

CWG Stewardship Comments on the Draft FY17 Operating Plan & Budget – Draft 1

The CWG Stewardship submits the following comments and questions regarding the Draft FY17 Operating Plan & Budget (Draft FY17 Budget). The comments are provided after references to the relevant sections of the Draft FY17 Budget.

4 - Overview of the IANA Stewardship Transition and implementation (including PTI)

4.1 – Overview of Transition and Implementation

The 3rd paragraph on page 23 says: “A placeholder for the above activities was offered for a range of \$6m to \$9m, awaiting for a more detailed and comprehensive costs estimate.” Is it correct to include that the \$6m to \$9m placeholder includes estimates for Track 1 (Root Zone Management), Track 2 (Stewardship Transition), Track 3 (Work stream 1 Accountability Enhancements) and Work stream 2 Accountability Enhancements?

Commented [XC1]: Yes, it also includes the implementation work relative to Track 1 through Track 3. Implementation of WS2 enhancements is not included.

The next paragraph says: “A project costs support team is currently being organized to define such activities and costs, and suggest funding solutions. The community input on possible funding approaches is welcome as part of the public comment submitted on this document.” What team does this refer to? Who will be on the team? What types of solutions are being considered? Is this related to the CWG Design Team O work that is ongoing?

Commented [XC2]: This comment to the Project Cost Support Team (PCST) created in Marrakech including Staff members who support the Community working groups to create costs estimates for the remainder of FY16 and for FY17.

4.2 – Focus on the Post-Transition IANA (PTI) implementation

A graph is provided on page 24 to illustrate the planned post transition state. The graph and explanations of the numbered items are not very clear. A webinar should be held for the CWG and other interested parties with enough time prior to the end of the comment period to allow for CWG comments.

Commented [XC3]: Please refer to the comments below. Can you please reference which of the numbered items are unclear, so that we can provide written answers?

Commented [XC4]: We believe organizing a webinar would create scheduling issues which may result in a lack of transparency (ie a limited number of community members could participate), and we therefore suggest instead that we provide answers in writing.

4.3 - Focus on the IANA Functions Operating Plan and Budget

A table is provided at the top of page 28 that shows a summarized view of the total IANA Functions costs, and its breakdown by operational community, and by the three sources of costs. How do the cost elements of the table correlate to the diagram of the IANA implementation in Section 4.2?

Commented [XC5]: Assuming that the table referred to in section 4.2 is the one appearing on page 23. If so, the summarized view of the total IANA Functions costs (on page 28) corresponds to the costs of the activities included in the 2 boxes surrounded by a blue outline in the graph on Section 4.2.

7 - FY17 Operating Plan & Budget – Description

7 - 2.1 Foster and coordinate a healthy, secure, stable, and resilient identifier ecosystem

Are we correct in concluding that the IANA Stewardship Transition, to the extent the costs of known, is included in the Operating Plan & Budget?

Commented [XC6]: It is included in the Operating Plan but there is currently no budget included, as the costs estimates are being produced through the PCST (as referred to above). The IANA functions budget (IANA department,...) are included in the draft budget.

7 - 2.1.1 Implementation of IANA Functions Stewardship Transition & Enhancing ICANN Accountability

Is \$300K for personnel and \$800K for Professional Services enough for transition implementation? Refer to project cost spreadsheet.

Commented [XC7]: This appears to be in the scope of a public comment rather than a clarifying question. Please submit as such.

7.12 – 3.2 Ensure Structured coordination of ICANN’s technical resources

The second activity listed on pages 54-55 is: “Measure and compare metric for the IANA Functions against baseline for YoY improvement”

This will need to include new SLEs once they are finalized.

Commented [XC8]: This does not appear to be a clarifying question, but more a comment to be submitted.

Here is the description of Portfolio 3.2.3 Root Systems Operations on page 55: “Facilitating the continued evolution of the root server system to ensure its ongoing security, stability, and resiliency as DNS technology and operations change over time: maintenance of relationships with the Root Server Operators, RSSAC, and related stakeholders.” Is this portfolio above and beyond the PTI budget and operational expenses for the number and protocol IANA services?

Commented [XC9]: No, it is included in the IANA/PTI functions.

Appendix B - FY17 IANA Operations by Activity

Is it correct to assume that this appendix does not reflect changes that may occur after the transition occurs?

Commented [XC10]: No, this is reflecting the activities carried out in the IANA functions as foreseeable during FY17, assuming the transition occurs.

In the last row of the table on page 70 for Stakeholder Engagement, second bullet says: “Includes drafting, renewing and finalizing annual SLA.” Is it correct to assume that this does not include the new SLEs?

Commented [XC11]: No, it is assumed to include the new SLEs.