





The Future of Whois: Next Generation RDS

EP-WG | ICANN-52 | 9 February 2015 -- DRAFT FOR EP-WG REVIEW --

About the EWG Process WG (EP-WG)

- ICANN Board is considering how to use the Expert Working Group (EWG)
 Final Report on Registration Directory Services (RDS) as input to a GNSO
 Policy Development Process (PDP) WG
- The EP-WG is a collaboration between the GNSO and the Board, formed to recommend how to best structure PDP(s) for success

Board Members

- Cherine Chalaby
- Steve Crocker
- Chris Disspain
- Ram Mohan
- Ray Plzak
- Bruce Tonkin

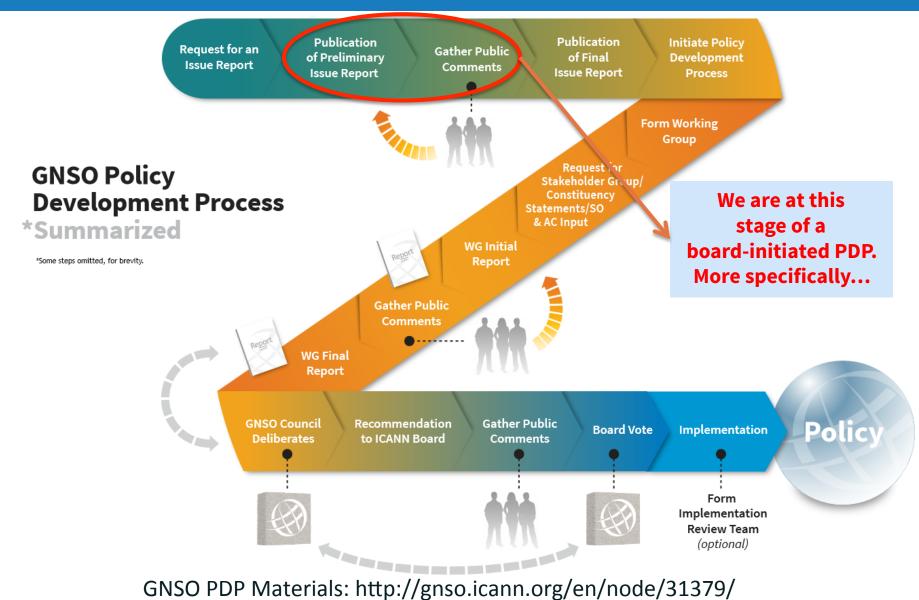


GNSO Members

- James Bladel, RrSG
- Don Blumenthal, RySG
- Ching Chiao, RySG
- Avri Doria, NCSG
- Susan Kawaguchi, BC
- Dan Reed, Nom Com Appointee
- Jonathan Robinson, GNSO Chair



Where are we in the PDP process?







Where are we in the PDP process?

Nov 2012	Board	☑ Direct preparation of a (PDP) Issue Report		
Nov 2012	Board	▼ Launch the EWG		
Mar 2013	Staff	▶ PDP - Preliminary Issue Report		
Mar-Apr 2013	Community	■ Public Comment Forum (on above)		
Jun 2013	EWG	▼ EWG Initial Report		
Jun-Aug 2013	Community	■ Public Comment Forum, Consultations (on above)		
Nov 2013	EWG	▼ EWG Update Report		
Dec-Feb 2014	Community	■ Public Comment Forum, Consultations (on above)		
Jun 2014	EWG	⊠ EWG Final Report		
Oct 2014-	EP-WG	■ Develop Recommendations on		
Feb 2015		PDP WG Process and Charter Guidance		
Mar 2015	Staff	☐ New Preliminary Issue Report reflecting EP-WG output		
May-Jun 2015	Community	☐ Public Comment Period on New Issue Report		
July 2015	Staff	☐ Final Issue Report reflecting Public Comments		
Aug-Sep 2015	GNSO Council	☐ Refine Charter for PDP Working Group		
	GNSO Council	☐ Adopt Charter (start of PDP WG process)		



EP-WG recommendations for RDS PDP WG

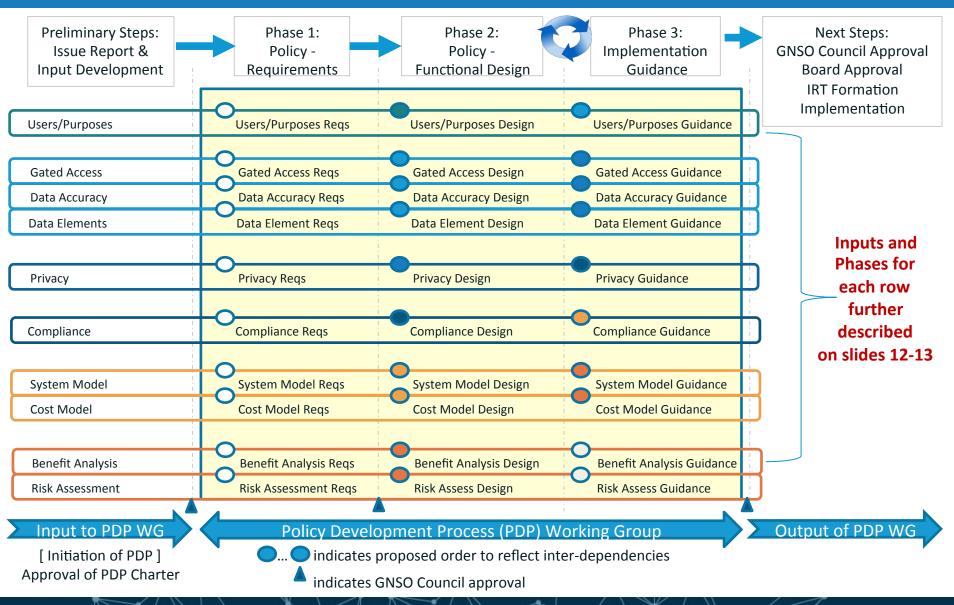
- Groups and sequences principles in the EWG's Final Report
 - Phase 1: Policy Requirements Definition (WHY)
 - Phase 2: Policy Functional Design (WHAT)
 - Phase 3: Implementation Guidance (HOW)



- Pre-WG Steps: New Issue Report (including needed inputs and draft PDP WG Charter); Public Comment; Final Issue Report; GNSO Council consideration; PDP WG formation.
- Post-WG Steps: GNSO Council and Board Approval; IRT Formation;
 Implementation informed by PDP WG guidance



Recommended 3-phase process flow





Recommended methodology and timeline

Oversight

- GNSO Council should approve Phase 1 outputs before the PDP WG proceeds to Phase 2
- To ensure alignment with Phase 1 requirements, oversight should be provided by GNSO PDP WG coordination team



- To foster sustained progress and timely completion, the WG should work towards a defined timeline and targets
- Phases 2-3 contain opportunities for parallel progress, sequenced for inter-dependencies, subject to resourcing
- Methodology
 - In addition to regular calls, PDP WG may hold **periodic face-to-face meetings**, including subteam and plenary meetings





Next Step: Issue Report, Handoff to GNSO

- Informal community feedback on EP-WG's process recommendations welcomed at ICANN-52 "All Things Whois"
- At the ICANN Board's request, Staff will use EP-WG's output to draft a **new Preliminary Issue Report in March 2015**, including EP-WG's recommended process and a draft charter that will factor in this recommended process
- Formal community feedback invited on this new Preliminary Issue Report during public comment period (April-May 2015)
- Final Issue Report reflecting comments expected in July
- GNSO Council will consider Final Issue Report and proposed Charter for PDP WG, followed by formation of PDP WG



To learn more about the EP-WG and RDS

Visit the EP-WG's Public Wiki:

https://community.icann.org/pages/
viewpage.action?pageId=49359349

Download EWG's Final Report:

https://community.icann.org/pages/
viewpage.action?pageId=48343061

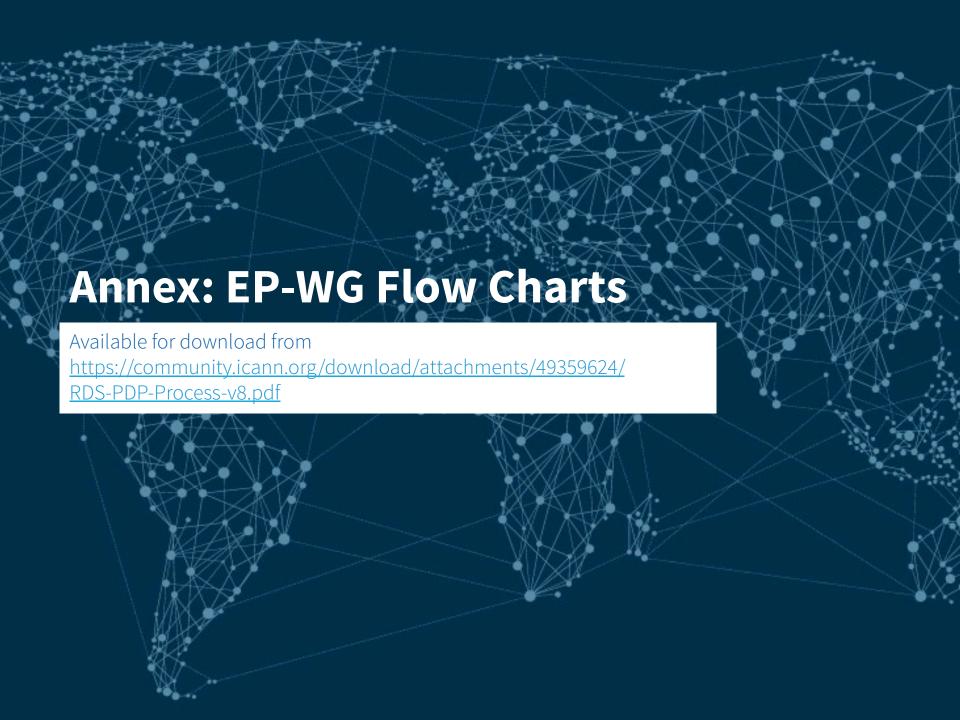
Read EWG's RDS FAQs:

https://community.icann.org/
display/WG/EWG+FAQs

Watch EWG's RDS Video FAQs:

https://community.icann.org/display/WG/
EWG+Multimedia+Frequently+Asked+Questions



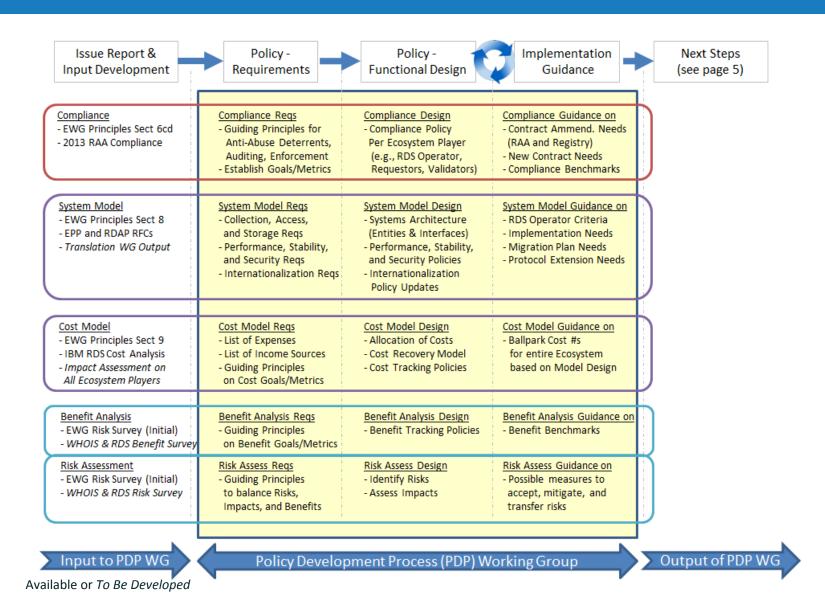


RDS PDP WG: Phased Flow Chart – Part 1 of 2

Issue Report & Input Development	Policy - Requirements	Policy - Functional Design	Implementation Guidance	Next Steps (see page 5)
Users/Purposes - EWG Principles Sect 3 - Use Cases (Annex C) - GAC WHOIS Principles - WHOIS RT Report	Users/Purposes Reqs - Permissible Users - Permissible Purposes - Guiding Principles	Users/Purposes Design - Data per Purpose - Update Process - Accreditation Policy Per User Community	Users/Purposes Guidance on - Accreditor Criteria - Terms of Service Needs	
Gated Access - EWG Principles Sect 4bc - Access Examples (Annex E) - RDS User Accreditation RFI - WHOIS Misuse Study	Gated Access Regs - Public/Gated Criteria - LE Access Principles	Gated Access Design - Authorizations per User/Purpose - Credentialing Policy	Gated Access Guidance on - Access Protocol Needs - Authentication Needs - Credential Admin Needs	
Data Accuracy - EWG Principles Sect 5 - Validation Service RFI - ccTLD Validation Survey - WHOIS Accuracy Studies	<u>Data Accuracy Reqs</u> - Accuracy Principles - Contact Data Validation Needs	<u>Data Accuracy Design</u> - Validation Levels - Contact Management - Remediation Policy	Data Accuracy Guidance on - Validator Criteria - Contact Auth Needs - Interface Needs (RDS/Validator/RR/Ry)	
Data Elements - EWG Principles Sect 4a - Data Needs (Annex D) - 2013 RAA WHOIS record - WHOIS RegID Study	<u>Data Element Regs</u> - Data Collection Needs - Data Access Needs - Guiding Principles	Data Element Design - RR/Ry Data Elements - Registrant Data Elements - PBC Data Elements - Update Process	Data Element Guidance on - EPP/RDAP Mapping Needs - WHOIS Migration Needs	
Privacy - EWG Principles Sect 6&7 - P/P Provider Survey - WHOIS P/P Abuse Study - GNSO PPSAI WG Output - Initial Legal Analysis	Privacy Reqs - Privacy/Proxy Needs - At-Risk Reg Needs - Data Protection Laws	Privacy Design - Overarching DP Policy - DP Law Compliance - Privacy/Proxy Policies - Secure Protected Creds	Privacy Guidance on - RDS Privacy Policy Needs - Detailed Legal Analysis - P/P Accreditation Needs - SPC Provider Criteria	
Input to PDP WG ailable or To Be Developed	Policy Develo	pment Process (PDP) W	orking Group	Output of PDP W



RDS PDP WG: Phased Flow Chart – Part 2 of 2





RDS PDP WG: Post-PDP WG Steps

Next Steps (using PDP WG's output)

