

**Cross Community Working Group to
Develop an IANA Stewardship Transition Proposal on Naming
Related Functions (CWG)**

DRAFT TRANSITION PROPOSAL



Agenda

- 🟢 Welcome & introductions
- 🟢 Background
- 🟢 The Draft Transition Proposal
- 🟢 Next steps
- 🟢 Q & A

Welcome & Introductions

💧 Presenters



Lise Fuhr
Co-Chair



Jonathan Robinson
Co-Chair



Greg Shatan
Coordinator RFP 3

Background



Background

- ◆ NTIA requested:
 - ◆ ICANN “convene a multistakeholder process to develop a plan to transition the U.S. government stewardship role” with regard to the IANA Functions and related root zone management. (14 March 2014)
- ◆ Stewardship role includes:
 - ◆ Administrator of the IANA Functions Contract
 - ◆ Root Zone Management Process Administrator
 - ◆ General oversight and accountability derived from these two functions

Transition Proposal's Guiding Principles

- ◆ NTIA has communicated that the transition proposal must have broad community support and address the following four principles:
 1. Support and enhance the multistakeholder model
 2. Maintain the security, stability, and resiliency of the Internet DNS
 3. Meet the needs and expectation of the global customers and partners of the IANA services
 4. Maintain the openness of the Internet
- ◆ NTIA also specified that it will **not** accept a proposal that replaces the NTIA role with a government-led or an intergovernmental organization solution.

Community Process

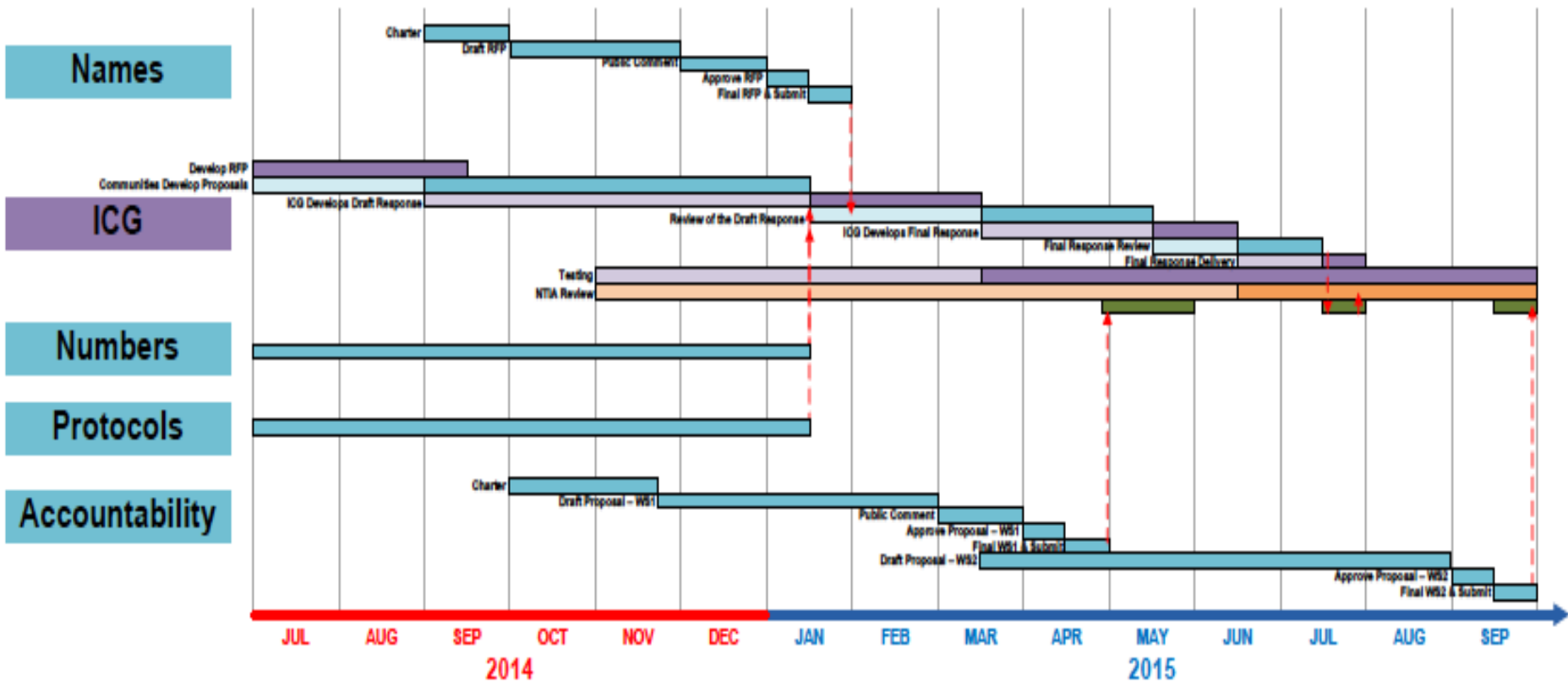
- ◆ Community consultations, development of proposed multistakeholder process (March – June 2014)
- ◆ Formation of IANA Stewardship Transition Coordination Group (ICG) on 3 July 2014
- ◆ ICG Request for Proposals published on 3 September 2014
- ◆ Development of CWG Charter by Drafting Team (July – August 2014)
- ◆ Formation of IANA Stewardship Transition Cross Community Working Group (CWG) on Naming Related Functions (September 2014)

IANA Stewardship Transition CWG on Naming Related Functions

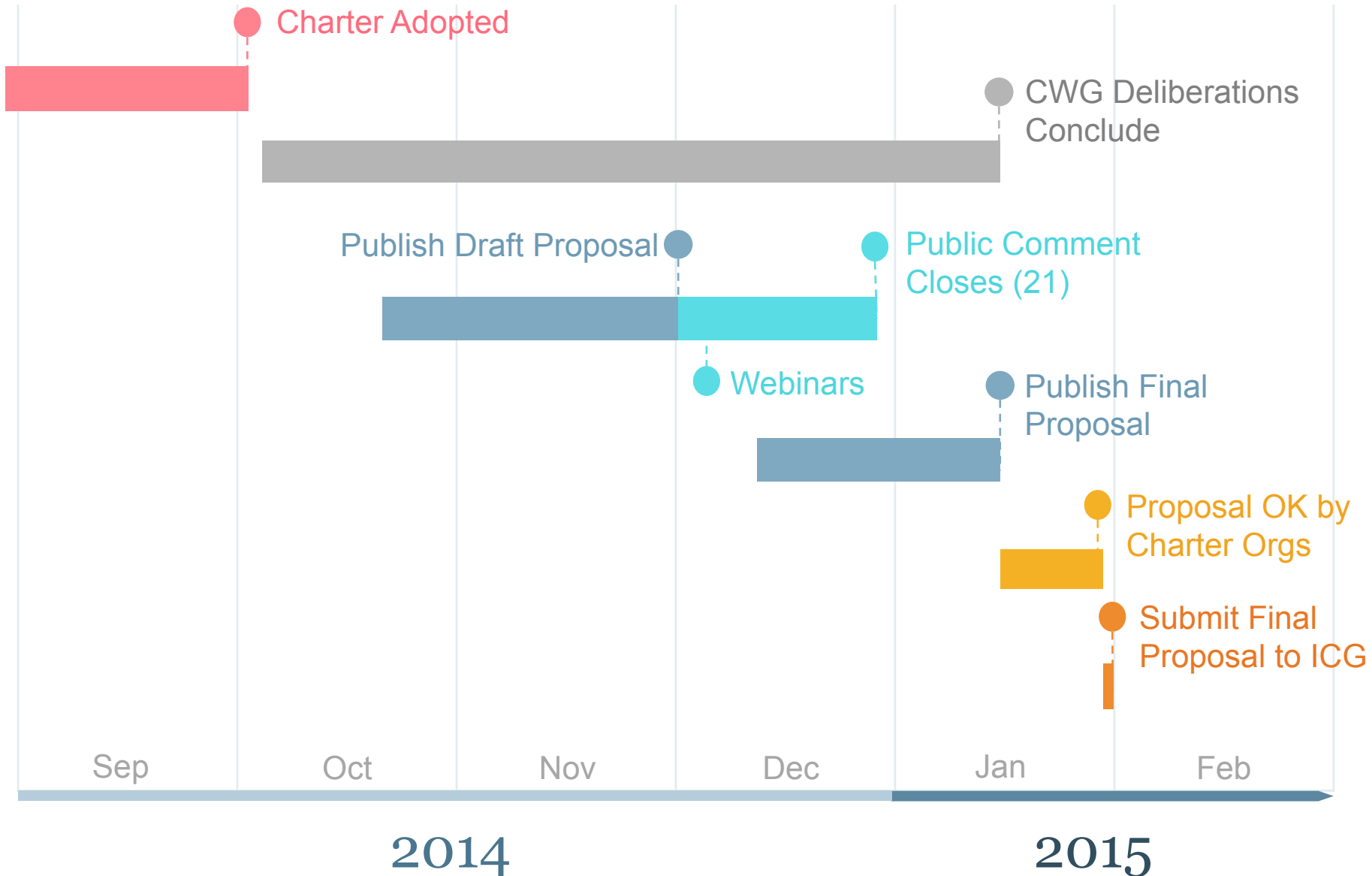
- Chartered by At-Large Advisory Committee (ALAC), Country Code Supporting Organization (ccNSO), Generic Names Supporting Organization (GNSO), Governmental Advisory Committee (GAC) and Security & Stability Advisory Committee (SSAC)
- The CWG consists of 119 people, organized as 19 members, appointed by and accountable to chartering organizations, and 100+ participants who do so as individuals. The CWG is open to anyone interested.
- The CWG has structured its work into sub-groups based on sections of the ICG Request for Proposals (RFP)
- A set of “strawman” proposals encompassing a wide range of options and ideas was considered – The draft proposal results from a focus on the specific IANA functions that need to be replaced

Timeline

ICG Prep	ICG Work
Commonwealth Prep	Commonwealth Work
NTIA Prep	NTIA Work
	ICANN Board Work



CWG Stewardship Timeline 2014-2015



Draft Transition Proposal



Proposal Structure

- ◆ Follows the structure of the ICG RFP:
 1. Description of Community's Use of IANA Functions
 - 2a. Existing, Pre-Transition Arrangements – Policy Sources
 - 2b. Existing, Pre-Transition Arrangements – Oversight and Accountability
 3. Proposed Post-Transition Oversight and Accountability Arrangements
 4. Transition Implications
 5. NTIA Requirements
 6. Community Process

In Brief

- ◆ Final drafts of sections 1, 2A and 2B describe the current situation
- ◆ Section 3:
 - ◆ Heart of the transition proposal
 - ◆ Still a work in progress as not all details have been ironed out as of the publication of this consultation
 - ◆ Although lacking some details, the information provided in this section should be sufficiently detailed to allow the communities to comment on all key components
 - ◆ Specific questions have been highlighted as needing further discussion and input
- ◆ Sections 4, 5 and 6 are currently in development and are directly dependent on the final choices that will be made for section 3

Section 3 – General Principles

- ◆ The current operational performance of the IANA Naming Functions is generally satisfactory
- ◆ The CWG does not believe there is a reason to transition the IANA Naming Functions outside of ICANN, but new arrangements post transition should provide the possibility of replacing ICANN as the IANA Functions operator, including by RFP or other tender process
- ◆ The proposed replacement solution should not seek to recreate another ICANN like structure with associated costs and complexities
- ◆ The proposal should not seek to replace the role of the ICANN multistakeholder community with the respect to policy development nor to affect existing TLDs or how they are currently applied by the IANA Functions Operator
- ◆ The existing separation between ICANN as a policy body and ICANN as the IANA Functions Operator needs to be reinforced and strengthened

Link with Accountability CCWG

It is generally agreed that the transition must not take place until:

- ◆ The requisite accountability mechanisms have been identified by the CWG on Enhancing ICANN Accountability (“Accountability CCWG”);
- ◆ Accountability mechanisms and other improvements that the community determines are necessary pre-transition have been put in place, and;
- ◆ Agreements and other guarantees are in place to ensure timely implementation of mechanisms that the Accountability CCWG decides may be implemented post-transition

4 new Entities

- ◆ **Contract Co.** - primary function is to be signatory to the contract with the IANA Functions Operator
- ◆ **Multistakeholder Review Team (MRT)** – responsibilities include: developing the detailed contract terms; making decisions for Contract Co.; IANA Functions Operator Budget Review; addressing any escalation issues from the CSC; performing certain elements of administration currently set forth in the IANA Functions contract and currently being carried out by the NTIA; managing re-contracting or rebidding process
- ◆ **Customer Standing Committee (CSC)** – responsible for operational review
- ◆ **Independent Appeals Panel (IAP)** - independent and binding appeals panel

Contract Co.

- ◆ Legal entity capable of entering into contracts
- ◆ Lightweight, with little or no staff
- ◆ Limited purpose and scope

Multistakeholder Review Team (MRT)

- ◆ Multistakeholder body with formally selected representatives from all of the relevant communities (exact composition TBD)
- ◆ Representatives to the MRT would not be paid and could meet in conjunction with ICANN meetings to minimize costs
- ◆ The operation of the MRT would be based on the concept of maximum public transparency

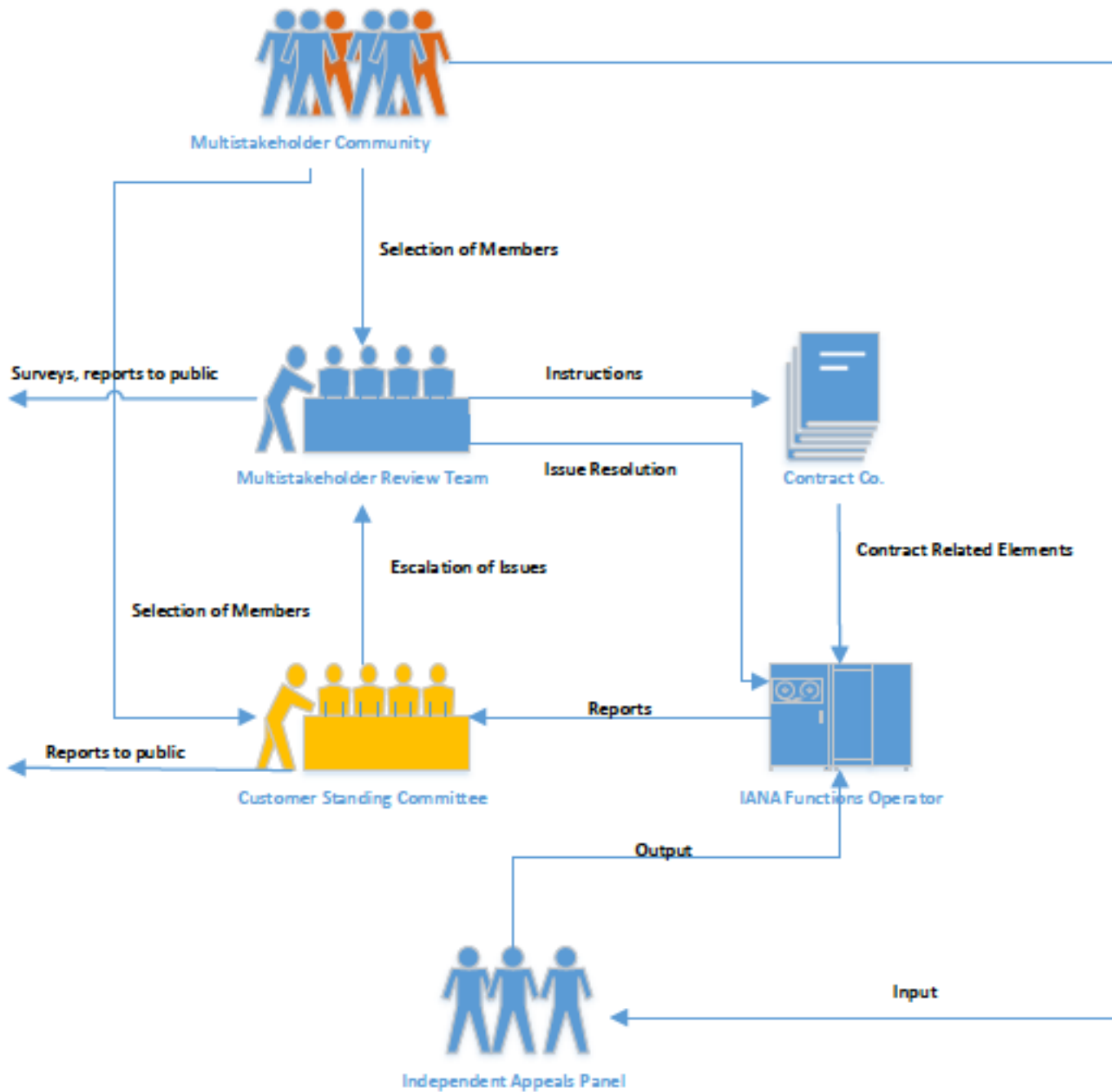
Customer Standing Committee (CSC)

- ◆ Takes on NTIA's responsibilities with respect to managing the IANA Functions Operator's reports on performance
- ◆ Receive and review IANA Operator Reports
- ◆ Escalate any significant issues to the MRT
- ◆ Primarily made up of a number of representatives of registry operators, and possibly additional individuals with relevant expertise and/or liaisons (or representatives) from other SO/ACs (unpaid role, exact composition and manner of selection TBD)

Independent Appeals Panel

- ◆ All decisions and actions (including deliberate inaction) of the IANA Functions Operator that affect the Root Zone or Root Zone WHOIS database be subject to an independent and binding appeals panel
- ◆ Appeals would be available to customers of IANA, and likely to other parties who feel that they were affected by an IANA action or decision
- ◆ Does not need to be a permanent body, but could be handled the same way as commercial disputes are often resolved, through the use of a binding arbitration process using an independent arbitration organization, such as the ICC, ICDR or AAA, or a standing list of qualified people under rules promulgated by such an organization

Relationships



Specific input requested

In addition to the input on the overall transition proposal, the CWG is specifically looking for input on:

- ◆ Possible modifications to the Independent Review of Board Actions (section 3.3 of the document)
- ◆ Possible modification to the NTIA's responsibilities acting as the Root Zone Management Process Administrator (section 3.4.3 of this document)
- ◆ Input on one specific alternative solution i.e. remaining within ICANN

Next Steps



NEXT STEPS

- Public comment forum open until 22 December 2014
- Any input welcomed, but especially on section 3
- CWG will continue deliberations in parallel, including developing areas of the document relating to items 3, 4, 5 & 6 of the RFP from the ICG.
- Co-ordinate with Accountability CCWG
- Submission of final transition proposal to chartering organizations for consideration & adoption by 19 January 2015
- Submission of final transition proposal to ICG
No later than 31 January 2015

Q & A

Further Information

- ◆ **Public Comment Forum:**
<https://www.icann.org/public-comments/cwg-naming-transition-2014-12-01-en>
- ◆ **Draft Transition Proposal:**
<https://www.icann.org/en/system/files/files/cwg-naming-transition-01dec14-en.pdf>
- ◆ **CWG workspace:**
<https://community.icann.org/x/37fhAg>
- ◆ **IANA Stewardship Transition:**
<https://community.icann.org/x/37fhAg>

THANK YOU FOR YOUR
PARTICIPATION

