protocol parameter registries; allocation of Internet numbers and the maintenance of the Internet number registries; validation and processing of root zone change requests as well as maintenance of the root zone registry; management of the .int and .arpa domains; and holder of the root zone key signing key for the security of the DNS root zone.
Direct activities supported by Shared resources	Within ICANN, other departments than the IANA department perform or participate to processes directly related to the delivery of the IANA functions. The costs of the activities carried out by other departments to perform the IANA Operations were evaluated by each department's budget owners by identifying the direct external costs (professional services, infrastructure,...), and estimating the time spent by personnel from the department on the identified activities valued at the annual cost of each employee (base+benefits). See in Appendix the full description of the activities that are carried out by those departments, which are summarized below: - Request processing - IT - Root Key Signing - IT, Registry technical Services, SSR, GSE - IANA Website - IT, Legal, Web-admin - Protection of data and systems - IT, Security, Legal - Continuity and Contingency of service - IT - Conflict of Interest assertions - IT, Legal - Monthly reporting of performance - IT, Legal, Gov. Engagement - Administrative support (shared with Compliance) - Annual updates to Agreements - Legal - Depreciation costs of capital assets.
Support functions allocation	Support functions which organize the ability for operational activities to be carried out. List of functions included: - Executive - Communications - Operations (HR, Finance, Procurement, ERM, PMO/BI, HR development, Operations Executive, Administrative / Real Estate) - IT (cyber-security, admin, infrastructure, PMO, Staff facing solutions) - Governance support (Legal, Board support, Nomcom)