

## MRT Functional Analysis

1. Initial Consideration of MRT's Functions (from the public consultation document):
  - Issue instructions to Contract Co.
  - Meet annually to review overall IANA Functions Operator performance
  - Annual IANA Budget Review
  - Address any escalation issues raised by the CSC
  - Perform certain elements of administration currently set forth in the IANA Functions Contract and currently being carried out by the NTIA

## 2. Detailed Consideration of MRT's Functions:

- Annual Reviews
  - Performance Review: The MRT would meet annually to review overall IANA Functions Operator performance
  - Budget Review: The MRT would meet annually with ICANN staff during the course of the development of ICANN's annual budget to (a) review and discuss ICANN's proposed budget for the IANA Naming Functions, and (b) discuss funding for improvements to the IANA Naming Functions and the introduction of new services (as deemed necessary by the MRT)
- Issue instructions to Contract Co. – these would include:
  - Identifying terms for the agreement with the IANA Functions Operator for the execution of the naming-related functions;
  - Managing a rebidding (RFP) process in the case of performance deficiencies and as part of a regular rebidding process;
  - Selection of the IANA Functions Operator for naming-related Functions pursuant to any rebidding (RFP) process;
  - Renewal or termination of the IANA Functions Contract for naming-related functions; and
  - Selection of professional advisors to draft / modify contract language
- Address any escalation issues raised by the CSC
  - Communicate with the IANA Functions Operator and/or directly affected parties to address such issues; and
  - Engage in other enforcement and escalation behavior up to and including initiating a termination for breach and/or rebidding (RFP) procedure
- Perform certain elements of administration currently set forth in the IANA Functions Contract and currently being carried out by the NTIA
  - C.2.12.a Program Manager (evaluation of).
  - C.3.2 Secure Systems Notification (receive notification of outages).
  - C.4.1 Meetings – (perform) annual program reviews and site visits
  - C.4.4 (receive and review) monthly Performance Standards Reports
  - C.4.5 (participate in the development of, receive and review) annual Customer Service Survey (CSS)
  - C.4.6 (receive and review) Final Report (after expiration of Contract)
  - C.4.7 and C.5.4 (provide) Inspection and Acceptance (of deliverables)
  - C.5.1 Audit Data – (receive and review annual report)\*
  - C.5.2 (receive and review monthly) Root Zone Management Audit Data\*
  - C.5.3 External (Security Compliance) Auditor (ensure performance of, receive and review results of annual audit)\*

- C. 6 Conflict of interest requirements (receive and review annual validation that the contractor is meeting stated requirements)
- C. 7 Continuity of Operations (receive and review annual validation that the contractor is meeting stated requirements)

Note: \* Functions also listed as CSC Functions. Section references are references to current IANA Functions Contract.

### 3. Further Consideration of Specific MRT Functions:

- Issue instructions to Contract Co.
  - Who does this and how?
  - Who decides the criteria to begin the “termination for breach” process?
  - What are the criteria?
  - Will they be in a document?
- Meet annually to review overall IANA Functions Operator performance
  - Who prepares the review?
  - What does it include?
  - What is the process?
  - What are the standards of review?
  - What are the mechanics if the IANA Functions Operator’s performance is below standards?
- Annual IANA Budget Review
  - Will a sub-team of the MRT conduct this review?
  - What are the mechanics if there is a disagreement with ICANN on the budget?
- Address any escalation issues raised by the CSC
  - How are these delivered to the MRT?
  - How does the MRT decide if and when to meet?
    - Is there a member (e.g., Chair) or subgroup in charge of this? Or is this a secretariat function?
    - How much discretion does MRT have regarding meeting after an escalation issue is raised?
  - If the MRT does decide to meet what is required?
    - Notice
    - Timing
  - What is the process if there is an issue?